

Performance Outcomes System

Report run on August 3, 2016

Background

Three reports will be created during each new reporting period. The reports that will be produced are as follows: statewide aggregate data; population-based county groups; and county-specific data. These reports help meet the intent of the Legislature, as stated in Welfare and Institutions Code Section 14707.5, to develop a performance outcomes system for Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) mental health services that will improve outcomes at the individual, program, and system levels and inform fiscal decision-making related to the purchase of services. This reporting effort is part of the implementation of a performance outcomes system for Medi-Cal Specialty Mental Health Services (SMHS) for children and youth.

Since 2012 DHCS has worked with several groups of stakeholders to create a structure for reporting, to develop the Performance Measurement Paradigm, and to develop indicators and measures. The Performance Outcomes System will be used to evaluate the domains of access, engagement, service appropriateness to need, service effectiveness, linkages, cost effectiveness and satisfaction. Further information on the Performance Measures System implementation is available on the DHCS website. Documents posted include the relevant legislation, plans submitted to the Legislature, and handouts for meetings with the Stakeholder Advisory Committee back to the first meeting in 2012. To obtain this information go to: <http://www.dhcs.ca.gov/provgovpart/pos/Pages/default.aspx>

Purpose and Overview

These county-specific reports provide updated information on the initial indicators that were developed for the Performance Outcomes System and reported on at the statewide aggregate level in February 2015; they help establish a foundation for on-going reporting. DHCS plans to move to annual reporting of this data for the Performance Outcomes System.

The first series of charts and tables focus on the demographics of children and youth under 21 who are receiving SMH' based on approved claims for Medi-Cal eligible beneficiaries. Specifically, this includes demographics tables of this population by age, gender, and race/ethnicity. Two types of penetration information are provided. Both penetration rates tables are also broken out by demographic characteristics. Utilization of services data are shown in terms of dollars, as well as by service, in time increments. The snapshot table provides a point-in-time view of children/youth arriving, exiting, and continuing services over a two-year period. The time to step down table provides a view over the past four years of the time to step-down services following inpatient discharge.

Where possible, the reports provide trend information by displaying information for four Fiscal Years (FY). A FY is from July 1st to June 30th.

Utilization of services reports are shown in terms of dollars, as well as by service in time increments. The snapshot report provides a point-in-time view of children arriving, exiting, and continuing services over a two-year period. The final report provides a view over the past four years of the time to step-down services (i.e., time to next contact after an inpatient discharge). **Note:** *The time to step-down report has a change in methodology from the first report produced in February 2015. In the initial report only outpatient services provided at least one day after the inpatient discharge were included in the calculations. On subsequent reports, any outpatient service that occurs on or after the inpatient discharge is included in the analysis.*

Definitions

Population - Beneficiaries with approved services adjudicated through the Short Doyle/Medi-Cal II claiming system that were:

- Age 20 or younger during the approved date of service on the claim; or
- Age 21 during the approved date of the service on the claim and a birth date on or after January 1st of the Fiscal Year.

Data Sources -

- Short-Doyle/Medi-Cal II (SD/MC II) claims with dates of service in FY 11/12 through FY 14/15.
- Medi-Cal Eligibility Data System (MEDS) data from the Management Information System/Decision Support System (MIS/DSS) FY 11/12 through FY14/15.

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Additional Information

The **Measures Catalog** is the companion document for these reports and provides the methodology and definitions for the measures. Each measure is defined and the numerator and denominator used to develop the metrics are provided with relevant notes and additional references. The Measures Catalog may be found at:

<http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

Note on Privacy:

The Health Insurance Portability and Accountability Act (HIPAA) and Code of Federal Regulations (CFR) 42 rules protect most individually identifiable health information in any form or medium, medium, whether electronic, on paper, or oral. DHCS has strict rules in place to protect the identification of individuals in public reports. A "Public Aggregate Reporting – DHCS Business Reports" process has been established to maintain confidentiality of client Personal Information. The Performance Outcomes System complies with Federal and State privacy laws. Thus, the POS must appropriately and accurately de-identify data for public reporting. Due to privacy concerns, some cells in this report may have been suppressed to comply with state and federal rules. When necessary, this data is represented as follows: 1) Data that is missing is indicated as "-" 2) Data that has been suppressed due to privacy concerns is indicated as "^".

Report Interpretation

*County-specific findings may be interpreted alongside the POS statewide and population-based report findings.

*The **penetration rates** reported here were calculated using a different methodology than that used by the External Quality Review Organization (EQRO). The differences in methodology make comparison between the POS penetration rates and the EQRO penetration rates not appropriate or useful. The POS methodology for calculating penetration rates was selected because it is easier to compute, more straightforward to interpret, and is in use by other states and counties. For the POS, the penetration rate is calculated by taking the total number of youth who received X number of SMHS (1 or 5 for POS) in a FY and dividing that by the total number of Medi-Cal eligible youth for that FY. This methodology results in lower penetration rates as compared to the EQRO rates, but it does so across the board so that all counties and the state will be similarly impacted.

*The **snapshot** report provides a point-in-time look at children and youth's movement through the SMHS system. The report uses five general categories to classify if a youth is entering, exiting, continuing services, or a combination of these categories (e.g., arriving and exiting). As of now, this report only classifies youth and their service usage for the two most recent fiscal years. Eventually the snapshot data will be used along with measures of service effectiveness to identify whether youth are improving as a result of receiving services from the time they first arrived in the system to when they exit the system. This methodology was adapted from the California Mental Health and Substance Use System Needs Assessment (2012). More information on the original methodology can be found here: <http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

*The psychiatric emergency services/hospital data reported on in the **time to step-down services** report includes data from Short Doyle/Medi-Cal II claims data and fee-for-service data. In the future this report will incorporate other outpatient and inpatient Medi-Cal SMHS' billed through the Managed Care healthcare delivery systems. Currently, the number of days is capped at 365 days (to mitigate the impact of extreme statistical anomalies) when calculating the mean and max for time between discharge and step down service. This methodology will be updated in the next reporting cycle. Additionally, county specific and population-based reports are based off of the county of the hospital the patient is discharged from and whom has been attributed the time to next service in days used in the calculations for this indicator.

Please contact cmhpos@dhcs.ca.gov for any questions regarding this report.

**Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year
San Bernardino County as of August 3, 2016**

SFY	Unique Count Receiving SMHS*	Year-Over-Year Percentage Change	Unique Count of Medi-Cal Eligibles	Year-Over-Year Percentage Change
FY 11-12	13,156		344,248	
FY 12-13	14,929	13.5%	399,113	15.9%
FY 13-14	16,279	9.0%	434,855	9.0%
FY 14-15	15,997	-1.7%	460,392	5.9%
Compound Annual Growth Rate SFY**		6.7%		10.2%

*SMHS = Specialty Mental Health Services. See Measures Catalog for more detailed information.

**SFY = State Fiscal Year which is July 1 through June 30.

**Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year
San Bernardino County as of August 3, 2016**

Fiscal Year	Alaskan Native or American Indian Count	Alaskan Native or American Indian %	Asian or Pacific Islander Count	Asian or Pacific Islander %	Black Count	Black %	Hispanic Count	Hispanic %	White Count	White %	Other Count	Other %	Unknown Count	Unknown %
FY 11-12	55	0.4%	129	1.0%	2,403	18.3%	5,839	44.4%	3,679	28.0%	18	0.1%	1,033	7.9%
FY 12-13	58	0.4%	118	0.8%	2,507	16.8%	6,901	46.2%	3,983	26.7%	30	0.2%	1,332	8.9%
FY 13-14	39	0.2%	158	1.0%	2,491	15.3%	7,857	48.3%	4,107	25.2%	35	0.2%	1,592	9.8%
FY 14-15	40	0.3%	176	1.1%	2,361	14.8%	7,685	48.0%	3,992	25.0%	18	0.1%	1,725	10.8%

Please note: This report uses the Medi-Cal Eligibility Data System (MEDS) to obtain race/ethnicity data. The MEDS data is entered by County Welfare Departments and may differ from data maintained by County Mental Health Plans. For more information, please refer to the Measures Catalog.

**Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year
San Bernardino County as of August 3, 2016**

Fiscal Year	Children 0-5 Count	Children 0-5 %	Children 6-11 Count	Children 6-11 %	Children 12-17 Count	Children 12-17 %	Youth 18-20 Count	Youth 18-20 %
FY 11-12	1,480	11.2%	4,601	35.0%	5,594	42.5%	1,481	11.3%
FY 12-13	1,542	10.3%	5,297	35.5%	6,283	42.1%	1,807	12.1%
FY 13-14	1,675	10.3%	5,630	34.6%	6,993	43.0%	1,981	12.2%
FY 14-15	1,983	12.4%	4,993	31.2%	6,900	43.1%	2,121	13.3%

**Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year
San Bernardino County as of August 3, 2016**

Fiscal Year	Female Count	Female %	Male Count	Male %
FY 11-12	5,251	39.9%	7,905	60.1%
FY 12-13	5,997	40.2%	8,932	59.8%
FY 13-14	6,696	41.1%	9,583	58.9%
FY 14-15	6,738	42.1%	9,259	57.9%

Penetration Rates* Report: Children and Youth With At Least One SMHS Visit
San Bernardino County as of August 3, 2016**

	FY 11-12			FY 12-13			FY 13-14			FY 14-15		
	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate
All	13,156	344,248	3.8%	14,929	399,113	3.7%	16,279	434,855	3.7%	15,997	460,392	3.5%
Children 0-5	1,480	126,225	1.2%	1,542	132,277	1.2%	1,675	133,917	1.3%	1,983	136,041	1.5%
Children 6-11	4,601	93,807	4.9%	5,297	116,488	4.5%	5,630	127,621	4.4%	4,993	133,469	3.7%
Children 12-17	5,594	83,524	6.7%	6,283	103,320	6.1%	6,993	113,647	6.2%	6,900	118,047	5.8%
Youth 18-20	1,481	40,692	3.6%	1,807	47,028	3.8%	1,981	59,670	3.3%	2,121	72,835	2.9%
Alaskan Native or American Indian	55	637	8.6%	58	684	8.5%	39	748	5.2%	40	772	5.2%
Asian or Pacific Islander	129	8,095	1.6%	118	10,849	1.1%	158	13,734	1.2%	176	15,213	1.2%
Black	2,403	41,754	5.8%	2,507	43,472	5.8%	2,491	44,960	5.5%	2,361	46,690	5.1%
Hispanic	5,839	212,622	2.7%	6,901	247,398	2.8%	7,857	265,528	3.0%	7,685	277,960	2.8%
White	3,679	53,878	6.8%	3,983	59,530	6.7%	4,107	64,128	6.4%	3,992	67,651	5.9%
Other	18	1,141	1.6%	30	1,659	1.8%	35	2,104	1.7%	18	1,661	1.1%
Unknown	1,033	26,121	4.0%	1,332	35,521	3.7%	1,592	43,653	3.6%	1,725	50,445	3.4%
Female	5,251	171,384	3.1%	5,997	198,568	3.0%	6,696	215,700	3.1%	6,738	227,983	3.0%
Male	7,905	172,864	4.6%	8,932	200,545	4.5%	9,583	219,155	4.4%	9,259	232,409	4.0%

*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in the Medi-Cal Managed Care system.

**Children and Youth that have received at least one SMHS in the Fiscal Year.

Please note: This report uses the Medi-Cal Eligibility Data System (MEDS) to obtain race/ethnicity data. The MEDS data is entered by County Welfare Departments and may differ from data maintained by County Mental Health Plans. For more information, please refer to the Measures Catalog.

Penetration Rates* Report: Children and Youth with Five or More SMHS Visits
San Bernardino County as of August 3, 2016**

	FY 11-12			FY 12-13			FY 13-14			FY 14-15		
	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate
All	9,299	344,248	2.7%	10,511	399,113	2.6%	11,369	434,855	2.6%	11,337	460,392	2.5%
Children 0-5	996	126,225	0.8%	1,061	132,277	0.8%	1,153	133,917	0.9%	1,387	136,041	1.0%
Children 6-11	3,385	93,807	3.6%	3,829	116,488	3.3%	4,092	127,621	3.2%	3,678	133,469	2.8%
Children 12-17	4,105	83,524	4.9%	4,548	103,320	4.4%	4,923	113,647	4.3%	4,987	118,047	4.2%
Youth 18-20	813	40,692	2.0%	1,073	47,028	2.3%	1,201	59,670	2.0%	1,285	72,835	1.8%
Alaskan Native or American Indian	40	637	6.3%	37	684	5.4%	23	748	3.1%	17	772	2.2%
Asian or Pacific Islander	75	8,095	0.9%	81	10,849	0.7%	97	13,734	0.7%	126	15,213	0.8%
Black	1,683	41,754	4.0%	1,747	43,472	4.0%	1,715	44,960	3.8%	1,639	46,690	3.5%
Hispanic	4,069	212,622	1.9%	4,781	247,398	1.9%	5,410	265,528	2.0%	5,388	277,960	1.9%
White	2,653	53,878	4.9%	2,888	59,530	4.9%	2,942	64,128	4.6%	2,948	67,651	4.4%
Other	^	1,141	^	16	1,659	1.0%	27	2,104	1.3%	13	1,661	0.8%
Unknown	^	26,121	^	961	35,521	2.7%	1,155	43,653	2.6%	1,206	50,445	2.4%
Female	3,578	171,384	2.1%	4,079	198,568	2.1%	4,552	215,700	2.1%	4,695	227,983	2.1%
Male	5,721	172,864	3.3%	6,432	200,545	3.2%	6,817	219,155	3.1%	6,642	232,409	2.9%

*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in the Medi-Cal Managed Care system.

**Children and Youth that have received at least five SMHS in the Fiscal Year.

Please note: This report uses the Medi-Cal Eligibility Data System (MEDS) to obtain race/ethnicity data. The MEDS data is entered by County Welfare Departments and may differ from data maintained by County Mental Health Plans. For more information, please refer to the Measures Catalog.

**Utilization Report*: Approved Specialty Mental Health Services for Children and Youth
Mean Expenditures and Mean Service Quantity per Unique Beneficiary by Fiscal Year*
San Bernardino County as of August 3, 2016**

Fiscal Year	SDMC Total Approved	IHBS (Minutes)	ICC (Minutes)	Case Management/ Brokerage (Minutes)	Mental Health Services (Minutes)	Therapeutic Behavioral Services (Minutes)	Medication Support Services (Minutes)	Crisis Intervention (Minutes)	Crisis Stabilization (Hours)	Full Day Treatment Intensive (Hours)	Full Day Rehabilitation (Hours)	Hospital Inpatient (Days)	Hospital Inpatient Admin (Days)	Fee for Service Inpatient (Days)	Crisis Residential Treatment Services (Days)	Adult Residential Treatment Services (Days)	Psychiatric Health Facility (Days)
FY 11-12	\$ 3,409.13	0	0	241	1,112	3,488	183	204	9	616	479	3	1	6	0	0	30
FY 12-13	\$ 3,587.90	0	0	223	1,139	3,294	168	206	12	534	533	4	7	7	47	0	32
FY 13-14	\$ 3,722.96	1,141	745	237	1,110	3,184	171	195	10	738	535	4	22	6	43	0	81
FY 14-15	\$ 4,038.28	2,500	1,090	242	1,208	3,419	181	203	11	667	654	4	8	6	55	0	70
MEAN	\$ 3,689.57	1,820	917	236	1,142	3,346	176	202	11	639	550	4	9	6	48	0	53

*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

**Snapshot Report: Unique Count of Children and Youth Receiving SMHS
Arriving, Exiting, and with Service Continuance by Fiscal Year
San Bernardino County as of August 3, 2016**

Category	Description (Please refer to the Measures Catalog for more detailed descriptions on all Performance Outcomes System measures.)
Arrivals	Children/Youth that did not receive any SMHS within 3 months of their first date of service in the Fiscal Year.
Service Continuance	Children/Youth receiving continuous services with no breaks in service greater than 90 days for a period of at least 2 years (>= 2 YR) or a period of 1 to 2 years (< 2 YR).
Exiting	Children/Youth that did not receive any SMHS within 3 months after their last date of service in the Fiscal Year.
Arriving & Exiting	A distinct category in which children/youth met both the criteria for Arrivals and Exiting above for the fiscal year.
Service Continuance & Exiting	A distinct category in which Children/Youth had at least 2 years of Service Continuance going into the Fiscal Year and then Exited within the same Fiscal Year.

Service Fiscal Year	Arrivals Count	Arrivals %	Service Continuance (>= 2 YR) Count	Service Continuance (>= 2 YR) %	Service Continuance (<2 YR) Count	Service Continuance (< 2 YR) %	Exiting Count	Exiting %	Arriving & Exiting Count	Arriving & Exiting %	Service Continuance (>= 2 YR) & Exiting Count	Service Continuance (>= 2 YR) and Exiting %	Total Count	Total %
FY 12-13	3,641	24.4%	929	6.2%	1,384	9.3%	2,755	18.4%	5,852	39.2%	375	2.5%	14,936	100%
FY 13-14	3,711	22.8%	995	6.1%	1,438	8.8%	3,192	19.6%	6,584	40.4%	361	2.2%	16,281	100%
FY 14-15	3,461	21.6%	971	6.1%	1,441	9.0%	3,365	21.0%	6,348	39.7%	411	2.6%	15,997	100%

Time to Step Down Report: Children and Youth Stepping Down in SMHS Services Post Inpatient Discharge*
San Bernardino County as of August 3, 2016

Service FY	Count of Inpatient Discharges with Step Down within 7 Days of Discharge	Percentage of Inpatient Discharges with Step Down within 7 Days of Discharge	Count of Inpatient Discharges with Step Down Between 8 and 30 Days	Percentage of Inpatient Discharges with Step Down Between 8 and 30 Days	Count of Inpatient Discharges with a Step Down > 30 Days from Discharge	Percentage of Inpatient Discharges with a Step Down > 30 Days from Discharge	Count of Inpatient Discharges with No Step Down*	Percentage of Inpatient Discharges with No Step Down*	Minimum Number of Days between Discharge and Step Down	Maximum Number of Days between Discharge and Step Down	Mean Time to Next Contact Post Inpatient Discharge (Days)	Median Time to Next Contact Post Inpatient Discharge (Days)
FY 11-12	1,153	47.3%	492	20.2%	532	21.8%	261	10.7%	0	365	44.9	6
FY 12-13	1,329	65.3%	237	11.7%	267	13.1%	201	9.9%	0	365	25.2	1
FY 13-14	1,411	58.2%	388	16.0%	352	14.5%	275	11.3%	0	365	28.0	2
FY 14-15	1,465	53.5%	467	17.1%	396	14.5%	410	15.0%	0	365	24.3	3

* **No Step Down** is defined as no Medi-Cal eligible service was claimed through Short-Doyle/Medi-Cal after a claimed inpatient service was billed with a discharge date. This category may include data currently unavailable to DHCS, such as beneficiaries that were moved to a community-based program or beneficiaries that were incarcerated.