

Performance Outcomes System Children with an Open Child Welfare Case Report

Report run on August 9, 2017

Background

Two reports will be created during each new reporting period. The reports that will be produced are as follows: statewide aggregate data and population-based county groups. These reports help meet the intent of the Legislature, as stated in Welfare and Institutions Code Section 14707.5, to develop a performance outcomes system for Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) mental health services that will improve outcomes at the individual, program, and system levels and inform fiscal decision-making related to the purchase of services. This reporting effort is part of the implementation of a performance outcomes system for Medi-Cal Specialty Mental Health Services (SMHS) for children and youth.

Since 2012 DHCS has worked with several groups of stakeholders to create a structure for reporting, to develop the Performance Measurement Paradigm, and to develop indicators and measures. The Performance Outcomes System will be used to evaluate the domains of access, engagement, service appropriateness to need, service effectiveness, linkages, cost effectiveness and satisfaction. Further information on the Performance Measures System implementation is available on the DHCS website. Documents posted include the relevant legislation, plans submitted to the Legislature, and handouts for meetings with the Stakeholder Advisory Committee back to the first meeting in 2012. To obtain this information go to:

<http://www.dhcs.ca.gov/provgovpart/pos/Pages/default.aspx>

Purpose and Overview

This population-based aggregate report provides updated information on the initial indicators that were developed for the Performance Outcomes System. DHCS plans to move to annual reporting of this data for the Performance Outcomes System.

The first series of charts and tables focus on the demographics of Foster Care case children and youth under 21 who are receiving SMHS' based on approved claims for Medi-Cal eligible beneficiaries. Specifically, this includes demographics tables of this population by age, gender, and race/ethnicity. Two types of penetration information are provided. Both penetration rates tables are also broken out by demographic characteristics. Utilization of services data are shown in terms of dollars, as well as by service, in time increments. The snapshot table provides a point-in-time view of children/youth arriving, exiting, and continuing services over a two-year period. The time to step down table provides a view over the past four years of the time to step-down services following inpatient discharge.

Where possible, the reports provide trend information by displaying information for four Fiscal Years (FY). A FY is from July 1st to June 30th. For all of the measures and indicators included in this report, the denominator is the "Unique Count of Children and Youth receiving SMHS" shown on page 3 of the report and is broken out by state FY.

Utilization of services reports are shown in terms of dollars, as well as by service in time increments. The snapshot report provides a point-in-time view of children arriving, exiting, and continuing services over a two-year period. The final report provides a view over the past four years of the time to step-down services (i.e., time to next contact after an inpatient discharge). **Note:** *The time to step-down report has a change in methodology from the first report produced in February 2015. In the initial report only outpatient services provided at least one day after the inpatient discharge were included in the calculations. On subsequent reports any outpatient service that occurs on or after the inpatient discharge is included in the analysis.*

Definitions

Population: Open Child Welfare beneficiaries with approved services adjudicated through the Short Doyle/Medi-Cal II claiming system that were:

- Age 20 or younger during the approved date of service on the claim.

Data Sources:

- Short-Doyle/Medi-Cal II (SD/MC II) claims with dates of service in FY 12/13 through FY 15/16.
- Medi-Cal Eligibility Data System (MEDS) data from the Management Information System/Decision Support System (MIS/DSS) FY 12/13 through FY15/16.
- Child Welfare Services/Case Management System (CWS/CMS) data for children in FY 12/13 through FY 15/16.

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Additional Information

The **Measures Catalog** is the companion document for these reports and provides the methodology and definitions for the measures. Each measure is defined and the numerator and denominator used to develop the metrics are provided with relevant notes and additional references. The Measures Catalog may be found at:

<http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

Note on Privacy:

The Health Insurance Portability and Accountability Act (HIPAA) and Code of Federal Regulations (CFR) 42 rules protect most individually identifiable health information in any form or medium, whether electronic, on paper, or oral. DHCS has strict rules in place to protect the identification of individuals in public reports. A "Public Aggregate Reporting – DHCS Business Reports" process has been established to maintain confidentiality of client Personal Information. The Performance Outcomes System complies with Federal and State privacy laws. Thus, the POS must appropriately and accurately de-identify data for public reporting. Due to privacy concerns, some cells in this report may have been suppressed to comply with state and federal rules. When necessary, this data is represented as follows: 1) Data that is missing is indicated as "-" 2) Data that has been suppressed due to privacy concerns is indicated as "^".

*Population-based report findings may be interpreted alongside the POS statewide report findings.

*The **penetration rates** reported here were calculated using a different methodology than that used by the External Quality Review Organization (EQRO). The differences in methodology make comparison between the POS penetration rates and the EQRO penetration rates not appropriate or useful. The POS methodology for calculating penetration rates was selected because it is easier to compute, more straightforward to interpret, and is in use by other states and counties. For the POS, the penetration rate is calculated by taking the total number of youth who received X number of SMHS (1 or 5 for POS) in a FY and dividing that by the total number of Medi-Cal eligible youth for that FY. This methodology results in lower penetration rates as compared to the EQRO rates, but it does so across the board so that all counties and the state will be similarly impacted.

*The **snapshot** report provides a point-in-time look at children and youth's movement through the SMHS system. The report uses five general categories to classify if a youth is entering, exiting, continuing services, or a combination of these categories (e.g., arriving and exiting). Eventually the snapshot data will be used along with measures of service effectiveness to identify whether youth are improving as a result of receiving services from the time they first arrived in the system to when they exit the system. This methodology was adapted from the California Mental Health and Substance Use System Needs Assessment (2012). More information on the original methodology can be found here:

<http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

*The psychiatric emergency services/hospital data reported on in the **time to step-down services** report includes data from Short Doyle/Medi-Cal II claims data and fee-for-service data. In the future this report will incorporate other outpatient and inpatient Medi-Cal SMHS' billed through the Managed Care healthcare delivery systems. Currently, the number of days is capped at 365 days (to mitigate the impact of extreme statistical anomalies) when calculating the mean and max for time between discharge and step down service. This methodology will be updated in the next reporting cycle. Additionally, county specific and population-based reports are based off of the county of the hospital the patient is discharged from and whom has been attributed the time to next service in days used in the calculations for this indicator.

***Data Source Methodology:** Demographic & Penetration and Snapshot - based on MEDS data; Utilization - based on Claims Submission data; Time to step-down - based on Inpatient Hospital data.

***Open Child Welfare:** Children/youth who are provided child welfare services either while living in their home, or while living out-of-home in a foster care setting. Excludes children: placed in California under the jurisdiction of another state (incoming interstate Compact on the Placement of Children (ICPC)) and who are placed with non-dependent guardians.

***Foster Care Placement:** Children/youth who are removed from their home by a child placement agency, including county child welfare services and probation departments and placed in a foster care setting. Excludes children: placed in California under the jurisdiction of another state (incoming interstate Compact on the Placement of Children (ICPC)) and who are placed with non-dependent legal guardians.

Please contact cmhpos@dhcs.ca.gov for any questions regarding this report.

Demographics Report: Unique Count of Children and Youth with an Open Child Welfare Case Receiving SMHS by Fiscal Year
Medium Sized Counties as of August 9, 2017

SFY	Unique Count Receiving SMHS*	Year-Over-Year Percentage Change	Unique Count of Open Child Welfare Youth in Medi-Cal	Year-Over-Year Percentage Change
FY 12-13	6,316		16,005	
FY 13-14	6,552	3.7%	16,497	3.1%
FY 14-15	6,568	0.2%	16,469	-0.2%
FY 15-16	6,640	1.1%	16,117	-2.1%
Compound Annual Growth Rate SFY**		1.7%		0.2%

**SMHS = Specialty Mental Health Services. See Measures Catalog for more detailed information.*

***SFY = State Fiscal Year which is July 1 through June 30.*

Demographics Report: Unique Count of Children and Youth with an Open Child Welfare Case Receiving SMHS by Fiscal Year
Medium Sized Counties as of August 9, 2017

Fiscal Year	Black Count	Black %	Hispanic Count	Hispanic %	White Count	White %	Other Count	Other %
FY 12-13	648	10.3%	2,207	34.9%	2,486	39.4%	975	15.4%
FY 13-14	736	11.2%	2,334	35.6%	2,498	38.1%	984	15.0%
FY 14-15	747	11.4%	2,445	37.2%	2,413	36.7%	963	14.7%
FY 15-16	746	11.2%	2,544	38.3%	2,271	34.2%	1,079	16.3%

*Please note: This report uses the Medi-Cal Eligibility Data System to obtain race/ethnicity data. CDSS uses Child Welfare Services/ Case Management System to obtain race/ethnicity data. For more information, please refer to the Measures Catalog.

**The race/ethnicity data have changed considerably since it was last published in 2016. DHCS is currently performing analyses to determine the nature of these changes and may update this table, if needed.

Demographics Report: Unique Count of Children and Youth with an Open Child Welfare Case Receiving SMHS by Fiscal Year
Medium Sized Counties as of August 9, 2017

Fiscal Year	Children 0-2 Count	Children 0-2 %	Children 3-5 Count	Children 3-5 %	Children 6-11 Count	Children 6-11 %	Youth 12-17 Count	Youth 12-17 %	Youth 18-20 Count	Youth 18-20 %
FY 12-13	621	9.8%	911	14.4%	2,010	31.8%	2,279	36.1%	495	7.8%
FY 13-14	653	10.0%	979	14.9%	2,106	32.1%	2,224	33.9%	590	9.0%
FY 14-15	597	9.1%	888	13.5%	2,214	33.7%	2,311	35.2%	558	8.5%
FY 15-16	561	8.4%	933	14.1%	2,182	32.9%	2,355	35.5%	609	9.2%

Demographics Report: Unique Count of Children and Youth with an Open Child Welfare Case Receiving SMHS by Fiscal Year
Medium Sized Counties as of August 9, 2017

Fiscal Year	Female Count	Female %	Male Count	Male %
FY 12-13	3,009	47.6%	3,307	52.4%
FY 13-14	3,156	48.2%	3,396	51.8%
FY 14-15	3,198	48.7%	3,370	51.3%
FY 15-16	3,216	48.4%	3,424	51.6%

Penetration Rates* Report: Children and Youth with an Open Child Welfare Case with At Least One SMHS Visit**
 Medium Sized Counties as of August 9, 2017

	FY 12-13			FY 13-14			FY 14-15			FY 15-16		
	Children and Youth with an Open Child Welfare Case with 1 or more SMHS Visits	Certified Eligible Children and Youth with an Open Child Welfare Case	Penetration Rate	Children and Youth with an Open Child Welfare Case with 1 or more SMHS Visits	Certified Eligible Children and Youth with an Open Child Welfare Case	Penetration Rate	Open Child Welfare Case with 1 or more SMHS Visits	Certified Eligible Children and Youth with an Open Child Welfare Case	Penetration Rate	Children and Youth with an Open Child Welfare Case with 1 or more SMHS Visits	Certified Eligible Children and Youth with an Open Child Welfare Case	Penetration Rate
All	6,316	16,005	39.5%	6,552	16,497	39.7%	6,568	16,469	39.9%	6,640	16,117	41.2%
Children 0-2	621	3,125	19.9%	653	3,141	20.8%	597	3,157	18.9%	561	3,191	17.6%
Children 3-5	911	2,817	32.3%	979	2,845	34.4%	888	2,753	32.3%	933	2,608	35.8%
Children 6-11	2,010	4,338	46.3%	2,106	4,492	46.9%	2,214	4,485	49.4%	2,182	4,382	49.8%
Youth 12-17	2,279	4,383	52.0%	2,224	4,289	51.9%	2,311	4,324	53.4%	2,355	4,206	56.0%
Youth 18-20	495	1,342	36.9%	590	1,730	34.1%	558	1,750	31.9%	609	1,730	35.2%
Black	648	1,577	41.1%	736	1,636	45.0%	747	1,647	45.4%	746	1,598	46.7%
Hispanic	2,207	6,176	35.7%	2,334	6,341	36.8%	2,445	6,466	37.8%	2,544	6,433	39.5%
White	2,486	5,991	41.5%	2,498	6,110	40.9%	2,413	5,919	40.8%	2,271	5,419	41.9%
Other	975	2,261	43.1%	984	2,410	40.8%	963	2,437	39.5%	1,079	2,667	40.5%
Female	3,009	7,602	39.6%	3,156	7,899	40.0%	3,198	7,853	40.7%	3,216	7,668	41.9%
Male	3,307	8,403	39.4%	3,396	8,598	39.5%	3,370	8,616	39.1%	3,424	8,449	40.5%

*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in the Medi-Cal Managed Care system

**Children and Youth with an Open Child Welfare Case that have received at least one SMHS in the Fiscal Year.

Penetration Rates* Report: Children and Youth with an Open Child Welfare Case with Five or More SMHS Visits
Medium Sized Counties as of August 9, 2017**

	FY 12-13			FY 13-14			FY 14-15			FY 15-16		
	Children and Youth with an Open Child Welfare Case with 5 or more SMHS Visits	Certified Eligible Children and Youth with an Open Child Welfare Case	Penetration Rate	Children and Youth with an Open Child Welfare Case with 5 or more SMHS Visits	Certified Eligible Children and Youth with an Open Child Welfare Case	Penetration Rate	Children and Youth with an Open Child Welfare Case with 5 or more SMHS Visits	Certified Eligible Children and Youth with an Open Child Welfare Case	Penetration Rate	Children and Youth with an Open Child Welfare Case with 5 or more SMHS Visits	Certified Eligible Children and Youth with an Open Child Welfare Case	Penetration Rate
All	4,594	16,005	28.7%	4,746	16,497	28.8%	4,910	16,469	29.8%	4,847	16,117	30.1%
Children 0-2	260	3,125	8.3%	277	3,141	8.8%	363	3,157	11.5%	268	3,191	8.4%
Children 3-5	617	2,817	21.9%	637	2,845	22.4%	632	2,753	23.0%	614	2,608	23.5%
Children 6-11	1,562	4,338	36.0%	1,656	4,492	36.9%	1,679	4,485	37.4%	1,674	4,382	38.2%
Children 12-17	1,788	4,383	40.8%	1,734	4,289	40.4%	1,831	4,324	42.3%	1,843	4,206	43.8%
Youth 18-20	367	1,342	27.3%	442	1,730	25.5%	405	1,750	23.1%	448	1,730	25.9%
Black	466	1,577	29.5%	531	1,636	32.5%	574	1,647	34.9%	595	1,598	37.2%
Hispanic	1,595	6,176	25.8%	1,662	6,341	26.2%	1,767	6,466	27.3%	1,754	6,433	27.3%
White	1,792	5,991	29.9%	1,844	6,110	30.2%	1,831	5,919	30.9%	1,711	5,419	31.6%
Other	741	2,261	32.8%	709	2,410	29.4%	738	2,437	30.3%	787	2,667	29.5%
Female	2,185	7,602	28.7%	2,269	7,899	28.7%	2,399	7,853	30.5%	2,387	7,668	31.1%
Male	2,409	8,403	28.7%	2,477	8,598	28.8%	2,511	8,616	29.1%	2,460	8,449	29.1%

*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

**Children and Youth with an Open Child Welfare Case that have received at least five SMHS in the Fiscal Year.

Utilization Report*: Approved Specialty Mental Health Services for Children and Youth with an Open Child Welfare Case
Mean Expenditures and Mean Service Quantity per Beneficiary by Fiscal Year
 Medium Sized Counties as of August 9, 2017

Fiscal Year	SDMC Total Approved	IHBS (Minutes)	ICC (Minutes)	Case Management/ Brokerage (Minutes)	Mental Health Services (Minutes)	Therapeutic Behavioral Services (Minutes)	Medication Support Services (Minutes)	Crisis Intervention (Minutes)	Crisis Stabilization (Hours)	Full Day Treatment Intensive (Hours)	Full Day Rehabilitation (Hours)	Hospital Inpatient (Days)	Hospital Inpatient Admin (Days)	Fee for Service Inpatient (Days)	Crisis Residential Treatment Services (Days)	Adult Residential Treatment Services (Days)	Psychiatric Health Facility (Days)
FY 12-13	\$ 7,815.62	0	0	548	1,957	4,573	405	249	23	595	459	3	9	12	34	73	19
FY 13-14	\$ 8,348.81	1,415	562	496	1,942	4,529	354	265	22	541	587	4	0	12	20	93	24
FY 14-15	\$ 9,128.28	3,049	1,257	456	1,980	4,790	367	287	22	538	525	8	17	12	20	134	12
FY 15-16	\$ 9,112.05	2,469	1,129	393	1,848	4,373	356	282	25	565	370	20	10	11	15	75	10
MEAN	\$ 8,601.19	2,311	983	473	1,932	4,566	371	271	23	560	485	9	12	12	22	93	16

*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

Snapshot Report: Unique Count of Children and Youth with an Open Child Welfare Case Receiving SMHS Arriving, Exiting, and with Service Continuance by Fiscal Year

Medium Sized Counties as of August 9, 2017

Category	Description (Please refer to the Measures Catalog for more detailed descriptions on all Performance Outcomes System measures.)
Arrivals	Children/Youth that did not receive any SMHS within 3 months of their first date of service in the Fiscal Year.
Service Continuance	Children/Youth receiving continuous services with no breaks in service greater than 90 days for a period of at least 2 years (>= 2 YR) or a period of 1 to 2 years (< 2 YR).
Exiting	Children/Youth that did not receive any SMHS within 3 months after their last date of service in the Fiscal Year.
Arriving & Exiting	A distinct category in which children/youth met both the criteria for Arrivals and Exiting above for the fiscal year.
Service Continuance & Exiting	A distinct category in which Children/Youth had at least 2 years of Service Continuance going into the Fiscal Year and then Exited within the same Fiscal Year.

Service Fiscal Year	Arrivals Count	Arrivals %	Service Continuance (>= 2 YR) Count	Service Continuance (>= 2 YR) %	Service Continuance (<2 YR) Count	Service Continuance (< 2 YR) %	Exiting Count	Exiting %	Arriving & Exiting Count	Arriving & Exiting %	Service Continuance (>= 2 YR) & Exiting Count	Service Continuance (>= 2 YR) and Exiting %	Total Count	Total %
FY 12-13	1,638	25.9%	388	6.1%	613	9.7%	1,392	22.0%	2,066	32.7%	219	3.5%	6,316	100%
FY 13-14	1,774	27.1%	425	6.5%	641	9.8%	1,321	20.2%	2,127	32.5%	264	4.0%	6,552	100%
FY 14-15	1,638	25.9%	388	6.1%	613	9.7%	1,392	22.0%	2,066	32.7%	219	3.5%	6,316	100%
FY 15-16	1,646	24.8%	447	6.7%	578	8.7%	1,515	22.8%	2,127	32.0%	327	4.9%	6,640	100%

Time to Step Down Report: Children and Youth with an Open Child Welfare Case Stepping Down in SMHS Services Post Inpatient Discharge*

Medium Sized Counties as of August 9, 2017

Service FY	Count of Inpatient Discharges with Step Down within 7 Days of Discharge	Percentage of Inpatient Discharges with Step Down within 7 Days of Discharge	Count of Inpatient Discharges with Step Down between 8 and 30 days of Discharge	Percentage of Inpatient Discharges with Step Down between 8 and 30 days of Discharge	Count of Beneficiaries with a Step Down > 30 Days from Discharge or No Step Down*	Percentage of Beneficiaries with a Step Down > 30 Days from Discharge or No Step Down*	Minimum Number of Days between Discharge and Step Down	Maximum Number of Days between Discharge and Step Down	Mean Time to Next Contact Post Inpatient Discharge (Days)	Median Time to Next Contact Post Inpatient Discharge (Days)
FY 12-13	225	79.8%	23	8.2%	34	12.1%	0	354	12.6	1
FY 13-14	215	77.9%	29	10.5%	32	11.6%	0	320	16.3	1
FY 14-15	246	80.9%	23	7.6%	35	11.5%	0	272	8.9	0
FY 15-16	232	79.7%	31	10.7%	28	9.6%	0	264	9.9	0

* **No Step Down** is defined as no Medi-Cal eligible service was claimed through Short-Doyle/Medi-Cal after a claimed inpatient service was billed with a discharge date. This category may include data currently unavailable to DHCS, such as beneficiaries that were moved to a community-based program or beneficiaries that were incarcerated.