



DEPARTMENT OF HEALTH & HUMAN SERVICES

Centers for Medicare & Medicaid Services

Region IX

Division of Medicaid & Children's Health Operations

90 Seventh Street, Suite 5-300 (5W)

San Francisco, CA 94103-6706

JAN 30 2012

Toby Douglas, Director  
California Department of Health Care Services  
P.O. Box 997413, MS 0000  
Sacramento, CA 95899-7413

Dear Mr. Douglas:

We received your request for a technical page correction to State Plan Amendment (SPA) Number 05-010, which was approved on December 16, 2011. Your technical page correction changed the superseded SPA number to be consistent with our files and re-numbered two pages.

Enclosed are the following updated SPA pages that should be incorporated into your approved State Plan:

**Limitations on Attachment 3.1-A, pages 9, 9a, 9b, 9c, 9d**

**Limitations on Attachment 3.1-B, pages 9, 9a, 9b, 9c, 9d**

**Limitations on Attachment 3.1-A, pages 26, 27, 28, 29, 29a**

**Limitations on Attachment 3.1-B, pages 25, 26, 27, 28, 28a**

If you have any questions, please contact Carolyn Kenline at (415) 744-3591 or at [carolyn.kenline@cms.hhs.gov](mailto:carolyn.kenline@cms.hhs.gov).

Sincerely,

Gloria Nagle, Ph.D., M.P.A.

Associate Regional Administrator

Division of Medicaid & Children's Health Operations

Enclosure

cc: Pilar Williams, California Department of Health Care Services  
Kathryn Waje, California Department of Health Care Services



### STATE PLAN CHART

Type of Service	Program Coverage**	Authorization and Other Requirements*
4b Early and periodic screening, diagnosis, and treatment services, and treatment of conditions found.	<p>Covered for Medi-Cal eligibles under 21 years of age.</p> <p>Includes rehabilitative mental health services for seriously emotionally disturbed children: collateral, assessment, individual therapy, group therapy, medication service, crisis intervention, day care intensive, day care habilitation offered in local and mental health clinics or in the community.</p> <p>Includes Local Education Agency (LEA) Medi-Cal Billing Option Program services (LEA services). LEAs are the governing body of any school district or community college district, the county office of education, a state special school, a California State University campus, or a University of California campus.</p>	<p>Prior authorization is not required.</p> <p>Medical necessity is the only limitation.</p> <p><u>Service Limitations</u></p> <p>LEA services are limited to a maximum of 24 services per 12-month period for a beneficiary without prior authorization, provided that medical necessity criteria are met. LEAs may obtain authorization for LEA services beyond 24 services per 12-month period from the beneficiary's:</p> <ul style="list-style-type: none"><li>• Individualized Education Plan (IEP) and Individualized Family Service Plan (IFSP) developed for the special education student,</li><li>• California Children Services Program,</li><li>• Short-Doyle Program,</li><li>• Medi-Cal field office authorization (TAR),</li><li>• Prepaid health plan authorization (including Primary Care Case Management).</li></ul>

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TN No. 05-010  
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TN No. 03-024

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## STATE PLAN CHART

Type of Service	Program Coverage**	Authorization and Other Requirements*
4b Early and periodic screening, diagnosis, and treatment services, and treatment of conditions found.  Local Education Agency (LEA) Services (cont.)	LEA services are defined as: <u>Non-IEP/IFSP Assessments</u> <ul style="list-style-type: none"><li>• Health and mental health evaluation and education (EPSDT also covered in Subsection 13d). Health and mental health evaluation and education includes parts of the EPSDT assessment such as assessment of nutritional status and nutritional education, vision assessment, hearing assessment, developmental assessment, assessment of psychosocial status, health education and anticipatory guidance appropriate to age and health status which includes wellness counseling.</li></ul>	LEA services are covered when provided to, or directed exclusively toward the treatment of, a Medicaid eligible student under 21 years of age.  <u>Provider Qualifications</u> Services must be performed by providers who meet the applicable qualification requirements as described in 42 C.F.R. Part 440 who render services, within their scope of practice, as established in state law. Rendering providers of LEA services are licensed physicians/psychiatrists, licensed physician's assistants, licensed optometrists, licensed registered nurses, licensed credentialed school nurses, certified public health nurses, certified nurse practitioners, licensed vocational nurses, trained health care aides, registered school audiometrists, licensed clinical social workers, licensed psychologists, licensed educational psychologists, licensed marriage and family therapists (formerly licensed marriage, family and child counselors), credentialed school psychologists, credentialed school social workers, credentialed pupil service workers, licensed speech pathologists, licensed audiologists, credentialed speech-language pathologists (formerly credentialed language, speech and hearing specialists), credentialed audiologists (formerly credentialed language, speech and hearing specialists), licensed physical therapists, registered occupational therapists, and registered dietitians. The State's Attorney General, in opinion #06-1011, dated November 30, 2006, concluded that the State's qualifications for the professional clear credential and the preliminary credential for speech-language pathologists were equivalent to the federal speech-pathologists qualifications in 42 CFR 440.110.

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4c Family planning services and supplies for individuals of child bearing age.	Covered as physician and pharmaceutical services.	Prior authorization is not required, and informed consent must be properly obtained for all sterilizations. Sterilization of persons under 21 years of age is not covered.
5a Physician's Services  *Prior Authorization is not required for emergency service. **Coverage is limited to medically necessary services.	As medically necessary, subject to limitations; however, experimental services are not covered.	Physician services do not require prior authorization except as noted below:

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<p>4b Early and periodic screening, diagnosis, and treatment services, and treatment of conditions found.</p> <p>Local Education Agency (LEA) Services (cont.)</p>		<ul style="list-style-type: none"><li>The definition of "under the direction of" a licensed audiologist, licensed speech-language pathologist or credentialed speech-language pathologist who has a professional clear services credential in speech-language pathology is that the practitioner is individually involved with the patient under his or her direction and accepts professional and legal responsibility for the actions of the credentialed practitioners that he or she agrees to direct. The practitioner must see each patient at least once, have some input into the type of care provided, and review the patient after treatment has begun.</li></ul> <p>LEAs providing LEA services may be subject to on-site review and/or audit by the Centers for Medicare and Medicaid Services and/or its agents, the single state agency and/or its agents or the Department of Education under an interagency agreement with the single state agency.</p>
<p>4c Family planning services and supplies for individuals of child bearing age.</p>	<p>Covered as physician and pharmaceutical services.</p>	<p>Prior authorization is not required, and informed consent must be properly obtained for all sterilizations. Sterilization of persons under 21 years of age is not covered.</p>
<p>5a Physician's Services</p> <p>*Prior Authorization is not required for emergency service. **Coverage is limited to medically necessary services</p>	<p>As medically necessary, subject to limitations; however, experimental services are not covered.</p>	<p>Physician services do not require prior authorization except as noted below:</p>

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24g Local Education Agency (LEA) Services	<p>LEAs are the governing body of any school district or community college district, the county office of education, a state special school, a California State University campus, or a University of California campus.</p> <p>LEA services are defined as:</p> <p><u>Non-IEP/IFSP Assessments</u></p> <ul style="list-style-type: none"><li>• Health and mental health evaluation and education (EPSDT also covered in Subsection 4b and 13d). Health and mental health evaluation and education includes parts of the EPSDT assessment such as assessment of nutritional status and nutritional education, vision assessment, hearing assessment, developmental assessment, assessment of psychosocial status, health education and anticipatory guidance appropriate to age and health status which includes wellness counseling.</li></ul>	<p><u>Service Limitations</u></p> <p>LEA services are limited to a maximum of 24 services per 12-month for a beneficiary without prior authorization, provided that medical necessity criteria are met. LEAs may obtain authorization for LEA services beyond 24 services 12-month period from the beneficiary's:</p> <ul style="list-style-type: none"><li>• Individualized Education Plan (IEP) and Individualized Family Service Plan (IFSP) developed for the special education student,</li><li>• California Children Services Program,</li><li>• Short-Doyle Program,</li><li>• Medi-Cal field office authorization (TAR),</li><li>• Prepaid health plan authorization (including Primary Care Case Management).</li></ul> <p>All Medi-Cal recipients have access to enrolled LEA providers for the services they provide.</p>

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Type of Service

Program Coverage\*\*

Authorization and Other Requirements\*

24g Local Education Agency (LEA)  
Services (cont.)

IEP/IFSP Assessments

- Health and mental health evaluation and education (EPSDT also covered in Subsection 4b and 13d) includes psychosocial and developmental assessments to determine a student's eligibility for services under the Individuals with Disabilities Education Act (IDEA) or to obtain information on the student to identify and modify the health related services in the IEP/IFSP. These assessments, referred to as IEP/IFSP assessments, include psychological, speech language, occupational therapy, physical therapy, audiological and health evaluations.

Provider Qualifications

Services must be performed by providers who meet the applicable qualification requirements as defined in 42 C.F.R. Part 440 who render services, within their scope of practice, as established in state law. Rendering providers of LEA services are licensed physicians/psychiatrists, licensed physician's assistants, licensed optometrists, licensed registered nurses, licensed credentialed school nurses, certified public health nurses, certified nurse practitioners, licensed vocational nurses, trained health care aides, registered school audiometrists, licensed clinical social workers, licensed psychologists, licensed educational psychologists, licensed marriage and family therapists (formerly licensed marriage, family and child counselors), credentialed school psychologists, credentialed school social workers, credentialed pupil service workers, licensed speech pathologists, licensed audiologists, credentialed speech-language pathologists (formerly credentialed language, speech and hearing specialists), credentialed audiologists (formerly credentialed language, speech and hearing specialists), licensed physical therapists, registered occupational therapists, and registered dietitians. The State's Attorney General, in opinion #06-1011, dated November 30, 2006, concluded that the State's qualifications for the professional clear credential and the preliminary credential for speech-language pathologists were equivalent to the federal speech-pathologists qualifications in 42 CFR 440.110.

In addition, the following limitations apply:

- Credentialed school psychologists may provide psychosocial assessments, health education and anticipatory guidance, and psychological treatment services recommended by a physician or other licensed practitioner of the healing arts only to the extent authorized under Business and Professions Code Section 2909 and Education Code Sections 49422 and 49424 to Medicaid eligible students.

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Authorization and Other Requirements\*

24g Local Education Agency (LEA)  
Services (cont.)

Treatment Services

- Physical therapy, (as covered in Subsection 11(a));
- Occupational therapy (as covered in Subsection 11(b));
- Speech/audiology (as covered in Subsection 11(c));
- Physician services (as covered in Subsection 5(a));
- Psychology (as covered in Subsections 6(d) and 13(d));
- Nursing services (as covered in Subsection 4 (b) and 13(c));
- School health aide services (as covered in Subsections 13(d) and 24(a));

- Credentialed school social workers may provide psychosocial assessments, health education and anticipatory guidance, and psychosocial treatment services recommended by a physician or other licensed practitioner of the healing arts only to the extent authorized under Business and Professions Code Sections 4996, 4996.9, 4996.14 and 4996.15 and Education Code Section 44874 to Medicaid eligible students.
- Credentialed audiologists may provide audiological and communication disorders assessments and treatment services, for which a student has been referred by a physician or other licensed practitioner of the healing arts, under the direction of a licensed audiologist only to the extent authorized under Business and Professions Code Sections 2530.2, 2530.5 and 2532 and Education Code Sections 44225 and 44268 to Medicaid eligible students.

Medical transportation (as covered in Subsection 24(a)).

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23g Local Education Agency (LEA) Services	<p>LEAs are the governing body of any school district or community college district, the county office of education, a state special school, a California State University campus, or a University of California campus.</p> <p>LEA services are defined as:</p> <p><u>Non-IEP/IFSP Assessments</u></p> <ul style="list-style-type: none"><li>• Health and mental health evaluation and education (EPSDT also covered in Subsection 4b and 13d). Health and mental health evaluation and education includes parts of the EPSDT assessment such as assessment of nutritional status and nutritional education, vision assessment, hearing assessment, developmental assessment, assessment of psychosocial status, health education and anticipatory guidance appropriate to age and health status which includes wellness counseling.</li></ul>	<p><u>Service Limitations</u></p> <p>LEA services are limited to a maximum of 24 services per 12-month period for a beneficiary without prior authorization, provided that medical necessity criteria are met. LEAs may obtain authorization for LEA services beyond 24 services per 12-month period from the beneficiary's:</p> <ul style="list-style-type: none"><li>• Individualized Education Plan (IEP) and Individualized Family Service Plan (IFSP) developed for the special education student,</li><li>• California Children Services Program,</li><li>• Short-Doyle Program,</li><li>• Medi-Cal field office authorization (TAR),</li><li>• Prepaid health plan authorization (including Primary Care Case Management).</li></ul> <p>All Medi-Cal recipients have access to enrolled LEA providers for the services they provide.</p>

\*Prior Authorization is not required for emergency service.

\*\*Coverage is limited to medically necessary services.

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STATE PLAN CHART

Type of Service	Program Coverage**	Authorization and Other Requirements*
23g Local Education Agency (LEA) Services (cont.)	<p data-bbox="583 295 895 325"><u>IEP/IFSP Assessments</u></p> <ul data-bbox="583 348 1149 852" style="list-style-type: none"><li data-bbox="583 348 1149 852">• Health and mental health evaluation and education (EPSDT also covered in Subsection 4b and 13d) includes psychosocial and developmental assessments to determine a student's eligibility for services under the Individuals with Disabilities Education Act (IDEA) or to obtain information on the student to identify and modify the health related services in the IEP/IFSP. These assessments, referred to as IEP/IFSP assessments, include psychological, speech language, occupational therapy, physical therapy, audiological and health evaluations.</li></ul>	<p data-bbox="1183 295 1442 325"><u>Provider Qualifications</u></p> <p data-bbox="1183 348 2040 930">Services must be performed by providers who meet the applicable qualification requirements as defined in 42 C.F.R. Part 440 who render services, within their scope of practice, as established in state law. Rendering providers of LEA services are licensed physicians/psychiatrists, licensed physician's assistants, licensed optometrists, licensed registered nurses, licensed credentialed school nurses, certified public health nurses, certified nurse practitioners, licensed vocational nurses, trained health care aides, registered school audiometrists, licensed clinical social workers, licensed psychologists, licensed educational psychologists, licensed marriage and family therapists (formerly licensed marriage, family and child counselors), credentialed school psychologists, credentialed school social workers, credentialed pupil service workers, licensed speech pathologists, licensed audiologists, credentialed speech-language pathologists (formerly credentialed language, speech and hearing specialists), credentialed audiologists (formerly credentialed language, speech and hearing specialists), licensed physical therapists, registered occupational therapists, and registered dietitians. The State's Attorney General, in opinion #06-1011, dated November 30, 2006, concluded that the State's qualifications for the professional clear credential and the preliminary credential for speech-language pathologists were equivalent to the federal speech-pathologists qualifications in 42 CFR 440.110.</p> <p data-bbox="1183 953 1602 982">In addition, the following limitations apply:</p> <ul data-bbox="1183 1006 2040 1174" style="list-style-type: none"><li data-bbox="1183 1006 2040 1174">• Credentialed school psychologists may provide psychosocial assessments, health education and anticipatory guidance, and psychological treatment services recommended by a physician or other licensed practitioner of the healing arts only to the extent authorized under Business and Professions Code Section 2909 and Education Code Sections 49422 and 49424 to Medicaid eligible students.</li></ul>

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- qualifications in 42 CFR 440.110.
- Credentialed pupil service workers may provide psychosocial assessments only;
- Registered dietitians and nutritionists may provide assessments of nutritional status and nutritional education only;
- School health aides may provide trained health aide services only under the direct supervision of a physician, registered nurse or nurse practitioner or licensed vocational nurse and only to the extent authorized under federal law and the California Business and Professions Code.

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Limitations on Attachment 3.1-B  
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Type of Service	Program Coverage**	Authorization and Other Requirements*
23g Local Education Agency (LEA) Services (cont.)	<p><u>Treatment Services</u></p> <ul style="list-style-type: none"> <li>• Physical therapy, (as covered in Subsection 11(a);</li> <li>• Occupational therapy (as covered in Subsection 11(b);</li> <li>• Speech/audiology (as covered in Subsection 11(c);</li> <li>• Physician services (as covered in Subsection 5(a);</li> <li>• Psychology (as covered in Subsections 6(d) and 13(d);</li> <li>• Nursing services (as covered in Subsection 4(b) and 13(c).</li> </ul>	<ul style="list-style-type: none"> <li>• Credentialed school social workers may provide psychosocial assessments, health education and anticipatory guidance, and psychosocial treatment services recommended by a physician or other licensed practitioner of the healing arts only to the extent authorized under Business and Professions Code Sections 4996, 4996.9, 4996.14 and 4996.15 and Education Code Section 44874 to Medicaid eligible students.</li> <li>• Credentialed audiologists may provide audiological and communication disorders assessments and treatment services, for which a student has been referred by a physician or other licensed practitioner of the healing arts, under the direction of a licensed audiologist only to the extent authorized under Business and Professions Code Sections 2530.2, 2530.5 and 2532 and Education Code</li> </ul>

STATE PLAN CHART

Type of Service

Program Coverage\*\*

Authorization and Other Requirements\*

23g Local Education Agency (LEA)  
Services (cont.)

- The definition of “under the direction of” a licensed audiologist, licensed speech-language pathologist or credentialed speech-language pathologist who has a professional clear services credential in speech-language pathology is that the practitioner is individually involved with the patient under his or her direction and accepts professional and legal responsibility for the actions of the credentialed practitioners that he or she agrees to direct. The practitioner must see each patient at least once, have some input into the type of care provided, and review the patient after treatment has begun.

LEAs providing LEA services may be subject to on-site review and/or audit by the Centers for Medicare and Medicaid Services and/or its agents, the single state agency and/or its agents or the Department of Education under an interagency agreement with the single state agency.

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ENCLOSURE

\*\*FOR STAKEHOLDERS ONLY

Revised Pages for:  
CALIFORNIA MEDICAID STATE PLAN  
Under Transmittal of  
STATE PLAN AMENDMENT (SPA)

**05-010\***

All new pages will have this SPA\* number identified as the new TN No., so it will not be repeated for each new insert pages.

Remove Page(s)	Insert Page(s)
Limitation on Attachment 3.1-A, pages 9, 9a, 9b, 9c, 9d	Limitation on Attachment 3.1-A, pages 9, 9a, 9b, 9c, 9d
Limitation on Attachment 3.1-A, pages 26, 27, 28, 29	Limitation on Attachment 3.1-A, pages 26, 27, 28, 29, 29a
Limitation on Attachment 3.1-B, pages 9, 9a, 9b, 9c, 9d	Limitation on Attachment 3.1-B, pages 9, 9a, 9b, 9c, 9d
Limitation on Attachment 3.1-B, pages 25, 26, 27, 28	Limitation on Attachment 3.1-B, pages 25, 25, 27, 28, 28a

