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Cover Sheet

Response Required to this Section

This document outlines instructions for completing the Payment 2 Progress Report submissions.

When submitting Payment 2 responses, managed care plans (MCPs) should include: (1) the MCP name; and (2) the county to which this Gap Assessment Progress Report applies in the header of their submission (header should repeat across all pages except Page 1). MCPs should also include a Cover Sheet with tables as shown below.

MCPs that operate in multiple counties will need to submit a separate Progress Report for each county in which they operate.

1. Details of Progress Report	
MCP Name	Anthem
MCP County	Sacramento
Is County a Former Whole Person Care (WPC) Pilots or Health Homes Program (HHP) County?	Yes
Program Year (PY) / Calendar Year (CY)	Program Year 1 / Calendar Year 2022 Payment 2 (Submission 2-A and Submission 2-B)
Reporting Periods	Submission 2-A: January 1, 2022 – June 30, 2022 Submission 2-B: July 1, 2022 – December 31, 2022

2. Primary Point of Contact for This Gap Assessment Progress Report	
First and Last Name	
Title/Position	
Phone	
Email	

End of Section

Introduction

The CalAIM Incentive Payment Program (IPP) is intended to support the implementation and expansion of Enhanced Care Management (ECM) and Community Supports by incentivizing MCPs, in accordance with 42 CFR Section 438.6(b), to drive MCP delivery system investment in provider capacity and delivery system infrastructure; bridge current silos across physical and behavioral health care service delivery; reduce health disparities and promote health equity; achieve improvements in quality performance; and encourage take-up of Community Supports.

IPP Payment 1

To qualify for Payment 1 of the IPP, MCPs submitted the Needs Assessment and the Gap-Filling Plan in January 2022. The Needs Assessment was intended to provide a “point in time” understanding of ECM and Community Supports infrastructure and provider capacity prior to launch. The Gap-Filling Plan—which MCPs were to develop in conjunction with local partners—outlines MCPs’ approaches to addressing gaps identified in the Needs Assessment.

DHCS issued Payment 1 to MCPs in April 2022 on an interim basis, based on DHCS’ review and acceptance of Submission 1. MCPs must demonstrate progress on activities outlined in their Gap-Filling Plan to fully earn Payment 1. MCPs were evaluated based on the set of measures they submitted as part of their Needs Assessment and Gap-Filling Plan to determine acceptance of Submission 1. Points for each measure were either credited in full, or not credited, unless the measure is noted as having a tiered evaluation approach. For the measures that are evaluated using a tiered approach, MCPs received the specified number of points within each tier for having completed the activity associated with each tier, as detailed in the Measure Set and Reporting Template. All other measures do not use a tiered approach and MCPs received either full or no credit for the measures. For counties where ECM and Community Supports have gone live as of June 30, 2022, Payment 2 measures that determine whether Payment 1 is fully earned are outlined in the Progress Report.¹ Please refer to the IPP [All Plan Letter](#) (APL) and IPP [FAQ](#) for more information.

¹ Applies only to counties where ECM and Community Supports have gone live as of June 30, 2022. For counties where ECM and Community Supports will not have gone live until July 1, 2022, performance improvement must be shown during the July – December 2022 reporting period.

IPP Payment 2

For Payment 2 and beyond, MCPs will submit Progress Reports to demonstrate their progress against the Gap-Filling Plans that were developed for Payment 1 submissions. All measures in the Progress Reports build on the requirements contained in the Needs Assessment, Gap-Filling Plan, and associated APL and are referenced, where appropriate, throughout. Before beginning work on the Progress Reports, MCPs should review these documents to ensure complete and robust responses.

End of Section

Evaluation Criteria

Measure Criteria

Payment to MCPs is based on the successful completion of reporting and performance against measures in the Progress Report. The Progress Report materials indicate performance targets and point allocations for each measure. MCPs may earn no, partial, or all points on measures, as indicated.

Each measure in the Progress Report is assigned to one of the following Program Priority Areas, with a fourth Quality Program Priority Area that is allocated between Priority Areas 2-3:

1. Delivery System Infrastructure;
2. ECM Provider Capacity Building; and
3. Community Supports Provider Capacity Building and Community Supports Take-Up

Points Structure

MCPs can earn a maximum of 1,000 points for Submission 2-A. If an MCP achieves only a subset of these points, it will earn a partial payment. A total of 700 points is assigned between the mandatory and optional² measures in Program Priority Areas 1-3. MCPs may allocate the remaining 300 points across Program Priority Areas 1-3; points from this discretionary allocation are earned proportionately based on performance.³

² MCPs are required to report on a minimum number of optional measures.

³ For example, if an MCP allocates 100 points to Priority Area 1 and earns 90% of the Priority Area 1 points, it will earn 90 of those 100 discretionary points.

(Added Spring 2023) MCPs will earn Payment 2 based on their total points achieved across Submission 2-A and Submission 2-B. MCPs can earn a maximum of 120 points for Submission 2-B. If an MCP achieves only a subset of these points, it will earn a partial payment. A total of 120 points is assigned to mandatory measures in Program Priority Areas 1-3.

MCPs must indicate their discretionary allocation distribution in their submission response for Submission 2-A (does not need to be in table format). Allocations for this submission do not need to align with allocation ratios in other IPP submissions.

Priority Area	Mandatory Measures	Optional Quality Measures (Priority Area #4)	Discretionary Allocations
1. Delivery System Infrastructure	Up to <u>200</u> points	<i>None</i>	60
2. Enhanced Care Management (ECM) Provider Capacity Building	Up to <u>170</u> points	Up to <u>30</u> points	120
3. Community Supports Provider Capacity Building and Community Supports Take-Up	Up to <u>250</u> points	Up to <u>50</u> points	120
Category Totals	Up to <u>620</u> points	Up to <u>80</u> points	Up to <u>300</u> points
TOTAL	Up to <u>1,000</u> points		

DHCS may, at its sole discretion, consider granting exceptions in limited cases where the MCP makes a compelling request to DHCS to allocate more than 30% to the MCP's selected Program Priority Area (i.e., by allocating dollars from another priority area). MCPs requesting to allocate more than 300 points must respond to the following prompt; otherwise, leave blank:

(OPTIONAL) Describe preferred allocation methodology, including how many points would be allocated to each measure (where different from above), and reason for requesting an allocation different from that above. (100 word limit)

End of Section

Instructions

MCPs must submit the Submission 2-A Gap-Filling Progress Report to CalAIMECMILOS@dhcs.ca.gov by **Thursday, September 1, 2022**.

Please reach out to CalAIMECMILOS@dhcs.ca.gov if you have any questions. (Added Spring 2023) MCPs must submit the Submission 2-B Progress Report to CalAIMECMILOS@dhcs.ca.gov by March 15, 2023 for the measurement period beginning July 1, 2022 and ending December 31, 2022.

Progress Report Format

The Progress Report consists of two documents: the Narrative Report (as outlined in this Word document) and an accompanying Quantitative Reporting Template (Excel document). The Narrative Report includes Appendices A-B, which contain additional information, instructions, and references that are applicable to the Submission 2-A Progress Report.

For Submission 2-A, MCPs are required to submit responses to the measures noted as mandatory and for a minimum number of optional⁴ measures. **MCPs are permitted and encouraged to work closely with providers and other local partners on these measures and any ongoing strategic planning.**

Sections that require MCPs to submit a response are indicated under each header in this document with the phrase *"Response Required to This Section."* No response is required from MCPs to any other sections.

For Submission 2-B, all measures are mandatory and MCPs are required to submit responses to all measures.

Narrative Responses

In response to the narrative measure prompts, MCPs should describe activities conducted during the measurement period of January 1, 2022 through June 30, 2022 for Submission 2-A, and during the measurement period of July 1, 2022 through December

⁴ Refer to Appendix B for more information on responding to mandatory and optional measures.

31, 2022 for Submission 2-B (regardless of when the Gap-Filling Plan was submitted to and finalized with DHCS).

For several measures, attachments and supplemental information are required (e.g., meeting agendas). MCPs should include these attachments via email submissions.

For several measures, there are multipart narrative prompts within the measure. MCPs are required to respond to all parts of the question for their response to be considered complete.

For several measures, there are alternative narrative prompts within the measure to account for variances among counties (e.g., counties with and without recognized Tribes). MCPs must respond only to the question that is applicable to the county for which the Progress Report is being completed.

Quantitative Responses

MCPs must submit responses for quantitative measures within the accompanying Quantitative Reporting Template (Excel document). MCPs should read the Instructions tab, follow the prompts in the reporting template, and enter responses accordingly.

For several measures, MCPs may need to use publicly available data sources and complete their own calculations to respond to some measure prompts. Examples of data sources that may be helpful in MCP responses include:

Source	Description	Link
California Department of Finance	Demographic data by county	https://dof.ca.gov/forecasting/demographics/
California Business, Consumer Services, and Housing Agency	Homeless Data Integration System (HDIS), which provides data on homelessness by county	https://bcsh.ca.gov/calich/hdis.html

End of Section

Submission 2-A Measures for Priority Area 1: Delivery System Infrastructure

Response Required to This Section

2.1.1 Measure Description

Mandatory

40 Points Total

20 Points for the Quantitative Response

20 Points for the Narrative Response

Quantitative Response

Number and percentage point increase in contracted ECM providers that engage in bi-directional Health Information Exchange (HIE).

Enter response in the Excel template.

Narrative Response

Describe the concrete steps taken and investments made by the MCP to increase the number of contracted ECM providers with HIE capabilities to electronically store, manage, and securely exchange health information and other clinical documents with other care team members (i.e., with other providers outside of the ECM's practice, clinic or care setting). (100 word limit)

Anthem collaborated with our Plan partners in Sacramento County to: 1) collect baseline data through ECM/CS certification application/gap closure process, and 2) develop an IPP Grant Application process for contracted ECM/CS providers to support their ability to electronically store, manage, and exchange care plan information and clinical documents with other care team members.

Additionally, Anthem:

- Facilitated two provider webinars promoting IPP funding, including IT upgrades for HIE connectivity.
- Provided two trainings and semi-weekly office hours on utilizing Anthem's Provider Portal.

- As a result of the first round of applications we are awarding \$713,473 in IPP funding for IT system upgrades to support bi-directional exchange, including \$56,465 in Sacramento.

2.1.2 Measure Description

*Mandatory
40 Points Total
20 Points for the Quantitative Response
20 Points for the Narrative Response*

Quantitative Response

Number and percentage point increase in contracted ECM providers with access to certified EHR technology or a care management documentation system able to generate and manage a patient care plan.

Enter response in the Excel template.

Narrative Response

Describe the concrete steps taken and investments made by the MCP to increase the number of contracted ECM providers with access to certified EHR technology or a care management documentation system able to generate and manage a patient care plan. (100 word limit)

Anthem collaborated with our Plan partners in Sacramento County to: 1) collect baseline data through ECM/CS certification application/gap closure process, and 2) develop an IPP Grant Application process for contracted ECM/CS providers to support their ability to access certified EHR technology or a care management documentation system able to generate/manage a care plan.

Additionally, Anthem:

- Facilitated two webinars promoting IPP funding, including IT upgrades for EHR.
- Hosted two webinars and individually engaged providers in best practice discussions about EHR.

- As a result of the first round of applications we are awarding \$800,856 in IPP funding for IT system upgrades to support EHR development, including \$82,659 in Sacramento.

2.1.3 Measure Description

*Mandatory
40 Points Total
20 Points for the Quantitative Response
20 Points for the Narrative Response*

Quantitative Response

Number and percentage point increase in contracted ECM and Community Supports providers capable of submitting a claim or invoice to a MCP, or have access to a system or service that can process and send a claim or invoice to a MCP with information necessary for the MCP to submit a compliant encounter to DHCS.

Enter response in the Excel template.

Narrative Response

Describe the concrete steps taken and investments made by the MCP to increase the number of contracted ECM and Community Supports providers capable of submitting a claim or invoice to a MCP, or have access to a system or service that can process and send a claim or invoice to a MCP with the information necessary for the MCP to submit a compliant encounter to DHCS. (100 word limit)

Anthem collaborated with our Plan partners in Sacramento County to: 1) collect baseline data through ECM/CS certification application/gap closure process, and 2) develop an IPP Grant Application process for contracted ECM/CS providers to support their ability to submit a claim or invoice to a MCP, or have access to a system or service that can process and send a claim or invoice to an MCP with information necessary for the MCP to submit a compliant encounter to DHCS.

Additionally, Anthem:

- Facilitated two provider webinars promoting IPP funding, including IT upgrades for invoicing systems.
- Provided two trainings, semi-weekly office hours, and claiming guide for Anthem's Provider Portal.
- Built out a claims platform for non-traditional providers and hired dedicated associates to resolve CalAIM provider claims/billing issues.
- As a result of the first round of applications we are awarding \$288,038 in IPP funding for IT system upgrades to support invoicing, including \$31,123 in Sacramento.

2.1.4 Measure Description

*Mandatory
20 Points*

Quantitative Response Only

Number and percentage point increase in contracted Community Supports providers for those Community Supports offered by the MCP starting January 1, 2022, or July 1, 2022, with access to closed-loop referral systems.

Enter response in the Excel template.

2.1.5 Measure Description

*Mandatory
20 Points*

Quantitative Response Only

Number and percentage point increase in behavioral health providers with contracts in place to provide ECM that engage in bi-directional Health Information Exchange (HIE).

Enter response in the Excel template.

2.1.6 Measure Description

*Mandatory
10 Points*

Narrative Response Only

Describe progress against Gap-Filling Plan regarding identification of underserved populations and the ECM providers to which they are assigned. Response should provide detail regarding which populations are underserved, the methodology used to identify them, the approach to assigning these members to an ECM provider, and any other relevant information regarding MCP activities completed and investments made. (100 word limit)

Anthem's Progress against the gap filling plan is:

- Identification of underserved populations in the county: We have chosen to utilize the following publicly available data sources to help in the identification of underserved populations: Center for Health Policy Research (CHIS), The Homeless Data Integration System, DHCS 2020 Health Disparities Report, Sacramento 2020 Community Health Needs Assessment. Discussions about underserved populations internally and externally with other MCP's and county partners has occurred. Examples of populations discussed include Medicaid eligible individuals needing mental health services, facing access to care issues, and populations needing SDOH support like housing. Anthem's Health Equity Director mined internal member data sources with respect to diagnoses related to maternity, SUD, Asthma, Diabetes, High Blood Pressure, Cardiovascular disease, and Mental Health data. The analysis concluded AI/AN asthma (statistically significant), Asian diabetes (statistically significant), and NH/PI preterm births are the top 3 underserved populations in the county.
- Mining internal data methodology supports the publicly available data and has been developed through (1) a multi-source proprietary algorithm to identify ECM-eligible members and place them in a Population of Focus that best aligns with their needs. (2) ECM and CS provider referrals, member self-referrals, and other community referrals.
- Members are strategically assigned to ECM providers to support engagement with underserved populations by considering members' specific Population of Focus needs, previous provider relationships and member preference, geographic location, provider capacity, and cultural relevance of the provider to the member. To see the Providers Anthem members will be assigned to, Please see attachment "Anthem MOC Phase III ECM Provider Capacity 092022"

Anthem has prioritized engagement with local providers who best represent their communities and have established trust with underserved populations.

- Nearly 60% of awarded IPP funds were granted to organizations operating in a single county or region

2.1.7 Measure Description

*Mandatory
10 Points*

Narrative Response Only

Describe how the MCP successfully collaborated with all MCPs in the county to enhance and develop needed ECM and Community Supports infrastructure and, if applicable, leverage existing Whole Person Care (WPC) capacity. If only one MCP is operating in the county, the narrative must describe how it successfully leveraged and expanded existing county and, if applicable, WPC infrastructure to support infrastructure building. Response should include details regarding what barriers were encountered, which strategies proved successful, and the MCP's plans to continue infrastructure building. MCPs are also encouraged to describe how they might leverage the new community health workers (CHW) benefit moving forward to build capacity in the delivery system for ECM and Community Supports. (100 word limit)

- In collaboration with our Plan partners, Anthem maintained existing WPC infrastructure by: 1) convening a CalAIM Roundtable to understand local level priorities, discuss best practices; and 2) collaborating on a joint IPP Grant Application process to support ECM/CS infrastructure development and capacity-building. As a result, 15 providers applied for IPP funding. Of those providers, 10 applied to expand IT infrastructure. In polls that were taken during the roundtable, the ECM and CS priorities identified tended to focus on the Housing CS and Individuals experiencing homelessness ECM PoF.
- Anthem identified ECM capacity barriers to include time constraints related to provider education and outreach about CalAIM, misinformation about CalAIM FQHC funding processes, impacts from staffing shortages in general and became worse by Covid-19 pandemic.
- Ongoing successful strategies include:
 - Frequent targeted outreach to local providers.

- Leveraging developed partnerships with other MCP's to collaborate on a streamlined IPP application process to minimize provider burden; Utilizing a Steering Committee model with County Account Management to ensure CalAIM is a topic of discussion within the county,
- Standing meetings with Plan partners to strategize roundtable agendas to ensure focused capacity expansion discussion continues and provider engagement does not decrease;
- Supporting ECM/CS infrastructure development and capacity-building with IPP funding by approving requests from Burgess Brothers, Lutheran Social Services of Northern California, Sacramento Covered, WellSpace, and California Health Collaborative to build or expand the current IT infrastructure at their organization.
- Anthem contracts with ECM and CS Providers who have CHW staff serving members, similarly we will outreach to CHW Providers to determine their interest in becoming ECM and/or CS Providers. Anthem will provide education to providers on the guidelines for the CHW's scope of benefits and non-duplication of services. Those with access to the Provider Portal will be educated on how to view enrollment flags. When CHW services are submitted, Anthem will review for potential duplication of services and notify referring entities immediately.

City of Sacramento, the WPC lead entity has not participated in ECM/CS but has engaged as a supporting partner in the Homelessness and Housing Workgroup. Transform Health who coordinated the Pathways (WPC) program for the City, has led the coordination of the CalAIM Roundtable, IPP grant application process, and facilitated Steering Committees, and standing plan partner meetings.

2.1.8 Measure Description

*Mandatory
10 Points*

Narrative Response Only

Describe any progress to build physical plant (e.g., sobering centers) or other physical infrastructure to support the launch and continued growth of ECM and Community Supports benefits. This response should provide detail regarding how the MCP has contributed to this infrastructure building, including but not limited to: financial contributions, participation in planning committees, partnerships with other MCPs and other community entities engaged in infrastructure building, etc. Please exclude technology-related infrastructure (e.g., IT systems) from this response. (100 word limit)

Anthem collaborated with our Plan partner(s) in Sacramento County to: 1) convene a CalAIM Roundtable to understand local level priorities, discuss best practices; and 2) collaborate on a joint IPP Grant Application process to support ECM/CS infrastructure development and capacity-building. We are in continued discussions via the CalAIM Roundtable to identify community priorities and solicit feedback to inform community-wide investments to support the build of physical plants (e.g., sobering centers) or other infrastructure to support successful implementation of ECM/CS.

Additionally, Anthem:

- Implemented process to prioritize proactive capacity building efforts with focus on physical infrastructure needs. For prioritized service gaps, Anthem deployed development resources to identify and engage provider partners, understand needs, and designate IPP funds to invest in start-up costs.

2.1.9 Measure Description

*Mandatory
10 Points*

Narrative Response & Materials Submission

Submission of a signed letter of collaboration, meeting agendas/notes, or other materials that outline the organizations with which the MCP collaborated in developing the Delivery System Infrastructure portion of the Gap-Filling Plan. If the MCP is unable to produce these documents, please specify why in the narrative response.

AND

Describe how all MCPs in the county vetted and iterated the Gap-Filling Plan with other local partners within the county. Response should include a list of organizations with which the MCP collaborated, completed activities, and methods of engagement. If no meaningful engagement or vetting with local partners was completed, please explain why and describe upcoming plans for vetting and iteration, including a list of proposed organizations, proposed activities, methods of engagement, and a time frame for completing these activities. (100 word limit)

Anthem vetted components of our gap filling plan to counties via email to County Partners in Sacramento from Behavioral Health, Social Services, Public Health and iterated the plan during the monthly CalAIM Sacramento County MCP's Meeting. Attendees of the monthly meeting include Anthem Regional Program Manager, Anthem County Account Management Team, Plan partners and County Partners in Sacramento from Behavioral Health, Social Services, Public Health. Past discussion within the reporting period about components within the gap filling plan has focused on DHCS ECM and CS capacity building. Moving forward, Anthem will attempt to make space in the agenda to add in other gap filling plan components for discussion.

Additionally, Anthem has iterated components of the Gap Filling plan to Providers. Plan components iterated include Provider Portal data exchange methods and proper claims and encounter submissions with Providers at:

- Monthly Provider meetings with Anthems clinical team to encourage utilization,
- Anthems monthly Provider webinar series,
- The cross-county collaboratives where we share best practices to Providers
- A webinar educating Providers about the Value Based Payment Program which includes an encounter and claims metric.
- The certification application and gap closure process reviewing and ensuring the importance of bi-directional data exchange.

Anthem collaborated with our Plan partners in Sacramento to collect baseline data through the ECM/CS certification application and gap closure process. Plans will continue to leverage the CalAIM Roundtable to understand local level priorities, discuss with community partners the best ways to enhance and develop ECM/CS infrastructure, and to inform development of the Delivery System Infrastructure portion of our Gap-Filling plan. The CalAIM Roundtable website contains access to all meeting materials (i.e., agendas, PPTs, list of organization types that are invited to attend, DHCS-approved IPP Needs Assessment and Gap-Filling Plans) that document our collaboration and a mechanism to receive feedback.

Anthem will continue to expand our vetting and stakeholder process with solicited input at existing community forums and channels. The Plans intend to discuss and finalize an approach to posting gap filling plans and needs assessments to the CalAIM website. This will be discussed in the July 11 MCP check-in.

End of Section

Submission 2-A Measures for Priority Area 2: ECM Provider Capacity Building

Response Required to This Section

2.2.1 Measure Description

*Mandatory
20 Points*

Quantitative Response Only

Number of contracted ECM care team full time employees (FTEs).

Enter response in the Excel template.

2.2.2 Measure Description

Optional

Report on five (5) optional Payment 2 measures in Program Priority Area #2 to earn a total of 30 points

Quantitative Response Only

Reporting on racial and ethnic demographics of ECM care team FTEs for each Program Year 1 Populations of Focus relative to the racial and ethnic demographics of the beneficiaries in the Program Year 1 Populations of Focus.

Enter response in the Excel template.

2.2.3 Measure Description

*Mandatory
20 Points*

Quantitative Response Only

Number of Members receiving ECM.

Enter response in the Excel template.

2.2.4 Measure Description

*Mandatory
10 Points*

Quantitative Response Only

Number of Members across Program Year 1 Populations of Focus receiving ECM. Break out of Members across Program Year 1 Populations of Focus receiving ECM by race, ethnicity, and primary language.

ECM Populations of Focus for Year 1 / Calendar Year 2022 include:

- Individuals and Families Experiencing Homelessness
- High Utilizer Adults
- Adults with Serious Mental Illness (SMI) / Substance Use Disorder (SUD)
- Adults and Children/Youth Transitioning from Incarceration (WPC Pilot counties only)

Enter response in the Excel template.

2.2.5 Measure Description

*Mandatory
40 Points*

Narrative Response Only

Narrative responses should outline progress and results from collaborations with local partners to achieve the below activities. (100 word limit per question below)

1. Describe what concrete steps have been taken and/or investments made to increase ECM provider capacity and MCP oversight capacity.
2. Describe what concrete steps have been taken and/or investments made to address ECM workforce, training, TA needs in county, including specific cultural competency needs by county.
3. Describe what concrete steps have been taken and/or investments made to support ECM provider workforce recruiting and hiring of necessary staff to build capacity.
4. List the MCP training and TA programs that have been provided to ECM providers, including details on when and where the trainings were held, how many people attended, and any organizations involved in the planning of training efforts. *NOTE: MCPs may submit relevant meeting minutes and attendee lists, training materials, and other attachments in lieu of a narrative response to Sub-Part 4.*

1. Barriers identified towards increasing ECM provider capacity includes time constraints related to provider education and outreach about CalAIM, misinformation about CalAIM FQHC funding processes, impacts from staffing shortages in general and became worse by the Covid-19 pandemic.

Steps to address the barriers include:

- Frequent targeted outreach to local providers,
- Leveraging developed partnerships with other MCP's to collaborate on a streamlined IPP application process to minimize provider burden.
- Utilizing a Steering Committee model with County Account Management to ensure CalAIM is a topic of discussion within the county,
- Standing meetings with Plan partners to strategize roundtable agendas to ensure focused capacity expansion discussion continues and provider engagement does not decrease.
- Supporting ECM/CS infrastructure development and capacity-building with IPP funding by approving requests from Lutheran Social Services of Northern California, Sacramento Covered, WellSpace, California Health Collaborative and Sterling Hospitalist Medical Group dba Titanium.

Specific methods of monitoring, oversight, and escalation are described to ECM providers in the ECM Provider Guide, Quality, Monitoring and Oversight section. Specifically, Anthem gives Providers a quarterly performance report to monitor

progress across key measures, including the quality of the ECM assessment and care plan, member engagement and capacity expansion. A team of locally deployed Anthem clinical staff reviews the report with the ECM provider, and provides guidance, coaching and support to improve on those measures. In addition, Anthem established a value-based payment program to incentivize improvement such as successful member engagement, capacity expansion, and care management plan quality. This program includes regular ECM assessment and care plan audits.

2. Cultural Competency and TA needs were identified and shared with the county. Within this reporting period, Anthem's focus within the County was primarily centered on educating what ECM and CS is, identification of providers who can serve these populations, and provider readiness. Moving forward, Anthem will attempt to add capacity within the county agenda to focus on and address cultural competency needs along with recruitment and retention of staff with lived experience.

Notably to address Cultural Competency, Anthem proactively gives ECM providers access to the Elsevier training library which includes cultural competency topics within the scope of behavioral health, nursing, and care management, engaged a consultant to support building a model of care for the jail reentry population, and Clinical Team members assess contracted Providers LCM race and ethnicities to support a diverse ECM membership.

TA needs were identified through the roundtable and direct provider questions to the Health Plan. Questions asked directly to the Health Plan include how to use Anthem's Provider Portal, how to complete an ECM certification application, support through the gap closure process, and questions about the MIF.

To address this feedback Anthem:

- Updates ECM provider guides on DHCS and Anthem expectations and technical assistance guidance about ECM and notifies providers of these updates.
- Hosts webinars/cross county collaboratives/roundtables reinforcing information Providers are needing assistance with.
- Office hours are held bi-weekly to support questions related to Anthems Provider Platform.
- 3 dedicated associate positions have been approved to support ECM providers with encounters, claims, and billing education and issue resolution.

3. Anthem engaged consultants and nearing a finalized a contract to support building a model of care for the jail reentry population. For the 1/1/2022 PoF, Anthem anticipates awarding \$1.6M in IPP funding for ECM provider staffing expansions, including \$542,296 in Sacramento. Examples of investments include Sacramento Covered, Lutheran Social Services of Northern California, and Peach Tree Health.

4. See attachment.

2.2.6 Measure Description

Optional

Report on five (5) optional Payment 2 measures in Program Priority Area #2 to earn a total of 30 points

Narrative Response & Materials Submission

Narrative responses should outline progress and results from collaborations with local partners to achieve the below activities. Note that the strategic partnership requirements may be fulfilled through active and meaningful participation in the PATH Collaborative Planning Initiative—MCPs leveraging this must include details regarding any memoranda of understanding (MOUs), activities, and financial investments to support the PATH Collaborative Planning Initiative. (100 word limit per question below)

1. Describe progress against the narrative plan submitted for Payment 1 regarding the establishment and maintenance of strategic partnerships with MCPs in the county and other organizations (*see narrative measure 1.2.6, sub-question 2*).
2. Describe progress against the narrative plan submitted for Payment 1 regarding addressing health disparities through strategic partnerships (*see narrative measure 1.2.6, sub-question 3*).

AND

Submission of (1) MOUs or other collaborative agreements and (2) quarterly meeting agendas and notes (including a list of which organizations attended).

Anthem will not be reporting on this metric as one of the 5 optional Payment 2 measures.

2.2.7 Measure Description

Mandatory
20 Points

Narrative Response & Materials Submission

MCPs must complete a narrative response for either of the prompt options below (and associated sub-components), as applicable. MCPs must also submit the required documents, as described below. (100 word limit)

1. Describe progress against the narrative plan submitted for Payment 1 regarding collaborative work with Tribes and Tribal providers used by members in the county to develop and support the provision of ECM services for members of Tribes in the county (*see narrative measure 1.2.7, sub-questions 2-3*). This response should include details on (1) concrete actions taken and investments made to demonstrate progress, (2) what issues have been identified in meetings facilitated to date, and (3) upcoming plans for meetings and other activities:
 - a. Working with Tribes and Tribal providers used by members in the county on provider capacity.
 - b. Providing ECM services for members of Tribes in the county.

OR

1. For MCPs operating in counties *without* recognized Tribes, describe the approach taken to supporting culturally competent ECM services for members who receive Tribal services, including efforts to contract with Tribal providers in surrounding counties.

AND

Submission of MOUs or other collaborative agreements and associated agendas and/or meeting notes.

1. Anthem outreached to tribal providers and tribal organizations within our contracted counties to build upon existing relationships or form new ones that could potentially open doors to tribal providers and tribal organizations in this county. Anthem has been outreaching to and/or communicating with Chapa De, CRIHB, CCUIH, and MACT. For example, Anthem engaged Sacramento Native American Health Center's, a former Health Homes provider, to support interested tribal providers such as Northern Valley Indian Health. By Q4, Anthem will collaborate with our Plan partners to launch an

Indian Health CalAIM Roundtable and promote ECM, CS and additional IPP grant funding opportunities specific to Tribes and Tribal Providers.

1a. Additionally, Anthem when applicable:

- Strategically prioritized outreach and follow-up to Tribes and Tribal providers.
- COVID in-person meeting limitations presented a challenge in engagement with providers lead by Tribal organizations that prefer in-person communication.

In Sacramento County, Wilton Rancheria, Shingle Springs Band of Miwok Indians are present.

1b: 29 Native American or Alaska Native members have enrolled in ECM.

2.2.8 Measure Description

*Mandatory
20 Points*

Narrative Response Only

Describe how the MCP successfully collaborated with all MCPs in the county to support ECM capacity expansion and, if applicable, leverage existing Whole Person Care (WPC) capacity. If only one MCP is operating in the county, the narrative must describe how it successfully leveraged and expanded existing county and, if applicable, WPC infrastructure to support ECM capacity building. Response should include details regarding what barriers were encountered, which strategies proved successful, and the MCP's plans to continue capacity and infrastructure building. MCPs are also encouraged to describe how they might leverage the new community health workers (CHW) benefit moving forward to build capacity in the delivery system for ECM. (100 word limit)

- Anthem continues to collaborate with four managed care plans and county stakeholders through ECM capacity discussions at:
 - Joint steering committees, stakeholder roundtables, trainings, certification application/gap closures and ECM workgroups (Jail Transition, Housing, High Utilizer and Behavioral Health)

- MCO Provider meetings
 - Sharing of contracted network providers
 - Joint funding of IPP applications
 - Former WPC lead entity, City of Sacramento, has participated in appropriate capacity discussions. Transform Health, former Pathways coordination team, also coordinated the CalAIM Roundtable and IPP application process.
 - Barriers included time needed to educate providers and provider availability.
- Anthem contracts with ECM and CS Providers who have CHW staff serving members, similarly we will outreach to CHW Providers to determine their interest in becoming ECM and/or CS Providers. We will provide education to providers on the guidelines for the CHW's scope of benefits and non-duplication of services. Those with access to the Provider Portal will be educated on how to view for enrollment flags. When CHW services are submitted, Anthem will review for potential duplication of services and notify referring entities immediately.

2.2.9 Measure Description

*Mandatory
20 Points*

Quantitative Response

Baseline data for individuals who are Black/African American or from other racial and ethnic groups who are disproportionately⁵ experiencing homelessness and who meet the Population of Focus definition: "people experiencing homelessness or chronic homelessness, or who are at risk of becoming homeless with complex health and/or behavioral health conditions."

Enter response in the Excel template.

⁵ MCPs must determine which racial/ethnic groups disproportionately experience homelessness in the county, not just identify which racial/ethnic groups have the highest counts of homelessness. This will require some basic calculations using publicly available data.

Narrative Response

Describe the steps taken to reach individuals who are Black/African American or from other racial and ethnic groups who are disproportionately experiencing homelessness and who meet the Population of Focus definition: “people experiencing homelessness or chronic homelessness, or who are at risk of becoming homeless with complex health and/or behavioral health conditions.” Response should include details on what barriers have been identified in reaching these populations as well as concrete steps taken/investments made to address these barriers, including partnerships with local partners. (100 word limit)

In Sacramento, the top racial and ethnic groups disproportionately experiencing homelessness are: (1) Black/AA, AI/AN, and Hispanic/Latinx. Barriers to reaching these racial and ethnic groups are: Lack of housing to coordinate short-term and long-term supportive housing services; Missing data on members experiencing homelessness, Lack of accessible services to homeless individuals, the community, in general, is not aware of CalAIM services and how to access them, very limited funding and staff within the CES to support the need; and low utilization of Z codes make it challenging for MCO's to identify homeless members.

To reach these Homeless Populations Anthem:

- Is using demographic data, z-codes, and public data, including the CDC's Social Vulnerability Index, in order to support eligibility determination, and prioritize eligible members for ECM outreach.
- Proactive algorithm specifically identifies Black/African American, as a risk factor for prioritization.
- Engaged HMIS across the state to improve homeless identification.
- Hired a housing strategy team to focus on collaborating with local Continuums of Care and addressing inequities. This team presented to Anthem's internal SDOH committee their research that shows 21% of homeless are black compared to 12% within the general population and displayed for 6 counties the percent of Anthem Members who are Black and homeless.
- Anthem's clinical team through the certification application process, ensure cultural competency training has been delivered.
- Anthem monitors provider outreach and engagement on a monthly basis and pays Providers for improvement via the Value Based Payment program.
- Special Programs staff members meet monthly with contracted providers and share best practices, when available.

IPP funding was approved to ECM Contracted Homeless PoF providers such as Lutheran Social Services of Northern California, Sacramento Covered, WellSpace, California Health Collaborative and Sterling Hospitalist Medical Group dba Titanium.

2.2.10 Measure Description

Optional

Report on five (5) optional Payment 2 measures in Program Priority Area #2 to earn a total of 30 points

Quantitative Response

Baseline data for individuals who are Black/African American or from other racial and ethnic groups who disproportionately⁶ meet the Population of Focus definition ("individuals transitioning from incarceration who have significant complex physical or behavioral health needs requiring immediate transition of services to the community") and who have been successfully outreached to and engaged by an ECM provider.

Enter response in the Excel template.

Narrative Response

Describe the steps taken to reach individuals who are Black/African American or from other racial and ethnic groups who are disproportionately experiencing transitions from incarceration settings and meet the Population of Focus definition: "individuals transitioning from incarceration who have significant complex physical or behavioral health needs requiring immediate transition of services to the community." Response should include details on what barriers have been identified in reaching these populations and concrete steps taken/investments made to address these barriers, including partnerships with other community entities. (100 word limit)

Anthem has:

⁶ MCPs must determine which racial/ethnic groups disproportionately experience homelessness in the county, not just identify which racial/ethnic groups have the highest incarceration figures. This may require basic calculations using publicly available data or data obtained from county partners.

- Engaged a consultant to help Anthem to build a model of care for jail reentry population, including continued engagement with the right stakeholders for successful in-reach and post release engagement. While the systems can be fragmented during this transition, Anthem is outreaching to all relevant stakeholders, such as Adult Day Rehabilitation Center, County Mental Health Services at the Main Jail and Juvenile Corrections.
- Stratified and prioritized member outreach based on risk factors, including race.
- Identified the need to engage a more representative provider network, prioritizing outreach to Black/African American lead providers, such as Sacramento Covered, Wellspace, Sacramento Self-Help Housing and Lutheran Social Services.
- Targeted engagement with behavioral health providers focused on individuals returning from incarceration.

2.2.11 Measure Description

*Mandatory
10 Points*

Quantitative Response Only

Number of contracted behavioral health full-time employees (FTEs)

Enter response in the Excel template.

2.2.12 Measure Description

Optional

Report on five (5) optional Payment 2 measures in Program Priority Area #2 to earn a total of 30 points

Narrative Response Only

Has the MCP hired a full-time Health Equity Officer by July 1, 2022, who has the necessary qualifications or training at the time of hire or within 1 year of hire to meet the requirements of the position, as outlined in the MCP Procurement?

Reply "YES" with the date of hire if this measure has been met.

OR

If this measure has not been met, reply “NO” with a description of concrete actions the MCP is taking to hire a full-time Health Equity Officer, including at a minimum (a) date when the job was posted, (b) how many candidates have been interviewed, and (c) how many job offers have been made to date. (100 word limit)

Anthem will not be reporting on this metric as one of the 5 optional Payment 2 measures.

2.2.13 Measure Description

Optional

Report on five (5) optional Payment 2 measures in Program Priority Area #2 to earn a total of 30 points

Quantitative Response Only

Plan 30-Day Readmissions (PCR)

For beneficiaries who are in the ECM Populations of Focus and between ages 18-64, the number of acute inpatient stays during the reporting period that were followed by an unplanned acute readmission for any diagnosis within 30 days and the predicted probability of an acute readmission. Data is reported in the following categories:

- Count of Observed 30-Day Readmissions
- Count of Index Hospital Stays (IHS)

Enter response in the Excel template.

2.2.14 Measure Description

Optional

Report on five (5) optional Payment 2 measures in Program Priority Area #2 to earn a total of 30 points

Quantitative Response Only

Ambulatory Care—Emergency Department Visits (AMB)

Rate of emergency department (ED) visits per 1,000 beneficiary months for beneficiaries who are in the ECM Populations of Focus.

Enter response in the Excel template.

2.2.15 Measure Description

Optional

Report on five (5) optional Payment 2 measures in Program Priority Area #2 to earn a total of 30 points

Quantitative Response Only

Depression Screening and Follow-Up for Adolescents and Adults (DSF)

The percentage of beneficiaries 12 years of age and older who are in the ECM Populations of Focus and who were screened for clinical depression using a standardized tool and, if screened positive, who received follow-up care.

Enter response in the Excel template.

2.2.16 Measure Description

Optional

Report on five (5) optional Payment 2 measures in Program Priority Area #2 to earn a total of 30 points

Quantitative Response Only

Utilization of the PHQ-9 to Monitor Depression Symptoms for Adolescents and Adults (DMS)

The percentage of members 12 years of age and older who are in the ECM Populations of Focus with a diagnosis of depression and who had an outpatient encounter with a PHQ-9 score present in their record in the same assessment period as the encounter.

Enter response in the Excel template.

2.2.17 Measure Description

Optional

Report on five (5) optional Payment 2 measures in Program Priority Area #2 to earn a total of 30 points

Quantitative Response Only

Follow-Up After Emergency Department Visit for Mental Illness (FUM)

Percentage of emergency department (ED) visits for beneficiaries age 18 and older who are in the ECM Populations of Focus and have a principal diagnosis of mental illness or intentional self-harm and who had a follow-up visit for mental illness. Two rates are reported:

- Percentage of ED visits for mental illness for which the beneficiary received follow-up within 30 days of the ED visit (31 total days)
- Percentage of ED visits for mental illness for which the beneficiary received follow-up within 7 days of the ED visit (8 total days)

Enter response in the Excel template.

2.2.18 Measure Description

Optional

Report on five (5) optional Payment 2 measures in Program Priority Area #2 to earn a total of 30 points

Quantitative Response Only

Follow-Up After Emergency Department Visit for Alcohol and Other Drug Abuse or Dependence (FUA)

Percentage of emergency department (ED) visits for beneficiaries age 18 and older who are in the ECM Populations of Focus and have a principal diagnosis of alcohol or other drug (AOD) abuse or dependence and who had a follow-up visit for AOD abuse or dependence. Two rates are reported:

- Percentage of ED visits for which the beneficiary received follow-up within 30 days of the ED visit (31 total days)
- Percentage of ED visits for which the beneficiary received follow-up within 7 days of the ED visit (8 total days)

Enter response in the Excel template.

2.2.19 Measure Description

Optional

Report on five (5) optional Payment 2 measures in Program Priority Area #2 to earn a total of 30 points

Quantitative Response Only

Controlling High Blood Pressure (CBP)

Percentage of beneficiaries ages 18 to 85 who are in the ECM Populations of Focus and who had a diagnosis of hypertension and whose blood pressure was adequately controlled (< 140/90 mm Hg) during the reporting period.

Enter response in the Excel template.

2.2.20 Measure Description

Optional

Report on five (5) optional Payment 2 measures in Program Priority Area #2 to earn a total of 30 points

Quantitative Response Only

Metabolic Monitoring for Children and Adolescents on Antipsychotics (APM)

Percentage of children and adolescents ages 1 to 17 who are enrolled in the ECM Populations of Focus and who had two or more antipsychotic prescriptions and had metabolic testing. Three rates are reported:

- Percentage of children and adolescents on antipsychotics who received blood glucose testing
- Percentage of children and adolescents on antipsychotics who received cholesterol testing
- Percentage of children and adolescents on antipsychotics who received both blood glucose and cholesterol testing

Enter response in the Excel template.

2.2.21 Measure Description

Mandatory

10 Points

Narrative Response & Materials Submission

Submission of a signed letter of collaboration, meeting agendas/notes, or other materials that outline the organizations with which the MCP collaborated in developing the ECM Provider Capacity Building portion of the Gap-Filling Plan. If the MCP is unable to produce these documents, please specify why in the narrative response.

AND

Describe how all MCPs in the county vetted and iterated the Gap-Filling Plan with other local partners within the county. Response should include a list of organizations with which the MCP collaborated, completed activities, and methods of engagement. If no meaningful engagement or vetting with local partners was completed, please explain why and describe

upcoming plans for vetting and iteration, including a list of proposed organizations, proposed activities, methods of engagement, and a time frame for completing these activities. (100 word limit)

Anthem's vetting process of the gap filling plan included:

- Solicited input via email from County agencies.
- Data from engagement through the certification application, gap closure process and regular county planning meetings.

Anthem collaborated with Plan Partners to establish local stakeholder Roundtables. The CalAIM Roundtable website contains access to all meeting materials (i.e., agendas, PPTs, list of organization types that are invited to attend, DHCS-approved IPP Needs Assessment and Gap Filling Plans) that document our collaboration.

Anthem will continue to expand our vetting and stakeholder process through soliciting input on the plan through existing community forums and channels, such as Behavioral Health Joint Operating Committee meetings.

End of Section

Submission 2-A Measures for Priority Area 3: Community Supports Provider Capacity Building & Take-Up

Response Required to This Section

2.3.1 Measure Description

*Mandatory
30 Points*

Quantitative Response Only

Number of and percentage of eligible Members receiving Community Supports and number of unique Community Supports received by Members.

Enter response in the Excel template.

2.3.2 Measure Description

*Mandatory
30 Points*

Quantitative Response Only

Number of contracted Community Supports providers.

Enter response in the Excel template.

2.3.3 Measure Description

*Mandatory
35 Points*

Narrative Response Only

Narrative should outline progress and results from collaborations with local partners within the county to achieve the below activities. (100 word limit for each question)

1. Describe steps taken to reduce gaps or limitations in Community Supports coverage across the county.
2. Describe steps taken to increase number and/or reach of Community Supports offered in January 2022 or July 2022.

Completing an in-depth CS network gap analysis showed the following gaps in CS coverage in the county: Personal Care and Respite Care. Challenges to increasing the number and/or reach of CS include lack of local providers wanting to contract, clinical criteria required for specific CS services, limited time to outreach about CalAIM and impacts from staffing shortages in general and became worse by the Covid-19 pandemic.

Steps taken to reduce gaps and increase the number of CS's offered are similar and include:

- Holding three roundtables and two webinars to educate stakeholders about CalAIM, the 14 Community Supports, and IPP funding opportunities to support provider take up of gap CS's.
- Engaging with contracted providers to expand their services to additional counties such as Wellspace.
- Completing an in-depth CS network gap analysis, prioritizing the most challenging gaps, and deploying CalAIM Regional Program Managers to explore alternative techniques to identify different providers to outreach and engage, and assist known and/or contracted local providers in taking up gap CS's
- In the process of developing a CS value-based program that can reward specific CS providers for improvement in key areas such as member engagement.
- Engaged Anthem's Govt Relations Dir of Community Outreach to connect with contacts at AAA's, ILC's, ADRC's and other stakeholders to create opportunities for Anthem to provide education about Community Supports, the various CS provider types and how to become a CS provider.
- Developing a CS provider guide to inform interested providers about the multiple CS offerings.

2.3.4 Measure Description

*Mandatory
35 Points*

Narrative Response Only

Narrative should outline progress and results from collaborations with local partners within the county to achieve the below activities. (100 word limit for each question)

1. Describe what concrete steps have been taken and/or investments made to increase Community Supports provider capacity and MCP oversight capability from January through June 2022.
2. Describe what concrete steps have been taken and/or investments made to address Community Supports workforce, training, and TA needs in region/county, including specific cultural competency needs by region/county.
3. Describe what concrete steps have been taken and/or investments made to support Community Supports workforce recruiting and hiring.
4. Please list the MCP training and TA programs that have been provided to Community Supports providers, including details on when and where the trainings were held, how many people attended, and any organizations involved in the planning. *NOTE: MCPs may submit relevant meeting minutes and attendee lists, training materials, and other attachments in lieu of a narrative response to Sub-Part 4.*

1. CS Services Anthem is prioritizing in this county are PCA/Homemaker with paramedical services and Respite. Barriers identified towards increasing CS Provider capacity includes impacts from staffing shortages in general and became worse by the Covid-19 pandemic, and CS services that require a clinical component.

Steps taken to address the barriers include:

- Frequent targeted outreach to local providers,
- Leveraging developed partnerships with other MCP's to collaborate on a streamlined IPP application process to minimize provider burden.
- Standing meetings with Plan partners to strategize roundtable agendas to ensure focused education is delivered, capacity expansion discussions continue and provider engagement does not decrease.
- Engaged Anthem's Govt Relations Dir of Community Outreach to connect with contacts at AAA's, ILC's, ADRC's and other stakeholders to create opportunities for Anthem to provide education about Community Supports, the various CS provider types and how to become a CS provider.

To increase oversight capacity, Anthem has set aside resources to develop a CS value-based program that will reward providers for member engagement and quality of care. Currently Anthem is completing CS provider audits and monitoring CS provider staffing and capacity via regular reporting. Clinical team members engage with specific CS providers about changes or updates to staffing and capacity levels.

2. To Support Technical Assistance and Cultural Competency, Anthem has:
 - Anticipated awarding \$246,342 in IPP funding for CS provider training and technical assistance across all Anthem counties, including \$86,105 in Sacramento. Examples of investments include Sacramento Self-Help Housing, Burgess Bros, Sacramento Covered, Lutheran Social Services of Northern California, and Wellspace.
 - Continually update CS provider guides on expectations and technical assistance on being a CS provider.
 - Giving CS provider access to the Elsevier training library which includes behavioral health, nursing, and care management educational topics.
 - Hosted webinars/cross county collaboratives/roundtables detailed below in Question 4.
3. Anthem has:
 - Invested \$818,911 in IPP funding for CS provider staffing expansions, including \$241,612 in Sacramento. Examples of investments include Sacramento Self-Help Housing, Burgess Bros, Sacramento Covered, Lutheran Social Services of Northern California, and Wellspace.
 - Hosted regular in-service meetings with state-wide providers where capacity is a regular agenda topic
4. See attached.

2.3.5 Measure Description

*Mandatory
35 Points*

Narrative Response Only

1. Describe progress against the narrative plan submitted for Payment 1 regarding collaborative work with Tribes and Tribal providers used by members in the county to develop and support the provision of Community Supports for members of Tribes in the county (*see narrative measure 1.3.6, sub-questions 2-3*). This response should include details on concrete actions taken/investments made to demonstrate progress made in the below activities. (100 word limit)
 - a. Working with Tribes and Tribal providers used by members in the county on provider capacity.
 - b. Providing Community Supports for members of Tribes in the county.

OR

1. For MCPs operating in counties *without* recognized Tribes, describe the approach taken to supporting culturally competent Community Supports for members who receive Tribal services, including efforts to contract with Tribal providers in surrounding counties. (100 word limit)

Anthem outreached to tribal providers and tribal organizations within our contracted counties to build upon existing relationships or form new ones that could potentially open doors to tribal providers and tribal organizations in this county. Anthem has been outreaching to and/or communicating with Chapa De, CRIHB, CCUIH, and MACT. For example, Anthem engaged Sacramento Native American Health Center's, a former Health Homes provider, to support interested tribal providers such as Northern Valley Indian Health. By Q4, Anthem will collaborate with our Plan partners to launch an Indian Health CalAIM Roundtable and promote ECM, CS and additional IPP grant funding opportunities specific to Tribes and Tribal Providers.

1a. Additionally, Anthem when applicable:

- Strategically prioritized outreach and follow-up to Tribes and Tribal providers.
- COVID in-person meeting limitations presented a challenge in engagement with providers lead by Tribal organizations that prefer in-person communication.

In Sacramento County, Wilton Rancheria, Shingle Springs Band of Miwok Indians are present.

1b: 9 Native American or Alaska Native members have enrolled in CS.

2.3.6 Measure Description

*Mandatory
35 Points*

Narrative Response Only

Describe how the MCP successfully collaborated with all MCPs in the county to support Community Supports capacity expansion and, if applicable, leverage existing WPC capacity. If only one MCP is operating in the county, the narrative must describe how it successfully leveraged and expanded existing county and, if applicable, WPC infrastructure to support

Community Supports capacity building. Response should include details regarding what barriers were encountered, which strategies proved successful, and the MCP's plans to continue capacity and infrastructure building. MCPs are also encouraged to describe how they might leverage the new community health workers (CHW) benefit moving forward to build capacity in the delivery system for Community Supports. (100 word limit)

In collaboration with our Plan partners, Anthem is enhancing and developing CS capacity by:

- Convening a CalAIM Roundtable to understand local level priorities, discuss best practices; and collaborating on a joint IPP Grant Application process to support CS expansion and take-up. As a result:
 - 7 providers applied for IPP funding to expand CS Capacity and add additional staff in the county. Burgess Brothers, Sacramento Covered, Lutheran Social Services of Northern California, Peach Tree, WellSpace and Serene Health were jointly awarded IPP funding for CS.
 - In polls that were taken during the roundtable, the CS priorities identified focused on the Housing CS and Individuals experiencing homelessness ECM PoF.

Anthem identified CS capacity barriers to include: impacts from staffing shortages in general and became worse by Covid-19 pandemic, lack of clinical providers to provide services such as Personal Care and Medical Respite; financing and ongoing maintenance of programming is too costly for providers to maintain or expand services.

Anthem will continue to engage in the following moving forward:

- Identify gaps in service.
- Assess the current network and identify appropriate providers who have similar area of expertise, capabilities and/or facilities, diversity necessary to support other CS's. For example, approaching providers who currently offer Recuperative Care to expand to Short Term Post Hospitalization housing and/or Day Habilitation services.
- Approach currently contracted providers who might be interested in expanding to support CS services.
- IPP funding will be made available to qualified interested providers.
- Utilize consultants to engage at the county level relationships built through Regional Program Managers, community roundtables, and relationships with other MCO's to engage in conversations about CS take up, how to operationalize the CS take up, and examples of financial operations of current and future CS.
- Engage contracted providers to explain how to operationalize Community Supports

City of Sacramento, the WPC lead entity, has not participated in ECM/CS but has engaged as a supporting partner in the Homelessness and Housing Workgroup. Anthem has facilitated Steering Committees and corresponding ECM/ CS Workgroups in Jail Transition, Housing and Behavioral Health along with standing plan partner meetings.

Anthem contracts with ECM and CS Providers who have CHW staff serving members, similarly we will outreach to CHW Providers to determine their interest in becoming ECM and/or CS Providers. Anthem will provide education to providers on the guidelines for the CHW's scope of benefits and non-duplication of services. Those with access to the Provider Portal will be educated on how to view for enrollment flags. When CHW services are submitted, Anthem will review for potential duplication of services and notify referring entities immediately.

2.3.7 Measure Description

*Mandatory
30 Points*

Quantitative Response Only

Percentage of enrollees receiving Community Supports by race, ethnicity, and primary language, relative to the demographics in the underlying enrollee population.

Enter response in the Excel template.

2.3.8 Measure Description

*Optional
Report on one (1) optional Payment 2 measure in Program Priority Area #3 to earn 50 points*

Quantitative Response Only

Asthma Medication Ratio (AMR)

The percentage of beneficiaries ages 5 to 64 who are receiving Community Supports and who were identified as having persistent asthma and had a ratio of controller medications to total asthma medications of 0.50 or greater during the reporting period.

Enter response in the Excel template.

2.3.9 Measure Description

Optional

Report on one (1) optional Payment 2 measure in Program Priority Area #3 to earn 50 points

Quantitative Response Only

The number of individuals who meet the criteria for the Population of Focus ("people experiencing homelessness or chronic homelessness, or who are at risk of becoming homeless with complex health and/or behavioral health conditions") who were housed for more than 6 consecutive months.

Enter response in the Excel template.

2.3.10 Measure Description

Optional

Report on one (1) optional Payment 2 measure in Program Priority Area #3 to earn 50 points

Quantitative Response Only

Controlling High Blood Pressure (CBP)

Percentage of beneficiaries who meet the criteria for the Population of Focus ("people experiencing homelessness or chronic homelessness, or who are at risk of becoming homeless with complex health and/or behavioral health conditions") 18-85 years of age who had a diagnosis of hypertension and whose blood pressure was adequately controlled (< 140/90 mm Hg).

Enter response in the Excel template.

2.3.11 Measure Description

Optional

Report on one (1) optional Payment 2 measure in Program Priority Area #3 to earn 50 points

Quantitative Response Only

Comprehensive Diabetes Care (CDC)

Percentage of beneficiaries who meet the criteria for the Population of Focus: "people experiencing homelessness or chronic homelessness, or who are at risk of becoming homeless with complex health and/or behavioral health conditions," 18-75 years of age with diabetes who had hemoglobin A1c > 9.0%.

Enter response in the Excel template.

2.3.12 Measure Description

*Mandatory
20 Points*

Narrative Response & Materials Submission

Submission of a signed letter of collaboration, meeting agendas/notes, or other materials that outline the organizations with which the MCP collaborated in developing the Community Supports Provider Capacity Building and Community Supports Take-Up portion of the Gap-Filling Plan. If the MCP is unable to produce these documents, please specify why in the narrative response.

AND

Describe how all MCPs in the county vetted and iterated the Gap-Filling Plan with local partners within the county. This response should include a list of organizations with which the MCP collaborated, completed activities, and methods of engagement. If no meaningful engagement or vetting with local partners was completed, please explain why and describe upcoming plans for vetting and iteration, including a list of proposed organizations, proposed activities, methods of engagement, and a time frame for completing these activities. (100 word limit)

Anthem collaborated with our Plan partners in Sacramento County to collect baseline data through the ECM/CS certification application and gap closure process. Plans will continue to leverage the CalAIM Roundtable to understand local level, priorities, discuss with community partners the best ways to enhance and develop ECM/CS infrastructure, and to inform development of the Community Supports Provider Capacity Building and Community Supports Take-Up portion of the Gap Filling plan. The CalAIM Roundtable website contains access to all meeting materials (i.e., agendas, PPTs, list of

organization types that are invited to attend, DHCS-approved IPP Needs Assessment and Gap-Filling Plans) that document our collaboration and established a mechanism for feedback.

Anthem's vetting process included soliciting input via email from County agencies.

Anthem will continue to expand our vetting/stakeholder process by soliciting input on the plan through existing ECM/CS Workgroups and the Behavioral Health Joint Operating Committee meetings.

End of Section

Submission 2-B Measures *(Added Spring 2023)*

Response Required to This Section

2B.1.1 Measure Description

10 Points

Quantitative Response

Number and percentage point increase in contracted ECM providers that engage in bi-directional Health Information Exchange (HIE).

Enter response in the Excel template.

Narrative Response

Describe the concrete steps taken and investments made by the MCP to increase the number of contracted ECM providers that engage in bi-direction Health Information Exchange (HIE). *(No longer than one page per Measure)*

Anthem has developed and is executing a plan to improve the number of contracted ECM providers with certified HIE capabilities. This plan includes a focus improving the *input* into HIEs and the *use of outputs* from HIEs. During this reporting period, Anthem:

- *Improving input:*
 - Completed an internal assessment that showed only 25% of Anthem Providers across California (all lines of business) had ADT feeds available through HIEs. For Medicaid only 13% of Providers had ADT feeds available through Manifest, Anthem's preferred HIE.
 - Identified and began targeted outreach to 15 providers that – if connected – would increase ADT feed coverage by 53% across all counties.
 - By June 2023, Anthem's goal is to increase ADT coverage by 30% using Manifest, Experian, CMT and Bamboo Health.

- *Use of HIE output*
 - In all shared counties, Anthem collaborated with California Health and Wellness / HealthNet to conduct a baseline assessment of current providers' access to and use of certified HIE technology, including perceived barriers and needs. Through this assessment, we found that most providers are not connected to a certified HIE system. The primary reason for this was that providers did not understand the value of using a certified HIE platform over their current mechanisms for bi-directional data exchange.
 - Providers were able to apply for IPP funding to support development of/access to certified HIE technology. Sacramento County Department of Health Services presented to MCO's the proposed SHIE future state and funding request spanning over the next 3 years. Anthem provided a letter of support including a funding commitment.
 - By June 2023, Anthem's goal is to:
 - Use PATH Collaboratives to discuss providers data exchange needs/priorities and assess how certified HIE systems could be leveraged to meet those needs.
 - Release at least one provider newsletter and one webinar on the topics of certified HIE and the CALHHS Data Exchange Framework. The goals of these materials will be to improve provider awareness of certified HIE benefits and increase providers that sign the CALHHS Data Exchange Framework Data Sharing Agreement.

2B.1.2 Measure Description

20 Points

Quantitative Response

Number and percentage point increase in contracted ECM providers with access to certified EHR technology or a care management documentation system able to generate and manage a patient care plan.

Enter response in the Excel template.

Narrative Response

Describe the concrete steps taken and investments made by the MCP to increase the number of contracted ECM providers with access to certified EHR technology or a care management documentation system able to generate and manage a patient care plan. *(No longer than one page per Measure)*

As part of the application process, all prospective Anthem ECM providers receive individualized support from a dedicated Regional Program Manager (RPM). The provider's current use and needs related to their EHR/care management documentation system are discussed at length during this process. In the previous reporting period, providers that identified any needs related to their EHR/care management documentation system were directed to DHCS PATH CITED funding stream and Anthem's IPP application process, which had funding available to support these needs. In Sacramento County during the reporting period, Anthem funded Burgess Bros, California Health Collaborative, Elica, Home & Health Care Management, MasterCare, Peach Tree, Serene Health, and WellSpace to enhance their EHR/care management documentation capabilities.

With that support, Burgess Bros successfully established and implemented internal data system that is HIPAA, PIPEDA, and GDPR compliant and is interoperable with our portal, Availity, and Find Help. California Health Collaborative (CHC) purchased a dedicated EHR platform, trained a biller and trained ECM staff on EHR platform. CHC is now able to bill all contracted MCPs for services. Elica purchased a CM documentation system, implemented ECM Billing module, set up a health information exchange, and can now complete closed loop referrals. Home & Health Care Management has successfully begun billing for services. MasterCare completed a CM Platform design, transferred PHI, including staff training; launched an SFTP site, including report build, and made improvements to reporting for OTF/RTF. They can now import the new TEL/MIF and import of existing records. Peach Tree completed product demonstrations and completed review of all systems with discussions currently underway to select a product. Sacramento Self Help has identified companies that provide CM software and billing components. Serene Health fulfilled all milestones, including ECM and CS programs, such as creating ECM/CS contact forms, member referrals, member goals; interconnectivity with vendors with a successful inbound and outbound referral process to ensure meeting data validation; RTF and OTF exporting; and active member referrals to community and healthcare resources have been tested and accomplished. Serene Health's platform is specifically designed to meet the current and future needs of the ECM program. As a modular platform, Serene Health's

system is designed to adapt to any DHCS or health plan modifications. WellSpace purchased and developed the implementation and installation plan of NextGen Behavioral Health 4.0 Suite.

While the vast majority of providers that applied to participate in ECM had some version of an existing EHR/care management documentation system, many providers needed support to optimize their platforms for use and interoperability within the ECM program. To support providers with these needs, during the reporting period, Anthem:

- Provided all prospective providers with a care plan template that captured all required care plan elements.
- Allowed for significant flexibility in the acceptable format for submitted care plans, allowing for required elements to be captured in a variety of ways (drop down, free text, etc.)
- Delivered live webinar training for all lead case managers on care plan development best practices, facilitated by nurses from Anthem's Clinical Care team. In the reporting period, 33 ECM Care team Members serving Sacramento County participated in this training.
- Maintained a backlog of educational webinars, open to all providers, including those on EHR use and care plan documentation. During this period seven providers from Sacramento County accessed webinars related to EHR use and care plan documentation: Burgess Bros, California Health Collaborative, Elica Health, Home & Health Care Management, MasterCare, Peach Tree, Serene Health, and WellSpace.
- Provided monthly webinars and newsletters on various subjects, including EHR use and care plan documentation. During this period, relevant webinar and newsletter topics included transitions of care and engaging members with SMI, new care plan functionality in CareCentral, and Person-Centered Planning training opportunities.
- Began developing a training program that adheres to additional requests to enhance knowledge and expertise in providing best level of care for our members. Identified needs were collected through surveys and ongoing engagements with providers.

By June 2023, Anthem's goal is to:

- Research the ability for Anthem to share HEDIS data with ECM providers to support a comprehensive care plan and identify gaps in members preventive health care needs.
- Obtain legal approval for high priority Member Information File (MIF) enhancement that will allow for providers to securely import MIF data into their EHR, streamlining the care planning process.

2B.1.3 Measure Description

20 Points

Quantitative Response

Number and percentage point increase in contracted ECM and Community Supports providers capable of submitting a claim or invoice to a MCP, or have access to a system or service that can process and send a claim or invoice to a MCP with information necessary for the MCP to submit a compliant encounter to DHCS.

Enter response in the Excel template.

Narrative Response

Describe the concrete steps taken and investments made by the MCP to increase the number of contracted ECM and Community Supports providers capable of submitting a claim or invoice to a MCP, or have access to a system or service that can process and send a claim or invoice to a MCP with the information necessary for the MCP to submit a compliant encounter to DHCS. *(No longer than one page per Measure)*

All contracted providers are given access to CareCentral – Anthem’s online provider portal through which – among other functions – all providers submit claims and invoices to Anthem. To ensure all providers can access and utilize the system with minimal barriers, between July 1 – December 2022 Anthem:

- Gave all providers personalized access to one of four Provider Network Consultants (PNC), dedicated to CalAim providers, who provide technical assistance with a focus on claims & billing. PNCs have regular calls – sometimes weekly – with assigned providers.
- Maintained an internal, cross-functional Claims Workgroup where PNCs (and others) can surface, troubleshoot, and resolve provider claims or invoice issues. This group met bi-weekly throughout the reporting period.
- Anthem’s platform was originally developed for use by smaller, community-based organization. Many of the system updates that Anthem has made during this process have been to support more claims and billing by larger providers.

- Instituted 22 claims or invoicing updates to CareCentral – at a direct cost of \$1.2 M – to improve ease of use for ECM/CS providers. An example of an improvement made was creating a capability for providers to bulk upload member claims.
- Distributed a comprehensive CS/ECM provider guide, which is regularly updated, to all newly contracted CS/ECM providers. This guide covers the various uses of CareCentral, including claims and billing.
- Offered providers the option of developing an electronic billing interface, through which ECM/CS providers can bill Anthem via a direct feed from the provider EMR/EHR.
- Providers were able to utilize IPP funds to pay for electronic billing interface development or other upgrades to their claims and invoicing system, a total investment of \$1,190,259. In Sacramento County, Anthem funded Burgess Bros., California Health Collaborative (CHC), Elica Health, Home and Health Care Management, and Serene Health to enhance their electronic billing capabilities. With that support Burgess Bros has implemented a reporting system. California Health Collaborative purchased a dedicated EHR platform and trained a biller and ECM staff on the EHR platform. CHC is now able to bill all contracted MCPs for services. Home & Health Care Management has successfully begun billing for services. Elica Health implemented an ECM Billing Module. Serene Health's platform is specifically designed to meet the current and future needs of the ECM program. As a modular platform, Serene Health's system is designed to adapt to any DHCS or health plan modifications.
- Hosted twice a week technical office hour for providers that need additional assistance using CareCentral. From Sacramento County, 14 providers have participated in office hours.
- Maintained a backlog of educational webinars, open to all providers, including those on claims and invoicing. During this period, 15 providers from Sacramento County access webinars related to claims and invoicing.

By June 2023, Anthem's goal is to:

- Deploy PNC resources to provide dedicated one-on-one trainings on claims and billing.
- Increase awareness and attendance to the Care Central office hours

2B.1.4 Measure Description

20 Points

Quantitative Response Only

Number and percentage point increase in contracted Community Supports providers for those Community Supports offered by the MCP during the measurement period with access to closed-loop referral systems.

NOTE: Closed-loop referrals are defined as coordinating and referring the member to available community resources and following up to ensure services were rendered. A closed-loop referral system refers to a system or process which ensures the referring provider receives information that the Member was appropriate referred to, and received, services.

Enter response in the Excel template.

2B.2.1 Measure Description

10 Points

Quantitative Response Only

Number of contracted ECM care team full time equivalents (FTEs)

Total FTEs are defined as the sum of ECM care team members' working hours divided by their employer's full-time working hours (i.e. 40 hours per week); multiple part-time ECM care team members can equate to one (1) FTE.

Enter response in the Excel template.

2B.2.2 Measure Description

10 Points

Quantitative Response Only

Number of Members enrolled in ECM

Enter response in the Excel template.

2B.2.3 Measure Description

10 Points

Quantitative Response Only

Number of members who are Black/African American and other racial and ethnic groups who are disproportionately experiencing homelessness that are enrolled in ECM during the measurement period.

Enter response in the Excel template.

2B.3.1 Measure Description

10 Points

Quantitative Response Only

Number of and percentage of eligible members receiving Community Supports, and number of unique Community Supports received by members.

Enter response in the Excel template.

2B.3.2 Measure Description

10 Points

Quantitative Response Only

Number of contracted Community Supports providers.

Enter response in the Excel template.

End of Section