

# Performance Outcomes System Foster Care Reports

## Report run on August 3, 2016

### Background

Two reports will be created during each new reporting period. The reports that will be produced are as follows: statewide aggregate data and population-based county groups. These reports help meet the intent of the Legislature, as stated in Welfare and Institutions Code Section 14707.5, to develop a performance outcomes system for Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) mental health services that will improve outcomes at the individual, program, and system levels and inform fiscal decision-making related to the purchase of services. This reporting effort is part of the implementation of a performance outcomes system for Medi-Cal Specialty Mental Health Services (SMHS) for children and youth.

Since 2012 DHCS has worked with several groups of stakeholders to create a structure for reporting, to develop the Performance Measurement Paradigm, and to develop indicators and measures. The Performance Outcomes System will be used to evaluate the domains of access, engagement, service appropriateness to need, service effectiveness, linkages, cost effectiveness and satisfaction. Further information on the Performance Measures System implementation is available on the DHCS website. Documents posted include the relevant legislation, plans submitted to the Legislature, and handouts for meetings with the Stakeholder Advisory Committee back to the first meeting in 2012. To obtain this information go to: <http://www.dhcs.ca.gov/provgovpart/pos/Pages/default.aspx>

### Purpose and Overview

This county aggregate report provides updated information on the initial indicators that were developed for the Performance Outcomes System. DHCS plans to move to annual reporting of this data for the Performance Outcomes System.

The first series of charts and tables focus on the demographics of children and youth in Foster Care under 21 who are receiving SMHS' based on approved claims for Medi-Cal eligible beneficiaries. Specifically, this includes demographics tables of this population by age, gender, and race/ethnicity. Two types of penetration information are provided. Both penetration rates tables are also broken out by demographic characteristics. Utilization of services data are shown in terms of dollars, as well as by service, in time increments. The snapshot table provides a point-in-time view of children/youth arriving, exiting, and continuing services over a two-year period. The time to step down table provides a view over the past four years of the time to step-down services following inpatient discharge.

Where possible, the reports provide trend information by displaying information for four Fiscal Years (FY). A FY is from July 1st to June 30th. For all of the measures and indicators included in this report, the denominator is the "Unique Count of Children and Youth receiving SMHS" shown on page 3 of the report and is broken out by state FY.

Utilization of services reports are shown in terms of dollars, as well as by service in time increments. The snapshot report provides a point-in-time view of children arriving, exiting, and continuing services over a two-year period. The final report provides a view over the past four years of the time to step-down services (i.e., time to next contact after an inpatient discharge). **Note:** *The time to step-down report has a change in methodology from the first report produced in February 2015. In the initial report only outpatient services provided at least one day after the inpatient discharge were included in the calculations. On subsequent reports any outpatient service that occurs on or after the inpatient discharge is included in the analysis.*

### Definitions

**Population:** Foster Care beneficiaries with approved services adjudicated through the Short Doyle/Medi-Cal II claiming system that were:

- Age 20 or younger during the approved date of service on the claim; or
- Age 21 during the approved date of the service on the claim and a birth date on or after January 1st of the Fiscal Year.

**Data Sources:**

- Short-Doyle/Medi-Cal II (SD/MC II) claims with dates of service in FY 11/12 through FY 14/15.
- Medi-Cal Eligibility Data System (MEDS) data from the Management Information System/Decision Support System (MIS/DSS) FY 11/12 through FY 14/15.
- Child Welfare Services/Case Management System (CWS/CMS) data for children in FY 11/12 through FY 14/15.

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#### Additional Information

The **Measures Catalog** is the companion document for these reports and provides the methodology and definitions for the measures. Each measure is defined and the numerator and denominator used to develop the metrics are provided with relevant notes and additional references. The Measures Catalog may be found at:

<http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

#### Note on Privacy:

The Health Insurance Portability and Accountability Act (HIPAA) and Code of Federal Regulations (CFR) 42 rules protect most individually identifiable health information in any form or medium, whether electronic, on paper, or oral. DHCS has strict rules in place to protect the identification of individuals in public reports. A "Public Aggregate Reporting – DHCS Business Reports" process has been established to maintain confidentiality of client Personal Information. The Performance Outcomes System complies with Federal and State privacy laws. Thus, the POS must appropriately and accurately de-identify data for public reporting. Due to privacy concerns, some cells in this report may have been suppressed to comply with state and federal rules. When necessary, this data is represented as follows: 1) Data that is missing is indicated as "-" 2) Data that has been suppressed due to privacy concerns is indicated as "^".

\*Population-based report findings may be interpreted alongside the POS statewide report findings.

\*The **penetration rates** reported here were calculated using a different methodology than that used by the External Quality Review Organization (EQRO). The differences in methodology make comparison between the POS penetration rates and the EQRO penetration rates not appropriate or useful. The POS methodology for calculating penetration rates was selected because it is easier to compute, more straightforward to interpret, and is in use by other states and counties. For the POS, the penetration rate is calculated by taking the total number of youth who received X number of SMHS (1 or 5 for POS) in a FY and dividing that by the total number of Medi-Cal eligible youth for that FY. This methodology results in lower penetration rates as compared to the EQRO rates, but it does so across the board so that all counties and the state will be similarly impacted.

\*The **snapshot** report provides a point-in-time look at children and youth's movement through the SMHS system. The report uses five general categories to classify if a youth is entering, exiting, continuing services, or a combination of these categories (e.g., arriving and exiting). As of now, this report only classifies youth and their service usage for the two most recent fiscal years. Eventually the snapshot data will be used along with measures of service effectiveness to identify whether youth are improving as a result of receiving services from the time they first arrived in the system to when they exit the system. This methodology was adapted from the California Mental Health and Substance Use System Needs Assessment (2012). More information on the original methodology can be found here:

<http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

\*The psychiatric emergency services/hospital data reported on in the **time to step-down services** report includes data from Short Doyle/Medi-Cal II claims data and fee-for-service data. In the future this report will incorporate other outpatient and inpatient Medi-Cal SMHS' billed through the Managed Care healthcare delivery systems. Currently, the number of days is capped at 365 days (to mitigate the impact of extreme statistical anomalies) when calculating the mean and max for time between discharge and step down service. This methodology will be updated in the next reporting cycle. Additionally, county specific and population-based reports are based off of the county of the hospital the patient is discharged from and whom has been attributed the time to next service in days used in the calculations for this indicator.

\***Data Source Methodology:** Demographic & Penetration and Snapshot - based on MEDS data; Utilization - based on Claims Submission data; Time to step-down - based on Inpatient Hospital data.

\***Open Child Welfare:** Children/youth who are provided child welfare services either while living in their home, or while living out-of-home in a foster care setting. Excludes children: placed in California under the jurisdiction of another state (incoming interstate Compact on the Placement of Children (ICPC)) and who are placed with non-dependent guardians.

\***Foster Care Placement:** Children/youth who are removed from their home by a child placement agency, including county child welfare services and probation departments and placed in a foster care setting. Excludes children: placed in California under the jurisdiction of another state (incoming interstate Compact on the Placement of Children (ICPC)) and who are placed with non-dependent legal guardians.

**Please contact [cmhpos@dhcs.ca.gov](mailto:cmhpos@dhcs.ca.gov) for any questions regarding this report.**

**Demographics Report: Unique Count of Children and Youth in Foster Care Receiving SMHS by Fiscal Year  
Kern County as of August 3, 2016**

SFY	Unique Count Receiving SMHS*	Year-Over-Year Percentage Change	Unique Count of Foster Care Youth in Medi-Cal	Year-Over-Year Percentage Change
<b>FY 11-12</b>	1,161		2,648	
<b>FY 12-13</b>	966	-16.8%	2,289	-13.6%
<b>FY 13-14</b>	946	-2.1%	2,291	0.1%
<b>FY 14-15</b>	868	-8.2%	2,251	-1.7%
<b>Compound Annual Growth Rate SFY**</b>		<b>-9.2%</b>		<b>-5.3%</b>

*\*SMHS = Specialty Mental Health Services. See Measures Catalog for more detailed information.*

*\*\*SFY = State Fiscal Year which is July 1 through June 30.*

**Demographics Report: Unique Count of Children and Youth in Foster Care Receiving SMHS by Fiscal Year  
Kern County as of August 3, 2016**

Fiscal Year	Black Count	Black %	Hispanic Count	Hispanic %	White Count	White %	Other Count	Other %
FY 11-12	171	14.7%	512	44.1%	433	37.3%	45	3.9%
FY 12-13	106	11.0%	456	47.2%	367	38.0%	37	3.8%
FY 13-14	108	11.4%	433	45.8%	363	38.4%	42	4.4%
FY 14-15	120	13.8%	361	41.6%	329	37.9%	58	6.7%

**Demographics Report: Unique Count of Children and Youth in Foster Care Receiving SMHS by Fiscal Year  
Kern County as of August 3, 2016**

Fiscal Year	Children 0-5 Count	Children 0-5 %	Children 6-11 Count	Children 6-11 %	Children 12-17 Count	Children 12-17 %	Youth 18-20 Count	Youth 18-20 %
FY 11-12	320	27.6%	383	33.0%	434	37.4%	24	2.1%
FY 12-13	248	25.7%	297	30.7%	372	38.5%	49	5.1%
FY 13-14	274	29.0%	309	32.7%	298	31.5%	65	6.9%
FY 14-15	163	18.8%	281	32.4%	316	36.4%	108	12.4%

*\*FY 13-14 claims are estimated to be 95% complete as of January 1, 2015.*

**Demographics Report: Unique Count of Children and Youth in Foster Care Receiving SMHS by Fiscal Year  
Kern County as of August 3, 2016**

Fiscal Year	Female Count	Female %	Male Count	Male %
FY 11-12	572	49.3%	589	50.7%
FY 12-13	483	50.0%	483	50.0%
FY 13-14	457	48.3%	489	51.7%
FY 14-15	431	49.7%	437	50.3%

**Penetration Rates\* Report: Children and Youth in Foster Care with At Least One SMHS Visit\*\*  
Kern County as of August 3, 2016**

	FY 11-12			FY 12-13			FY 13-14			FY 14-15		
	Foster Care Youth with 1 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 1 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 1 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 1 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate
<b>All</b>	<b>1,161</b>	<b>2,648</b>	<b>43.8%</b>	<b>966</b>	<b>2,289</b>	<b>42.2%</b>	<b>946</b>	<b>2,291</b>	<b>41.3%</b>	<b>868</b>	<b>2,251</b>	<b>38.6%</b>
Children 0-5	320	1,117	28.6%	248	911	27.2%	274	914	30.0%	163	865	18.8%
Children 6-11	383	630	60.8%	297	527	56.4%	309	511	60.5%	281	480	58.5%
Children 12-17	434	798	54.4%	372	674	55.2%	298	597	49.9%	316	575	55.0%
Youth 18-20	24	103	23.3%	49	177	27.7%	65	269	24.2%	108	331	32.6%
Black	171	321	53.3%	106	256	41.4%	108	262	41.2%	120	282	42.6%
Hispanic	512	1,205	42.5%	456	1,093	41.7%	433	1,068	40.5%	361	1,028	35.1%
White	433	954	45.4%	367	797	46.0%	363	797	45.5%	329	762	43.2%
Other	45	168	26.8%	37	143	25.9%	42	164	25.6%	58	179	32.4%
Female	572	1,271	45.0%	483	1,082	44.6%	457	1,051	43.5%	431	1,053	40.9%
Male	589	1,377	42.8%	483	1,207	40.0%	489	1,240	39.4%	437	1,198	36.5%

\*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

\*\*Foster Care Youth that have received at least one SMHS that was claimed through the Short-Doyle/ Medi-Cal claiming system on at least one (1) day in the Fiscal Year.

**Penetration Rates\* Report: Children and Youth in Foster Care with Five or More SMHS Visits\*\*  
Kern County as of August 3, 2016**

	FY 11-12			FY 12-13			FY 13-14			FY 14-15		
	Foster Care Youth with 5 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 5 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 5 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 5 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate
<b>All</b>	<b>662</b>	<b>2,648</b>	<b>25.0%</b>	<b>623</b>	<b>2,289</b>	<b>27.2%</b>	<b>638</b>	<b>2,291</b>	<b>27.8%</b>	<b>650</b>	<b>2,251</b>	<b>28.9%</b>
Children 0-5	88	1,117	7.9%	116	911	12.7%	121	914	13.2%	92	865	10.6%
Children 6-11	254	630	40.3%	208	527	39.5%	224	511	43.8%	214	480	44.6%
Children 12-17	303	798	38.0%	265	674	39.3%	240	597	40.2%	253	575	44.0%
Youth 18-20	17	103	16.5%	34	177	19.2%	53	269	19.7%	91	331	27.5%
Black	97	321	30.2%	68	256	26.6%	74	262	28.2%	82	282	29.1%
Hispanic	278	1,205	23.1%	283	1,093	25.9%	280	1,068	26.2%	264	1,028	25.7%
White	264	954	27.7%	248	797	31.1%	252	797	31.6%	263	762	34.5%
Other	23	168	13.7%	24	143	16.8%	32	164	19.5%	41	179	22.9%
Female	314	1,271	24.7%	319	1,082	29.5%	315	1,051	30.0%	319	1,053	30.3%
Male	348	1,377	25.3%	304	1,207	25.2%	323	1,240	26.0%	331	1,198	27.6%

\*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

\*\*Foster Care Youth that have received at least five SMHS that were claimed through the Short-Doyle/ Medi-Cal claiming system on at least five (5) or more different days in the Fiscal Year.



**Utilization Report\*: Approved Specialty Mental Health Services for Children and Youth in Foster Care  
Mean Expenditures and Mean Service Quantity per Unique Beneficiary by Fiscal Year  
Kern County as of August 3, 2016**

Fiscal Year	SDMC Total Approved	IHBS (Minutes)	ICC (Minutes)	Case Management/ Brokerage (Minutes)	Mental Health Services (Minutes)	Therapeutic Behavioral Services (Minutes)	Medication Support Services (Minutes)	Crisis Intervention (Minutes)	Crisis Stabilization (Hours)	Full Day Treatment Intensive (Hours)	Full Day Rehabilitation (Hours)	Hospital Inpatient (Days)	Hospital Inpatient Admin (Days)	Fee for Service Inpatient (Days)	Crisis Residential Treatment Services (Days)	Adult Residential Treatment Services (Days)	Psychiatric Health Facility (Days)
FY 11-12	\$ 4,066.49	0	0	194	1,070	1,726	241	213	16	617	0	0	0	8	0	0	0
FY 12-13	\$ 4,823.71	0	0	221	1,210	1,564	257	245	19	458	651	0	0	5	0	0	0
FY 13-14	\$ 4,625.42	490	247	212	1,120	966	239	227	13	914	632	10	0	5	5	0	7
FY 14-15	\$ 6,927.30	419	240	154	1,318	913	250	231	13	537	755	5	0	5	0	0	4
<b>MEAN</b>	<b>\$ 5,110.73</b>	<b>455</b>	<b>243</b>	<b>196</b>	<b>1,180</b>	<b>1,292</b>	<b>247</b>	<b>229</b>	<b>15</b>	<b>631</b>	<b>679</b>	<b>8</b>	<b>0</b>	<b>6</b>	<b>5</b>	<b>0</b>	<b>5</b>

\*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

**Snapshot Report: Unique Count of Children and Youth in Foster Care Receiving SMHS  
Arriving, Exiting, and with Service Continuance by Fiscal Year  
Kern County as of August 3, 2016**

Category	Description (Please refer to the <a href="#">Measures Catalog</a> for more detailed descriptions on all Performance Outcomes System measures.)
Arrivals	Children/Youth that did not receive any SMHS within 3 months of their first date of service in the Fiscal Year.
Service Continuance	Children/Youth receiving continuous services with no breaks in service greater than 90 days for a period of at least 2 years (>= 2 YR) or a period of 1 to 2 years (< 2 YR).
Exiting	Children/Youth that did not receive any SMHS within 3 months after their last date of service in the Fiscal Year.
Arriving & Exiting	A distinct category in which children/youth met both the criteria for <b>Arrivals</b> and <b>Exiting</b> above for the fiscal year.
Service Continuance & Exiting	A distinct category in which Children/Youth had at least 2 years of Service Continuance going into the Fiscal Year and then Exited within the same Fiscal Year.

Service Fiscal Year	Arrivals Count	Arrivals %	Service Continuance (>= 2 YR) Count	Service Continuance (>= 2 YR) %	Service Continuance (<2 YR) Count	Service Continuance (< 2 YR) %	Exiting Count	Exiting %	Arriving & Exiting Count	Arriving & Exiting %	Service Continuance (>= 2 YR) & Exiting Count	Service Continuance (>= 2 YR) and Exiting %	Total Count	Total %
FY 12-13	192	19.8%	67	6.9%	87	9.0%	242	25.0%	359	37.1%	21	2.2%	968	100%
FY 13-14	242	25.4%	67	7.0%	54	5.7%	191	20.1%	362	38.1%	35	3.7%	951	100%
FY 14-15	208	24.0%	53	6.1%	78	9.0%	200	23.1%	294	33.9%	34	3.9%	867	100%

**Time to Step Down Report: Children and Youth in Foster Care Stepping Down in SMHS Services Post Inpatient Discharge\***  
**Kern County as of August 3, 2016**

Service FY	Count of Inpatient Discharges with Step Down within 7 Days of Discharge	Percentage of Inpatient Discharges with Step Down within 7 Days of Discharge	Count of Inpatient Discharges with Step Down between 8 and 30 days of Discharge	Percentage of Inpatient Discharges with Step Down between 8 and 30 days of Discharge	Count of Beneficiaries with a Step Down > 30 Days from Discharge or No Step Down*	Percentage of Beneficiaries with a Step Down > 30 Days from Discharge or No Step Down*	Minimum Number of Days between Discharge and Step Down	Maximum Number of Days between Discharge and Step Down	Mean Time to Next Contact Post Inpatient Discharge (Days)	Median Time to Next Contact Post Inpatient Discharge (Days)
FY 11-12	38	67.9%	^	^	13	23.2%	0	236	26.0	2
FY 12-13	101	78.3%	^	^	20	15.5%	0	317	14.9	1
FY 13-14	118	77.1%	21	13.7%	14	9.2%	0	261	12.4	1
FY 14-15	97	74.0%	15	11.5%	19	14.5%	0	270	13.9	2

\* **No Step Down** is defined as no Medi-Cal eligible service was claimed through Short-Doyle/Medi-Cal after a claimed inpatient service was billed with a discharge date. This category may include data currently unavailable to DHCS, such as beneficiaries that were moved to a community-based program or beneficiaries that were incarcerated.

^ Data has been suppressed to protect patient privacy.