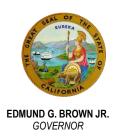


State of California—Health and Human Services Agency Department of Health Care Services



September 17, 2013

Gloria Nagle, PhD, MPA Associate Regional Administrator Centers for Medicare and Medicaid Services Division of Medicaid and Children's Health 90 Seventh Street, Suite 5-300 (5W) San Francisco, CA 94103-6707

STATE PLAN AMENDMENT (SPA) 13-037

Dear Ms. Nagle:

The Department of Health Care Services (DHCS) is submitting the enclosed State Plan Amendment (SPA) 13-037 to update the geographic area offering Targeted Case Management (TCM) services for the "Medically Fragile Individuals" TCM group. This SPA will supersede SPA 12-029, Supplement 1b to attachment 3.1-A, Page 1, of California's Medicaid State Plan under Title XIX of the Social Security Act, for Targeted Case Management services. The effective date of the SPA 13-037 will be July 1, 2013.

The revisions were made based on Imperial County's request to participate in "Medically Fragile Adults" TCM group.

If you have any questions or concerns regarding the proposed provisions, please contact Ms. Jennifer Brooks, Acting Chief, Safety Net Financing Division at (916) 322-2551.

Sincerely,

ORIGINAL DOCUMENT SIGNED BY:

Toby Douglas Director

cc: See Next Page

Gloria Nagle, PhD, MPA Page 2

Ms. Jennifer Brooks cc:

Safety Net Financing Division Department of Health Care Services

1501 Capitol Avenue, MS 4504

P.O. Box 997436

Sacramento, CA 95899-7436

HEALTH CARE FINANCING ADMINISTRATION		OMB NO. 0938-0193			
TRANSMITTAL AND NOTICE OF APPROVAL OF	1. TRANSMITTAL NUMBER:	2. STATE			
STATE PLAN MATERIAL	13-037	California			
FOR: HEALTH CARE FINANCING ADMINISTRATION	3. PROGRAM IDENTIFICATION: TITLE XIX OF THE SOCIAL SECURITY ACT (MEDICAID)				
TO: REGIONAL ADMINISTRATOR	4. PROPOSED EFFECTIVE DATE				
HEALTH CARE FINANCING ADMINISTRATION	July 1, 2013				
DEPARTMENT OF HEALTH AND HUMAN SERVICES					
5. TYPE OF PLAN MATERIAL (Check One):					
☐ NEW STATE PLAN ☐ AMENDMENT TO BE C	CONSIDERED AS NEW PLAN				
COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMEN	NDMENT (Separate Transmittal for each	amendment)			
6. FEDERAL STATUTE/REGULATION CITATION:	7. FEDERAL BUDGET IMPACT:				
Section 1915(g)(1) Social Security Act	a. FFY 2013 \$0)			
	b. FFY 2014 \$0				
8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT:	9. PAGE NUMBER OF THE SUPERS OR ATTACHMENT (If Applicable):				
SUPPLEMENT 1b TO ATTACHMENT 3.1-A Page 1					
	SUPPLEMENT 1b TO ATTACHMEN	T 3.1-A Page 1			
10. SUBJECT OF AMENDMENT: Targeted Case Management - Medically Fragile Individuals					
11. GOVERNOR'S REVIEW (Check One):					
☐ GOVERNOR'S OFFICE REPORTED NO COMMENT	☐ OTHER, AS SPEC	IFIED:			
☐ COMMENTS OF GOVERNOR'S OFFICE ENCLOSED	The Governor's Of	fice does not			
☐ NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL	wish to review the	State Plan Amendment.			
12. SIGNATURE OF STATE AGENCY OFFICIAL: ORIGINAL DOCUMENT SIGNED BY:	16. RETURN TO:				
13. TYPED NAME:	Department of Health (
Toby Douglas	Attn: State Plan Coordinator				
14. TITLE:	1501 Capitol Avenue, Suite 71.3.26 P.O. Box 997417				
Director	Sacramento, CA 95899	7/17			
15. DATE SUBMITTED:	Saciamento, CA 75077	-7-17			
September 17, 2013					
FOR REGIONAL OF					
17. DATE RECEIVED:	18. DATE APPROVED:				
PLAN APPROVED – ONI		77.07 . 7			
19. EFFECTIVE DATE OF APPROVED MATERIAL:	20. SIGNATURE OF REGIONAL OF	FICIAL:			
21. TYPED NAME:	22. TITLE:				
23. REMARKS:					

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State/Territory: CALIFORNIA

TARGETED CASE MANAGEMENT SERVICES MEDICALLY FRAGILE INDIVIDUALS

<u>Target Group (42 Code of Federal Regulations 441.18(a)(8)(i) and 441.18(a)(9))</u>: Medi-Cal eligible individuals, 18 years or older, who are medically fragile, and have multiple diagnoses. Such individuals must also be:

- a) At high risk for medical compromise due to one of the following conditions:
 - i) Failure to take advantage of necessary health care services, or
 - ii) Noncompliance with their prescribed medical regime, or
 - iii) An inability to coordinate multiple medical, social and other services due to the existence of an unstable medical condition in need of stabilization, or
 - iv) An inability to understand medical directions because of comprehension barriers, or
 - v) A lack of community support system to assist in appropriate follow-up care at home, or
 - vi) Substance abuse, or
 - vii) A victim of abuse, neglect or violence; and
- b) In need of assistance in accessing necessary medical, social, educational, or other services, when comprehensive case management is not being provided elsewhere.

X Target group includes individuals transitioning to a community setting. Case management services will be made available for up to 180 consecutive days of a covered stay in a medical institution. The target group does not include individuals between ages 22 and 64 who are served in Institutions for Mental Disease or individuals who are inmates of public institutions. (State Medicaid Directors Letter (SMDL), July 25, 2000).

For those individuals in this target group, who may receive case management services under a waiver program, case management services shall not be duplicated, in accordance with Section 1915(g) of the Social Security Act. This target group excludes persons enrolled in a Home and Community-Based Services waiver program from receipt of Targeted Case Management (TCM) Services.

There shall be a county-wide system to ensure coordination among TCM providers of case management services provided to Medi-Cal beneficiaries who are eligible to receive case management services from two or more programs.

Areas of State in which services will be provided (§1915(g)(1) of the Act): Entire State.

X Only in the following geographic areas: Counties of Alameda, Amador, Butte, Contra Costa, El Dorado, Fresno, Humboldt, Imperial, Kern, Kings, Lake, Madera, Marin, Merced, Monterey, Napa, Orange, Placer, Riverside, Sacramento, San Diego, San Francisco, San Joaquin, San Luis Obispo, San Mateo, Santa Barbara, Santa Clara, Santa Cruz, Solano, Sonoma, Stanislaus, Tulare, Tuolumne, Ventura, Yolo, Yuba, City of Berkeley, and City of Long Beach.

Comparability of Services (§§1902(a)(10)(B) and 1915(g)(1))

Services are provid	ded in accordance	with Section 1	1902(a)(10)(B)	of the Act
 Services are provid	ded in accordance	WILLI SECTION	1302(a)(10)(b)	or trie Act.

 $\frac{\overline{X}}{X}$ Services are not comparable in amount, duration, and scope (§1915(g)(1)).

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TN No. 13-037 Approval Date Effective Date 07/01/2013

TN No. <u>13-037</u> Supersedes TN No. 12-029