



State of California—Health and Human Services Agency
Department of Health Care Services



GAVIN NEWSOM
GOVERNOR

DATE: September 22, 2021

Behavioral Health Information Notice No: 21-059

TO: California Alliance of Child and Family Services
California Association for Alcohol/Drug Educators
California Association of Alcohol & Drug Program Executives, Inc.
California Association of DUI Treatment Programs
California Association of Social Rehabilitation Agencies
California Consortium of Addiction Programs and Professionals
California Council of Community Behavioral Health Agencies
California Hospital Association
California Opioid Maintenance Providers
California State Association of Counties
Coalition of Alcohol and Drug Associations
County Behavioral Health Directors
County Behavioral Health Directors Association of California
County Drug & Alcohol Administrators

SUBJECT: Allocation of Funding for Substance Use Disorder Prevention, Treatment, and Recovery Services, State Fiscal Year 2021-22 Governor's Approved Budget, v2.0

PURPOSE: This Information Notice (IN) transmits the State Fiscal Year (SFY) 2021 22 Substance Abuse Prevention and Treatment Block Grant (SABG) Allocation, based on the Governor's Approved Budget, to County Behavioral Health Program Directors and County Drug and Alcohol Administrators for informational and planning purposes.

REFERENCE: Health & Safety Code §11814

BACKGROUND:

This IN includes three exhibits:

- (A) Overview of Programs, Funding, and Allocation Methodologies
Exhibit A describes the allocation methodologies and the permitted uses of the SABG funds administered by the Community Services Division in the Department of Health Care Services (DHCS).

(B) Statewide Allocation Summary of SABG Funds

Exhibit B displays the SABG total statewide allocations from DHCS for substance use disorder prevention and treatment service programs.

(C) SABG Exchange Program

Exhibit C, Part 1, provides a description of the SABG Exchange Program, which allows small counties (less than 40,000) to exchange SABG Perinatal and SABG Adolescent and Youth Treatment allocations for SABG Discretionary funds.

Exhibit C, Part 2, provides a table showing the SABG Table of Exchanges.

DISCUSSION:

The total SABG allocation to counties for SFY 2021-22 will be \$231,386,367.

Due to the shift from advance payments to SABG payment in arrears, counties will no longer make the determination of paying expenditures from a specific Federal Fiscal Year (FFY) and are expected to track spending by SFY during the SABG period of performance. For SFY 2021-22, counties will be responsible for administering the allocations provided in their SFY 2021-22 SABG County Applications from July 1, 2021 through June 30, 2022.

Changes/Additions – Human Immunodeficiency Virus (HIV) Early Intervention Services (EIS)

For SFY 2021-22, DHCS will allow counties to use up to five percent of their total SFY SABG allocation for oral fluid rapid HIV testing and HIV pre- and post-test counseling. Counties must not exceed this five percent funding limitation.

Instead of a traditional set-aside, DHCS has established an annual HIV EIS allowance for each county (refer to Exhibit B – Statewide Preliminary Allocation Summary of SABG Funds). Counties may use their Discretionary SABG funds for HIV EIS activities up to the predetermined allowance amount. Any expenditure for HIV EIS services will reduce the county's Discretionary Fund allocation accordingly.

DHCS will provide counties with new services codes for HIV EIS upon release of the first SABG quarterly invoices for SFY 2021-22. Counties must use HIV EIS service codes to record all such expenditures in their quarterly invoices. The HIV EIS service codes must also be used in the SUD Cost Reporting System for final settlement of county SABG expenditures.

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For more information, please refer to DHCS Behavioral Health Information Notice No. 21-007: https://www.dhcs.ca.gov/Documents/CSD_YV/BHIN/BHIN-21-007-Substance-Use-Block-Grant-Funding-for-HIV-Testing-and-Early-Intervention-Services-ADA.pdf.

Invoicing and Payment

DHCS will notify counties from which FFY award their SABG Invoices (formerly known as Quarterly Federal Financial Management Reports) have been reimbursed for purposes of cost reporting. DHCS developed a revised SABG Invoice form, which will be emailed directly to county fiscal contacts prior to the start of SFY 2021-22. The revised SABG Invoice form removes FFY fields and adds, for DHCS completion, a field indicating from which FFY award the invoice was paid. Counties will receive copies of the completed SABG Invoice form following payment.

The due dates for SFY 2021-22 SABG Invoices will be as follows:

- SFY2021-22 Q1 (7/1/2021 – 9/30/2021): 11/15/2021
- SFY2021-22 Q2 (10/1/2021 – 12/31/2021): 2/15/2022
- SFY2021-22 Q3 (1/1/2022 – 3/31/2022): 5/15/2022
- SFY2021-22 Q4 (4/1/2022 – 6/30/2022): 8/15/2022

The SFY 2021-22 Q4 (4/1/2022 – 6/30/2022) SABG Invoice will serve both as a period to report Q4 expenditures, as well as a reconciliation period for SABG invoices from SFY 2021-22 Q1 through Q3.

Additional copies of this IN and associated Exhibits can be downloaded from the DHCS website. Questions concerning this IN and its Exhibits should be directed to SABG@dhcs.ca.gov.

Sincerely,

Original signed by

Marlies Perez, Chief
Community Services Division

Exhibits