



TOBY DOUGLAS  
DIRECTOR

State of California—Health and Human Services Agency  
Department of Health Care Services



EDMUND G. BROWN JR.  
GOVERNOR

SEP 25 2014

Ms. Hye Sun Lee  
Acting Associate Regional Administrator  
Division of Medicaid and Children's Health Operations  
Centers for Medicare and Medicaid Services  
90 Seventh Street, Suite 5-300 (5W)  
San Francisco, CA 94103-6707

Dear Ms. Lee:

The Department of Health Care Services (DHCS) is submitting the enclosed State Plan Amendment (SPA) 14-023 to update the geographic area offering Targeted Case Management (TCM) services for the "Individuals at Risk of Institutionalization" TCM group. This SPA will supersede SPA 10-0008c; Supplement 1d to attachment 3.1-A, Page 1, of California's Medicaid State Plan under Title XIX of the Social Security Act, for Targeted Case Management services. The effective date of the SPA 14-023 will be July 1, 2014.

The revisions were made based on Imperial and San Mateo County's request to participate in "Individuals at Risk of Institutionalization" TCM groups.

If you have any questions or concerns regarding the proposed provisions, please contact Michelle Kristoff, Chief, Administrative Claiming Local and School Services Branch, Safety Net Financing Division at (916) 341-6106.

ORIGINAL SIGNED

Enclosures

cc: John Mendoza, Division Chief  
Safety Net Financing Division

Michelle Kristoff, Branch Chief  
Administrative Claiming Local and School Services Branch

**TRANSMITTAL AND NOTICE OF APPROVAL OF  
STATE PLAN MATERIAL**

1. TRANSMITTAL NUMBER:  
**14-023**

2. STATE  
California

**FOR: HEALTH CARE FINANCING ADMINISTRATION**

3. PROGRAM IDENTIFICATION: TITLE XIX OF THE  
SOCIAL SECURITY ACT (MEDICAID)

TO: REGIONAL ADMINISTRATOR  
HEALTH CARE FINANCING ADMINISTRATION  
DEPARTMENT OF HEALTH AND HUMAN SERVICES

4. PROPOSED EFFECTIVE DATE  
July 1, 2014

5. TYPE OF PLAN MATERIAL (*Check One*):

NEW STATE PLAN                       AMENDMENT TO BE CONSIDERED AS NEW PLAN                       AMENDMENT

COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMENDMENT (*Separate Transmittal for each amendment*)

6. FEDERAL STATUTE/REGULATION CITATION:  
Section 1915(g)(1) Social Security Act

7. FEDERAL BUDGET IMPACT:  
a. FFY 2014                      \$73,522  
b. FFY 2015                      \$77,198

8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT:  
SUPPLEMENT 1d TO ATTACHMENT 3.1-A Page 1

9. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION  
OR ATTACHMENT (*If Applicable*):  
SUPPLEMENT 1d TO ATTACHMENT 3.1-A Page 1

10. SUBJECT OF AMENDMENT:  
Targeted Case Management – Individuals at the Risk of Institutionalization

11. GOVERNOR'S REVIEW (*Check One*):

GOVERNOR'S OFFICE REPORTED NO COMMENT  
 COMMENTS OF GOVERNOR'S OFFICE ENCLOSED  
 NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL

OTHER, AS SPECIFIED:  
The Governor's Office does not  
wish to review the State Plan Amendment.

ORIGINAL SIGNED

16. RETURN TO:

Department of Health Care Services  
Attn: State Plan Coordinator  
1501 Capitol Avenue, Suite 71.3.26  
P.O. Box 997417  
Sacramento, CA 95899-7417

14. TITLE:  
Director

15. DATE SUBMITTED:  
9/24/14

**FOR REGIONAL OFFICE USE ONLY**

17. DATE RECEIVED:

18. DATE APPROVED:

**PLAN APPROVED – ONE COPY ATTACHED**

19. EFFECTIVE DATE OF APPROVED MATERIAL:

20. SIGNATURE OF REGIONAL OFFICIAL:

21. TYPED NAME:

22. TITLE:

23. REMARKS:  
Updated Geographic Area where Targeted Case Management services will be offered.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT  
State/Territory: CALIFORNIA

---

**TARGETED CASE MANAGEMENT SERVICES  
INDIVIDUALS AT RISK OF INSTITUTIONALIZATION**

Target Group (42 Code of Federal Regulations 441.18(a)(8)(i) and 441.18(a)(9)):

Medi-Cal eligible individuals 18 years or older, who are in frail health, and meet the following criteria:

- a) Have been identified as needing assistance due to one of the following reasons:
  - i) Are in need of assistance to access services in order to prevent medical institutionalization, or
  - ii) Exhibits an inability to independently handle personal, medical or other affairs, or
  - iii) Are transitioning to a community setting, who due to socioeconomic status, substance abuse, neglect or violence have failed to take advantage of necessary health care services; and
- b) At high risk for medical compromise due to one of the following conditions:
  - i) Failure, or inability to take advantage of necessary health care services, or
  - ii) Noncompliance with their prescribed medical regime, or
  - iii) An inability to coordinate multiple medical, social and other services due to the existence of an unstable medical condition in need of stabilization, or
  - iv) An inability to understand medical directions because of comprehension barriers, or
  - v) A lack of community support system to assist in appropriate follow-up care at home, or
  - vi) Substance abuse, or
  - vii) A victim of abuse, neglect or violence; and
- c) In need of assistance in accessing necessary medical, social, educational, or other services, when comprehensive case management is not being provided elsewhere.

**X** Target group includes individuals transitioning to a community setting. Case management services will be made available for up to 180 consecutive days of a covered stay in a medical institution. The target group does not include individuals between ages 22 and 64 who are served in Institutions for Mental Disease or individuals who are inmates of public institutions. (State Medicaid Directors Letter (SMDL), July 25, 2000).

For those individuals in this target group, who may receive case management services under a waiver program, case management services shall not be duplicated, in accordance with Section 1915(g) of the Social Security Act. This target group excludes persons enrolled in a Home and Community-Based Services waiver program from receipt of Targeted Case Management (TCM) services.

There shall be a county-wide system to ensure coordination among TCM providers of case management services provided to Medi-Cal beneficiaries who are eligible to receive case management services from two or more programs.

Areas of State in which services will be provided (§1915(g)(1) of the Act):

   Entire State.

**X** Only in the following geographic areas: Counties of Contra Costa, El Dorado, Fresno, Imperial, Kern, Kings, Lake, Madera, Merced, Monterey, Orange, Riverside, Sacramento, San Diego, San Francisco, San Joaquin, San Mateo, Santa Barbara, Santa Clara, Sonoma, Stanislaus, Tulare, Ventura, Yolo, Yuba, City of Berkeley, and City of Long Beach.