# INSTRUCTIONS FOR COMPLETION OF THE APPLICATION FOR LICENSE RENEWAL FORM DHCS 4029

Submit completed form electronically to <a href="DHCSNTP@dhcs.ca.gov">DHCSNTP@dhcs.ca.gov</a> or return completed form to the following address:

# <u>Department of Health Care Services</u> <u>Counselor & Medication Assisted Treatment Section, MS2603</u> <u>PO BOX 997413</u> Sacramento, CA 95899-7513

**DO NOT USE** staples on this form or on any attachments.

**DO NOT USE** correction tape, white out, or highlighter pen or ink of a similar type on this form. If you must make corrections, please line through, date, and initial in ink.

**DO NOT LEAVE** any questions, boxes, lines, or fields blank. Enter N/A if not applicable to you.

**PLEASE NOTE:** Read all the instructions included on this form carefully and complete each item requested. For additional information, please review the California Code of Regulations (CCR), Title 9, Division 4, Chapter 4, Subchapter 1 commencing with Section 10000, which outlines the requirements and standards for Narcotic Treatment Programs.

A Medication Unit (MU) or Office-Based Narcotic Treatment Network (OBNTN) must fill out sections A and B. If you have more than one MU or OBNTN attach additional Section B information.

#### Section A

# **Applicant Information**

# This section must be completed by all applicants.

**Application for Fiscal Year –** Enter the fiscal year for which you are applying for renewal.

**Original License Date –** Enter the initial effective date of the Narcotic Treatment Program (NTP) license.

**License Number –** Enter the NTP license number issued by the Department.

**Drug Medi-Cal (DMC) Certification Number** – Enter the Certification Number associated with the facility. If you need additional information or do not know the DMC Certification Number, please contact the Provider Enrollment Division at: <a href="https://www.dhcs.ca.gov/provgovpart/Pages/PED.aspx">https://www.dhcs.ca.gov/provgovpart/Pages/PED.aspx</a>

**National Provider Identifier (NPI)** – Enter the 10 digit NPI number associated with the facility. If you need additional information or do not know the NPI number, please contact the National Plan and Provider Enumeration System at: <a href="https://nppes.cms.hhs.gov/#/contactUs">https://nppes.cms.hhs.gov/#/contactUs</a>

**Name of Legal Entity –** Enter the legal entity name.

**PLEASE NOTE:** Any business operation under a fictitious name shall submit a copy of the county filing setting forth that name.

**Corporation** – For a corporation, enter the name exactly as it is filed with the Secretary of State

(SOS) and as it appears on the entity's Articles of Incorporation. If you need additional information, please contact the SOS at: <u>Business Programs</u>:: <u>California Secretary of State</u>

**Limited Liability Company (LLC)** – For a LLC, enter the name exactly as it is filed with the SOS and as it appears on the entity's Articles of Organization. If you need additional information, please contact the SOS at: <u>Business Programs</u>:: <u>California Secretary of State</u>

**Partnership/Limited Partnership** – For a partnership or limited partnership, enter the name of the partnership exactly as it is filed with the SOS on the Statement of Partnership Authority or Certificate of Limited Partnership respectively. If you need additional information, please contact the SOS at: <u>Business Programs</u> :: <u>California Secretary of State</u>

**Sole Proprietor –** For a sole proprietor, enter the full legal name of the sole proprietor.

**Governmental Agency –** Enter the name of the governmental agency.

**Name of Narcotic Treatment Program –** If different from legal entity name, enter the name of the facility.

**Tax Status –** Check the box which applies to your business structure for tax purposes.

**Facility Street Address** – Enter the exact address of the facility. A post office box or commercial box is not acceptable. If applicable, enter the room/suite/unit number of the facility.

**City** – Enter the city of the facility.

**County –** Enter the county of the facility.

**Zip Code** – Enter the zip code of the facility.

An exact address can be verified at the United States Postal Service website at: <a href="https://tools.usps.com/go/ZipLookupAction">https://tools.usps.com/go/ZipLookupAction</a> input

**Mailing Address** – If different from facility street address, enter the exact mailing address. If applicable, enter the room/suite/unit number of the mailing address.

**City –** Enter the city of the mailing address.

**County –** Enter the county of the mailing address.

**Zip Code –** Enter the zip code of the mailing address.

An exact address can be verified at the United States Postal Service website: <a href="https://tools.usps.com/go/ZipLookupAction">https://tools.usps.com/go/ZipLookupAction</a> input

**Telephone Number –** Enter the contact person's telephone number, including an extension if applicable.

**Fax Number –** Enter the fax number of the facility.

Name of Program Sponsor – Enter the person or organization responsible for the operation of the NTP and who assumes responsibility for all its employees, including any practitioners, agents, or other DHCS 4029 (Revised 05/2025)

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persons providing medical or behavioral health services at the program or any of its medication units and OBNTNs. The program sponsor need not be a licensed physician but shall employ a licensed physician for the position of medical director.

**Name of Program Director –** Enter the name of the person who has primary administrative responsibility for operation of the NTP.

**Name of Medical Director –** Enter the name of the physician licensed to practice medicine in California who is responsible for medical and behavioral health services provided by the NTP.

**Licensed Patient Capacity –** Enter the Department approved licensed patient capacity for maintenance and detoxification treatment.

**Operating Hours (M-F)** – Enter the facility hours of operation from Monday through Friday.

**Telehealth-** Enter the services available via telehealth and hours the facility provides services via telehealth Monday through Friday, if applicable. Please enter NA if not applicable.

**Dispensing Hours (M-F)** – Enter the facility hours of dispensing medication from Monday through Friday.

**Weekend Operating Hours –** Enter the facility hours of operation for Saturday and Sunday.

**Telehealth** – Enter the services available via telehealth and hours the facility provides services via telehealth Saturday and Sunday, if applicable. Please enter NA if not applicable.

**Weekend Dispensing Hours –** Enter the facility hours of dispensing medication for Saturday and Sunday.

# Section B MU/OBNTN

# This section must be completed for each MU or OBNTN that is operating under the license of the Primary NTP that is applying for license renewal.

**DMC Certification Number** – Enter the DMC Certification Number associated with the facility. If you need additional information or do not know the DMC Certification Number, please contact the Provider Enrollment Division at: https://www.dhcs.ca.gov/provgovpart/Pages/PED.aspx

**NPI –** Enter the 10 digit NPI number associated with the facility. If you need additional information or do not know the NPI number, please contact the National Plan and Provider Enumeration System at: <a href="https://nppes.cms.hhs.gov/#/contactUs">https://nppes.cms.hhs.gov/#/contactUs</a>

**Name of Legal Entity –** Enter the legal entity name.

**PLEASE NOTE:** Any business operation under a fictitious name shall submit a copy of the county filing setting forth that name.

**Corporation –** For a corporation, enter the name exactly as it is filed with the SOS and as it appears on the entity's Articles of Incorporation. If you need additional information, please contact the SOS at: http://kepler.sos.ca.gov/

**Limited Liability Company (LLC) –** For a LLC, enter the name exactly as it is filed with the SOS and as it appears on the entity's Articles of Organization. If you need additional

information, please contact the SOS at: http://kepler.sos.ca.gov/

**Partnership/Limited Partnership** – For a partnership or limited partnership, enter the name of the partnership exactly as it is filed with the SOS on the Statement of Partnership Authority or Certificate of Limited Partnership respectively. If you need additional information, please contact the SOS at: <a href="http://kepler.sos.ca.gov/">http://kepler.sos.ca.gov/</a>

**Sole Proprietor –** For a sole proprietor, enter the full legal name of the sole proprietor.

**Governmental Agency –** Enter the name of the governmental agency.

Name of MU or OBNTN - If different from legal entity name, enter the name of the facility or provider.

**Tax Status –** Check the box which applies to your business structure for tax purposes.

**Facility Street Address** – Enter the exact address of the facility. A post office box or commercial box is not acceptable. If applicable, enter the room/suite/unit number of the facility. **City** – Enter the city of the facility.

**County** – Enter the county of the facility.

**Zip Code** – Enter the zip code of the facility.

An exact address can be verified at the United States Postal Service website: <a href="https://tools.usps.com/go/ZipLookupAction">https://tools.usps.com/go/ZipLookupAction</a> input

**Mailing Address** – If different from facility street address, enter the exact mailing address. If applicable, enter the room/suite/unit number of the mailing address.

**City** – Enter the city of the mailing address.

**County –** Enter the county of the mailing address.

**Zip Code** – Enter the zip code of the mailing address.

An exact address can be verified at the United States Postal Service website: <a href="https://tools.usps.com/go/ZipLookupAction">https://tools.usps.com/go/ZipLookupAction</a> input

**Telephone Number –** Enter the contact person's telephone number, including an extension if applicable.

**Fax number –** Enter the fax number of the facility.

**Name of Program Director –** Enter the name of the person who has primary administrative responsibility for operation of the NTP.

#### **Section C**

# **Annual Maintenance Report**

### This section must be completed by all applicants.

**Methadone Maintenance Treatment –** Enter the total number of patients in methadone maintenance treatment on January 31<sup>st</sup> of the current year.

**Buprenorphine Maintenance Treatment –** Enter the total number of patients in buprenorphine maintenance treatment on January 31<sup>st</sup> of the current year.

**Naltrexone Maintenance Treatment** – Enter the total number of patients in naltrexone maintenance treatment on January 31<sup>st</sup> of the current year.

**Methadone Detoxification Treatment –** Enter the total number of patients in methadone detoxification treatment on January 31<sup>st</sup> of the current year.

**Buprenorphine Detoxification Treatment –** Enter the total number of patients in buprenorphine detoxification treatment on January 31<sup>st</sup> of the current year.

**Naltrexone Maintenance Treatment** – Enter the total number of patients in naltrexone detoxification treatment on January 31<sup>st</sup> of the current year.

**Methadone Maintenance Dosage Level –** Complete all fields based on program census data on January 31<sup>st</sup> of the current year for patients in methadone maintenance treatment.

**Buprenorphine Maintenance Dosage Level –** Complete all fields based on program census data on January 31<sup>st</sup> of the current year for patients in buprenorphine maintenance treatment.

**Naltrexone Maintenance Dosage Level –** Complete all fields based on program census data on January 31<sup>st</sup> of the current year for patients in naltrexone maintenance treatment.

**Methadone Detoxification Dosage Level –** Complete all fields based on program census data on January 31<sup>st</sup> of the current year for patients in methadone detoxification treatment.

**Buprenorphine Detoxification Dosage Level –** Complete all fields based on program census data on January 31<sup>st</sup> of the current year for patients in buprenorphine detoxification treatment.

**Naltrexone Detoxification Dosage Level –** Complete all fields based on program census data on January 31<sup>st</sup> of the current year for patients in naltrexone detoxification treatment.

# Section D Declaration

## This section must be completed by all applicants.

**Print Name –** Enter the name of the program sponsor.

**Title –** This field has been pre-filled by the Department to reflect that the form must be signed by the program sponsor.

**Signature –** Program sponsor's signature.

**Date –** Enter the date that the application is signed by the program sponsor

Section A	Applicant Inform	ormation								
Application for Fiscal Year:		Original L	icense Date:							
DMC Certification Number:		NPI:								
Name of Legal Entity:		License N	lumber:							
Name of Narcotic Treatment Pro	ogram (if different than i	name of leg	al entity):							
Tax Status:  Corporation Nonprofit  Corporation  Limited Liability Company  Partnership/Limited Partnership  Sole Proprietor  Governmental Agency  Facility Street Address (if applicable Room/Suite/Unit):										
City:	County:	Zip Code:								
Mailing Address (if applicable Ro	oom/Suite/Unit)/(if differ	ent than fac	ility street address):							
City:	County:	Zip Code:								
Telephone Number:	Fa	x Number:								
Name of Program Sponsor:	•									
Name of Program Director:										
Name of Medical Director:										
Licensed Patient Capacity:	Licensed Patient Capacity:									
Operating Hours (M-F):	Telehealth Hours (M-F	):	Dispensing Hours (M-F):							
Weekend Operating Hours:	Weekend Telehealth H	ours:	Weekend Dispensing Hours:							

Section B	ction B MU/OBNTN								
DMC Certification Number:	DMC Certification Number:								
NPI:									
Name of Legal Entity:									
Name of MU or OBNTN (if different	than name of legal	entity):							
Tax Status:									
Corporation Nonprofit									
☐ Corporation									
Limited Liability Company									
Partnership/Limited Partnersh	nip								
Sole Proprietor									
Governmental Agency									
Facility Street Address (if applicable	Facility Street Address (if applicable Room/Suite/Unit):								
City:	County:		Zip Code:						
•		ant than facility	•						
Mailing Address (if applicable Room/	Suite/Onit)/(ii diller	eni man iacility	street address).						
City:	County:		Zip Code:						
Telephone Number:		Fax Number:							
Name of Program Director:									
Section C An	nual Maintenance	Report							
Maintenance Treatment									
Total Number of Patients in Methadone Maintenance Treatment as of January 31:									
Total Number of Patients in Buprenorphine Maintenance Treatment as of January 31:									
Total Number of Patients in Naltrexone Maintenance Treatment as of January 31:									
Detoxification Treatment									
Total Number of Patients in Methado	one Detoxification T	reatment as of	January 31:						
Total Number of Patients in Buprenorphine Detoxification Treatment as of January 31:									

Section C (C	Section C (Continued) Annual Maintenance Report													
	Patients in Maintenance Treatment by Dosage Level													
	Methadone													
Dosage (mg.)	Take	In-Person/No Take Home Supply Given				Take Home		Receiving Take-Home Supply		Receiving Take- Home Supply		Receiving Take- Home Supply		tal
			Treatm	Time in Treatment 1- 14 Days		Time in Treatment: 15-30 Days		Time in Treatment: 31 Days or More						
	М	F	М	F	М	F	М	F	М	F				
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1-19														
20-39														
40-59														
60-79														
80-99														
100-119														
120-139														
140-159														
160-179														
180-199														
200-219														
220-239														
240-259														
260-279														
280-300+														
TOTALS														
								GRAND TO	OTAL:					

Section C (	Section C (Continued) Annual Maintenance Report									
	Patients in Maintenance Treatment by Dosage Level									
	Buprenorphine									
Dosage (mg.)	Take	son/No Home Given	Rece Take-l Sup	Home	Receiving Take- Home Supply		Receiving Take- Home Supply		To	otal
			Treatm	Time in Treatment 1- 14 Days		Time in Treatment: 15-30 Days		Time in Treatment: 31 Days or More		
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6-8										
10-12										
14-16										
18-20										
22-24										
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30-32										
34-36										
38-40										
42+										
TOTALS										
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Section C (0	Section C (Continued) Annual Maintenance Report									
	Patients in Maintenance Treatment by Dosage Level									
					Naltre					
Dosage (mg.)	In-Person/No Take Home Supply Given		Receiving Take-Home Supply		Receiving Take- Home Supply		Receiving Take- Home Supply		Total	
			Time in Treatment 1- 14 Days		Time in Treatment: 15-30 Days		Time in Treatment: 31 Days or More			
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41-50										
51-100										
101-150										
151-200										
201-250										
251-300										
301-350										
351-400										
401+										
TOTALS										
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Section C (Continued) Annual Maintenance Report										
Patients in Detoxification Treatment by Dosage Level										
Methadone										
Dosage (mg.)	In-Person/No Take Home Supply Given		Take Home Supply Given Take-Home Supply Time in Treatment 1-		Take- Sup Tim Treatm	Receiving Take-Home Supply Time in Treatment: 15-		Receiving Take-Home Supply Time in Treatment: 31 Days or More		tal
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220-239										
240-259										
260-279										
280-300+										
TOTALS										
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Section C (	Section C (Continued) Annual Maintenance Report									
	Patients in Detoxification Treatment by Dosage Level									
	Buprenorphine									
Dosage (mg.)	Take	son/No Home Given	Rece Take-l Sup	Home	Receiving Take- Home Supply		Receiving Take- Home Supply		To	otal
			Time in Treatment 1- 14 Days		Time in Treatment: 15-30 Days		Time in Treatment: 31 Days or More			
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26-28										
30-32										
34-36										
38-40										
42+										
TOTALS										
								GRAND T	OTAL:	

Section C (C	Section C (Continued) Annual Maintenance Report														
	Patients in Detoxification Treatment by Dosage Level														
					Naltre										
Dosage (mg.)	Take	In-Person/No Take Home Supply Given		Take Home		In-Person/No Take Home Supply Given		Receiving Take-Home Supply		Receiving Take- Home Supply		Receiving Take- Home Supply		Total	
			Time Treatm 14 D	nent 1-	Time in Treatment: 15-30 Days		Time in Treatment: 31 Days or More								
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151-200															
201-250															
251-300															
301-350															
351-400															
401+															
TOTALS															
								GRAND TO	OTAL:	<u>I</u>					

#### Section D Declaration

I declare under penalty of perjury under the laws of the State of California that the foregoing information and any attachment is true, accurate and complete to the best of my knowledge and belief. I hereby further declare that I will abide by all State and federal laws and regulations governing narcotic treatment programs.

I declare that I am authorized to sign this application.

Print Name:	Title: Program Sponsor
Signature:	Date:

## **Privacy Statement**

PRIVACY STATEMENT (Civil Code Section 1798 et seq.)

All information requested in this form is mandatory. This information is required by the Department of Health Care Services (Department) by the authority of Health and Safety Code, Section 11839.3 and California Code of Regulations, Title 9, Section 10055. The consequences of not supplying the mandatory information requested is that review of the application shall be terminated, which may result in lapse of licensure and/or imposition of fines. The Department may share or provide any of the information provided in or with this form to the California Health and Human Services Agency, the California State Controller's Office, the California Department of Justice, the California Department of Consumer Affairs, the Department of Corporations, or other state or local agencies as appropriate, the Federal Bureau of Investigation, the Internal Revenue Service, the U.S. Justice Department, the United States Drug Enforcement Administration, the United States Department of Health and Human Services, and the United States Substance Abuse and Mental Health Services Administration. For more information or access to records containing your personal information maintained by the Department, contact the Counselor & Medication Assisted Treatment Section Officer of the Day at (916) 322-6682.