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Date: April 25, 2019

PPL No. 18-018R
(Revised)

To: Local Educational Agencies

Subject: NOTIFICATION OF ORDERING, REFERRING OR PRESCRIBING PRACTITIONER REQUIREMENTS IN THE LOCAL EDUCATIONAL AGENCY MEDI-CAL BILLING OPTION PROGRAM

This Policy and Procedure Letter (PPL) notifies Local Educational Agencies (LEA) participating in the LEA Medi-Cal Billing Option Program that practitioners who order, refer, or prescribe (ORP) direct medical treatment services must have a National Provider Identifier (NPI) Type 1, and must be individually enrolled as a Medi-Cal ORP provider. Effective for dates of service on or after July 1, 2018, LEAs are required to include the NPI of the ORP practitioner on claims for treatment services.

Assessment services are not affected by this PPL. The following table includes qualified ORP practitioners for each treatment service type covered in the LEA Program as of the date of this PPL:

| Treatment Service | Qualified ORP Practitioner(s) |
|---|--|
| Nursing (registered nurse or licensed vocational nurse) | <u>Medication and therapeutic agent administration:</u> Licensed Clinical Psychologist; Dentist; Physician; Podiatrist <u>Specialized physical health care and other nursing services:</u> Physician |
| Occupational Therapy | Physician; Podiatrist |
| Physical Therapy | Physician; Podiatrist |
| Psychology/Counseling | Licensed Clinical Social Worker; Licensed Educational Psychologist*; Licensed Marriage and Family Therapist; Licensed Psychologist; Physician; Registered Credentialed School Nurse* |
| School Health Aide | Physician |
| Speech Language/ Audiology** | Dentist; Physician <i>**If a speech language pathologist/licensed audiologist refers for services pursuant to a physician-based standards protocol, it is the physician who developed the physician-based standards protocol that is considered the Medi-Cal ORP provider, and it is their NPI that must be included on the claim for Medi-Cal reimbursement.</i> |

**When using the PAVE Portal to enroll as a Medi-Cal ORP provider (see following page), LEP and RCSN applicants must select "other" as their provider type, and enter their provider type.*

NPI Requirement

Title 42 of the Code of Federal Regulations (CFR), Section 455.440, states the following: “The State Medicaid agency must require all claims for payment for items and services that were ordered or referred to contain the National Provider Identifier (NPI) of the physician or other professional who ordered or referred such items or services.”

The Centers for Medicare and Medicaid Services (CMS) National Plan and Provider Enumeration System (NPPES) NPI Registry allows individuals or organizations to apply for NPIs online at <https://nppes.cms.hhs.gov>. The applicant should create a new account and follow the online instructions to create a new NPI. For the purposes of the LEA Program, an ORP practitioner will apply for an Individual NPI.

When billing Medi-Cal for services provided as a result of an order or referral, the ORP practitioner’s NPI Type 1 number must be included on the claim, using Line 76 on UB-04 paper claims, or 2310A Segment NM109 on electronic claims.

Medi-Cal Provider Enrollment Requirement

Title 42 of the CFR, Section 455.410, states the following: “The State Medicaid agency must require all ordering or referring physicians or other professionals providing services under the State plan or under a waiver of the plan to be enrolled as participating providers.”

Information regarding enrolling as a Medi-Cal ORP provider can be accessed at the Medi-Cal Provider Enrollment Division website at <http://www.dhcs.ca.gov/provgovpart/Pages/PED.aspx>, which also links to the Provider Application and Validation for Enrollment (PAVE) website.

Applicants may use the online PAVE Portal to enroll as a Medi-Cal ORP provider electronically. Alternatively, applicants may also access the Medi-Cal ORP Provider Application for Physician and Non-physician Practitioners (DHCS 6219) at http://files.medi-cal.ca.gov/pubsdoco/prov_enroll.asp.

If the LEA’s ORP practitioners already have an NPI and the LEA would like to confirm that these practitioners are also individually enrolled in Medi-Cal, the online ORP Enrollment Validation Lookup tool can be accessed online at <http://www.medi-cal.ca.gov/ORPEnroll/ORPEnroll.aspx>.

For more information, please see the ORP Guide located on the LEA Program website. If you have questions concerning this PPL, please contact Rick Record, Chief, LEA Medi-Cal Billing Option Program, by phone at (916) 345-7688 or by e-mail at Rick.Record@dhcs.ca.gov.

Sincerely,

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Rick Record, Chief
LEA Medi-Cal Billing Option Program