

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
San Francisco Regional Office  
90 Seventh Street, Suite 5-300 (5W)  
San Francisco, CA 94103-6706



**DIVISION OF MEDICAID & CHILDREN'S HEALTH OPERATIONS**

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December 16, 2014

Toby Douglas, Director  
California Department of Health Care Services  
P.O. Box 997413, MS 0000  
Sacramento, CA 95899-7413

Dear Mr. Douglas:

Enclosed is an approved copy of California State Plan Amendment (SPA) 14-022. SPA 14-022 was submitted to my office on September 25, 2014. This SPA adds Shasta County to the "Children Under the Age of 21" Targeted Case Management group. The effective date of this SPA is July 1, 2014.

Enclosed is the following approved SPA page that should be incorporated into your approved State Plan:

- Supplement 1a to Limitations to Attachment 3.1-A, page 1

If you have any questions, please contact Tyler Sadwith by phone at (415) 744-3563 or by email at [tyler.sadwith@cms.hhs.gov](mailto:tyler.sadwith@cms.hhs.gov).

Sincerely,

/s/

Hye Sun Lee, MPH  
Associate Regional Administrator  
Division of Medicaid & Children's Health Operations

Enclosure

cc: Michelle Kristoff, California Department of Health Care Services  
Nathaniel Emery, California Department of Health Care Service

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| <b>TRANSMITTAL AND NOTICE OF APPROVAL OF<br/>STATE PLAN MATERIAL</b> | 1. TRANSMITTAL NUMBER:<br>14-022 | 2. STATE<br>California |
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| <b>FOR: HEALTH CARE FINANCING ADMINISTRATION</b> | 3. PROGRAM IDENTIFICATION: TITLE XIX OF THE<br>SOCIAL SECURITY ACT (MEDICAID) |
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| TO: REGIONAL ADMINISTRATOR<br>HEALTH CARE FINANCING ADMINISTRATION<br>DEPARTMENT OF HEALTH AND HUMAN SERVICES | 4. PROPOSED EFFECTIVE DATE<br>July 1, 2014 |
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5. TYPE OF PLAN MATERIAL (Check One):

NEW STATE PLAN       AMENDMENT TO BE CONSIDERED AS NEW PLAN       AMENDMENT

COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMENDMENT (Separate Transmittal for each amendment)

|   |   |
|---|---|
| 6. FEDERAL STATUTE/REGULATION CITATION:<br>Section 1915(g)(1) Social Security Act | 7. FEDERAL BUDGET IMPACT:<br>a. FFY <del>2014</del> 15/16 : \$54,746 <del>\$54,070</del><br>b. FFY <del>2015</del> 16/17 : \$42,581 <del>\$56,774</del> FFY 2014/2015: \$13,518 |
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| 8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT:<br>SUPPLEMENT 1a TO ATTACHMENT 3.1-A Page 1 | 9. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION<br>OR ATTACHMENT (If Applicable):<br>SUPPLEMENT 1a TO ATTACHMENT 3.1-A Page 1 |
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10. SUBJECT OF AMENDMENT:  
Targeted Case Management – Children Under the Age of 1

September 22, 2014

11. GOVERNOR'S REVIEW (Check One):

GOVERNOR'S OFFICE REPORTED NO COMMENT       OTHER, AS SPECIFIED:  
 COMMENTS OF GOVERNOR'S OFFICE ENCLOSED      The Governor's Office does not  
 NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL      wish to review the State Plan Amendment.

15. DATE SUBMITTED: 9/24/14

16. RETURN TO:  
Department of Health Care Services  
Attn: State Plan Coordinator  
1501 Capitol Avenue, Suite 71.3.26  
P.O. Box 997417  
Sacramento, CA 95899-7417

FOR REGIONAL OFFICE USE ONLY

|  |                                     |
|--|-------------------------------------|
| 17. DATE RECEIVED:   | 18. DATE APPROVED:                  |
| PLAN APPROVED – ONE COPY ATTACHED  |                                     |
| 19. EFFECTIVE DATE OF APPROVED MATERIAL:   | 20. SIGNATURE OF REGIONAL OFFICIAL: |
| 21. TYPED NAME:  | 22. TITLE:                          |
| 23. REMARKS:<br>Updated Geographic Area where Targeted Case Management services will be offered. |                                     |

December 16, 2014

Associate Regional Administrator

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT  
State/Territory: CALIFORNIA

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**TARGETED CASE MANAGEMENT SERVICES  
CHILDREN UNDER THE AGE OF 21**

Target Group (42 Code of Federal Regulations 441.18(a)(8)(i) and 441.18(a)(9)):

Medi-Cal eligible children, under the age of 21 years old, who are:

- a) At high risk for medical compromise due to one of the following conditions:
  - i) Failure to take advantage of necessary health care services, or
  - ii) Noncompliance with their prescribed medical regime, or
  - iii) An inability to coordinate multiple medical, social and other services due to the existence of an unstable medical condition in need of stabilization, or
  - iv) An inability to understand medical directions because of comprehension barriers, or
  - v) A lack of community support system to assist in appropriate follow-up care at home, or
  - vi) Substance abuse, or
  - vii) A victim of abuse, neglect or violence; and
- b) In need of assistance in accessing necessary medical, social, educational, or other services, when comprehensive case management is not being provided elsewhere.

For those individuals in this target group, who may receive case management services under a waiver program, case management services shall not be duplicated, in accordance with Section 1915(g) of the Social Security Act. This target group excludes persons enrolled in a Home and Community-Based Services waiver program from receipt of Targeted Case Management (TCM) services.

There shall be a county-wide system to ensure coordination among TCM providers of case management services provided to Medi-Cal beneficiaries who are eligible to receive case management services from two or more programs.

Areas of State in which services will be provided (§1915(g)(1) of the Act):

Entire State.

Only in the following geographic areas: Counties of Alameda, Amador, Butte, Contra Costa, El Dorado, Fresno, Humboldt, Kern, Kings, Lake, Los Angeles, Madera, Marin, Merced, Monterey, Napa, Orange, Placer, Riverside, Sacramento, San Diego, San Francisco, San Luis Obispo, Santa Barbara, Santa Clara, Santa Cruz, Shasta, Solano, Sonoma, Stanislaus, Tulare, Tuolumne, Ventura, Yolo, Yuba, City of Berkeley, and City of Long Beach.

Comparability of Services (§§ 1902(a)(1 O)(B) and 1915(g)(1))

Services are provided in accordance with Section 1902(a)(1 O)(B) of the Act.

Services are not comparable in amount, duration, and scope (§1915(g)(1)).

Definition of Services (42 CFR 440.169): Targeted case management services are defined as services furnished to assist individuals, eligible under the State Plan, in gaining access to needed medical, social, educational and other services. Targeted Case Management includes the following assistance:

1. Comprehensive assessment and periodic reassessment of individual needs, to determine the need for any medical, educational, social or other services. These assessment activities include: