



Jennifer Kent  
DIRECTOR

State of California—Health and Human Services Agency  
Department of Health Care Services



EDMUND G. BROWN JR.  
GOVERNOR

SEP 29 2016

Ms. Henrietta Sam-Louie  
Associate Regional Administrator  
Division of Medicaid and Children's Health Operations  
Centers for Medicare & Medicaid Services  
San Francisco Regional Office  
90 Seventh Street, Suite 5-300 (5W)  
San Francisco, CA 94103-6707

Dear Ms. Sam-Louie:

The Department of Health Care Services (DHCS) is submitting the enclosed State Plan Amendment (SPA) 16-041 to update the geographic area offering Targeted Case Management (TCM) services for the "Individuals in Jeopardy of Negative Health of Psycho-Social Outcomes" TCM group. The effective date of the SPA 16-041 will be July 1, 2016.

The revisions were made based on Nevada County's request to participate in and Fresno, Merced, San Francisco, Santa Barbara, Tulare, and Yolo Counties' request to not participate in "Individuals in Jeopardy of Negative Health of Psycho-Social Outcomes" TCM group.

If you have any questions or concerns regarding the proposed provisions, please contact John Mendoza, Chief, Safety Net Financing Division, (916) 552-9130.

ORIGINAL SIGNED

Chief Deputy Director  
Health Care Programs  
State Medicaid Director

Enclosures

cc: John Mendoza, Chief  
Safety Net Financing Division

cc: Michelle Kristoff, Chief  
Medi-Cal Administrative Claiming Section

**TRANSMITTAL AND NOTICE OF APPROVAL OF  
STATE PLAN MATERIAL**

**FOR: CENTERS FOR MEDICARE & MEDICAID SERVICES**

1. TRANSMITTAL NUMBER

1 6 — 0 4 1

2. STATE

**California**

3. PROGRAM IDENTIFICATION: TITLE XIX OF THE SOCIAL SECURITY ACT (MEDICAID)

TO: REGIONAL ADMINISTRATOR  
CENTERS FOR MEDICARE & MEDICAID SERVICES  
DEPARTMENT OF HEALTH AND HUMAN SERVICES

4. PROPOSED EFFECTIVE DATE

**July 1, 2016**

5. TYPE OF PLAN MATERIAL (Check One)

NEW STATE PLAN

AMENDMENT TO BE CONSIDERED AS NEW PLAN

AMENDMENT

COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMENDMENT (Separate transmittal for each amendment)

6. FEDERAL STATUTE/REGULATION CITATION

Section 1915(g)(1) Social Security Act

7. FEDERAL BUDGET IMPACT

a. FFY <sup>2016/2017</sup> \$ 571,545  
b. FFY <sup>2017/2018</sup> \$ 767,775

8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT

Supplement 1e To Attachment 3.1-A Page 1

9. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION OR ATTACHMENT (If Applicable)

Supplement 1e To Attachment 3.1-A Page 1

10. SUBJECT OF AMENDMENT

Targeted Case management - Individuals in Jeopardy of Negative Health or Psycho-Social Outcomes

11. GOVERNOR'S REVIEW (Check One)

GOVERNOR'S OFFICE REPORTED NO COMMENT

OTHER, AS SPECIFIED

COMMENTS OF GOVERNOR'S OFFICE ENCLOSED

NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL

ORIGINAL SIGNED

Mari Cantwell

U

14. TITLE

State Medicaid Director

15. DATE SUBMITTED

SEP 29 2016

16. RETURN TO

Department of Health Care Services  
Attn: State Plan Coordinator  
1501 Capitol Avenue, Suite 71.3.26  
P.O. Box 997417  
Sacramento, CA 95899-7417

**FOR REGIONAL OFFICE USE ONLY**

17. DATE RECEIVED

18. DATE APPROVED

**PLAN APPROVED - ONE COPY ATTACHED**

19. EFFECTIVE DATE OF APPROVED MATERIAL

20. SIGNATURE OF REGIONAL OFFICIAL

21. TYPED NAME

22. TITLE

23. REMARKS

Updated Geographic Area where Targeted Case Management services will be offered.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT  
State/Territory: CALIFORNIA

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**TARGETED CASE MANAGEMENT SERVICES  
INDIVIDUALS IN JEOPARDY OF NEGATIVE HEALTH OR PSYCHO-SOCIAL OUTCOMES**

Target Group (42 Code of Federal Regulations 441.18(a)(8)(i) and 441.18(a)(9)):

Medi-Cal eligible individuals, who have been determined to be in jeopardy of negative health or psycho-social outcomes due to one of the following disparity factors:

- a) Substance abuse in the immediate environment, or
- b) History of, or in danger of family violence, or
- c) History of or in danger of physical, sexual or emotional abuse, or
- d) Experiencing substandard housing, or
- e) Illiteracy; and

Such individuals must be in need of assistance in accessing necessary medical, social, educational, or other services, when comprehensive case management is not being provided elsewhere.

For those individuals in this target group, who may receive case management services under a waiver program, case management services shall not be duplicated, in accordance with Section 1915(g) of the Social Security Act. This target group excludes persons enrolled in a Home and Community-Based Services waiver program from receipt of Targeted Case Management (TCM) services.

There shall be a county-wide system to ensure coordination among TCM providers of case management services provided to Medi-Cal beneficiaries who are eligible to receive case management services from two or more programs.

Areas of State in which services will be provided (§1915(g)(1) of the Act):

Entire State.

Only in the following geographic areas: Counties of Alameda, Amador, Butte, Contra Costa, El Dorado, Humboldt, Imperial, Kern, Kings, Lake, Los Angeles, Madera, Marin, Mendocino, Monterey, Napa, Nevada, Orange, Placer, Riverside, Sacramento, San Diego, San Luis Obispo, San Mateo, Santa Clara, Santa Cruz, Shasta, Solano, Sonoma, Stanislaus, Trinity, Tuolumne, Ventura, Yuba, City of Berkeley, and City of Long Beach.

Comparability of Services (§§1902(a)(10)(B) and 1915(g)(1))

Services are provided in accordance with Section 1902(a)(10)(B) of the Act.

Services are not comparable in amount, duration, and scope (§ 1915(g)(1))

Definition of Services: (42 CFR 440.169): Targeted case management services are defined as services furnished to assist individuals, eligible under the State Plan, in gaining access to needed medical, social, educational and other services. Targeted Case Management includes the following assistance:

1. Comprehensive assessment and periodic reassessment of individual needs, to determine the need for any medical, educational, social or other services. These assessment activities include:

## SPA Impact Form

**State/Title/Plan Number:** California/Individuals in Jeopardy of Negative Health or Psycho-Social Outcomes/16-041

**Federal Fiscal Impact:**

a. FFY 2015/2016	\$571,545
b. FFY 2016/2017	\$767,775

**Number of People Affected by Enhanced Coverage, Benefits or Retained Eligibility:** 0

**Number of Potential Newly Eligible People:** Undetermined  
**or**  
**Eligibility Simplification:** No

**Number of People Losing Medicaid Eligibility:** Undetermined

**Reduces Benefits:** No

**Provider Payment Increase:** No

**Delivery System Innovation:** No  
(Examples: adding new provider types to provide a covered service, managed care delivery systems or other similar type plans.)

**Comments/Remarks:**

State Plan Amendment (SPA) 16-041 will amend the State Plan to add Nevada County and delete Fresno, Merced, San Francisco, Santa Barbara, Tulare, and Yolo Counties as geographic areas of the State in which Targeted Case Management (TCM) services will be provided (§1915(g)(1) of the Social Security Act). In the current approved SPA, TN No. 15-029, these Counties were/were not included as a provider of TCM Services to Medi-Cal beneficiaries that are eligible to be serviced under Target Population: Individuals in Jeopardy of Negative Health or Psycho-Social Outcomes. Therefore, revisions were made to add/delete the above referenced Counties.

SPA 16-041 will amend 15-029 SUPPLEMENT 1e TO ATTACHMENT 3.1-A Page 1

**DHCS Contact:** John Mendoza, Chief, Safety Net Financing Division, (916) 552-9130.

**Date:** July 1, 2016