

San Joaquin County Plan of Correction
Per the County Performance Contract Review Report for Review Date May 25, 2021

Finding #, Suggested Improvement # or Technical Assistance #	Finding, Suggested Improvement or Technical Assistance	Recommendation # (State Corrective Action Step/Identify Timeline/and Evidence of Corrections/Mechanisms for Monitoring Effectiveness)		Comments/Notes FOR DHCS USE ONLY
Finding #1	San Joaquin County's FY 2019-20 ARER was not posted to the county's website. (Cal. Code Regs., tit. 9, § 3510.010(b)(1); Welfare and Institutions Code section 5899)).	Recommendation #1: The County must post a copy of the FY 2020-21 ARER, and each subsequent ARER thereafter, to the county's website within 30 days of submitting to the Department of Health Care Services (DHCS).	<ol style="list-style-type: none"> 1. San Joaquin County will develop a policy entitled, "MHSA Funding Components and Reporting Requirements" to include written procedures for on-time submissions and posting of the ARER to the County's website. Policy will be completed by 4/30/22 and submitted to DHCS. 2. San Joaquin County will provide screenshot of posted ARER for FY 2020-21 by 2/28/22 	The submitted plan is accepted.
Finding #2	San Joaquin County did not include a description of the County demographics,	Recommendation #2 The County must include a description of the County demographics,	1. San Joaquin County will develop a checklist (submit to DHCS by 3/11/22) to ensure that the upcoming 2022-23	The submitted plan is accepted.

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	including: size of the County, unique characteristics, age, and gender in the adopted FY 2019-20 Annual Update (Update). The County did provide a description of the following county demographics: threshold languages and race/ethnicity.(Cal. Code Regs., tit 9, § 3300, MHSOAC FY 2015-2016 MHSA Annual Update Instructions (pg 5)).	including, but not limited to: size of the County, threshold languages, unique characteristics, age, gender, and race/ethnicity in the adopted FY 2020-23 Three-Year Program and Expenditure Plan (Plan), FY 2022-23 Update and each subsequent Plan and Update thereafter.	update and subsequent plan thereafter will include: <ol style="list-style-type: none"> a. size of the County, unique characteristics, age, and gender. 2. San Joaquin County will develop a policy entitled: "MHSA 3 Year Plan and Annual Update" to include procedures and instructions on development of MHSA Plans. Policy will be completed by 4/30/22 and submitted to DHCS. 3. San Joaquin will submit an updated 2022-23 Annual Update that includes size of the County, unique characteristics, age, and gender by 7/1/22. 	
Finding #3	San Joaquin County did not include a description	Recommendation #3	1. San Joaquin County will develop a checklist (submit to	The submitted plan is accepted.

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	<p>of how stakeholder involvement demonstrates a partnership with constituents and stakeholders throughout the process that includes meaningful stakeholder involvement on: mental health policy, monitoring, quality improvement, and budget allocations in the adopted FY 2019-20 Update. The County did include a description of stakeholder involvement in the following areas: program planning and implementation and evaluation. (W&I Code section 5848; MHSOAC FY 2015-16 MHSAs Annual Update Instructions (pg 3)).</p>	<p>The County must include a description of how stakeholder involvement demonstrates a partnership with constituents and stakeholders throughout the process that includes meaningful stakeholder involvement on mental health policy, program planning and implementation, monitoring, quality improvement, evaluation, and budget allocations in the adopted FY 2020-23 Plan, FY 2022-23 Update and each subsequent Plan and Update thereafter.</p>	<p>DHCS by 3/11/22) to ensure that the upcoming 2022-23 update and subsequent plan thereafter will include:</p> <ol style="list-style-type: none"> a. a partnership with constituents and stakeholders throughout the process that includes meaningful stakeholder involvement on: mental health policy, program planning and implementation, monitoring, quality improvement, evaluation, and budget allocations 2. San Joaquin County will develop a policy entitled: "MHSAs 3 Year Plan and Annual Update" to include procedures and instructions on development of MHSAs Plans to include partnerships summary of description on meaningful stakeholder involvement in mental health policy, monitoring, quality improvement, budget allocation, program planning, 	

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			<p>implementation and evaluation. Policy will be completed by 4/30/22 and submitted to DHCS.</p> <p>3. San Joaquin County will submit an updated plan or ensure the updated plan includes a description of stakeholder involvement in the following areas: mental health policy, program planning and implementation, monitoring, quality improvement, evaluation, and budget allocations by 7/1/22</p>	
Finding #4	San Joaquin County did not include documentation of achievement in performance outcomes for Community Services and Supports (CSS) and Innovation (INN) programs/services in the adopted FY 2019-20	Recommendation #4 The County must include documentation of achievement in performance outcomes for CSS, PEI and INN programs/services in the adopted	<p>1. San Joaquin County will develop a checklist (submit to DHCS by 3/11/22) to ensure that the upcoming 2022-23 update and subsequent plan thereafter will include:</p> <p>a. documentation of achievement in performance outcomes for Community Services and Supports (CSS)</p>	The submitted plan is accepted.

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	Update. The County did include documentation of achievement in performance outcomes for Prevention and Early Intervention (PEI). (County Performance Contract (6.)(A.)(5)(d.); W&I Code section 5848).	FY 2022-23 Update and each subsequent Plan and Update thereafter.	and Innovation (INN) programs/services 2. San Joaquin County will develop a policy entitled: "MHSA 3 Year Plan and Annual Update" to include procedures and instructions on development of MHSA Plans to fulfill documentation of achievement in performance outcomes for CSS, PEI and INN programs/services. Policy will be completed by 4/30/22 and submitted to DHCS. 3. San Joaquin County will submit an updated plan that includes documentation of achievement in performance outcomes for CSS, PEI and INN programs/services in the adopted FY 2022-23 Annual Update by 7/1/22	
Finding #5	San Joaquin County did not provide an estimate of the number of clients	Recommendation #5 The County must provide an estimate of the number	1. San Joaquin County will develop a checklist (submit to DHCS by 3/11/22) to ensure	The submitted plan is accepted.

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	<p>in each age group, to be served in the Full Service Partnership (FSP) service category in the adopted FY 2019-20 Update. (Cal. Code Regs., tit. 9, § 3650(a)(3); W&I Code section 5847(e)).</p>	<p>of FSP clients to be served in each age group: children (0-15 years old), transitional age youth (16-25 years old), adult (26-59 years old), and older adult (60 and older) for each fiscal year in the adopted FY 2020-23 Plan, FY 2022-23 Update and each subsequent Plan and Update thereafter.</p>	<p>that the upcoming 2022-23 update and subsequent plan thereafter will include:</p> <ul style="list-style-type: none"> a. an estimate of the number of FSP clients to be served in each age group: children (0-15 years old), transitional age youth (16-25 years old), adult (26-59 years old), and older adult (60 and older) for each fiscal year <p>2.San Joaquin County will develop a policy entitled: "MHSA 3 Year Plan and Annual Update" to include procedures and instructions on development of MHSA Plans to fulfill documentation of an estimate of the number of FSP clients to be served in each age group: children (0-15 years old), transitional age youth (16-25 years old), adult (26-59 years old), and older adult (60 and older) for each fiscal year. Policy will be completed by 4/30/22 and submitted to DHCS.</p>	

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			3. San Joaquin County will submit an updated plan to include an estimate of the number of FSP clients to be served in each age group: children (0-15 years old), transitional age youth (16-25 years old), adult (26-59 years old), and older adult (60 and older) for FY 2022-23 Update and each subsequent Plan and Update thereafter beginning 7/1/22	
Finding #6	San Joaquin County did not report the cost per person for PEI and INN programs in the adopted FY 2019-20 Update. The County did report cost per person for CSS programs. (W&I Code section 5847(e)).	Recommendation #6 The County must include the cost per person for CSS, PEI and INN programs in the adopted FY 2022-23 Update and each subsequent Plan and Update thereafter.	1. San Joaquin County will develop a checklist (submit to DHCS by 3/11/22) to ensure that the upcoming 2022-23 update and subsequent plan thereafter will include: <ul style="list-style-type: none"> a. the cost per person for CSS, PEI and INN programs Cost Per Client for PEI programs could be found in the PEI Evaluation Report beginning Pgs 224-287 of 	The submitted plan is accepted.

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			<p>the 20-23 Plan</p> <p>2. San Joaquin County will develop a policy entitled: "MHSA 3 Year Plan and Annual Update" to include procedures and instructions on development of MHSA Plans to fulfill documentation of the cost per person for CSS, PEI and INN programs. Policy will be completed by 4/30/22 and submitted to DHCS.</p> <p>3. San Joaquin County will report the cost per person for PEI and INN programs in the FY 2022-23 Plan and each subsequent plan thereafter.</p>	
Item #1	Community Program Planning Process (CPPP)	Suggested Improvement #1a DHCS recommends the County develop, finalize and implement a CPPP policy and procedure that includes the regulations	San Joaquin County will develop a policy and procedure entitled: "MHSA Community Program Planning Process". The P&P will include the regulations and statutes for the community program planning	The submitted plan is accepted.

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		and statutes for the community program planning process.	process. Policy will be completed by 4/30/22 and submitted to DHCS.	
Item #2	MHSA Plans and Updates	Suggested Improvement #2a DHCS recommends the County clearly identify the County's underserved/unserved populations in the County demographics section of the adopted Plans and Updates	<p>1. San Joaquin County will develop a checklist (submit to DHCS by 3/11/22) to ensure that the upcoming 2022-23 update and subsequent plan thereafter will include:</p> <ul style="list-style-type: none"> a. the County's underserved/unserved populations in the County demographics section of the adopted Plans and Updates <p>2. San Joaquin County will develop a policy entitled: "MHSA 3 Year Plan and Annual Update" to include procedures and instructions on development of MHSA Plans to fulfill documentation the</p>	The submitted plan is accepted.

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		<p>Suggested Improvement #2b DHCS recommends the County include any challenges or barriers for each program and strategies to mitigate those challenges or barriers in future adopted Plans and Updates.</p>	<p>County's underserved/unserved populations in the County demographics section of the adopted Plans and Updates. Policy will be completed by 4/30/22 and submitted to DHCS.</p> <p>3. San Joaquin County will ensure the adopted Plans and Updates clearly identify the County's underserved/unserved populations in the County demographics section</p> <p>1. San Joaquin County will develop a checklist (submit to DHCS by 3/11/22) to ensure that the upcoming 2022-23 update and subsequent plan thereafter will include:</p> <ul style="list-style-type: none"> a. any challenges or barriers for each program and strategies to mitigate those challenges or barriers in 	

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			<p>future adopted Plans and Updates</p> <p>2.San Joaquin County will develop a policy entitled: "MHSA 3 Year Plan and Annual Update" to include procedures and instructions on development of MHSA Plans to fulfill documentation of any challenges or barriers for each program and strategies to mitigate those challenges or barriers in future adopted Plans and Updates. Policy will be completed by 4/30/22 and submitted to DHCS.</p> <p>3. San Joaquin County will submit an adopted plan and updated that include any challenges or barriers for each program and strategies to mitigate those challenges or barriers in the upcoming FY 22-23 Annual Update and thereafter.</p>	

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Item #3:	Website Access and Links	Suggested Improvement #3a DHCS recommends the County ensure that all website hyperlinks are active and accessible to the public	1. San Joaquin County will develop a checklist (submit to DHCS by 3/11/22) to ensure that all website hyperlinks are active and accessible to the public on a quarterly basis. The county will provide webpage links to DHCS once the hyperlinks have been corrected.	The submitted plan is accepted.
Technical Assistance #1	The adopted FY 2020-23 Plan must include a description of the training the County provides to the participants in the CCP process. (Cal. Code Regs., tit. 9, § 3300(c)).	Technical Assistance #1	1. San Joaquin County will develop a checklist (submit to DHCS by 3/11/22) to ensure that the upcoming 2022-23 update and subsequent plan thereafter will include: <ul style="list-style-type: none"> a. a description of the training the County provides to the participants in the CCP process 	The submitted plan is accepted.

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			<p>2.San Joaquin County will develop a policy entitled: "MHSA 3 Year Plan and Annual Update" to include procedures and instructions on development of MHSA Plans to fulfill the description of the training the County provides to the participants in the CCP process. Policy will be completed by 4/30/22 and submitted to DHCS</p> <p>3.San Joaquin County will submit a 2022-23 Annual Update that includes a description of the training the County provides to the participants in the CCP process by 7/1/22.</p>	
Technical Assistance #2	The adopted FY 2020-23 Plan must include a budget summary for each fiscal year for the following components: CSS; PEI; INN;	Technical Assistance #2	1. San Joaquin County will develop a checklist (submit to DHCS by 3/11/22)to ensure that the upcoming 2023-26 Three year plan and	The submitted plan is accepted.

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	Workforce, Education, and Training (WET); Capital Facilities (CF); and Technological Needs (TN). (Cal. Code Regs., tit. 9, § 5847(e)).		<p>subsequent plan thereafter will include:</p> <ul style="list-style-type: none"> a. summary for each fiscal year for the following components: CSS; PEI; INN; Workforce, Education, and Training (WET); Capital Facilities (CF); and Technological Needs (TN) <p>2. San Joaquin County will develop a policy entitled: "MHSA 3 Year Plan and Annual Update" to include procedures and instructions on development of MHSA Plans to fulfill documentation summary for each fiscal year for the following components: CSS; PEI; INN; Workforce, Education, and Training (WET); Capital Facilities (CF); and Technological Needs (TN). Policy will be completed by 4/30/22 and submitted to DHCS.</p> <p>3. San Joaquin County will submit a FY 2023-26 Plan and</p>	

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			<p>subsequent plan thereafter to include a budget summary for each fiscal year for the following components: CSS; PEI; INN; Workforce, Education, and Training (WET); Capital Facilities (CF); and Technological Needs (TN) – by 7/1/23 for 3-Year Plan</p>	
Technical Assistance #3	<p>The CSS program names listed in the adopted FY 2020-23 Plan must be consistent with the names in the approved ARER. The budget in the adopted Plan should be consistent with the approved ARER. If the program or service did not occur, report the program or service on the approved ARER and indicate zero</p>	<p>Technical Assistance #3</p>	<p>1.San Joaquin County will develop a checklist (submit to DHCS by 3/11/22) to ensure that the upcoming 2022-23 annual update subsequent plan thereafter will include:</p> <ul style="list-style-type: none"> a. the requirement that CSS Program names listed in the adopted plan be consistent with the names in the approved ARER <p>2.San Joaquin County will develop a policy entitled: “MHSA 3 Year Plan and</p>	<p>The submitted plan is accepted.</p>

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	expenditures. Any discrepancies or name changes must be explained in the approved Plan. (W&I Code section 5892(g)).		<p>Annual Update” to include procedures and instructions that CSS program names listed in the adopted FY 2023-26 Plan must be consistent with the names in the approved ARER. The budget in the adopted Plan should be consistent with the approved ARER. If the program or service did not occur, report the program or service on the approved ARER and indicate zero expenditures. Any discrepancies or name changes must be explained in the approved Plan. Policy will be completed by 4/30/22 and submitted to DHCS.</p> <p>3. San Joaquin County will submit the CSS program names adopted in FY 2023-26 consistent with the names in the approved ARER.</p>	