



DATE: April 28, 2026

Behavioral Health Information Notice No: 26-0XX

TO: California Association for Alcohol/Drug Educators  
California Association of Alcohol & Drug Program Executives, Inc.  
California Association of DUI Treatment Programs  
California Consortium of Addiction Programs and Professionals  
California Behavioral Health Association  
California Hospital Association  
California Opioid Maintenance Providers  
California State Association of Counties  
Coalition of Alcohol and Drug Associations  
County Behavioral Health Directors  
County Behavioral Health Directors Association of California  
County Association of Highway Patrolmen  
California Peace Officer Association  
Disability Rights California  
Psychiatric Health Facility Administrators  
Mental Health Rehabilitation Center Directors  
United States Department of Veterans Affairs

SUBJECT: Convulsive Treatment and Psychosurgery Data Collection

PURPOSE: To notify County Behavioral Health Directors of a new method for submitting convulsive treatment and psychosurgery data to the Department of Health Care Services (DHCS)

REFERENCE: Welfare and Institution Code Section [5326.15](#); California Code of Regulations, Title 9, Sections [838](#) and [838.1](#); [DHCS 1011 Form](#)

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**California Department of Health Care Services**

Deputy Director's Office, Behavioral Health  
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MS Code 2710 | Phone (916) 440-7800  
[www.dhcs.ca.gov](http://www.dhcs.ca.gov)

**State of California**

Gavin Newsom, Governor



California Health and Human Services Agency

**BACKGROUND:**

Welfare and Institutions (W&I) Code Section 5326.15 requires any doctor or health facility that administers convulsive treatment or psychosurgery to report specific information to the county behavioral health director, who must submit the data quarterly to DHCS. These reports must include the number of individuals who received convulsive treatment or psychosurgery and identify each individual's legal and consent status at the time of treatment, including whether the person provided informed consent or was deemed incapable of giving informed consent while voluntarily or involuntarily detained.

The DHCS 1011 data reporting form requires county behavioral health directors to report certain complications to DHCS as follows:

- (a) Non-fatal cardiac arrests or arrhythmias, which required resuscitative efforts.
- (b) Memory loss reported by the patient extending more than 3 months following the completion of the course of treatment.
- (c) Fractures, with a medical diagnosis of the fracture.
- (d) Apnea persisting 20 minutes or more after initiation of treatment.
- (e) Deaths which 1) occur during or within first 24 hours after a treatment; or 2) occur subsequently but are attributable to the treatment.

DHCS is modernizing the convulsive treatment and psychosurgery data collection process to support compliance with data reporting requirements and improve regulatory oversight. This data will be submitted to a centralized online system called Nintex to ensure timely, accurate, and standardized reporting. This system will improve data integrity through built-in validation features and enhance analytical capabilities by enabling structured data analysis. Nintex will also support timely submission tracking, proactive identification of missing or anomalous data, and secure storage of sensitive information. These improvements align with DHCS's strategic goal of innovation and its commitment to strengthening organizational excellence and improving the lives of Californians. This change in the data collection method will foster a more responsive and accountable oversight framework for behavioral health practices statewide by streamlining data collection, enhancing accuracy, and ensuring regulatory compliance.

**POLICY:**

County behavioral health directors must collect and submit data on convulsive treatment and psychosurgery required by W&I Code Section 5326.15 and the DHCS

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1011 Form to the Department on a quarterly basis. This data must be submitted to DHCS by the last day of the month following the end of the quarter.

Beginning on July 1, 2026, DHCS requests county behavioral health directors to submit data on convulsive treatment and psychosurgery directly into the Nintex platform. The Nintex platform can be accessed at: [QUARTERLY REPORT FOR CONVULSIVE TREATMENTS AND PSYCHOSURGERY ADMINISTERED: DHCS-1011](#).

If you have any questions regarding this BHIN, please contact the Mental Health Licensing and Certification Branch at [MHData@dhcs.ca.gov](mailto:MHData@dhcs.ca.gov) or (916) 323-1864.

Sincerely,

Janelle Ito-Orille, Chief  
Licensing and Certification Division