State of California Office of Administrative Law

In re:

Department of Health Care Services

Regulatory Action:

Title 09, California Code of Regulations

Adopt sections:

Amend sections: 811, 812, 823, 836.2, 862,

865, 865.4, 865.5

Repeal sections:

NOTICE OF APPROVAL OF CHANGES WITHOUT REGULATORY EFFECT

California Code of Regulations, Title 1, Section 100

OAL Matter Number: 2016-0422-03

OAL Matter Type: Nonsubstantive (N)

This change without regulatory effect filing by the Department of Health Care Services amends eight sections in title 9 of the California Code of Regulations to revise the existing references to the Department of Mental Health to the Department of Health Care Services, revise internal cross references, clarify acronyms, improve grammar, and update authority and reference citations.

OAL approves this change without regulatory effect as meeting the requirements of California Code of Regulations, title 1, section 100.

Date: June 6, 2016

Original Signed
Lindsey S. McNeill

For:

Debra M. Cornez

Director

Original: Jennifer Kent Copy: Greg Rodriguez

For use by Secretary of State only **NOTICE PUBLI** NOTICE FILE NUMBER OALFILE NUMBERS Z-**ENDORSED - FILED** in the office of the Secretary of State of the State of California JUN =6 2016 1:38PM 2016 APR 22 P 1: 55 OFFICE OF ADMINISTRATIVE LAW REGULATIONS NOTICE AGENCY FILE NUMBER (If any) AGENCY WITH RULEMAKING AUTHORITY Department of Health Care Services DHCS-15-023 A. PUBLICATION OF NOTICE (Complete for publication in Notice Register) FIRST SECTION AFFECTED TITLE(S) 2. REQUESTED PUBLICATION DATE 1. SUBJECT OF NOTICE 3. NOTICE TYPE
Notice re Proposed TELEPHONE NUMBER FAX NUMBER (Optional) 4. AGENCY CONTACT PERSON Other Regulatory Action OAL USE ACTION ON PROPOSED NOTICE **PUBLICATION DATE** NOTICE REGISTER NUMBER ONLY Submitted B. SUBMISSION OF REGULATIONS (Complete when submitting regulations) 1b. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER(S) 1a. SUBJECT OF REGULATION(S) Patients Rights 2. SPECIFY CALIFORNIA CODE OF REGULATIONS TITLE(S) AND SECTION(S) (Including title 26, if toxics related) ADOPT SECTION(S) AFFECTED (List all section number(s) AMEND individually. Attach Sections 811, 812, 823, 836.2, 862, 865, 865.4. and 865.5 additional sheet if needed.) TITLE(S) Title 9 3. TYPE OF FILING Regular Rulemaking (Gov. Certificate of Compliance: The agency officer named Emergency Readopt (Gov. Changes Without Regulatory Code §11346) below certifies that this agency complied with the Code, §11346.1(h)) Effect (Cal. Code Regs., title Resubmittal of disapproved or provisions of Gov. Code §§11346.2-11347.3 either 1, §100) withdrawn nonemergency before the emergency regulation was adopted or File & Print Print Only filing (Gov. Code §§11349.3, within the time period required by statute. 11349.4) Resubmittal of disapproved or withdrawn Emergency (Gov. Code, Other (Specify) emergency filing (Gov. Code, §11346.1) §11346.1(b)) 4. ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING-FILE (Cal. Code Regs. title 1, \$44 and Gov. Code \$11347.1) 5. EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100) Effective January 1, April 1, July 1, or Effective Effective on filing with §100 Changes Without October 1 (Gov. Code §11343.4(a)) other (Specify) Regulatory Effect Secretary of State CHECK IF THESE REGULATIONS REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY Fair Political Practices Commission State Fire Marshal Department of Finance (Form STD, 399) (SAM §6660) Other (Specify) E-MAIL ADDRESS (Optional) TELEPHONE NUMBER FAX NUMBER (Optional) 7. CONTACT PERSON (916) 440-7766 greg.rodriguez@dhcs.ca.gov Greg Rodriguez For use by Office of Administrative Law (OAL) only 8. I certify that the attached copy of the regulation(s) is a true and correct copy of the regulation(s) identified on this form, that the information specified on this form is true and correct, and that I am the head of the agency taking this action, ENDORSED APPROVED or a designee of the head of the agency, and am authorized to make this certification. JUN 0.6 2018 Original Signed TYPED NAME AND TITLE OF SIGNATORY Jennifer Kent, Director Office of Administrative Law

Title 9. Rehabilitative and Developmental Services Division 1. Department of Mental Health Chapter 4. Community Mental Health Services Under the Lanterman-Petris-Short Act

(1) Amend Section 811 to read:

§ 811. Department.

"Department" means the State Department of Mental Health Care Services.

Note: Authority cited: Sections 4012 and 5400, and 14700, Welfare and Institutions Code. Reference: Chapter 1252, Statutes of 1977 Section 14700, Welfare and Institutions Code.

(2) Amend Section 812 to read:

§ 812. Shall and May.

No change except to the Note as shown below.

Note: Authority cited: Sections 5400 and 14700, Welfare and Institutions Code. Reference: Section 5400, Welfare and Institutions Code.

(3) Amend Section 823 to read:

§ 823. Attending Staff.

No change except to the Note as shown below.

Note: Authority cited: Sections 5400 and 14700, Welfare and Institutions Code. Reference: Section 5150, Welfare and Institutions Code.

(4) Amend Section 836.2 to read:

§ 836.2. Facility: Definition.

No change except to the Note as shown below.

Note: Authority cited: Sections 5326.95, and 5400, and 14700, Welfare and Institutions Code. Reference: Sections 5325, 5326.6, 5326.7, 5326.15 and 5326.75, Welfare and Institutions Code.

(5) Amend Section 862 to read:

§ 862. Notification of Rights.

- (a) A list of the rights set forth in Section 5325 of the Welfare and Institutions

 Code and in Section 861, as well as the complaint procedure, prescribed in Section

 864, shall remain posted, in English and Spanish, in all wards and common living areas of facilities specified in Section 860.
- (b) Each person admitted to a facility specified in Section 860 shall be personally notified of his <u>or her</u> rights in writing, in <u>a</u> language he <u>or she</u> can understand, or shall have his <u>or her</u> rights brought to his <u>or her</u> attention by other means if he <u>or she</u> is unable to read or understand the information provided him <u>or her</u>.
 - (c) No Change

Note: Authority cited: Sections 5325, 5400 and 14700, Welfare and Institutions Code. Reference: Section 5325, Welfare and Institutions Code.

(6) Amend Section 865 to read:

§ 865. Authority for Denial of Rights.

- (a) No Change
- (b) "Professional person in charge of the facility" is defined in Section 822 of this subchapter, Title 9, California Administrative Code of Regulations; in community care facilities it is the administrator of the facility. Prior to denying the rights, as listed in Section 8645325 of the Welfare and Institutions Code, of a resident for good cause, the administrator of a community care facility shall first obtain concurrence from the resident's physician or social worker that good cause for denial exists.
 - (c) No Change
- (d) Any person who has the lawful right on his <u>or her</u> own choice to discharge himself from a facility shall be informed of said right at the time of admission to the facility. If the person elects to discharge himself from the facility rather than voluntarily accepting any denial of his<u>or her</u> rights, such election shall be documented in his <u>or her</u> treatment record, and the person shall be permitted to leave the facility.

Note: Authority cited: Sections 5326, 5400 and 14700, Welfare and Institutions Code. Reference: Section 5326, Welfare and Institutions Code.

- (7) Amend Section 865.4 to read:
- § 865.4. Seclusion and Restraints.
 - (a) No Change
- (b) Each instance of seclusion and/or restraints shall be noted in the patient's record in accordance with Section 865.3.
- (c) Documentation of the Section 861 5325 of Welfare and Institutions Code rights actually denied a person in seclusion or restraints shall be entered in the patient's record.
- (d) In addition to the foregoing, all of the provisions contained in Sections 70577(j) (General Acute Care Hospitals), 71545 (Acute Psychiatric Hospitals), 72407, 72409, 72411, 72413 (SNFSkilled Nursing Facilities), and 73403, 73405, 73407, 73409 (ICFIntermediate Care Facilities) of Title 22 of the California Administrative Code of Regulations shall prevail as applicable rules for the respective health care facilities.
- (e) The authority for the use of seclusion and/or restraints on any resident of a community care facility shall be in accordance with provisions of Title 22, California Administrative-Code of Regulations, Section 80403(f).

Note: Authority cited: Sections 5400 and 14700, Welfare and Institutions Code. Reference: Sections 5325, 5325.1 and 5326, Welfare and Institutions Code.

(8) Amend Section 865.5 to read:

§ 865.5. Restoration of Rights.

No change except to the Note as shown below.

Note: Authority cited: Sections 5400 and 14700, Welfare and Institutions Code. Reference: Sections 5325, 5325.1 and 5326, Welfare and Institutions Code.