

MENTAL HEALTH SERVICES ACT (MHSA) PLAN OF CORRECTION (POC)
Revised February 1, 2023

1.	County/City:	Sonoma
2.	POC Submitted for:	MHSA Performance Review
3.	Date of Audit/Performance Review	February 28 – March 2, 2023
4.	Name of Preparer:	
5.	Preparer Contact Email:	
6.	Preparer Contact Telephone:	

A	B	C	D
Finding #	Finding	Recommendation	Action Taken to Correct Finding (Identify Timeline / Evidence of Correction)
1	Sonoma County did not include documentation of achievement in performance outcomes for Community Services Support (CSS), Prevention and Early Intervention (PEI) and Innovation (INN) programs in the adopted Fiscal Year (FY) 2020-23 Three-Year Program and Expenditure Plan (Plan) or FY 2021-22 Annual Update (Update). (County Performance Contract (6.) (A.) (5) (d.); (Welfare and	The County must include documentation of achievement in performance outcomes for CSS, PEI and INN programs in each subsequent adopted Plan and Update, thereafter.	<p>The revised Draft MHSA FY 23-26 Plan with the stated corrective action steps is available at this link: https://sonomacounty.ca.gov/Main%20County%20Site/Health%20and%20Human%20Services/Health%20Services/Documents/Behavioral%20Health/mental-health-services-act/Sonoma%20County-MHSA-FY%2023-26-Three-Year-Plan-and-Report.pdf</p> <p>Sonoma County expects to provide the Approved MHSA FY 23-26 Plan to DHCS by January 11, 2024, providing that the plan is approved by Sonoma's BOS on December 12, 2023.</p> <p>The County attached the Mental Health Services Act Three-Year Plan and Annual Update Procedure to ensure all Plan and Update requirements will be performed on an ongoing basis.</p>

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	Institution Code (W&I Code) section 5848).		<p>The County will include documentation of achievement in performance outcomes for CSS, PEI and INN programs in each subsequent adopted Plan and Update, thereafter, beginning with the FY 21-22 Annual Program Reports for CSS, PEI and INN, that are included in the MHSA FY 23-26 Plan, and the link to the corrected plan is:</p> <p>https://sonomacounty.ca.gov/Main%20County%20Site/Health%20and%20Human%20Services/Health%20Services/Documents/Behavioral%20Health/mental-health-services-act/Sonoma%20County-MHSA-FY%2023-26-Three-Year-Plan-and-Report.pdf</p> <p>The FY 21-22 CSS Program Report starts on page 102. The Program Outcomes are on the following pages: FACT: 104 FASST: 106 IRT: 108 OAIT: 110 TAY: 112 NAMI:116 Buckelew FSC: 118 Mobile Support Team: 120 Collaborative Treatment and Recovery Team: 122</p>

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			<p>Community mental Health Clinics: 124 Council on Aging: 126 West County Community Services, Senior Counseling: 128 Sonoma County Job Link: 130 West County Community Services, Crisis Support: 132 Adult Medication Support Services: 134 Youth Medication Support Services: 136 Telecare Sonoma ACT: 138 Sonoma County Indian Health Project: 141 Whole Person Care: 143</p> <p>The FY 21-22 PEI Program Report starts on page 145. The Program Outcomes are on the following pages: Action Network: 147 Community Baptist Church: 149 Sonoma County Indian Health Project: 151 Sonoma County HSD Older Adult Collaborative: 153 Child Parent Institute: 156 Early Learning Institute: 158 La Luz: 161 Latino Service Providers: 163 Positive Images: 165 Santa Rosa Junior College: 168 Adult Access: 171 Youth Access: 173</p>

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			<p>Buckelew Programs North Bay Suicide Prevention: 176</p> <p>The FY 21-22 INN Program Report starts on <u>page 179</u>. The Program Outcomes are on the following pages: Early Psychosis Learning Health Care network: 326 New Parent TLC: 182 and 403 Nuestra Cultura Cura Social Innovations Lab Project: 184 & 413 Sonoma County Human Services' Unidos Por Nuestro Bienestar: 186 and 426</p>
2	<p>Sonoma County did not provide an estimate of the number of clients, in each age group, to be served in the Full-Service Partnership (FSP) category for each fiscal year of the adopted FY 2020-23 Plan. The county's FY 2020-23 Plan only provided estimates for FY 2020-21. (Cal. Code of Regs., tit. 9, § 3650(a)(3)).</p>	<p>The County must provide an estimate of the number of clients, in each age group, to be served in the FSP service category for each fiscal year of the Plan and in each subsequent adopted Plan, thereafter.</p>	<p>The County will provide an estimate of the number of clients, in each age group: children (0-15), transitional age youth (16-25), adult (26-59), and older adult (60 and older), to be served in the FSP service category for each fiscal year of the Plan and in each subsequent adopted Plan, thereafter.</p> <p>The County attached the Mental Health Services Act Three-Year Plan and Annual Update Procedure to ensure all Plan and Update requirements will be performed on an ongoing basis.</p> <p>The County provided an estimate of the number of clients, in each age group, to be served in the FSP</p>

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			<p>service category for each fiscal year in the draft FY 2023-26 Plan, <u>see pages 54 – 59.</u></p> <p>Link to plan: https://sonomacounty.ca.gov/Main%20County%20Site/Health%20and%20Human%20Services/Health%20Services/Documents/Behavioral%20Health/mental-health-services-act/Sonoma%20County-MHSA-FY%2023-26-Three-Year-Plan-and-Report.pdf </p>
3	<p>Sonoma County did not indicate the number of children, TAY, adults, and older adults to be served and the cost per person for CSS, PEI, and INN programs/services in the adopted FY 2020-23 Plan for all three fiscal years. The county did indicate the number of children, TAY, Adults, and Seniors to be served and the cost per person for PEI & INN within the adopted FY</p>	<p>The County must indicate the number of children, TAY, adults, and older adults to be served and the cost per person for CSS, PEI and INN programs/services in each subsequent adopted Plan and Update, thereafter.</p>	<p>The County will indicate the number of children, TAY, adults, and older adults to be served and the cost per person for CSS, PEI and INN programs/services in each subsequent adopted Plan, including all three years of the Plan, and Update, thereafter.</p> <p>The County attached the Mental Health Services Act Three-Year Plan and Annual Update Procedure to ensure all Plan and Update requirements will be performed on an ongoing basis.</p> <p>The County indicated an estimate of the number of children, TAY, adults, and older adults to be served and the cost per person for CSS, PEI and INN programs/services for each fiscal year in the draft FY 2023-26 Plan. For CSS see pages 54- 59, for PEI</p>

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	2021-22 Update. (W&I Code section 5847(e)).		<u>see pages 59 – 62, and for Innovation see pages 63 - 64</u> Link to plan: https://sonomacounty.ca.gov/Main%20County%20Site/Health%20and%20Human%20Services/Health%20Services/Documents/Behavioral%20Health/mental-health-services-act/Sonoma%20County-MHSA-FY%2023-26-Three-Year-Plan-and-Report.pdf
4	Sonoma County did include substantive written recommendations for revisions received during the 30-day comment period. However, there was no analysis or indication of substantive changes made in the adopted FY 2021-22 Update. (W&I Code section 5848(b); (Cal. Code of Regs., tit. 9, §§ 3315(a)(3), 3315(a)(3)(4)).	The County must summarize and analyze the recommended revisions received during the 30-day public comment period and include a description of any substantive changes made; in each subsequent adopted Plan and Update, thereafter. If no changes were made, identify that no changes were made	The County will summarize and analyze the recommended revisions received during the 30-day public comment period and include a description of any substantive changes made, in each subsequent adopted Plan and Update, thereafter. If no changes were made, the County will identify that no changes were made in the adopted Plan or Update. The County attached the Mental Health Services Act Three-Year Plan and Annual Update Procedure to ensure all Plan and Update requirements will be performed on an ongoing basis. There were no substantive recommendations received during the 30-day comment period or public hearing for the County's MHSA Three-Year FY 23-26 Plan, and there were no changes made to the plan. This

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		in the adopted Plan or Update.	<p>language is in the draft FY 23-26 Plan at the end of the Community Program Planning Process section. The draft FY 23-26 Community Program Planning section states the following on page 42:</p> <p><i>“The draft MHSA Three-Year FY 23-26 Plan was posted and distributed via email to stakeholders on May 22, 2023. The 30-day comment period culminated in a final public hearing for the MHSA Three-Year Plan FY 23-26 on June 20, 2023 at the Mental Health Board meeting. There were no substantive recommendations received during the 30 day comment period or public hearing for the County’s Draft FY 23-26 Plan, and there were no changes made to the plan.”</i></p> <p>Link to plan: https://sonomacounty.ca.gov/Main%20County%20Site/Health%20and%20Human%20Services/Health%20Services/Documents/Behavioral%20Health/mental-health-services-act/Sonoma%20County-MHSA-FY%2023-26-Three-Year-Plan-and-Report.pdf </p>
5	Sonoma County did not explain how individuals, and, as applicable, their parents, caregivers, or	The County must explain how individuals, and, as applicable, their	The County attached the Mental Health Services Act Three-Year Plan and Annual Update Procedure to

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	other family members, will be linked to county mental health services, a primary care provider, or other mental health treatment for each Access and Linkage to Treatment Program or how each Access and Linkage to Treatment Program will follow up with the referral to support engagement in treatment in the adopted FY 2021-22 Update or the adopted FY 2020-23 Plan. (Cal. Code Regs., tit. 9, §§ 3755(h)(4), 3755(h)(5)).	parents, caregivers, or other family members, will be linked to county mental health services, a primary care provider, or other mental health treatment and how the program will follow up with the referral to support engagement in treatment for each Access and Linkage to Treatment Program in each subsequent adopted Plan and Update, thereafter.	<p>ensure all Plan and Update requirements will be performed on an ongoing basis.</p> <p>The County now explains how individuals, and, as applicable, their parents, caregivers, or other family members, are linked to county mental health services, a primary care provider, or other mental health treatment and how the Youth and Adult Access programs follow up with the referral to support engagement in treatment in each subsequent Plan and Update, thereafter. <u>The explanation of Youth Access is on page 173:</u></p> <p>Department of Health Services, Behavioral Health Division (DHS-BHD)'s Youth Access Team is the first contact for youth and families who are requesting mental health services. Referrals are primarily received through psychiatric hospitals and managed care providers, including Federally Qualified Health Centers (FQHC). Youth and families can request mental health services for themselves or a child by calling the Main Access line at 707-565-6900. DHS-BHD screening staff will provide a screening to determine if the individual will be assessed through DHS-BHD or their FQHC. The primary purpose of the initial screening is to determine where an individual will be assessed, and the assessment with a Youth Access</p>

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			<p>clinician determines where they will receive treatment. Youth Access clinicians provide assessment, information about additional services, and referrals to mental health services for beneficiaries up to age 20.</p> <p>DHS-BHD Youth and Family Services (YFS) uses the California CANS 50, which is a multi-purpose tool that supports decision making, including level of care and service planning. If an individual/family qualifies for Specialty Mental Health Services (SMHS), the individual/family will be connected to a YFS treatment team for mental health services. If the individual/family doesn't qualify for SMHS, the individual/family will be treated at the FQHC. Individual/families are encouraged to exercise choice and specify preferences, including service delivery language and gender of service provider. Case management services can be delivered by DHS-BHD Youth and Family Services staff or contracted Community-Based Organizations. Once the individual/family qualifies for SMHS, a DHS-BHD YFS provider will follow their case and coordinate care until discharged from services.</p> <p><u>The explanation of Adult Access is on page 171:</u></p>

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			<p>Sonoma County - Behavioral Health Division's Adult Access Team is the first contact for individuals requesting mental health services. Anyone can request services by calling or walking into the offices at 2225 Challenger Way. This process is outlined on the County website. The Access Team is available 24 hours a day 7 days a week to answer any questions and to start the intake process.</p> <p>Clients can also be referred to the Access Team as a step-up in care from any of the County's Federally Qualified Health Centers, or via discharge from a psychiatric hospital. DHS-BHD monitors all clients in psychiatric hospitals that are Sonoma County residents, and the clients are provided with an Access assessment within 7 business days of their hospital discharge.</p> <p>An Access Team Screener determines the level of need for mental health services, coordinates an assessment appointment and links individuals with community resources or other mental health treatment. The Access assessment consists of a series of questions to help determine how a client is functioning in an array of areas in their life and how their mental health symptoms may impair their functioning.</p>

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			<p>The Adult Access Team uses the Adult Needs and Strengths Assessment (ANSA) to determine the level of services needed. The client is placed on a team based on this information. While the client waits to be placed with a long-term case manager and on a team, the Access Team provides light case management. The case management may involve connecting a client with housing or other resources.</p> <p>A warm hand off between the Access clinician and the long-term clinician is provided to the client within 7 days after being placed on a team. All future follow up services are provided by the new case manager.</p> <p>Link to plan on website: https://sonomacounty.ca.gov/Main%20County%20Site/Health%20and%20Human%20Services/Health%20Services/Documents/Behavioral%20Health/mental-health-services-act/Sonoma%20County-MHSA-FY%2023-26-Three-Year-Plan-and-Report.pdf </p>

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Instructions: Complete the MHSA Plan of Correction (POC) to address Findings from the Fiscal Audit Report or Performance Review Report.

Row 1: Enter County/City name.

Row 2: Select from the drop down menu if this POC is submitted in response to a Fiscal Audit or a Performance Review.

Row 3: Enter the date that the Fiscal Audit or Performance Review was conducted.

Row 4: Enter the name of the person who prepared the Plan of Correction or is responsible for responding to inquiries about the Plan of Correction.

Row 5: Enter the contact email address of the person who prepared the Plan of Correction or is responsible for responding to inquiries about the Plan of Correction.

Row 6: Enter the contact telephone number of the person who prepared the Plan of Correction or is responsible for responding to inquiries about the Plan of Correction.

Rows 7-28, Column A: Enter the number of the specific Finding from the Fiscal Audit Report or Performance Review Report.

Rows 7-28, Column B: Enter the specific Finding from the Fiscal Audit Report or Performance Review Report.

Rows 7-28, Column C: Enter the specific recommendation from the Fiscal Audit Report or Performance Review Report.

Rows 7-28, Column D: Enter the description of the actions taken to correct the Finding. Must include 1) timeline for implementation and/or completion of actions; 2) proposed (or actual) evidence of correction to be submitted to DHCS.

This completed form must be submitted to MHSA@dhcs.ca.gov.