

Appendix E - Updated BTR Budget Neutrality

State of California
1115 Waiver
Total Computable

	Relevant Historical Data					FY 10-11	FY11-12	FY12-13	13-14	14-15	5 Year Total
	Trend Rates	Trended FY09	Trended FY10	2013 Managed Care Expansion historical data 0607-1011	CCI ammendment historical data 06/07 - 10/11	10/11 - 11/12 trend for dual eligibles	DY06	DY07	DY08	DY09	
WITHOUT WAIVER											
MEGS CMS-64 reporting form (if applicable)											
PMPM											
<u>State Plan Groups</u>											
Family - COHS	5.30%						\$171.68	\$180.78	\$190.36	\$200.14	\$210.42
Family - COHS	5.30%		\$163.04				\$171.68	\$180.78	\$190.36	\$202.66	\$215.41
Expansion Family COHS	5.30%			\$139.87						\$165.52	\$176.30
Family - TPM/GMC	5.30%						\$150.40	\$158.37	\$166.76	\$177.13	\$185.92
Family - TPM/GMC	5.30%		\$142.83				\$150.40	\$158.37	\$166.76	\$177.81	\$189.25
Expansion Family TPM/GMC	5.30%			\$131.12						\$155.30	\$165.55
SPD - COHS	7.40%						\$1,069.73	\$1,148.89	\$1,233.91	\$1,601.50	\$1,618.22
Existing SPD's - COHS	7.40%	\$927.40	\$996.03				\$1,069.73	\$1,148.89	\$1,233.91	\$1,704.12	\$1,815.28
Expansion SPDs - COHS	7.40%			\$714.09						\$889.15	\$959.28
Expansion SPDs - Humboldt PACE	7.40%			\$714.09						\$889.15	\$950.10
SPD - TPM/GMC	7.40%						\$730.43	\$784.48	\$842.53	\$801.95	\$865.09
Existing SPD's - TPM/GMC	7.40%	\$633.24	\$680.10				\$730.43	\$784.48	\$842.53	\$801.93	\$864.41
Special Populations-SPD's	7.40%	\$633.24	\$680.10				\$730.43	\$784.48	\$842.53	\$801.93	\$864.41
Expansion SPDs - TPM/GMC	7.40%			\$646.94						\$805.96	\$869.94
Special Populations-Spec. Needs Child.	3.28%						\$1,390.66	\$1,436.27	\$1,483.38	\$1,532.04	\$1,582.29
Duals - COHS										\$428.67	\$439.25
Existing Duals - COHS	2.47%				\$420.01	-2.8%				\$428.67	\$439.25
Expansion Duals - COHS	2.47%				\$420.01	-2.8%				\$428.67	\$439.25
Expansion Duals - Humboldt PACE	2.47%				\$420.01	-2.8%				\$428.67	\$439.25
Duals - TPM/GMC										\$114.23	\$117.97
Existing Duals - TPM/GMC	3.28%				\$110.17	-2.8%				\$114.23	\$117.97
Expansion Duals - TPM/GMC	3.28%				\$110.17	-2.8%				\$114.23	\$117.97
CCI - COHS											
Cal-Medi-Connect - COHS	1.61%				\$640.15	-2.8%				\$642.42	\$652.77
MLTSS Duals - COHS	1.61%				\$640.15	-2.8%				\$642.42	\$652.77
MLTSS Family - COHS	5.30%									\$202.99	\$213.75
MLTSS SPDs - COHS	7.40%									\$1,892.75	\$2,032.81
CCI - TPM/GMC											
Cal-Medi-Connect - TPM/GMC	3.40%				\$697.36	-2.8%				\$724.71	\$749.35
MLTSS Duals - TPM/GMC	3.40%				\$697.36	-2.8%				\$724.71	\$749.35
MLTSS Family - TPM/GMC	5.30%									\$178.35	\$187.81
MLTSS SPDs - TPM/GMC	7.40%									\$978.60	\$1,051.02
<u>Hypothetical Populations</u>											
MCE	5.00%						\$300.00	\$315.00	\$330.75	\$347.29	
ECM	3.16%		\$10.00				\$10.00	\$10.00	\$10.00	\$10.00	\$10.00
CBAS	3.16%		\$861.31				\$888.53	\$916.60	\$945.57	\$975.45	\$1,130.95
Revised Member Months (January 2012)											
<u>State Plan Groups</u>											
Family - COHS							7,472,894	8,835,823	9,141,046	9,382,285	7,528,663
Family - COHS	1.00%						7,472,894	8,835,823	9,141,046	8,745,696	6,567,487
Expansion Family COHS	1.00%									636,589	961,176
Family - TPM/GMC							36,909,330	38,522,848	40,987,170	40,350,950	17,360,483
Family - TPM/GMC	1.00%						36,909,330	38,522,848	40,987,170	39,122,206	14,924,481
Expansion Family TPM/GMC	1.00%									1,228,743	2,436,002
SPD - COHS							1,069,930	1,234,096	1,204,954	1,451,546	949,443
Existing SPD's - COHS	1.00%						1,069,930	1,234,096	1,204,954	1,268,765	730,873
Expansion SPDs - COHS	1.00%									182,781	218,530
Expansion SPDs - Humboldt PACE	1.00%									0	40

SPD - TPM/GMC				1,772,191	4,407,461	5,967,526	6,499,950	2,030,813
Existing SPD's - TPM/GMC	1.00%			1,744,004	1,838,643	1,882,522	2,741,325	336,963
Special Populations-SPD's	1.00%			28,187	2,568,818	4,085,004	3,720,000	1,445,596
Expansion SPDs - TPM/GMC	1.00%						38,625	248,254
Special Populations-Spec. Needs Child.		888,456	915,110	1,356,036	1,396,717	1,438,619	1,481,777	1,526,230
Duals - COHS							2,482,089	2,499,823
Existing Duals - COHS	1.00%						2,275,061	2,242,353
Expansion Duals - COHS	1.00%						207,028	257,470
Expansion Duals - Humboldt PACE	1.00%							
Duals - TPM/GMC							1,462,122	627,963
Existing Duals - TPM/GMC	1.00%						1,460,898	611,838
Expansion Duals - TPM/GMC	1.00%						1,224	16,125
CCI - COHS								
Cal-Medi-Connect - COHS	1.00%						19,969	331,130
MLTSS Duals - COHS	1.00%						243,477	636,797
MLTSS Family - COHS	1.00%						-	4,810,033
MLTSS SPDs - COHS	1.00%						-	640,368
CCI - TPM/GMC								
Cal-Medi-Connect - TPM/GMC	1.00%						36,262	2,543,271
MLTSS Duals - TPM/GMC	1.00%						182,770	3,085,998
MLTSS Family - TPM/GMC	1.00%						-	33,001,616
MLTSS SPDs - TPM/GMC	1.00%						-	5,277,609

Hypothetical Populations

MCE				2,996,500	3,918,500	4,610,000	2,535,500	
ECM				0	21,000	84,000	84,000	14,000
CBAS				0	84,000	336,000	345,877	369,651

Total Member Months **51,576,881** **58,420,445** **63,769,315** **66,558,574** **83,233,890**

Projected Without Waiver Expenditures

State Plan Groups

Family - COHS				\$1,282,954,812	\$1,597,342,020	\$1,740,103,877	\$1,877,776,570	\$1,584,192,027	\$8,082,369,305
Family - COHS				\$1,282,954,812	\$1,597,342,020	\$1,740,103,877	\$1,772,409,173	\$1,414,732,655	
Expansion Family COHS							\$105,367,397	\$169,459,372	
Family - TPM/GMC				\$5,551,162,904	\$6,100,909,260	\$6,835,219,770	\$7,147,279,483	\$3,227,735,200	\$28,862,306,616
Family - TPM/GMC				\$5,551,162,904	\$6,100,909,260	\$6,835,219,770	\$6,956,452,499	\$2,824,463,707	
Expansion Family TPM/GMC							\$190,826,984	\$403,271,493	
SPD - COHS				\$1,144,538,835	\$1,417,843,819	\$1,486,805,636	\$2,324,647,509	\$1,536,408,205	\$7,910,244,003
Existing SPD's - COHS				\$1,144,538,835	\$1,417,843,819	\$1,486,805,636	\$2,162,127,812	\$1,326,738,379	
Expansion SPDs - COHS							\$162,519,697	\$209,631,821	
Expansion SPDs - Humboldt PACE							\$0	\$38,004	\$38,004
SPD - TPM/GMC				\$1,294,454,286	\$3,457,553,831	\$5,027,812,502	\$5,212,660,942	\$1,756,827,444	\$16,749,309,005
Existing SPD's - TPM/GMC				\$1,273,865,769	\$1,442,373,999	\$1,586,078,996	\$2,198,350,757	\$291,274,187	
Special Populations-SPD's				\$20,588,516	\$2,015,179,832	\$3,441,733,506	\$2,983,179,600	\$1,249,587,638	
Expansion SPDs - TPM/GMC							\$31,130,585	\$215,965,619	
Special Populations-Spec. Needs Child.				\$1,885,785,024	\$2,006,067,936	\$2,134,022,973	\$2,270,139,494	\$2,414,938,072	\$10,710,953,498
Duals - COHS							\$1,063,987,999	\$1,098,058,124	\$2,162,046,122
Existing Duals - COHS							\$975,242,039	\$984,963,297	
Expansion Duals - COHS							\$88,745,960	\$113,094,826	
Expansion Duals - Humboldt PACE							\$0	\$0	\$0
Duals - TPM/GMC							\$167,011,216	\$74,081,942	\$241,093,158
Existing Duals - TPM/GMC							\$166,871,456	\$72,179,646	
Expansion Duals - TPM/GMC							\$139,760	\$1,902,296	
CCI - COHS							\$169,243,706	\$2,961,719,237	
Cal-Medi-Connect - COHS							\$12,828,540	\$216,150,328	
MLTSS Duals - COHS							\$156,415,166	\$415,679,280	
MLTSS Family - COHS								\$1,028,140,913	
MLTSS SPDs - COHS								\$1,301,748,715	
CCI - TPM/GMC							\$158,734,725	\$15,963,054,103	
Cal-Medi-Connect - TPM/GMC							\$26,279,441	\$1,905,801,013	
MLTSS Duals - TPM/GMC							\$132,455,284	\$2,312,493,680	
MLTSS Family - TPM/GMC								\$6,197,905,799	
MLTSS SPDs - TPM/GMC								\$5,546,853,612	

<u>Hypothetical Populations</u>								
MCE			\$898,950,000	\$1,234,327,500	\$1,524,757,500	\$880,547,456	\$0	\$4,538,582,456
ECM			\$0	\$210,000	\$840,000	\$840,000	\$140,000	\$2,030,000
CBAS			\$0	\$76,994,808	\$317,711,377	\$337,385,572	\$418,056,532	\$1,150,148,290

<u>Other below the line adjustments</u>								
Public Hospital IP UPL	6.43%	\$2,439,501,519	\$2,596,361,467	\$2,763,307,510	\$2,940,988,182	\$3,205,705,972	\$3,504,932,961	\$15,011,296,092

Total Without Waiver Ceiling (Total Computable) **\$14,654,207,327 \$18,654,556,683 \$22,008,261,818 \$24,815,960,644 \$34,540,143,846 \$114,673,130,317**

WITH WAIVER

PMPM

State Plan Groups

Family - COHS			\$142.22	\$158.58	\$146.28	\$154.26	\$149.47	
Family - COHS			\$142.22	\$158.58	\$146.28	\$153.46	\$145.78	
Expansion Family COHS						\$165.28	\$174.68	
Family - TPI			\$121.56	\$125.69	\$126.02	\$135.24	\$130.30	
Family - TPM/GMC			\$121.56	\$125.69	\$126.02	\$134.61	\$123.43	
Expansion Family TPM/GMC						\$155.22	\$172.42	
SPD - COHS			\$1,009.36	\$971.98	\$920.39	\$987.98	\$935.18	
Existing SPD's - COHS			\$1,009.36	\$971.98	\$920.39	\$996.18	\$921.74	
Expansion SPDs - COHS						\$931.06	\$979.73	
Expansion SPDs - Humboldt PACE						\$0.00	\$3,268.08	
SPD - TPM/GMC			\$541.03	\$654.26	\$616.93	\$665.54	\$722.39	
Existing SPD's - TPM/GMC			\$542.15	\$651.23	\$619.65	\$666.15	\$631.78	
Special Populations-SPD's			\$472.09	\$656.43	\$615.67	\$663.28	\$714.06	
Expansion SPDs - TPM/GMC						\$839.58	\$893.86	
Special Populations-Spec. Need Children			\$1,390.66	\$1,436.27	\$1,469.02	\$1,487.82	\$1,506.86	
Duals - COHS						\$474.44	\$480.97	
Existing Duals - COHS						\$479.58	\$486.58	
Expansion Duals - COHS						\$417.96	\$432.18	
Expansion Duals - Humboldt PACE						\$3,619.66	\$3,782.54	
Duals - TPM/GMC						\$208.75	\$165.48	
Existing Duals - TPM/GMC						\$208.79	\$167.06	
Expansion Duals - TPM/GMC						\$163.53	\$105.36	
CCI - COHS								
Cal-Medi-Connect - COHS						\$621.79	\$649.77	
MLTSS Duals - COHS						\$621.79	\$649.77	
MLTSS Family - COHS						\$153.74	\$160.66	
MLTSS SPDs - COHS						\$1,172.95	\$1,225.74	
CCI - TPM/GMC								
Cal-Medi-Connect - TPM/GMC						\$711.18	\$743.18	
MLTSS Duals - TPM/GMC						\$711.18	\$743.18	
MLTSS Family - TPM/GMC						\$135.01	\$141.09	
MLTSS SPDs - TPM/GMC						\$862.93	\$901.76	

<u>Hypothetical Groups</u>								
MCE			\$300.00	\$315.00	\$330.75	\$347.29		
ECM			\$10.00	\$10.00	\$10.00	\$10.00	\$10.00	
CBAS		\$861.31	\$888.53	\$916.60	\$945.57	\$975.45	\$1,130.95	

Revised Member Months (January 2012)

State Plan Groups

Family - COHS			7,472,894	8,835,823	9,141,046	9,382,285	7,528,663	
Family - COHS			7,472,894	8,835,823	9,141,046	8,745,696	6,567,487	
Expansion Family COHS						636,589	961,176	
Family - TPM/GMC			36,909,330	38,522,848	40,987,170	40,350,950	17,360,483	
Family - TPM/GMC			36,909,330	38,522,848	40,987,170	39,122,206	14,924,481	
Expansion Family TPM/GMC						1,228,743	2,436,002	
SPD - COHS			1,069,930	1,234,096	1,204,954	1,451,546	949,443	
Existing SPD's - COHS			1,069,930	1,234,096	1,204,954	1,268,765	730,873	
Expansion SPDs - COHS						182,781	218,530	

Expansion SPDs - Humboldt PACE				0	40
SPD - TPM/GMC	1,772,191	4,407,461	5,967,526	6,499,950	2,030,813
Existing SPD's - TPM/GMC	1,744,004	1,838,643	1,882,522	2,741,325	336,963
Special Populations-SPD's	28,187	2,568,818	4,085,004	3,720,000	1,445,596
Expansion SPDs - TPM/GMC				38,625	248,254
Special Populations-Spec. Need Children	1,356,036	1,396,717	1,438,619	1,481,777	1,526,230
Duals - COHS				2,482,089	2,499,823
Existing Duals - COHS				2,275,061	2,242,353
Expansion Duals - COHS				207,028	257,470
Expansion Duals - Humboldt PACE				0	0
Duals - TPM/GMC				1,462,122	627,963
Existing Duals - TPM/GMC				1,460,898	611,838
Expansion Duals - TPM/GMC				1,224	16,125
CCI - COHS					
Cal-Medi-Connect - COHS				19,969	331,130
MLTSS Duals - COHS				243,477	636,797
MLTSS Family - COHS				0	4,810,033
MLTSS SPDs - COHS				0	640,368
CCI - TPM/GMC					
Cal-Medi-Connect - TPM/GMC				36,262	2,543,271
MLTSS Duals - TPM/GMC				182,770	3,085,998
MLTSS Family - TPM/GMC				0	33,001,616
MLTSS SPDs - TPM/GMC				0	5,277,609

Hypothetical Groups

MCE	2,996,500	3,918,500	4,610,000	2,535,500	0
ECM	0	21,000	84,000	84,000	14,000
CBAS	0	84,000	336,000	345,877	369,651
Total Member Months	51,576,881	58,420,445	63,769,315	66,558,574	83,233,890

Expenditures

POPULATION EXPENDITURES

State Plan Groups

Family - COHS	\$1,062,759,148	\$1,401,201,904	\$1,337,189,310	\$1,447,322,909	\$1,125,279,565	\$6,373,752,836
Family - COHS	\$1,062,759,148	\$1,401,201,904	\$1,337,189,310	\$1,342,110,628	\$957,384,417	
Expansion Family COHS				\$105,212,281	\$167,895,148	
Family - TPM/GMC	\$4,486,594,700	\$4,841,904,336	\$5,165,126,194	\$5,457,151,506	\$2,262,074,801	\$22,212,851,536
Family - TPM/GMC	\$4,486,594,700	\$4,841,904,336	\$5,165,126,194	\$5,266,427,433	\$1,842,066,930	
Expansion Family TPM/GMC				\$190,724,073	\$420,007,871	
SPD - COHS	\$1,079,944,050	\$1,199,520,076	\$1,109,029,673	\$1,434,101,106	\$887,902,549	\$5,710,497,453
Existing SPD's - COHS	\$1,079,944,050	\$1,199,520,076	\$1,109,029,673	\$1,263,921,551	\$673,671,432	
Expansion SPDs - COHS				\$170,179,555	\$214,100,393	
Expansion SPDs - Humboldt PACE				\$0	\$130,723	
SPD - TPM/GMC	\$958,811,259	\$2,883,646,027	\$3,681,521,202	\$4,325,956,508	\$1,467,035,501	\$13,316,970,499
Existing SPD's - TPM/GMC	\$945,504,511	\$1,197,385,038	\$1,166,505,821	\$1,826,136,384	\$212,887,978	
Special Populations-SPD's	\$13,306,748	\$1,686,260,989	\$2,515,015,381	\$2,467,391,012	\$1,032,243,515	
Expansion SPDs - TPM/GMC				\$32,429,113	\$221,904,008	
Special Populations-Spec. Need Children	\$1,885,779,146	\$2,006,061,683	\$2,113,353,886	\$2,204,616,960	\$2,299,821,139	\$10,509,632,812
Duals - COHS	\$0	\$0	\$0	\$1,177,603,586	\$1,202,348,234	\$2,379,951,821
Existing Duals - COHS	\$0	\$0	\$0	\$1,091,074,785	\$1,091,074,785	
Expansion Duals - COHS				\$86,528,802	\$111,273,449	
Expansion Duals - Humboldt PACE				\$0	\$0	
Duals - TPM/GMC	\$0	\$0	\$0	\$305,220,654	\$103,914,007	\$409,134,661
Existing Duals - TPM/GMC	\$0	\$0	\$0	\$305,020,566	\$102,215,103	
Expansion Duals - TPM/GMC				\$200,088	\$1,698,904	
CCI - COHS				\$163,807,646	\$2,186,619,989	\$2,350,427,635
Cal-Medi-Connect - COHS				\$12,416,491	\$215,157,941	
MLTSS Duals - COHS				\$151,391,155	\$413,770,819	
MLTSS Family - COHS				\$0	\$772,769,495	
MLTSS SPDs - COHS				\$0	\$784,921,735	
CCI - TPM/GMC				\$155,771,178	\$13,598,899,822	\$13,754,670,999
Cal-Medi-Connect - TPM/GMC				\$25,788,809	\$1,890,108,142	

MLTSS Duals - TPM/GMC
 MLTSS Family - TPM/GMC
 MLTSS SPDs - TPM/GMC

\$129,982,369
 \$0
 \$0

\$2,293,451,994
 \$4,656,212,919
 \$4,759,126,767

Hypothetical Groups

MCE			\$898,950,000	\$1,234,327,500	\$1,524,757,500	\$880,547,456	\$0	\$4,538,582,456
ECM			\$0	\$210,000	\$840,000	\$840,000	\$140,000	\$2,030,000
CBAS			\$0	\$76,994,808	\$317,711,377	\$337,385,572	\$418,056,532	\$1,150,148,290
TOTAL POPULATION EXPENDITURES			\$10,372,838,303	\$13,643,866,334	\$15,249,529,142	\$17,890,325,081	\$25,552,092,139	\$82,708,650,999
HOSPITAL EXPENDITURES								
Public Hospital Payments	6.43%	\$2,063,555,821	\$2,196,242,461	\$2,315,498,426	\$2,418,075,006	\$2,525,195,729	\$2,637,061,900	\$12,092,073,523
Mental Health Supplements		\$3,114,064	\$3,754,220	\$3,995,616	\$4,252,534	\$4,525,972	\$4,816,992	\$21,345,336
TOTAL HOSPITAL EXPENDITURES			\$2,199,996,681	\$2,319,494,043	\$2,422,327,541	\$2,529,721,702	\$2,641,878,893	\$12,113,418,858
WAIVER SAVINGS EXPENDITURES								
Existing Uncompensated Care			\$1,172,000,000	\$1,172,000,000	\$1,172,000,000	\$1,172,000,000	\$1,172,000,000	\$5,860,000,000
Proposed Uncompensated Care			\$461,486,827	\$822,000,000	\$497,000,000	\$276,000,000	\$100,000,000	\$2,156,486,827
Coverage Initiative (134%-200%)			\$184,000,000	\$214,000,000	\$263,000,000	\$154,000,000	\$0	\$815,000,000
Investment/Incentive Pool			\$1,006,880,349	\$1,300,000,000	\$1,400,000,000	\$1,400,000,000	\$1,400,000,000	\$6,506,880,349
HIV Transition Incentive Program			\$0	\$0	\$110,000,000	\$55,000,000	\$0	\$165,000,000
IHS Uncompensated Care			\$0	\$0	\$15,461,000	\$17,011,000	\$1,550,000	\$34,022,000
TOTAL SNCP EXPENDITURES			\$2,824,367,176	\$3,508,000,000	\$3,457,461,000	\$3,074,011,000	\$2,673,550,000	\$15,537,389,176
Total With Waiver Expenditures			\$15,397,202,160	\$19,471,360,377	\$21,129,317,683	\$23,494,057,783	\$30,867,521,032	\$110,359,459,033
Cost Share/Spenddown/Premiums reported on 64 Summary								
Total Net Waiver Expenditures			\$15,397,202,160	\$19,471,360,377	\$21,129,317,683	\$23,494,057,783	\$30,867,521,032	\$110,359,459,033
Adjustment for 1115A waiver savings								
Annual Budget Neutrality Margin			-\$742,994,833	-\$816,803,694	\$878,944,135	\$1,321,902,862	\$3,672,622,814	
Cumulative Budget Neutrality Margin			-\$742,994,833	-\$1,559,798,527	-\$680,854,392	\$641,048,470	\$4,313,671,284	