

DEPARTMENT OF HEALTH SERVICES

4/744 P STREET
SACRAMENTO, CA 95814

December 22, 1983

To: All County Welfare Directors

Letter No. 83-83

RSDI/UI/DI REPORTS

All County Welfare Directors (ACWD) Letter No. 83-23 informed you that the Department of Health Services (DHS) was discontinuing the production of the Beneficiary Data Exchange (BENDEX) Report and that you would be receiving Title II (Social Security) benefit information for Medi-Cal Only beneficiaries in the Retirement, Survivors, and Disability Insurance (RSDI) Report, published by the Department of Social Services. This report is designed to assist counties in identifying and verifying these benefit amounts to more accurately determine Medi-Cal Eligibility and share-of-cost amounts. It is anticipated that the use of this report will reduce the number of Quality Control errors, thus lowering the Medi-Cal dollar error rates. This can mitigate the loss of federal financial dollars due to sanctions based on dollar error rates. You received your first RSDI Report in March, 1983. Commencing with the April RSDI Report, Unemployment Insurance (UI) and Disability Insurance (DI) benefit information (as provided by the Employment Development Department) was included to form a combined RSDI/UI/DI Report.

This letter will (1) provide further instructions for processing the information, (2) provide procedures for handling discrepancies between benefit amounts listed on the report and the amounts reported by beneficiaries, and (3) clarify the use of the report.

1. Instructions

Instructions for interpreting and utilizing the RSDI/UI/DI information are included in Attachment A. Additionally, definitions of Payment Status Codes and Communication Codes are included. All reports should be filed in the case record.

2. Procedures for Handling Discrepancies

The report consists of individual listings and provides information concerning RSDI, UI, or DI benefits. It is provided so that counties can verify benefit amounts as reported by the beneficiary. If the amount listed on the report differs from the amount reported by the beneficiary, the county must contact the beneficiary to verify the correct current benefit amount.

- A. If it is determined that the amount listed in the case record is incorrect, then the corrected benefit amount must be utilized to determine if a share of cost should be established or changed in accordance with Title 22, CAC, Sections 50653.3 and 50653.5.

- B. If a discrepancy exists, the county is to determine whether a potential overpayment has occurred in accordance with Title 22, CAC, Section 50781. If a potential overpayment has occurred, then the appropriate referral should be completed as required by Title 22, CAC, Section 50783.

3. Clarifying RSDI Information

- A. It is important that you read and analyze the payment status and communication codes to properly utilize the RSDI information. The definitions for all the codes are included in the instructions in Attachment A. These definitions will give you information which may affect the individual's eligibility. For example:

Payment Status Code Messages

CP Beneficiary is currently receiving the benefit amount listed on the report.

D(x) Payment is "deferred." Beneficiary is entitled to the benefit amount listed on the report, but payment is being deferred due to the reason printed on the report. For example:

D2: RSDI payment deferred to recover an overpayment which resulted because of beneficiary's employment.

S(x) Payment is in "suspense". Beneficiary would be entitled to the benefit amount listed on the report but is not receiving the benefit due to the reason printed out. For example:

S2: RSDI benefits are currently in suspense because beneficiary is working and expects earnings in excess of annual allowable RSDI limits. Check to see if in fact the beneficiary is employed and is properly reporting the earned income.

NOTE - The condition that has created the deferral (D(x)) or suspension (S(x)) may be lifted and the individual may begin receiving the benefit. This should trigger a record informing you of this occurrence.

I(x) Benefits have been terminated. For example:

I1: Benefits have been terminated because beneficiary is deceased.

Communication Code Messages

It is important that communication codes be read in conjunction with the benefit amount listed on the report. Of particular interest are the following three codes:

DOB UNM -- Date of birth does not match person assigned to this SSN.

GIV UNM -- First name does not match person assigned to SSN.

SUR UNM -- Last name does not match person assigned to SSN.

If any one of these codes appears on the report, a \$0 amount will be listed even if the person is legitimately receiving an RSDI benefit. What happens is that the particular data item (as indicated by the code) of the state record does not match with SSA's record. Therefore, SSA does not pass back to the State any benefit information. In such cases, the county should continue to utilize the benefit amount reported by the beneficiary.

- B. We recognize that there were some cases where the benefit amount listed on the report was incorrect. We identified a specific programming error in the system and made the necessary changes which were reflected in the July report. In reviewing cases since the July change, we have found the benefit amounts listed on the report to be very accurate.
- C. There are a few records with the communication code of AD. We are currently discussing with SSA whether these amounts are accurate. Until further notice, you may put these listings aside. We apologize for the inconvenience and will send further instructions concerning these listings in the near future.

4. Evaluation

In order to evaluate the effectiveness of the RSDI/UI/DI Report, we will review the February and March 1984 reports. We are asking the counties to complete that attached survey form (Attachment B) and return the form by April 30, 1984 to:

Department of Health Services
Corrective Action Unit
714 P Street, Room 1692
Sacramento, CA 95814

This information will also be needed by DHS when next year's Corrective Action Plan is submitted to the Federal Government.

All County Welfare Directors

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December 22, 1983

If you have any questions regarding the RSDI/UI/DI Report, please call Wayne Shimizu at (916) 322-3463.

Sincerely,

ORIGINAL SIGNED BY

Caroline Cabias, Chief
Eligibility Branch

Attachments

cc: Medi-Cal Liaisons
Medi-Cal Program Consultants

INSTRUCTIONS FOR INTERPRETING THE REPORT OF RSDI (PVS040-A)

Numbers in parentheses are keyed to items on the attached Sample Report of RSDI.

GENERAL CASE INFORMATION

- (1) ROUTE: The county uses this information to route the report to the district and worker.
- (2) RUN DATE: Date the report was printed.
- (3) PAGE: RSDI income of all persons in a case will be listed on the report. Each person's income will be shown on a separate page. Persons in a case having more than one type of benefit payment will appear under the case number but on a separate page.
- (4) CASE #: Includes aid code and case number.
- (5) CASE NAME: Surname used by the county to identify the case.
- (6) SSN: This is the SSN provided by the recipient.
- (7) CASE STATUS: Whether the case is new or continuing.
- (8) TYPE OF INCOME: The Report of RSDI will show RSDI and either UI or DI income for one or more persons in the case. A person should not receive UI and DI at the same time.

RSDI BENEFITS

- (9) NAME AND SEX (as reported by SSA): This refers to the person in the case who is receiving RSDI.
- (10) RECEIVED mm/yy: This is the month and year that the NEW MONTH's check is dated, e.g., 01/83 means that the RSDI check was dated 1/3/83 and should also be received about January 3. The new month could be different for RSDI, UI and DI.
- (11) OLD BENEFIT: What the benefit amount was before it changed.
- (12) NEW BENEFIT: The amount of the RSDI benefit for the most current month reported. If there were no changes from the previous month a report will not be generated.
- (13) INITIAL DATE OF ENTITLEMENT: The date the person was first entitled to receive RSDI. This does not necessarily indicate when the first benefit amount was paid.
- (14) PAYMENT STATUS CODE: This identifies the RSDI status of the person in the month of the payment. The report will indicate the meaning of the payment status code on the "Pay-message" lines(17).
Major pay codes and messages are listed below.

- (15) COMMUNICATION CODE: This transmits data to help analyze records which were returned from SSA. The report will indicate the meaning of the communication codes on the "comm message" line (16). Major communication codes and messages are also listed below.

The following is a listing of the major payment status codes, pay-message and a short explanation if needed. There may be other payment status codes not represented here. If you should find any, please contact the AFDC Program Systems Bureau at (916) 322-5330 for an explanation.

(14) PAYMENT STATUS CODE (17) PAY-MESSAGE LINE

AD PAYMENT RECEIVED ON ANOTHER SSN.

The beneficiary's record has been withdrawn for adjustment because an auxiliary's/survivor's benefits are being combined with this individual's own old age insurance benefits.

AW BENEFICIARY'S RECORD HAS BEEN WITHDRAWN FOR ADJUSTMENT BECAUSE INDIVIDUAL IS RECEIVING WORKER'S COMPENSATION.

B BENEFICIARY HAS FILED A CLAIM FOR RSDI BENEFITS AND DIED PRIOR TO ESTABLISHING ENTITLEMENT.

CP BENEFICIARY IS CURRENTLY RECEIVING RSDI BENEFITS.

D1 RSDI BENEFITS ARE BEING DEFERRED FOR FUTURE PAYMENT BECAUSE BENEFICIARY IS WORKING IN A FOREIGN COUNTRY.

D2 RSDI PAYMENT DEFERRED TO RECOVER AN OVERPAYMENT WHICH RESULTED BECAUSE OF BENEFICIARY'S EMPLOYMENT.

This would be an indication the recipient has been employed.

D3 THIS FAMILY MEMBER'S RSDI BENEFITS HAVE BEEN WITHHELD TO RECOVER AN OVERPAYMENT WHICH RESULTED BECAUSE OF THE PRIMARY BENEFICIARY'S EMPLOYMENT.

The primary beneficiary is the person on whose work record the benefits are based.

D4 FAILURE TO HAVE A CHILD IN CARE. COUNTIES SHOULD PAY SPECIAL ATTENTION TO THIS CODE AS THERE MAY BE LOSS OF AFDC LINKAGE.

In order to receive this type of benefit a child must be in the case. Benefits have been deferred for future payment because there was no child in care.

D5 THIS FAMILY MEMBER'S RSDI BENEFITS WITHHELD BECAUSE BENEFICIARY IS WORKING IN A FOREIGN COUNTRY.

This indicates that the primary beneficiary is working in a foreign country.

DP RSDI BENEFITS DEFERRED BECAUSE BENEFICIARY IS RECEIVING PUBLIC ASSISTANCE.

DW RSDI BENEFITS DEFERRED BECAUSE BENEFICIARY IS RECEIVING WORKER'S COMPENSATION.

S1 RSDI BENEFITS ARE CURRENTLY IN SUSPENSE BECAUSE BENEFICIARY IS WORKING IN A FOREIGN COUNTRY.

S2 RSDI BENEFITS ARE CURRENTLY IN SUSPENSE BECAUSE BENEFICIARY IS WORKING AND EXPECTS EARNINGS IN EXCESS OF ANNUAL ALLOWABLE RSDI LIMITS.

S3 FAMILY MEMBER'S RSDI BENEFITS WITHHELD BECAUSE PRIMARY BENEFICIARY IS WORKING AND EXPECTS EARNINGS IN EXCESS OF ANNUAL ALLOWABLE RSDI LIMITS.

S4 FAILURE TO HAVE A CHILD IN CARE. THIS STATUS MAY RESULT IN LOSS OF AFDC LINKAGE.

Benefits are currently in suspense. In order to receive this type of benefit, a child must be in the case.

S5 FAMILY MEMBER'S RSDI BENEFITS WITHHELD BECAUSE PRIMARY BENEFICIARY IS WORKING IN A FOREIGN COUNTRY.

The benefits are currently in suspense.

S6 RSDI CHECK RETURNED. SSA IS INVESTIGATING TO DETERMINE CORRECT ADDRESS.

SH BENEFICIARY SUSPENDED AS THE RESULT OF RECEIPT OF A GOVERNMENT PENSION.

Special age 72 (Prouty) beneficiary is suspended because they are receiving a government pension.

SK BENEFICIARY HAS BEEN DEPORTED.

SP BENEFICIARY SUSPENDED BECAUSE OF RECEIPT OF PUBLIC ASSISTANCE.

Special age 72 (Prouty) beneficiary is suspended because they are receiving public assistance.

SW WORKER'S COMPENSATION PAYMENTS PRECLUDE SOCIAL SECURITY PAYMENTS.

- T1 BENEFITS HAVE BEEN TERMINATED BECAUSE BENEFICIARY IS DECEASED.
- T2 RSDI BENEFITS HAVE BEEN TERMINATED BECAUSE PRIMARY BENEFICIARY IS DECEASED.
- T3 RSDI BENEFITS HAVE BEEN TERMINATED BECAUSE BENEFICIARY DIVORCED, MARRIED, OR REMARRIED.
- T5 RSDI BENEFITS HAVE BEEN TERMINATED BECAUSE BENEFICIARY ENTITLED ON ANOTHER RECORD.
- T8 BENEFITS HAVE BEEN TERMINATED BECAUSE PRIMARY RSDI BENEFICIARY RECOVERED FROM A DISABILITY OR THE LAST DISABLED CHILD RECOVERED FROM A DISABILITY.
- X1 BENEFICIARY WAS ENTITLED TO HOSPITAL OR SUPPLEMENTAL MEDICAL INSURANCE BENEFITS ONLY BECAUSE OF DEATH OF BENEFICIARY.

The beneficiary was entitled to Hospital or Supplemental Medical Insurance benefits only and was removed from that category because of death of the beneficiary.

- XK BENEFICIARY WAS ENTITLED TO HOSPITAL OR SUPPLEMENTAL MEDICAL INSURANCE ONLY BECAUSE BENEFICIARY WAS DEPORTED.

The beneficiary was entitled to Hospital or Supplemental Medical Insurance benefits only and was removed from that category because the beneficiary was deported.

The following is a listing of the major communication codes, communication messages and a short explanation if needed.

- | (13) COMMUNICATION
CODE | (16) COMM-MESSAGE LINE |
|----------------------------|---|
| IMP CAN | INVALID CAN. |
| | This indicates the SSA claim account number (CAN)/Social Security Number (SSN) on the input record are invalid. They are not all numeric or are out of the range issued by SSA. |
| SSA INV | A CLAIM TO ESTABLISH BASIC BENEFIT ELIGIBILITY IS BEING INVESTIGATED BY SSA. |
| | This indicates that SSA has not completed its full search of the master file and is continuing to investigate. The State should receive a record in the next BENDEX run. |
| DOB UNM | DATE OF BIRTH DOES NOT MATCH PERSON ASSIGNED TO THIS SSN. |

GIV UNM FIRST NAME DOES NOT MATCH PERSON ASSIGNED TO THIS SSN.

SUR UNM LAST NAME DOES NOT MATCH PERSON ASSIGNED TO THIS SSN.

To assure that the benefit data exchange is accomplished for the proper beneficiary recipient, after the SSN is checked the above three items are verified. If one of these does not match with SSA records, processing is stopped and the record is returned as unmatched.

CF XXX RECORD IS IN CONFLICT WITH ANOTHER AGENCY. THIS ENDS INFORMATION FROM SSA.

This indicates that the beneficiary is receiving benefits from another state. SSA will not be transmitting data to California after this transaction.

The XXX's will be a number which represents the other agency.

WAS XXX RECORD IS IN CONFLICT WITH ANOTHER AGENCY. CASE SHOULD BE INVESTIGATED FOR POSSIBLE FRAUD.

When direct inputs are received from different states, the state which differs from the state of residence for the recipient per SSA records will receive the "CF" response.

REP PAYE BENEFITS ARE PAID TO OTHER THAN BENEFICIARY.

DIED MMY THE NUMBER HOLDER ON THIS ACCOUNT IS DECEASED.

MMYY shows the month and year of death. There are no beneficiaries on this claim. The number holder is the person on whose work record the benefits are based.

FIN 0182 BENEFITS ARE TERMINATED.

This indicates that the person once received benefits but they were terminated (FIN) on January 1982 (0182). The report should not report on this person again unless they received new benefits.

MATCHED BENEFICIARY IS CURRENTLY RECEIVING RSDI BENEFITS.

This indicates that the person is now receiving RSDI benefits and their SSN, date of birth, and name also match SSA.

STATE OF CALIFORNIA
DEPT. OF SOCIAL SERVICES
REPORT PVS040-A

REPORT OF RSDI

(1)ROUTE: 34- -5134
CO DS EW
(2)RUN DATE 04/07/83
(3)PAGE 1

(5)

(4)CASE# XX-XXXXXXX
(6)SSN: XX-XX-XXXX

CASE NAME: XXXXX
(7)CASE STATUS: (CONTINUING)

XXXX

(8)TYPE
OF
INCOME

(10) RECEIVED	(9) NAME: XXXXX (11) OLD MO.	(12) NEW MO.	(13) X SEX F INITIAL DATE OF ENTITLEMENT	(14) PAYMENT STATUS CODE	(15) COMMU- NICATION CODE
03/83	BENEFIT	BENEFIT	11/82	CP	MATCHED
	.00	303.00			

(16) COMM-MESSAGE-LINE:

(17) PAY-MESSAGE-LINE: BENEFICIARY IS CURRENTLY RECEIVING RSDI BENEFITS.

UI/DI PORTION OF THE RSDI REPORT

NOTE: Until additional programming is complete, the report title will not include the term "UI/DI" for the first few report months.

The first month's report of UI benefits will include all recipients that EDD shows are currently receiving UI. Thereafter, the report will show UI payments made:

- (1) to new recipients for the first month of public assistance eligibility,
- (2) to continuing recipients for the first time, and
- (3) to continuing recipients if there are any changes in payment to the recipient.

DI payment amounts will appear in the same basic format as the UI payments, however the data will be provided for every month benefit is received, whether or not any changes have occurred.

DSS has identified some initial minor problems with the UI/DI portion of the April report and will be correcting these as soon as possible. Your cooperation in continuing to use the report will be appreciated. The problem on the UI portion is:

NEW MONTHS BENEFITS.

We are providing two months' worth of data for UI recipients. If the recipient does not receive a check in the current month but had received one in the prior month, the system will report the prior month's check in the current month area. Therefore, it is important to watch the check date (7) to make sure you are not picking up old data when the current month budget computation is made.

To correct this will take extensive computer analysis and programming. Therefore, it could take some time to correct.

The problems on the DI portion are:

1. NAME. UNRECON DISB is printed in this area. Unreconciled disbursements are correct DI payments that are not yet shown on EDD files as having been reconciled or cashed. These payments are at least 7 to 10 days old when first printed on the report and will likely have been received by the DI recipient. They are usually the last one or two payments in the DI payment file. The data applies to the person indicated at the top of the report.
2. FIELD OFFICE. The DI field office is a three digit number. To determine the correct field office number place a "20" in front of the one digit number on the report or a "2" in front of the two digit numbers on the report, i.e., 7 should be 207, or 11 should be 211.

INSTRUCTIONS FOR INTERPRETING THE UI/DI FORMATS
ON THE REPORT OF RSDI/UI/DI (PVS 040-A)

The following is a listing of UI/DI report item descriptions. The numbers in parentheses are keyed to items on the attached sample report. Numbers in parentheses will not appear on the actual report.

UI BENEFITS

- (1) NAME (as reported by EDD): Since more than one person could be using an SSN, it is possible that this name could be different than the name reported by SSA or the name that comes from the public assistance case.
- (2) MAX BENEFIT AMOUNT: Total amount claimant could be paid for the duration of the UI claim.
- (3) WEEKLY BENEFIT AMOUNT: The amount of the benefits the claimant is entitled to receive per week throughout the duration of the claim. Payments are usually made every two weeks.
- (4) BALANCE: Divide the balance by the weekly benefit amount to get the maximum number of weeks remaining in the claim.
- (5) NEW MONTHS BENEFITS: Benefits with check dates in the month shown under "RECEIVED".
- (6) AMOUNT PAID: Amount of the check.
- (7) CHECK DATE: The check is typed or printed out and, in most cases, mailed by the EDD field office on this date. It may be used to estimate the date that the recipient would have received the check.
- (8) CHECK NUMBER: Indicates the number on the check. Form GEN 973 is used to request UI and DI check photocopies from EDD. If you submit a 973, attach a copy of the PVS 040-A to document the check number.
- (9) FIELD OFFICE: This is the number of the EDD field office where the UI or DI claim was filed. It is provided in case you must contact the field office to get clarification or additional information.
- (10) REPORTED EARNINGS: The amounts that UI claimants report to EDD. Earnings reduce UI payments.
- (11) OLD MONTHS BENEFITS: This information is provided because a check dated toward the end of the prior month may be received by the recipient in the new month.

CASE# XX-XXXXXXXXX CASE NAME: XXXXX XXXXXX
(: XXX-XX-XXXX CASE STATUS: (CONTINUING)

TYPE
OF
INCOME

RSDI	NAME: XXXXX	XXXXXX	SEX F	(AS REPORTED BY SSA)
RECEIVED	OLD MO. BENEFIT	NEW MO. BENEFIT	INITIAL DATE OF ENTITLEMENT	PAYMENT STATUS CODE
04/83	.00	.00	00/00	FIN 0176

COMM-MESSAGE-LINE: BENEFITS ARE TERMINATED.

PAY-MESSAGE-LINE:

UI (1) NAME: XXXXX XXXXXX (AS REPORTED BY EDD)
THERE MAY HAVE BEEN ADJUSTMENTS THAT AFFECTED INCOME (SEE BELOW)
RECEIVED(2) MAX BENEFIT AMOUNT = 1,664 (3) WEEKLY BENEFIT AMOUNT = 640
02/83 (4) BALANCE = 1,344

(5) NEW

(6) AMOUNT PAID	(7) CHECK DATE	(8) CHECK NO	(9) FIELD OFFICE	(10) REPORTED EARNINGS
128	02/15/83	12854969	810	0
128	02/11/83	12854459	810	0
TOTAL	256			

(11)** NO BENEFITS RECEIVED IN OLD MONTH **

DI BENEFITS

- (1), (5), (6), (7), (8), (9): Same as UI above (Items 2, 3, 4, on the UI Report do not apply to DI.)
- (10) STATUS CODE: This code reflects employment status on the date disability began.

Code "0" or "8" - On the date disability began, the person had not been terminated from his employment.

Code "4" - Former inmate account. For EDD use only.

Code "7" - On the date disability began, the individual was not employed.

Code "9" - Employment status has not been determined.

USE# XX-XXXXXXXXX CASE NAME: XXXX XXXX
IN: XXX-XX-XXXX CASE STATUS: (CONTINUING)

DI (1) NAME: UNRECON DISB (AS REPORTED BY EDD)

RECEIVED
02/83 (5)

(5) NEW MONTHS	(6) AMOUNT PAID	(7) CHECK DATE	(8) CHECK NO	(9) FIELD OFFICE	(10) STATUS CODE
	85.71	02/07/83	89009	12	7
TOTAL	85.71				

STATUS-CODE-MESSAGE: 7 - ON THE DATE DISABILITY BEGAN, THE INDIVIDUAL WAS NOT EMPLOYED.

APR 29 1983

RSDI/UI/DI REPORT EVALUATION SURVEY

Please complete and return by April 30, 1984 to:

Department of Health Services
 Corrective Action Unit
 714 P Street, Room 1692
 Sacramento, CA 95814

County Name _____

County Contact Person _____

Telephone Number _____

RSDI/UI/DI Report

- | | Feb. | Mar. |
|--|------------------------------------|------------------------------------|
| 1. Was the RSDI/UI/DI Report distributed to all EWs? | yes _____ no _____ | yes _____ no _____ |
| If no, explain why | | |
| 2. How many cases of unreported or underreported income were disclosed? | RSDI _____
UI _____
DI _____ | RSDI _____
UI _____
DI _____ |
| 3. What was the total number of unreported or underreported income dollars? | RSDI _____
UI _____
DI _____ | RSDI _____
UI _____
DI _____ |
| 4. What was the number of cases that had an increase in the share of cost as a result of this process? | RSDI _____
UI _____
DI _____ | RSDI _____
UI _____
DI _____ |
| 5. What was the total number of dollars due to the increased share of cost? | RSDI _____
UI _____
DI _____ | RSDI _____
UI _____
DI _____ |
| 6. How many referrals to the Medi-Cal Recovery Branch for potential overpayments were made? | RSDI _____
UI _____
DI _____ | RSDI _____
UI _____
DI _____ |

7. Do you feel the RSDI/UI/DI Report is an effective tool for monitoring these unearned income benefit amounts? RSDI _____
UI _____
DI _____

Explain:

8. What changes (if any) would you recommend to improve the usefulness of this report?