CalAIM All Comer Webinar: PATH Collaborative Planning and Implementation

June 29, 2022

DHCS

Public Health Emergency (PHE) Unwinding

» **The COVID-19 PHE will end soon and millions of Medi-Cal beneficiaries may lose their coverage.**

» **Top Goal of DHCS:** Minimize beneficiary burden and promote continuity of coverage for our beneficiaries.

» **How you can help:**

- Become a **DHCS Coverage Ambassador**
- Download the Outreach Toolkit on the [DHCS Coverage Ambassador webpage](#)
- [Join the DHCS Coverage Ambassador mailing list](#) to receive updated toolkits as they become available

DHCS PHE Unwind Communications Strategy

» **Phase One: Encourage Beneficiaries to Update Contact Information**
- Launch immediately
- Multi-channel communication campaign to encourage beneficiaries to update contact information with county offices.
- Flyers in provider/clinic offices, social media, call scripts, website banners

» **Phase Two: Watch for Renewal Packets in the mail. Remember to update your contact information!**
- **Launch 60 days prior to COVID-19 PHE termination.**
- Remind beneficiaries to watch for renewal packets in the mail and update contact information with county office if they have not done so yet.

Goals for Today

Provide an overview of PATH initiatives

Provide an update on tentative PATH timelines and expected launch dates of remaining initiatives

Review program design for Collaborative Planning and Implementation, Capacity and Infrastructure Transition, Expansion and Development (CITED), and Technical Assistance Marketplace Initiatives

What is "Providing Access and Transforming Health" (PATH)?

California has received targeted expenditure authority as part of its section 1115 demonstration renewal for the "Providing Access and Transforming Health" (PATH) program to take the State's system transformation to the next phase, refocusing its uses to achieve the CalAIM vision. DHCS has currently received authorization for $1.44 billion total computable funding to support for PATH to maintain, build, and scale the capacity necessary to ensure successful implementation of Enhanced Care Management (ECM) and Community Supports under CalAIM.

PATH Program Initiatives

PATH Initiative Name	High-Level Description
Justice Involved Capacity Building	*Funding to support collaborative planning as well as infrastructure and capacity needed to maintain and build pre-release enrollment and suspension processes and implement pre-release services to support implementation of the full suite of statewide CalAIM justice-involved initiatives in 2023.*
WPC Services and Transition to Managed Care Mitigation Initiative	*Time limited support to sustain existing WPC pilot services that have transitioned to ECM and Community Supports and that MCPs have committed to cover, through the transition (no later than January 2024). Application process and funding began in Q1 2022.*
Collaborative Planning and Implementation Initiative	Support for collaborative planning and implementation efforts among MCPs, providers, community-based organizations, county agencies, public hospitals, tribes, and others to promote readiness for ECM and Community Supports. Application process and funding anticipated to begin in Summer 2022.
Capacity and Infrastructure Transition, Expansion and Development (CITED) Initiative	Enabling the transition, expansion, and development of capacity and infrastructure for providers, community-based organizations, county agencies, public hospitals, tribes, and others to provide ECM and Community Supports. Application process and funding anticipated to begin in Summer 2022.
Technical Assistance Initiative	Technical assistance to providers, community-based organizations, county agencies, public hospitals, tribes, and others. Application process and funding anticipated to begin in January 2023.

★ Focus for today

Update: WPC Services and Transition to Managed Care Mitigation Initiative

This initiative provides funding for former WPC Pilot Lead Entities to pay for existing WPC services before they transition to ECM or Community Supports on or before January 1, 2024.

- WPC Services and Transition to Managed Care Mitigation Initiative supports former WPC Lead Entities to temporarily sustain WPC Pilot services that "map" to ECM and/or Community Supports until a local MCP begins to cover them

- Currently, 10 out of 12 eligible former WPC Pilot Lead Entities have registered for this initiative

- Initial utilization reports will be due to DHCS on July 29, 2022 and invoices will be due on August 31, 2022

- Funding will be distributed to former WPC Pilot Lead Entities following review and approval of utilization reports and invoices

Update: Justice-Involved Capacity Building

Approved CalAIM 1115 waiver authorizes $151 million for PATH Justice-Involved Capacity Building Program to support collaborative planning and IT investments intended to support implementation of justice-involved (JI) pre-release Medi-Cal application and suspension processes.

- California statute requires all counties to implement pre-release Medi-Cal application processes by 1/1/2023 to ensure all eligible individuals who are released from correctional institutions receive timely access to Medi-Cal services

- Approved PATH funding will support correctional institutions, correctional agencies, and county departments of social services as they develop protocols and workflows, and implement IT system upgrades to support pre-release enrollment and suspension processes

- PATH funding will be made available in two rounds, the first providing small planning grants and the second providing larger application-based grants to support implementation/modification of pre-release application and suspension processes

In addition, DHCS is currently seeking approval for $410 million in additional funding for the PATH Justice-Involved Capacity Building Program to support planning and IT investments specifically related to the provision of pre-release and re-entry services

NEW Tentative Launch Timeline for TPA-Led PATH Initiatives

Activity/Initiative	June	July	Aug	Sept	Oct	Nov	Dec	Jan '23	Feb '23
Collaborative Planning and Implementation Initiative launch			*Registration Open*	*Launch: Funds Disbursed*					
CITED Initiative launch		*Release draft application*	*Application Window Opens*	*Application Window Closes*	*Application review and development of agreements with awardees*		*Launch: Funds Disbursed*		
TA Marketplace launch					*Select TA domains and/or customized assistance may launch earlier if ready*			*Launch: Marketplace Live*	
Justice-Involved Initiative launch	*Round 1 application window opens*	*Round 2 application window opens*	*Funds Disbursed on a Rolling Basis*						

🟩 = milestone   🟧 = interim milestone   ⬜ = work ongoing

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Collaborative Planning and Implementation Initiative

Initiative Overview

- PATH TPA will work with stakeholders in the region to convene and facilitate county or regional collaborative planning efforts

    - There will generally be a single PATH-funded collaborative planning group in each county / region

- Local collaborative planning groups will work together to identify, discuss, and resolve topical implementation issues and identify how PATH and other CalAIM funding initiatives – including IPP – may be used to address gaps identified in MCP Needs Assessments and Gap Filling Plans while avoiding duplication

- Collaborative planning efforts may build off existing collaborative efforts from the WPC Pilot Program or other existing collaborative initiatives

Collaborative Planning and Implementation Initiative Funding
- Initiative funding will be used to support a designated PATH collaborative planning facilitator in each county or region
- Individual collaborative planning participants will not receive funding via this initiative
- Entities will not be required to participate in collaborative planning efforts in order to apply for PATH CITED funding

Current Status

DHCS is in the process of soliciting and reviewing applications for collaborative planning group facilitators (roles/responsibilities of facilitators described on subsequent slides)

- DHCS will "match" approved facilitators to counties based on local needs and stakeholder input

- Later this summer, collaborative planning group participants will have the opportunity to register for collaborative planning groups launching in their county or region

Collaborative Planning Group Facilitators

Each collaborative planning group will have a designated facilitator responsible for hosting and facilitating convenings and developing programming and content.

- DHCS will identify entities that can serve as facilitators of PATH collaborative planning groups
- One entity may serve as a facilitator for multiple different collaborative planning groups
- Entities eligible to serve as PATH-funded collaborative planning facilitators include but are not limited to technical assistance vendors, consulting companies, foundations, non-profits, and other DHCS- approved entities

Participants in a county/region may request to work with an alternative facilitator if they have one they would prefer.

- If participants propose an alternative facilitator, then the PATH TPA will be responsible for collecting letters of support for the alternative facilitator from participants in the collaborative planning group
- Potential alternative facilitators will be required to complete the same standardized application and will be vetted against the same criteria as other facilitators

Application Process for Collaborative Planning Group Facilitators (Currently in Progress)

Entities that are interested in serving as collaborative planning group facilitators will complete a standardized application.

- Application forms will collect information on the following:

  - Previous experiences hosting collaborative planning groups or learning networks

  - Proposed collaborative planning approach including how the facilitator will identify, reach out to, invite and engage participants as well as how the facilitator will work with participants to drive and prioritize programming topics for collaborative planning groups

  - Intended uses of funding

  - Proposed funding milestones

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Application Process for Collaborative Planning Group Facilitators (cont'd)

Approach to Funding Distribution:

- PATH TPA will provide funding to collaborative planning group facilitators following completion of approved milestones

- Amount of funding available to facilitators will be determined by the number and size of collaborative planning groups that they support

- Funding will be used by facilitators to convene and facilitate collaborative planning groups

- Individual participants will not receive direct funding from the TPA

Collaborative Planning Group Participants

- **Collaborative planning group participants may include:** MCPs, county, city, and local government agencies, providers, CBOs, public hospitals, and Medi-Cal Tribal and Designees of Indian Health Programs, ECM and Community Supports providers, and others as approved by DHCS

- Entities providing or that may provide ECM / Community Support Services, and all entities that apply for PATH CITED or TA Marketplace funding will be invited to participate in collaborative planning groups

- Collaborative planning group facilitators will work with stakeholders to identify and invite other entities to participate. Once collaborative planning groups are established, participants will help identify critical issues that need to be addressed through the collaborative planning process, based on experience to date

Entities interested in participating in collaborative planning will complete a simple, standardized registration form that indicates their interest and intent to join a collaborative in the community (i.e., county or region) they operate in, and are permitted to participate in more than one collaborative if they operate in multiple regions. CBOs and other under-resourced entities will be supported throughout the registration process

MCPs Will be Encouraged to Support Local Collaborative Planning Efforts

MCPs will be encouraged to participate in PATH collaborative planning efforts in the counties they serve.

- MCPs will support identification of entities that participated in development of IPP Needs Assessment and gap filling plans as well as contracted ECM / Community Support providers to be invited to join collaborative planning efforts

- MCPs will be eligible to earn IPP funding by actively participating in the PATH Collaborative Planning and Implementation groups

- MCPs are not eligible to serve as the facilitators of PATH Collaborative Planning and Implementation groups

Leveraging Existing Collaborative Planning Efforts

- PATH-funded collaborative planning groups will leverage existing collaborative planning efforts that align with PATH program parameters, when feasible

- Entities that currently support facilitation of ECM / Community Support related collaborative planning efforts will be encouraged to serve as facilitators for PATH Collaborative Planning Groups

- Lessons learned and best practices gleaned from existing collaborative planning efforts will help "jump start" programming for PATH-funded collaborative planning groups

DHCS surveyed stakeholders to understand the landscape of existing local collaborative planning efforts and will use survey responses to inform how existing facilitators can be incorporated into the initiative and where gaps remain.

Sample Collaborative Planning Funding Activities

The needs of local providers/stakeholders will shape collaborative planning and implementation activities by county or region. Potential activities, may include:

Category	Potential Activities
Identifying ECM / Community Support needs and gaps within the community	» Participants may take stock of current ECM / Community Support services provided in the county / region and identify pressing needs and gaps » Participants may work with MCPs to review Incentive Payment Program (IPP) Needs Assessment and Gap Filling Plans » Participants may work with facilitators to understand how PATH and other CalAIM funding may be used to address needs and gaps within the county / region
Identifying and resolving topical implementation issues	» Facilitators may host regular convenings for entities to identify, discuss, and resolve local implementation issues that arise as CalAIM is rolled out across a county / region » Facilitator may work with participants to identify opportunities to use PATH and other CalAIM funding sources to address CalAIM implementation issues
Monitoring how PATH funds are being used	» Monitoring and reporting on use of funds to address implementation issues » Disseminating best practices that address implementation issues both within and across collaborative planning groups

Initiative Oversight

- Information on participants in regional/county-based collaboratives will be made publicly available

- Collaborative planning group facilitators will be responsible for submitting progress reports every six months that document the following, for example:

  - How collaborative planning and implementation funding has been spent

  - Participation in collaborative planning groups

  - Successes and challenges experienced by participants in collaborative planning groups

  - Lessons learned and best practices identified by MCPs and other entities from participation in the collaborative planning group

  - Results from a participant survey assessing satisfaction with collaborative planning facilitators and recommendations for future topics and convenings

- DHCS will submit regular progress reports to the federal government that will summarize information included in six-month progress reports from collaborative planning group facilitators

Collaborative Planning and Implementation	Capacity and Infrastructure	Technical Assistance

Tentative Initiative Timing

Entities will be able to register for the Collaborative Planning and Implementation Initiative beginning in Summer 2022. Any changes to this timeline will be communicated by DHCS.

PATH Initiative	2022				2023				2024				2025				2026			
	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Collaborative Planning and Implementation			■	■	■	■	■	■	■	■	■	■	■	■						

■ = Service / Funding Available

Capacity and Infrastructure Transition, Expansion, and Development (CITED) Initiative
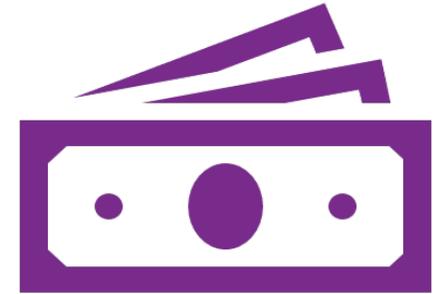
Initiative Overview

Funding to enable the transition, expansion and development of ECM and Community Supports capacity and infrastructure.

Applicants who wish to receive CITED funding must submit an application with their funding request, describing how they intend to use CITED funding.

- CITED funding recipients will be invited to participate in regional Collaborative Planning and Implementation groups

- Applications and funding requests should consider needs identified in the MCP's IPP Gap Assessment and Gap Filling Plan, needs identified in the Collaborative Planning initiative, and other needs they may have, and include strategies to avoid duplication and displacement of other funding sources (e.g., CalAIM IPP)

Initiative Overview (cont'd)

DHCS is finalizing CITED application templates; applications will be reviewed on and funding will be disbursed starting in December 2022.

- **Applicants may include, but are not limited to:**
  - County, city, and local government agencies
  - Public hospitals
  - Providers (ECM and Community Supports provider, or those that intend to contract as an ECM / Community Supports providers including those serving as a provider of housing services)
  - CBOs
  - Medi-Cal Tribal and Designees of Indian Health Programs
  - Others, as approved by DHCS
- Former WPC Lead Entities may use this funding to transition infrastructure developed under WPC Pilots to support ECM, Community Supports
- Applicants must be actively contracted for the provision of ECM / Community Supports or have a signed attestation that they intend to contract to provide ECM / Community Supports in a timely manner
- **MCPs are not eligible to receive CITED funding**

Application Process

- **CITED Applicants will be required to submit the following information:**
  - Applicant's experience providing ECM / Community Supports or equivalent services
  - Intended uses of CITED funds and justification for why funds are needed
  - How funding will align with local MCP IPP Needs Assessments and Gap Filling Plans and CalAIM goals
  - Sustainability plan for funding after CITED funding ends
  - Description of project milestones and deliverables for CITED funding
  - How applicants will coordinate with MCPs to ensure alignment of funding and avoid duplication
- In order to be considered for CITED funding, applicants must meet the following **minimum eligibility criteria**:
  - Completed application
  - Demonstration of financial solvency
  - Demonstration that funding will only be spent on permitted uses
  - Alignment with CalAIM goals
  - Demonstration that funding request is reasonable
  - Existing contract to provide ECM or Community Supports or attestation they intend to provide these services

Application Process (cont'd)

Application Review Process: Applications will be reviewed and approved during specified periods established by DHCS. During these periods, the PATH TPA will collect, review, and score applications according to DHCS criteria and will make funding recommendation to DHCS. DHCS will determine which applications are approved.

Criteria for evaluation beyond the minimum eligibility criteria may include:

- Strength of justification for CITED funds
- Alignment with CalAIM and ECM / Community Supports goals
- Applicant's approach to sustainability
- Coordination with stakeholders
- Program milestones
- Whether the applicant serves a historically marginalized or underserved population
- Participation in Collaborative Planning and Implementation groups
- Budget detail and reasonableness of funding amount and timeline

Approach for Funding Distribution: The TPA will distribute funding to applicants, following receipt, review and approval of funding requests by DHCS.

Potential CITED Application Windows and Allocations 2022 – Q1 2024

- CITED will include multiple open application windows in each year with target funding allocations associated with each round to promote an equitable distribution of CITED funding

- Allocation targets will also be established for each county / region within each funding window

- Allocations are not hard caps, and DHCS has discretion to adjust or exceed target allocations as needed

Round #	2022					2023												2024			
	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr
Round 1	■	■	■	■	■																
Round 2					■	■	■	■	■												
Round 3									■	■	■	■	■								
Round 4													■	■	■	■	■				
Round 5																		■	■	■	■

Legend:
- Open application period
- Application review and contract development
- Funding disbursed

*Note: Months represented above are approximations

Sample CITED Funding Activities

Category	Sample Activities
Increasing Provider Workforce	» Assessment of current organizational capabilities, infrastructure and systems, and capacity to deliver ECM / Community Supports » Identification of critical gaps and needs to be addressed for seamless provision of services to enrollees to ensure successful ECM/Community Supports participation » Initial hiring, recruiting, onboarding and training of staff that will have a direct role in the provision of ECM / Community Supports responsibilities » Increasing capacity to deliver new services/programs above and beyond current capabilities (e.g., offering a new Community Supports not previously offered under WPC)
Modifying, Purchasing, or Developing Necessary Infrastructure / Systems	» Supporting health information exchange between entities responsible for providing ECM and/or Community Supports services » Enhancements to existing systems to support core monitoring/data reporting needs » Connecting to a closed-loop referral system between CBOs, health care providers, and MCPs » Transitioning former WPC Pilot infrastructure for integration into ECM/Community Supports

Sample CITED Funding Activities (cont'd)

Category	Sample Activities
Supporting Delivery of ECM / Community Supports	» Modifying existing physical infrastructure of ECM / Community Supports provider sites that are essential for an organization's capacity to deliver ECM / Community Supports (e.g., replacing infrastructure that refrigerates fresh food or purchasing new medical respite beds) » Purchasing hardware or office equipment necessary to support delivery of ECM and Community Support services
Evaluating and Monitoring ECM / Community Supports	» Conducting a community health needs assessment to identify where there are gaps in capacity for one or more Community Supports
Other	» Developing a plan to conduct outreach to populations who have been traditionally under-resourced or underserved to engage them in care

Allocation Methodology

DHCS will define allocation targets – not hard caps – for each round of funding on a county-by-county basis, taking into consideration a variety of factors at the county level such as Medicaid enrollment, MCP revenue, and measures of housing access.

- **Non-WPC counties** will receive an upward allocation adjustment to account for previous delivery system and infrastructure funding provided to WPC counties

- If funding requests are lower than target allocations, DHCS may decide to fund all applications which meet the minimum eligibility criteria

- If funding requests exceed target allocations in any given funding round, DHCS will consider whether exceeding the target allocation is justified

  - If justified, DHCS may re-allocate funding between counties, DHCS may also choose to fund some applications at a lesser amount than the original request

- Allocation targets will be reset before each funding round, and DHCS may adjust the methodology to determine target allocations (e.g., reweighting measures to calculate target allocations)

Initiative Oversight

- Recipients will provide reports to DHCS documenting progress operationalizing CITED funding received and other milestones/metrics as required by DHCS

- CITED funds cannot be used to support capacity and infrastructure that are duplicative of IPP or other PATH capacity and infrastructure funding initiatives
  - All funding recipients will be required to sign an attestation that they will assess and take steps to prevent duplication of funding

- DHCS or the PATH TPA may conduct spot audits as needed to ensure that CITED funds are being used and reported appropriately

Tentative Initiative Timing

**First application period for CITED funding to open in Summer 2022.
Any changes to this timeline will be communicated by DHCS.**

PATH Initiative	2022				2023				2024				2025				2026			
	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Capacity and Infrastructure Transition, Expansion, and Development				■	■	■	■	■	■	■	■	■	■	■						

■ = Service / Funding Available

Brief Update on Technical Assistance Marketplace Initiative

Initiative Overview

Funding available for the provision of technical assistance (TA) for entities that intend to provide ECM and/or Community Supports.

- Entities may register for hands-on technical assistance support from vendors and access off-the-shelf TA resources in pre-defined TA domains

- **Technical assistance resources may include, for example:**
  - Hands-on trainings for ECM / Community Supports providers regarding billing and reporting requirements, contracting with health plans, and other areas that may be tailored to the needs of the organization and the communities they operate in
  - Guidance for data sharing processes between ECM / Community Supports providers and health plans
  - Accelerated learning sessions or computer-based learning modules for CBOs
  - Strategic planning consultations for entities implementing ECM / Community Supports
  - Customized project-specific support provided by vendors registered with the TA Marketplace

- TA resources will be provided through a virtual TA "Marketplace," which will serve as a one-stop-shop environment where entities can access TA resources. The marketplace will be designed, launched and managed by a Third-Party Administrator (TPA)
  - The TPA will contract with other vendors to provide TA services to eligible entities as part of the marketplace

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Eligibility Criteria

Applicants include entities that are contracted with or intend to contract with MCPs to provide ECM / Community Support Services, including:

- County, city, and local government agencies

- Public hospitals and providers

- Community-based providers

- Community-based organizations

- Other ECM and Community Supports Providers

- Medi-Cal Tribal and Designees of Indian Health Programs

- Other entities approved by DHCS

MCPs are not eligible to receive TA Marketplace resources.

Registration Process

Registration Process:

- Entities seeking technical assistance will fill out a standardized electronic registration form and submit it to the TPA for review for each request

- The registration form will collect information on entity type, contact information, information about the TA request and other funding sources the entity is accessing, and attestation information regarding other funding received that may overlap, and commitment to contract with MCP(s) to deliver ECM and/or Community Supports

Request Review Process:

- The TPA will review TA requests on a rolling basis and support entities in accessing the right types of TA services/vendors providing those services on the marketplace

- The TPA will also ensure non-duplication with other TA initiatives (e.g., through review of relevant IPP MCP Needs Assessment and Gap Filling Plans and other PATH funded initiatives)

Registration Process (cont'd)

Approach to TA and Funding Distribution:

- The entity seeking technical assistance will either access one of the "off the shelf" resources available on the marketplace, or identify an appropriate vendor that is registered with the marketplace and can provide specialty TA services to the applicant

- The entity seeking technical assistance and the selected marketplace vendor will develop a scope of work that describes the project and corresponding deliverables

- The TPA will pay the vendor upon completion of the deliverables articulated in the SOW

- For "off the shelf" resources, the TPA will pay the vendor directly based on an agreed rate / fee schedule

PATH Next Steps

Key Next Steps

Third Party Administrator (TPA) begins operationalizing the Justice-Involved Planning and Capacity Building, Collaborative Planning and Implementation, CITED and TA marketplace PATH initiatives (In progress)

Open registration for Collaborative Planning groups and match facilitators to regions/counties (Summer 2022)

Launch application periods for CITED funding and Round 2 JI Initiative funding (Summer 2022)

Questions?

If you have additional questions or we didn't get to your question today, please contact:
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