

**Santa Barbara Plan of Correction**  
**Per the County Performance Contract Review Report for Review Date May 7, 2020**

<b>Finding # or Suggested Improvement #</b>	<b>Finding or Suggested Improvement</b>	<b>Recommendation # (State Corrective Action Step / Identify Timeline / and Evidence of Corrections / Mechanisms for Monitoring Effectiveness)</b>		<b>Score – Comments/ Notes</b>
Finding #1	<p>Santa Barbara County lacked demographics of the stakeholders who participated in the Community Program Planning Process (CPPP) in the approved FY 2017-20 Three-Year Program and Expenditure Plan (Plan) and FY 2018-19 Annual Update (Update), to determine if they reflect the diversity of the demographics of the County, including but not limited to, geographic location, age, gender, and race/ethnicity. (California Code of Regulations, title 9, section 3300(b)(4)).</p>	<p>Recommendation #1: The County must provide demographics of stakeholders participating in the CPPP and they must reflect the diversity of the demographics of the County, including but not limited to, geographic location, age, gender, and race/ethnicity in the approved FY 2020-23 Plan, FY 2020-21 Update and each subsequent Plan and Update thereafter.</p>	<p>Santa Barbara County has updated sections of the MHPA 3 year plan 20-23 that are titled “Santa Barbara County’s FY 2020-2023 MHPA Community Program Planning Process Schedule” (see attachment “Finding 1_Evidence of Correction 1_FY20-23 MHPA CPPP Schedule”) and “Santa Barbara County Demographics and Target Populations” (see attachment “Finding 1_Evidence of Correction 2_FY20-23 Demographics and Target Population”). The MHPA Coordinator will ensure that it is added to each subsequent Annual Update and 3 year plan. This information described targeted groups and summary of attendees, such as groups represented. Currently, community meetings will be targeted towards the diversity of Santa Barbara County based on geographic regions (3 in Santa Barbara County), age, gender, and race/ethnicity, along with unserved and underserved groups. Each meeting will be targeted towards subsets of these groups based on Census data that is published in the current Plan Updates. During the meetings or surveys, the attendees are currently asked to fill out anonymous Comment Cards and requested to provide personal attendance information if they would like. The Comment Cards will be updated to attempt to voluntarily collect this information. Should individuals</p>	<p>The submitted plan is accepted.</p>

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			choose to enter the information, it will be compiled and published in the Plan Update to describe which stakeholders attended or participated in events or surveys during the CPPP. This information will be included in the FY 2021-2020 update and thereafter.	
Finding #2	Santa Barbara County did not identify the unserved and underserved populations in the approved FY 2017-20 Plan and FY 2018-19 Update and their participation in the CPP process. (Welfare and Institutions Code section 5848(a)(b), 5898; Cal. Code Regs., tit. 9, § 3300(b)(3)(A)).	<p>Recommendation #2: The County must include a description of the unserved and underserved populations in the County in the approved FY 2020-23 Plan, FY 2020-21 Update and each subsequent Plan and Update thereafter.</p> <p>Recommendation #2a: The County must ensure that stakeholder participation shall include representatives of unserved and underserved populations and family members of unserved/underserved populations in the CPPP in the approved</p>	<p>2. The county has added a description of the unserved and underserved populations in the county titled “Prevention and Early Intervention (PEI) Planning Process and Prioritized Targeted Population Programming” (see attachment “Finding 2_ Evidence of Correction 3_PEI Planning Process and Prioritized Targeted Population 20-23 MHSA 3 Year Plan”) to the FY 2020-23 MHSA 3 year plan. The MHSA Chief and the MHSA Quality Care Coordinator will ensure that this description is updated and included in each subsequent Annual Update and 3 Year Plan.</p> <p>2a. The county plans stakeholder meetings to align with PEI Targeted Populations. The MHSA Chief and MHSA team will label all new stakeholder meetings with their Targeted Population or Focus Group (i.e. TAY, Tribal Members, Farm Workers, etc.). When stakeholders attend they fill out a comment card that defines the targeted population for this meeting and in which a question will be added that states “Do you consider yourself a</p>	The submitted plan is accepted.

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		FY 2020-23 Plan, FY 2020-21 Update and each subsequent Plan and Update thereafter.	part of the targeted population/focus group that was advertised for this meeting?” Stakeholders can then check yes or no. MHSA team staff can review comment cards to ensure that targeted groups are attending the stakeholder meetings. Comment card data will be reviewed and meetings adjusted as needed by MHSA team.	
Finding #3	Santa Barbara County did not conduct a public hearing at the close of the 30-day public comment period (June 5, 2018 to July 4, 2018). For the approved FY 2018-19 Update, the public hearing was held on June 20, 2018, which occurred prior to the close of the 30-day public comment period. (W&I Code sections 5847(a), 5858; Cal. Code Regs., tit. 9, § 3315(a)(2)).	Recommendation #3: The County must hold the public hearing at the close of the 30-day public comment period; and no sooner, for the approved FY 2020-23 Plan, FY 2020-21 Update and each subsequent Plan and Update thereafter.	Santa Barbara County did miss the time period stated in this finding. Santa Barbara County has already rectified this error and has conducted subsequent public hearings at the close of the 30-day public comment period. Specifically, this can be seen for the 19-20 Annual Update (see attachments “Finding 3_ Evidence of Correction 4_AU 19-20 Public Comment, BWC Agenda Setting and Public Hearing, Public Hearing and BOS Approval” and “2019-20 MHSA Plan Update - Posting and Feedback Request”) and the 20-23 3 Year Plan (see attachments “Finding 3_ Evidence of Correction 6_3YR Plan 20-23 Public Comment, BWC Agenda Setting and Public Hearing, Public Hearing and BOS Approval” “2020-23 MHSA Three Year Plan Draft – Posting and Feedback” “Santa Barbara Three Year Plan Update”) MHSA Quality Care Coordinator will ensure program and planning staff comply with timelines and will review plan before posting, review posting dates, and	The submitted plan is accepted.

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			check calendar to ensure that compliance is maintained for future plans.	
Finding #4	Santa Barbara County did not provide an estimate of the number of clients, in each age group, to be served in the Full Service Partnership (FSP) category for each fiscal year in the approved FY 2017-20 Plan and FY 2018-19 Update. (W&I Code section 5847(e); Cal. Code Regs., tit. 9, § 3650(a)(3)).	Recommendation #4: The County must provide an estimate of the number of FSP clients to be served in each age group: children (0-15), transitional age youth (16-25), adult (26-59), and older adult (60 and older) for each fiscal year in the approved FY 2020-23 Plan, FY 2020-21 Update and each subsequent Plan and Update thereafter.	Santa Barbara County has added an estimated number of FSP clients served in each age group to the 20-23 MHSA 3 Year Plan (see attachment “Finding 4_ Evidence of Correction 10_3YR Plan 20-23 FSP Age Group Breakdowns”). The MHSA Chief and MHSA Coordinator will ensure that this section is updated and included in subsequent Annual Updates and 3 Year Plans.	The submitted plan is accepted.
Finding #5	Santa Barbara County’s MHSA components of Community Services and Supports (CSS), Prevention and Early Intervention (PEI), Workforce Education and Training (WET) programs/services implementation is inconsistent with the	Recommendation #5: The County must ensure that the program names listed in the approved FY 2020-23 Plan, FY 2020-21 Update and each subsequent Plan and Update thereafter, are consistent with the names in the approved ARER. The budget in	The format of the MHSA Plan update budgets for the 21-22 Annual Update will be organized by approved MHSA programs, and will not be summarized, in order to be consistent with the ARER format. The ARER and Plan Update names will also be consistent; if program names have changed during the Plan Update process the old names will be listed next to the new names on the ARER. New program names will be reported to the fiscal department so that the RER can be updated at the same time. MHSA Fiscal Lead will ensure that the	The submitted plan is accepted.

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	<p>approved FY 2018-19 Update and the FY 2018-19 Annual Revenue and Expenditure Report (ARER). (W&amp;I Code section 5892(g)).</p> <p>Specifically, the following programs and components were inconsistent:</p> <ul style="list-style-type: none"> <li>- The CSS budget summary in the approved FY 2018-19 Update was organized into two categories – FSP and non-FSP programs. This was inconsistent with the ARER, which was organized by individual CSS program names.</li> <li>- The PEI budget summary in the approved FY 2018-19 Update was organized into two categories – prevention and early</li> </ul>	<p>the approved Plan and Update should be consistent with the approved ARER. If the program or service did not occur, report the program or service on the approved ARER and indicate zero expenditures. Any discrepancies or name changes must be explained in the approved Plan and Update.</p>	<p>above actions will take place before submitting the ARER. The next ARER will be completed December 2020 and will show evidence of these corrections.</p>	

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	<p>intervention. This was inconsistent with the ARER, which was organized by individual PEI programs. Additionally, for each PEI program on the ARER, the County categorized the program type by prevention and early intervention instead of identifying the specific PEI category each program coincides with – Early Intervention Program, Outreach for Increasing Recognition of Early Signs of Mental Illness, Prevention Program, Stigma and Discrimination Reduction Program, Access and Linkage to Treatment Program, and if</p>			

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	applicable, Suicide Prevention Program. - The WET programs identified in the approved FY 2018-19 Update were Peer Training and Southern Counties Regional Partnership. In the FY 2018-19 ARER, the only program identified was Workforce Staffing.			
Suggested Improvement Item #1	CPPP Development	Suggested Improvement #1: The Department of Health Care Services (DHCS) recommends the County compare stakeholder and County demographics to ensure desired participation of target populations in the CPPP and to determine whether stakeholders reflect the diversity of the demographics of the County, including but	The MHSA Chief and Cultural Competency/Peer Manager will meet during the initial planning process. They will review demographic data for the county and outline how the county can target the different demographics, locations, unserved and underserved populations, etc. during the upcoming stakeholder meetings. This information will then be aligned with the Cultural Competency Plan. This information will be included in the Community Planning and Cultural Competency sections of the MHSA 3 Year Plan and Annual Plan Updates and was included in the FY 2020-23 MHSA Plan (see attachment “Suggested Improvement #1_Evidence of Correction 11_Cultural Competency Plan 20-23 MHSA 3	The submitted plan is accepted.

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		not limited to geographic location, age, gender, race, and unserved and/or underserved populations. DHCS recommends the County include this comparison of both stakeholder demographics and overall County demographics within the approved Plans and Updates.	Year Plan”).	