DEPARTMENT OF HEALTH & HUMAN SERVICES Centers for Medicare & Medicaid Services San Francisco Regional Office 90 Seventh Street, Suite 5-300 (5W) San Francisco, CA 94103-6706



## DIVISION OF MEDICAID & CHILDREN'S HEALTH OPERATIONS

March 27, 2018

Mari Cantwell Chief Deputy Director, Health Care Programs California Department of Health Care Services P.O. Box 997413, MS 0000 Sacramento, CA 95899-7413

Dear Ms. Cantwell:

Enclosed is an approved copy of California State Plan Amendment (SPA) 17-027, which was submitted to the Centers for Medicare & Medicaid Services (CMS) on November 8, 2017. CA SPA 17-027 will restore comprehensive optional dental benefits for beneficiaries ages 21 and older, subject to medical necessity and utilization controls, that were not restored in May 2014 under CA SPA 13-018.

The effective date of this SPA is January 1, 2018. Enclosed are the following approved SPA pages that should be incorporated into your approved state plan:

- Limitations on Attachment 3.1-A, pages 3b, 3B.1, 3d, 3E and 15a
- Limitations on Attachment 3.1-B, pages 3b, 3B.1, 3d, 3E and 15a

If you have any questions, please contact Cheryl Young at 415-744-3598 or via email at <a href="mailto:Cheryl.Young@cms.hhs.gov">Cheryl.Young@cms.hhs.gov</a>.

Sincerely,

ORIGINAL SIGNED

Henrietta Sam-Louie Associate Regional Administrator Division of Medicaid & Children's Health Operations

## Enclosures

cc: Rene Mollow, California Department of Health Care Services (DHCS)
Alani Jackson, DHCS
Cynthia Smiley, DHCS
Jim Elliott, DHCS
Nathaniel Emery, DHCS

TRANSMITTAL AND NOTICE OF APPROVAL OF STATE PLAN MATERIAL	1. THANSMITTAL NOMBER  1. 7 — 0 2 7 California			
FOR: CENTERS FOR MEDICARE & MEDICAID SERVICES	3. PROGRAM IDENTIFICATION: TITLE XIX OF THE SOCIAL SECURITY ACT (MEDICAID)			
TO: REGIONAL ADMINISTRATOR CENTERS FOR MEDICARE & MEDICAID SERVICES DEPARTMENT OF HEALTH AND HUMAN SERVICES	January 1, 2018			
5. TYPE OF PLAN MATERIAL (Check One)				
☐ NEW STATE PLAN ☐ AMENDMENT TO BE CON	SIDERED AS NEW PLAN			
COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMI	ENDMENT (Separate transmittal for each amendment)			
6. FEDERAL STATUTE/REGULATION CITATION	7. FEDERAL BUDGET IMPACT a. FFY 2018: Jan 1- Sept 30, 2018 \$ 96,771,756			
42 CFR sec. 440.225 and 42 CFR 440.100	a. FFY 2018: Jan 1- Sept 30, 2018 \$ 96,771,756 b. FFY 2019: Oct 1, 2018 - Dec 31, 2018 \$ 31,787,419			
8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT	9. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION			
Limitations on Attachment 3.1-A, page 15a	OR ATTACHMENT (If Applicable)			
Limitations on Attachment 3.1-B, page 15a	Limitations on Attachment 3.1-A,pages 15a			
Limitations on Attachment 3.1-A, page 3d, 3E, 3b and 3B.1	Limitations on Attachment 3.1-B,pages 15a			
Limitations on Attachment 3.1-B, page 3d, 3E, 3b and 3B.1	Limitations on Attachment 3.1-A, page 3d, 3E, 3B.1			
	Limitations on Attachment 3.1-B, page 3d 3E, 3B.1 Limitations on Attachments 3.1-A & 3.1-B, page 3b			
10. SUBJECT OF AMENDMENT	9			
Effective January 1, 2018, Medi-Cal Adult Dental Ben				
benefits, not restored in 2014, outlined in the state's manual of criteria.				
11. GOVERNOR'S REVIEW (Check One)				
☐ GOVERNOR'S OFFICE REPORTED NO COMMENT	OTHER, AS SPECIFIED			
COMMENTS OF GOVERNOR'S OFFICE ENCLOSED				
☐ NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL	2.			
ORIGINAL SIGNED	16. RETURN TO			
	Department of Health Care Services			
13. TYPED NAME	ATTN: State Plan Coordinator			
Mari Cantwell  14. TITLE	1501 Capitol Avenue, Suite 71.326			
State Medicaid Director	P.O. Box 997417 Sacramento, CA 95899-7417			
15. DATE SUBMITTED	Jacramento, OA 33033-7417			
11/8/2017				

FOR REGIONAL OFFICE USE ONLY

TYPE OF SERVICE	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
2b. Rural Health Clinic services and other ambulatory services covered under the state plan (continued).	9. Licensed acupuncturist who is authorized to provide acupuncture services by the State and who is acting within the scope of his/her license	
	Audiology, chiropractic, podiatry, and speech therapy are covered optional benefits only for the following beneficiaries:	
	<ul> <li>Pregnant women, if the optional benefit is part of their pregnancy-related services or services for a condition that might complicate the pregnancy.</li> <li>Individuals who are eligible for the Early and Periodic Screening, Diagnostic and Treatment benefit.</li> </ul>	
	Psychology services are covered in RHCs for all Medi- Cal beneficiaries.	
	The following services are limited to a maximum of two services in any one calendar month or any combination of two services per month from the following services, although additional services can be provided based on medical necessity: acupuncture, audiology, chiropractic,	

TN No. <u>17-027</u> Supersedes TN No. <u>16-025</u> occupational therapy, podiatry, and speech therapy.

<sup>\*</sup> Prior authorization is not required for emergency services. \*\*Coverage is limited to medically necessary services.

TYPE OF SERVICE PROGRAM COVERAGE\*\* PRIOR AUTHORIZATION OR OTHER REQUIREMENTS\* 2b. Rural Health Clinic services and Effective January 1, 2018 dental benefits are covered services under this state plan as medically necessary other ambulatory services covered when prescribed by a doctor of dental surgery under the state plan. (dentist) authorized to practice dentistry by the State (Continued) and who is acting within the scope of his/her license. Additional services may be covered when medically necessary for pregnant individuals or individuals under age 21 who are eligible for benefits under the Early and Periodic Screening, Diagnostic, and Refer to home health services section for Treatment Program. additional requirements. Rural Health Center home nursing services are provided only to established patients of the center to ensure continuity of care. Physician services and home nursing services in those areas having a shortage of home health agencies are covered.

TN No.<u>17-027</u> Supersedes TN No.13-018

<sup>\*</sup>Prior authorization is not required for emergency services.

<sup>\*\*</sup>Coverage is limited to medically necessary services.

TYPE OF SERVICE PROGRAM COVERAGE\*\*

PRIOR AUTHORIZATION OR OTHER REQUIREMENTS\*

2c and 2d Federally Qualified Health Center (FQHC) services and other ambulatory services covered under the state plan (continued).

- 4. Certified Nurse Midwife (CNM) who is authorized to practice nursing and midwifery services by the State and who is acting within the scope of his/her license
- 5. Visiting nurse who is authorized to practice nursing by the State and who is acting within the scope of his/her license
- 6. Comprehensive Perinatal Services Program (CPSP) practitioner services
- 7. Licensed clinical social worker who is authorized to practice social work services by the State and who is acting within the scope of his/her license
- 8. Clinical psychologist who is authorized to practice psychology services by the State and who is acting within the scope of his/her license
- 9. Licensed acupuncturist who is authorized to provide acupuncture services by the State and who is acting within the scope of his/her license

Audiology, chiropractic, podiatry, and speech therapy are covered optional benefits only for the following beneficiaries:

- Pregnant women, if the optional benefit is part of their pregnancy-related services or services for a condition that might complicate the pregnancy.
- Individuals who are eligible for the Early and Periodic Screening, Diagnostic and Treatment benefit.

Psychology services are covered in FQHCs for all Medi-Cal beneficiaries.

TN No.<u>17-027</u> Supersedes TN No.16-025

<sup>\*</sup> Prior authorization is not required for emergency services.

<sup>\*\*</sup>Coverage is limited to medically necessary services.

PRIOR AUTHORIZATION OR

OTHER REQUIREMENTS\*

Page 3E

2c and 2d Federally Qualified Health Center (FQHC) services and other ambulatory services covered under the state plan (continued).

TYPE OF SERVICE

The following services are subject to a two-services limit in any one calendar month or any combination of two services per month from the following services, although additional services can be provided based on medical necessity: acupuncture, audiology, chiropractic, occupational therapy, podiatry, and speech therapy.

PROGRAM COVERAGE\*\*

FQHC home nursing services are provided only to established patients of the center to ensure continuity of care. Physician services and home nursing services in those areas having a shortage of home health agencies are covered.

Refer to home health services section for additional requirements.

TN No.17-027 Supersedes TN No. 13-018

<sup>\*</sup> Prior authorization is not required for emergency services.

<sup>\*\*</sup>Coverage is limited to medically necessary services.

# STATE PLAN CHART

# Limitations on Attachment 3.1-A

Page 15a

	TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
9	Clinic Services (continued)	The following services are subject to a two-services limit in any one calendar month or any combination of two services per month, although additional services can be provided based on medical necessity through the TAR process: acupuncture, audiology, chiropractic, occupational therapy, podiatry, and speech therapy.	
10	Dental Services	Effective January 1, 2018, pursuant to 42 U.S.C. Section 1396d(a)(10), emergency and essential diagnostic and restorative dental services are covered, based on medical necessity and subject to limitations contained in applicable state statutes, regulations, manual of criteria, and utilization controls.  For beneficiaries 21 years of age and older, there is an \$1,800 annual benefit maximum, unless medically necessary or under the following exceptions:  • Emergency dental services • Services that are federally mandated under Part 440 (commencing with Section 440.1) of Title 42 of the Code of Federal Regulations, including pregnancy-related services and for other conditions that might complicate the pregnancy. • Dentures • Maxillofacial and complex oral surgery • Maxillofacial services, including dental implants and implant-retained prostheses. • Services provided in long-term care facilities. For beneficiaries under 21 years of age, medically necessary dental services mandated by Sections 1905(a)(4)(B) and (r) of the Social Security Act (42 U.S.C. Sections 1396d(a)(4)(B) and (r), early and periodic screening, diagnostic, and treatment services are covered. Cosmetic procedures, experimental procedures, and orthodontic services for beneficiaries 21 years of age and older are not covered benefits.	Dental services are administered through an agreement between the Medi-Cal Dental program and its contractor(s). On behalf of the State, the Dental contractor(s) shall approve and provide payment for covered dental services performed by an enrolled dental provider when services are provided in accordance with the state's manual of criteria.

TN Number: <u>17-027</u>

Supersedes

TN Number: <u>15-010</u>

Approval Date: March 27, 2018

Effective Date: January 1, 2018

<sup>\*\*</sup>Coverage is limited to medically necessary services.

TYPE OF SERVICE	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
2b. Rural Health Clinic services and other ambulatory services covered under the state plan (continued).	9. Licensed acupuncturist who is authorized to provide acupuncture services by the State and who is acting within the scope of his/her license	
Audiology, chiropractic, podia covered optional benefits onl beneficiaries:  • Pregnant women, if the their pregnancy-related condition that might condition		
	Psychology services are covered in RHCs for all Medi- Cal beneficiaries.	
	The following services are limited to a maximum of two services in any one calendar month or any combination of two services per month from the following services, although additional services can be provided based on medical necessity: acupuncture, audiology, chiropractic,	

TN No. <u>17-027</u> Supersedes TN No. <u>16-025</u>

Approval Date: March 27, 2018

occupational therapy, podiatry, and speech therapy.

<sup>\*</sup> Prior authorization is not required for emergency services. \*\*Coverage is limited to medically necessary services.

TYPE OF SERVICE PROGRAM COVERAGE\*\* PRIOR AUTHORIZATION OR OTHER REQUIREMENTS\* 2b. Rural Health Clinic services and Effective January 1, 2018 dental benefits are covered services under this state plan as medically necessary other ambulatory services covered when prescribed by a doctor of dental surgery under the state plan. (dentist) authorized to practice dentistry by the State (Continued) and who is acting within the scope of his/her license. Additional services may be covered when medically necessary for pregnant individuals or individuals under age 21 who are eligible for benefits under the Early and Periodic Screening, Diagnostic, and Refer to home health services section for Treatment Program. additional requirements. Rural Health Center home nursing services are provided only to established patients of the center to ensure continuity of care. Physician services and home nursing services in those areas having a shortage of home health agencies are covered.

TN No.<u>17-027</u> Supersedes TN No.13-018

<sup>\*</sup>Prior authorization is not required for emergency services.

<sup>\*\*</sup>Coverage is limited to medically necessary services.

TYPE OF SERVICE PROGRAM COVERAGE\*\* PRIOR AUTHORIZATION OR OTHER REQUIREMENTS\*

2c and 2d Federally Qualified Health Center (FQHC) services and other ambulatory services covered under the state plan (continued).

- 4. Certified Nurse Midwife (CNM) who is authorized to practice nursing and midwifery services by the State and who is acting within the scope of his/her license
- 5. Visiting nurse who is authorized to practice nursing by the State and who is acting within the scope of his/her license
- 6. Comprehensive Perinatal Services Program (CPSP) practitioner services
- 7. Licensed clinical social worker who is authorized to practice social work services by the State and who is acting within the scope of his/her license
- 8. Clinical psychologist who is authorized to practice psychology services by the State and who is acting within the scope of his/her license
- 9. Licensed acupuncturist who is authorized to provide acupuncture services by the State and who is acting within the scope of his/her license

Audiology, chiropractic, podiatry, and speech therapy are covered optional benefits only for the following beneficiaries:

- Pregnant women, if the optional benefit is part of their pregnancy-related services or services for a condition that might complicate the pregnancy.
- Individuals who are eligible for the Early and Periodic Screening, Diagnostic and Treatment benefit.

Psychology services are covered in FQHCs for all Medi-Cal beneficiaries.

TN No.<u>17-027</u> Supersedes TN No.16-025

<sup>\*</sup> Prior authorization is not required for emergency services.

<sup>\*\*</sup>Coverage is limited to medically necessary services.

Page 3E

2c and 2d Federally Qualified Health Center (FQHC) services and other ambulatory services covered under the state plan (continued).

TYPE OF SERVICE

PROGRAM COVERAGE\*\*

PRIOR AUTHORIZATION OR OTHER REQUIREMENTS\*

The following services are subject to a two-services limit in any one calendar month or any combination of two services per month from the following services, although additional services can be provided based on medical necessity: acupuncture, audiology, chiropractic, occupational therapy, podiatry, and speech therapy.

FQHC home nursing services are provided only to established patients of the center to ensure continuity of care. Physician services and home nursing services in those areas having a shortage of home health agencies are covered.

Refer to home health services section for additional requirements.

TN No.<u>17-027</u> Supersedes TN No. 13-018

Approval Date: March 27, 2018 Effective Date: January 1, 2018

<sup>\*</sup> Prior authorization is not required for emergency services.

<sup>\*\*</sup>Coverage is limited to medically necessary services.

# STATE PLAN CHART

# Limitations on Attachment 3.1-B

Page 15a

Effective Date: January 1, 2018

emergency and essential diagnostic and restorative dental services are covered, based on medical necessity and subject to limitations contained in applicable state statutes, regulations, manual of criteria, and utilization contractor(s). On behic contractor (s) shall appro covered dental services probable state statutes, regulations, manual of criteria, and utilization contractor (s) shall appro covered dental services probable state statutes, regulations, manual of criteria, and utilization contractor (s) shall appro covered dental services probable services and services and services accordance with the state services that are federally mandated under Part 440 (commencing with Section 440.1) of Title 42 of the Code of Federal Regulations, including pregnancy-related services and for other conditions that might complicate the pregnancy.  Dentures  Maxillofacial and complex oral surgery  Maxillofacial services, including dental implants and implant-retained prostheses.  Services provided in long-term care facilities.  For beneficiaries under 21 years of age, medically necessary dental services mandated by Sections 1905(a)(4)(B) and (r) of the Social Security Act (42 U.S.C. Sections 1396d(a)(4)(B) and (r), early and periodic screening,	TYP	PE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
emergency and essential diagnostic and restorative dental services are covered, based on medical necessity and subject to limitations contained in applicable state statutes, regulations, manual of criteria, and utilization contractor(s). On behic contractor (s) and applicable state statutes, regulations, manual of criteria, and utilization contractor (s) shall appro covered dental services penefit maximum, unless medically necessary or under the following exceptions:  • Emergency dental services • Services that are federally mandated under Part 440 (commencing with Section 440.1) of Title 42 of the Code of Federal Regulations, including pregnancy-related services and for other conditions that might complicate the pregnancy. • Dentures • Maxillofacial and complex oral surgery • Maxillofacial services, including dental implants and implantretained prostheses. • Services provided in long-term care facilities. For beneficiaries under 21 years of age, medically necessary dental services mandated by Sections 1905(a)(4)(B) and (r) of the Social Security Act (42 U.S.C. Sections 1396d(a)(4)(B) and (r), early and periodic screening,	9 Clinic	c Services (continued)	month or any combination of two services per month, although additional services can be provided based on medical necessity through the TAR process: acupuncture, audiology, chiropractic, occupational therapy,	
experimental procedures, and orthodontic services for beneficiaries 21 years of age and older are not covered benefits.	10 Denta	tal Services	emergency and essential diagnostic and restorative dental services are covered, based on medical necessity and subject to limitations contained in applicable state statutes, regulations, manual of criteria, and utilization controls.  For beneficiaries 21 years of age and older, there is an \$1,800 annual benefit maximum, unless medically necessary or under the following exceptions:  • Emergency dental services • Services that are federally mandated under Part 440 (commencing with Section 440.1) of Title 42 of the Code of Federal Regulations, including pregnancy-related services and for other conditions that might complicate the pregnancy. • Dentures • Maxillofacial and complex oral surgery • Maxillofacial services, including dental implants and implant-retained prostheses. • Services provided in long-term care facilities. For beneficiaries under 21 years of age, medically necessary dental services mandated by Sections 1905(a)(4)(B) and (r) of the Social Security Act (42 U.S.C. Sections 1396d(a)(4)(B) and (r), early and periodic screening, diagnostic, and treatment services are covered. Cosmetic procedures, experimental procedures, and orthodontic services for beneficiaries 21 years	Dental services are administered through an agreement between the Medi-Cal Dental program and its contractor(s). On behalf of the State, the Dental contractor(s) shall approve and provide payment for covered dental services performed by an enrolled dental provider when services are provided in accordance with the state's manual of criteria.

TN Number: <u>17-027</u>

Supersedes

TN Number: <u>15-010</u>

<sup>\*\*</sup>Coverage is limited to medically necessary services.