

HIPAA Rules and Key Dates

(As of February 12, 2016)

Topic	NPRM (Draft Rule) Published	Publication Date of Final Rule	Effective Date	Compliance Date
Privacy and Security Rules				
Original Privacy Rule	11/03/99	12/28/00	02/26/01	04/14/03
Original Security Rule	08/12/98	02/20/03	04/21/03	04/21/05
Privacy Modifications	03/27/02	08/14/02	10/15/02	04/14/03
HITECH Breach Notification	n/a	08/24/09 IFC	09/24/09	09/24/09
Modifications to Privacy, Security, Enforcement, and Breach Notification	07/14/10	01/25/13	3/26/13	9/23/13
Accounting of Disclosures under HITECH	05/31/11			8 months after Effective Date
The National Instant Criminal Background Check System (NICS)	04/23/13	01/06/16	02/05/16	02/05/16
Transaction and Code Sets (TCS) Rule				
TCS Implementation Guide Addenda	05/31/02	02/20/03	03/20/03	10/16/03
TCS Modifications	05/31/02	02/20/03	03/20/03	10/16/03
Modifications Final Rule (5010/D.0)	08/22/08	01/16/09	03/17/09	01/01/12 (CMS suspended enforcement until 7/1/2012)
HIPAA Code Sets Revisions (ICD-10)	08/22/08	01/16/09	03/17/09	10/01/15
National Employer Identifier Rule				
	06/16/98	05/31/02	07/30/02	07/30/04
National Provider Identifier Rule				
	05/07/98	01/23/04	05/23/05	05/23/07**
NPI Data Dissemination Notice	n/a	05/30/07	05/30/07	n/a
Enforcement Rule				
– Interim Rule; expired 03/16/2006	n/a	04/17/03	05/19/03	05/19/03
– Final Rule	04/18/05	02/16/06	03/16/06	03/16/06
– Interim Final Rule (HITECH)	n/a	10/30/09 IFC	11/30/09	11/30/09
Electronic Signature Rule				
	08/12/98			
National Health Plan Identifier Rule				
	04/17/12	09/05/2012, corrections released 10/04/2012	11/5/2012	Obtain HPID: 11/05/2014 Use HPID: 11/07/2016
Claims Attachments Standard				
	09/23/05	01/01/14***		01/01/16***
First Report of Injury Rule				
	TBD			
National Individual Identifier Rule				
	On hold			

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Electronic Funds Transfer Standard		01/10/12 IFC (made final 07/11/12)	1/10/12	01/01/14
Operating Rules				
Eligibility and Claim Status		07/08/11 IFC (made final 12/07/11)	12/15/2011	01/01/13
EFT, Claims payment/remittance advices		08/10/12 IFC		01/01/14
Health Claims, health plan enrollment/disenrollment, health plan premium payment, claims attachments, referral certification and authorizations		07/01/14***		01/01/16***
Certification of Compliance Health plans submit information and documentation demonstrating compliance with standards and operating rules for:				
EFT, eligibility, claims status, and payment and remittance advice	1/2/2014			12/31/15 (from Proposed Rule)
Claims, enrollment and disenrollment, premium payments, claims attachments, and referral certification/authorization				12/31/15***
Hearings for Additional Standards and Operating Rules				04/01/14 and every two years thereafter***
Changes to standards that are recommended by the committee		90 days after Sec'y receipt of committee report		27 months after regulation publication (60 day comment period plus 25 months for implementation* **
Penalty Fees (\$1 per covered life per day up to \$20 per covered life per year)				04/01/14***

IFC = Interim Final Rule with Comment Period

* Compliance was required by October 16, 2002 for the TCS rule. DHCS filed for an extension under the Administrative Simplification Compliance Act.

** CMS allowed a contingency period, during which CMS suspended enforcement action, as long as organizations followed a contingency plan and were compliant by May 23, 2008. Providers were still expected to obtain NPIs by the compliance date of May 23, 2007.

*** Dates from the 2010 Patient Protection and Affordable Care Act