

Department of Health Care Services

Intravenous Sedation and General Anesthesia Guidelines for Dental Procedures

Today's Agenda

1. Introductions
2. General Stakeholder Feedback
3. Policy Overview
4. Reimbursement Scenarios Overview
5. Questions and Answers

General Stakeholder Feedback

The following are key messages that were provided in the stakeholder feedback received to date:

- Desire to have consistent policy across delivery systems and programs.
- Need for clear submission requirements for substantiating medical necessity for general anesthesia/IV sedation.
- Request to remove prior authorization requirements, generally speaking and specific to those age seven and under.

Policy Overview – Introduction

- A Provider Bulletin will be released indicating the effective date. At that time providers will be required to submit Treatment Authorization Requests (TARs) for intravenous (IV) sedation and general anesthesia services.
 - *Except* as part of an outpatient dental procedure in a state certified skilled nursing facility (SNF) or any category of intermediate care facility (ICF) for the developmentally disabled.
- Policy covers Current Dental Terminology (CDT) codes: D9241, D9242, D9220, and D9221
- Dental providers and anesthesiologists are responsible for working collaboratively to determine whether or not a Medi-Cal beneficiary meets the minimum criteria.

Policy Overview – Criteria Indications

- Behavior modification and local anesthesia shall be attempted first. If this fails or is not possible, then sedation shall be considered.
- If the provider documents both number 1 and number 2 below, then the patient shall be considered for IV sedation or general anesthetic.
 1. Failure of local anesthesia to control pain.
 2. Failure of conscious sedation, either inhalation or oral.

Policy Overview – Criteria Indications (cont.)

- If the provider documents any one of numbers 3 through 6 below then the patient shall be considered for IV sedation or general anesthetic.
 3. Failure of effective communicative techniques and the inability for immobilization (patient may be dangerous to self or staff).
 4. Patient requires extensive dental restorative or surgical treatment that cannot be rendered under local anesthesia or conscious sedation.
 5. Patient has acute situational anxiety due to immature cognitive functioning.
 6. Patient is uncooperative due to certain physical or mental compromising conditions.

Policy Overview – Criteria Indications (cont.)

- If sedation is indicated then the least profound procedure shall be attempted first.
- The procedures are ranked from low to high profundity in the following order: conscious sedation via inhalation or oral anesthetics, intravenous sedation, then general anesthesia.
- Patients with certain medical conditions should be treated in a hospital setting or a licensed facility capable of responding to a serious medical crisis.

Policy Overview – Criteria Indications (cont.)

- Providers shall adhere to all regulatory requirements (Federal, State, Licensing Board, etc.) for:
 - Preoperative and perioperative care
 - Monitoring and equipment requirements
 - Emergencies and transfers
 - Monitoring Guidelines

Reimbursement Scenarios Overview

Provider Location - Dental Office

	DMC Plan + MCMC	Medi-Cal Dental FFS + MCMC	DMC Plan + Medi-Cal Medical FFS	Medi-Cal Dental FFS + Medi-Cal Medical FFS
Medical Anesthesiologist	<ul style="list-style-type: none"> MCP pays anesthesiologist 	<ul style="list-style-type: none"> MCP pays anesthesiologist 	<ul style="list-style-type: none"> Medi-Cal Medical FFS pays anesthesiologist 	<ul style="list-style-type: none"> Medi-Cal Medical FFS pays anesthesiologist
Submit Prior Authorization/Treatment Authorization Request to:	<ul style="list-style-type: none"> MCP for anesthesia fees 	<ul style="list-style-type: none"> MCP for anesthesia fees 	<ul style="list-style-type: none"> CAASD Field Office (ETAR) for anesthesia fees 	<ul style="list-style-type: none"> CAASD Field Office (ETAR) for anesthesia fees
Dental Anesthesiologist	<ul style="list-style-type: none"> DMC Plan pays anesthesiologist 	<ul style="list-style-type: none"> Denti-Cal pays anesthesiologist 	<ul style="list-style-type: none"> DMC Plan pays anesthesiologist 	<ul style="list-style-type: none"> Denti-Cal pays anesthesiologist
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Reimbursement Scenarios Overview

Provider Location - Dental Only Surgery Center

	DMC Plan + MCMC	Medi Cal Dental FFS + MCMC	DMC + Medi Cal Medical FFS	Medi Cal Dental FFS + Medi Cal Medical FFS
Medical Anesthesiologist OR Certified Registered Nurse Anesthetist	<ul style="list-style-type: none"> MCP pays anesthesiologist MCP pays facility fee 	<ul style="list-style-type: none"> MCP pays anesthesiologist MCP pays facility fee 	<ul style="list-style-type: none"> Medi-Cal Medical FFS pays anesthesiologist Medi-Cal Medical FFS pays facility fee if DOSC is an enrolled Medi-Cal provider 	<ul style="list-style-type: none"> Medi-Cal Medical FFS pays anesthesiologist Medi-Cal Medical FFS pays facility fee if DOSC is an enrolled Medi-Cal provider
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Reimbursement Scenarios Overview

Provider Location - Ambulatory Surgery Center and General Acute Care Hospitals				
	DMC Plan + MCMC	Medi Cal Dental FFS + MCMC	DMC Plan + Medi Cal Medical FFS	Medi Cal Dental FFS + Medi Cal Medical FFS
Medical Anesthesiologist OR Certified Registered Nurse Anesthetist	<ul style="list-style-type: none"> MCP pays anesthesiologist MCP pays facility fees 	<ul style="list-style-type: none"> MCP pays anesthesiologist MCP pays facility fees 	<ul style="list-style-type: none"> Medi-Cal Medical FFS pays anesthesiologist Medi-Cal Medical FFS pays facility fees 	<ul style="list-style-type: none"> Medi-Cal Medical FFS pays anesthesiologist Medi-Cal Medical FFS pays facility fees
Submit Prior Authorization/Treatment Authorization Request to:	<ul style="list-style-type: none"> MCP for anesthesia fees and for facility fees 	<ul style="list-style-type: none"> MCP for anesthesia fees and for facility fees 	<ul style="list-style-type: none"> CAASD Field Office (ETAR) for anesthesia fees and for facility fees 	<ul style="list-style-type: none"> CAASD Field Office (ETAR) for anesthesia fees and for facility fees
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Questions and Answers

Final Request for Stakeholder Feedback

Please submit feedback, with specific wording to the extent possible, to the following email address by 5:00PM on June 25, 2015:

Dental@dhcs.ca.gov.