



Exclusionary Databases and Lists Attachment A

Network Providers and Subcontractors are placed on exclusionary lists or databases when they are no longer permitted to participate in the Medi-Cal Program due to a variety of reasons as described in All Plan Letter (APL) 21-003.¹ Medi-Cal managed care health plans (MCPs) are required to review the exclusionary databases listed below on a regular basis, and at least monthly. MCPs must take the appropriate action outlined below upon discovery of a Network Provider or Subcontractor on an exclusionary database or list.

| Exclusionary Database/List | Criteria | MCP Action |
|---|--|--|
| Suspended and Ineligible Provider List² | This list includes Medi-Cal providers that are suspended from participation in the Medi-Cal program. | Initiate a contract termination and submit the appropriate documentation as outlined in APL 21-003. |
| Restricted Provider Database (RPD)³ | <i>Payment Suspension:</i> Providers are placed under a payment suspension while under investigation based upon a credible allegation of fraud. ^{4, 5} | MCPs may continue the contractual relationship; however, reimbursements for Medi-Cal covered services will be withheld. MCPs that choose to terminate the contract must submit the appropriate documentation as outlined in APL 21-003. |

¹ For more information on Networks, Network Providers, and Subcontractors, including the definition and requirements applicable, see the MCP’s contract with the Department of Health Care Services (DHCS), APL 19-001, or any superseding version of that APL. MCP boilerplate contracts are available at:

<https://www.dhcs.ca.gov/provgovpart/Pages/MMCDBoilerplateContracts.aspx>.

APLs can be found at: <https://www.dhcs.ca.gov/formsandpubs/Pages/AllPlanLetters.aspx>.

² The Suspended and Ineligible List can be found at: <https://files.medi-cal.ca.gov/pubsdoco/SandILanding.aspx>.

³ Access to the RPD is provided to each MCP’s primary and secondary plan contact list, which is updated and maintained by DHCS Contract Managers. The sanction action is specific to the individual rendering provider’s National Provider Identifier and/or organization Tax Identification Number as listed on the database.

⁴ Title 42, Code of Federal Regulations (CFR) section 455.23 and Welfare and Institution Code (WIC) section 14107.11. Part 455 of the CFR is searchable at the following link:

[https://www.ecfr.gov/cgi-bin/text-](https://www.ecfr.gov/cgi-bin/text-idx?SID=4638cff771f7c855b1d5596dd4260182&mc=true&node=pt42.4.455&rgn=div5)

[idx?SID=4638cff771f7c855b1d5596dd4260182&mc=true&node=pt42.4.455&rgn=div5](https://www.ecfr.gov/cgi-bin/text-idx?SID=4638cff771f7c855b1d5596dd4260182&mc=true&node=pt42.4.455&rgn=div5). WIC is searchable at: http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml.

⁵ Providers under a payment suspension will be indicated as such under the “comment” column of the database.



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| Exclusionary Database/List | Criteria | MCP Action |
|--|---|---|
| | <p><i>Temporary Suspension:</i> Providers are placed on a temporary suspension while under investigation for fraud or abuse, or enrollment violations.^{6, 7}</p> <p>Organizations that have been included as temporarily suspended do not apply to providers operating under that organization, unless otherwise stated.</p> | <p>Initiate a contract termination and submit appropriate documentation as outlined in APL 21-003. This applies to all enrolled locations for the provider that is temporarily suspended.</p> |
| <p>List of Excluded Individuals/Entities⁸</p> | <p>This list includes individuals and entities that are excluded from federally funded health care programs for a variety of reasons, including a conviction for Medicare or Medicaid fraud.</p> | <p>Initiate a contract termination and submit appropriate documentation as outlined in APL 21-003.</p> |
| <p>Procedure/Drug Code Limitation List^{9,10}</p> | <p>This list includes Providers that have been placed under a procedure or drug code limitation sanction.</p> | <p>MCPs may continue to contract with providers placed on a procedure/drug code limitation, however, MCPs will not be paid for services provided by a restricted provider or receive reimbursement for those services under restriction. Providers who fill orders for lab tests, drugs, medical supplies, or any other restricted services prescribed or ordered by a provider under restriction will not be reimbursed.</p> |

⁶ WIC sections 14043.2 and 14043.36(a).

⁷ Providers under a temporary suspension are placed on the database without a comment under the “reason code” column.

⁸ The List of Excluded Entities can be found on the Office of Inspector General’s website at: https://oig.hhs.gov/exclusions/exclusions_list.asp.

⁹ The Procedure/Drug Code Limitation List can be found at: https://files.medi-cal.ca.gov/pubsdoco/pdcl_home.aspx

¹⁰ WIC section 14044.



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| Exclusionary Database/List | Criteria | MCP Action |
|----------------------------|----------|--|
| | | MCPs who choose to terminate the contract must submit appropriate documentation as outlined in APL 21-003. |