



Substance Abuse Prevention and Treatment Block Grant (SABG)

New Reporting and Payment Processes
Effective January 2019



Discussion

- Reasons for Changes
- State Contract Changes
- Reporting
- Budget Planning
- County Provider Contracting Implications
- Payments



Reasons

SAMHSA Core Technical Review

Main Finding

- Payment for SABG must be made for services rendered in arrears

Effect

- Allocations will no longer be paid in advance
- New reporting requirements
- Payments based on amounts reported



State Contract Changes

- Exhibit A - Attachment I A1 Program Specifications – Part III Reporting Requirements
 - Quarterly Federal Financial Management Report (QFFMR), Budgets, Ledger detail
- Exhibit B A1 - Section 2 – Substance Abuse Prevention and Treatment Block Grant (SABG)
 - Payments for expenditures in arrears



Reporting - Revised QFFMR

Changes

- NO LONGER REPORTING CUMULATIVE EXPENSES
- Additional Ledger Detail and Budget reporting added
- Due date will remain at 60 days after the end of the quarter



Reporting QFFMR Example

County Name	Quarterly Federal Financial Management Report SFY 2018 - 2019 SF Q2	
County Name Here		
Report Due Date		
Report Due Date Here		
(1)		
Service Period: Oct thru Dec 2018 Federal Fiscal Award Qtr: 5		
2018 Award NOT CUMULATIVE - Qtr Expenditures Only		
Line Item	Categorical Funding Lines	Quarter Exp.
50-18	SAPT- Discretionary	
50a-18	SAPT- Adolescent/Youth Treatment	
50bc-18	SAPT- Friday Night Live/Club Live	
50d-18	SAPT- Primary Prevention Set-Aside	
52-18	SAPT- Perinatal Set-Aside	
Total		0
Service Period: Oct thru Dec 2018 Federal Fiscal Award Qtr: 1		
2019 Award NOT CUMULATIVE - Qtr Expenditures Only		
Line Item	Categorical Funding Lines	Quarter Exp.
50-19	SAPT- Discretionary	
50a-19	SAPT- Adolescent/Youth Treatment	
50bc-19	SAPT- Friday Night Live/Club Live	
50d-19	SAPT- Primary Prevention Set-Aside	
52-19	SAPT- Perinatal Set-Aside	
Total		0



Reporting Time Frames by Award FFY

Month	2017 Award	2018 Award	2019 Award	2020 Award	
Jul-17					
Aug-17	Q4				
Sep-17					
Oct-17					
Nov-17	Q5	Q1			
Dec-17					
Jan-18	Q6				
Feb-18		Q2			
Mar-18					
Apr-18	Q7	Q3			
May-18					
Jun-18					
Jul-18		Q4			
Aug-18					
Sep-18					
Oct-18		Q5	Q1		
Nov-18					
Dec-18					
Jan-19		Q6	Q2		
Feb-19					
Mar-19					
Apr-19		Q7	Q3		
May-19					
Jun-19					
Jul-19			Q4		
Aug-19					
Sep-19					



Reporting - SABG Ledger Detail Documentation

New process addresses:

- SAMHSA review findings (payments in arrears)
- Alignment with cost reporting



Reporting - SABG Ledger Detail Example

SABG Discretionary 50-18

(a.) Drug Medi-Cal Provider Number (4 Digits)	(b.) Provider Name	(c.) Service Code	(d.) Service Code Desc	(e.) Program Code	(f.) Program Code Desc	(g.) Amount

Total Discretionary TOTAL HERE - will match the first page of the QFFMR.

SABG - Adolescent/Youth Treatment 50a-18

(a.) Drug Medi-Cal Provider Number (4 Digits)	(b.) Provider Name	(c.) Service Code	(d.) Service Code Desc	(e.) Program Code	(f.) Program Code Desc	(g.) Amount

Total Adolescent Youth Treatment TOTAL HERE - will match the first page of the QFFMR.



Reporting – SABG Ledger Code Examples

SERVICE CODES	
Support Services	
Code Number	Service Code Description
00	County Support
01	Quality Assurance
02	Training
03	Program Development
04	Research and Evaluation
05	Planning, Coordination, Need Assessment
06	Start Up Costs
09	Alteration or Renovation
Primary Prevention	
Code Number	Service Code Description
11	Other
12	Information Dissemination
13	Education
14	Alternatives
15	Problem Identification and Referral
16	Community-Based Process
17	Environmental

Nonresidential	
Code Number	Service Code Description
30	Intensive Outpatient Treatment (IOT)
32	Recovery Support
33	Outpatient Drug Free (ODF) Group
34	Outpatient Drug Free (ODF) Individual

Program Codes	
Code Number	Program Code Description
1	Non-DMC Non-Perinatal
3	Non-DMC Perinatal
4	Non-DMC Non-Perinatal - Other
5	Non-DMC Non-Perinatal - Other
6	Non-DMC Non-Perinatal - Other
7	Non-DMC Non-Perinatal - Other
10	Non-DMC Perinatal - Other
11	Non-DMC Perinatal - Other
14	Non-DMC/DSS CaWORKs
15	Non-DMC/DSS CaWORKs - Other
20	Non-DMC Adolescent Treatment



Budget Plan

New Process

- Helps ensure county will use all their funds
- For every state fiscal year
 - Needs to show how funds would be expended
 - Needs to detail with item descriptions
- Due date – May 15th for every upcoming new state fiscal year



Budget Plan Example

Budget Planning for the Year				
1. County:		Jefferson		
2. State Fiscal Year		1819		
3. Award Amount:		\$212,000		
4	5	6	7	8
Categorical Funding Lines	Service Category	Item Description	Amount	Total
Discretionary Fund	Service Item	General and specialized outpatient medical services	\$100,000	\$120,000
	Administration/Indirect	Administrative Cost	\$20,000	
Prevention Set-Aside	Education	Athlete Committed	\$30,000	\$70,000
	Problem Identification and Referral	Student Assistance Programs (screening and referral component)	\$20,000	
	Environmental	Responsible Beverage Service Training	\$20,000	
Friday Night Live/Club Live	Alternatives	Friday Night Live/Club Live chapters	\$5,000	\$8,000
	Environmental	Shoulder tap	\$2,000	
	Alternatives	Youth Council	\$1,000	
Perinatal	Service Item	Case Management	\$5,000	\$8,000
	Service Item	Interim Services - Counseling education regarding risks of needle sharing	\$2,000	
	Service Item	Transportation to gender specific services	\$1,000	
Adolescent & Youth Treatment	Service Item	ODF Group Counseling	\$3,000	\$6,000
	Service Item	Diagnosis	\$1,500	
	Service Item	Treatment and Planning	\$1,500	
			Total	\$212,000



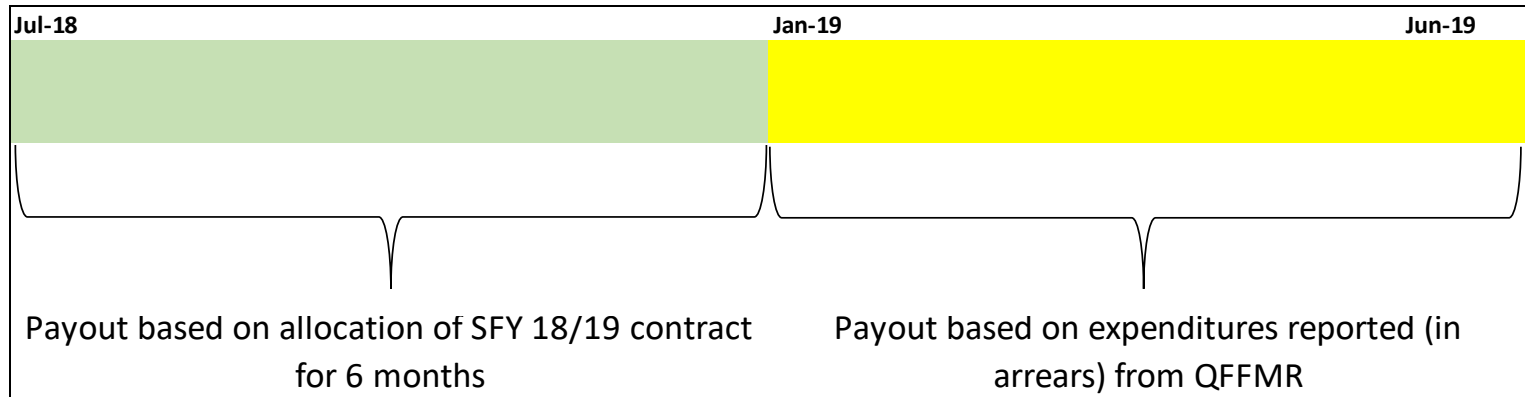
County Provider Contracting Implications

- Contract Review
 - Ensure they conform to State and Federal requirements for SABG related expenses, as outlined in your state county contract
 - Amendments if needed
 - Payment/invoicing provisions in sub-contracts to assure documentation supports claim for reimbursement
- May need to amend FY 18/19 county budgets to reflect changes in SABG reimbursement



Payment Expectations

Transitioning Reporting and County Payments



Funds Availability

Historical SABG Payments																							
1617 SFY									1718 SFY														
2016			2017						2018														
Jul	Aug	Sept*	Oct	Nov	Dec*	Jan	Feb	Mar*	Apr	May	Jun*	Jul	Aug	Sep*	Oct	Nov	Dec*	Jan	Feb	Mar*	Apr	May	Jun*
x					x					x			x						x	x			x

*Reports due
 x = DHCS Sent SABG Funds to Counties



Payment Expectations

Possible Scenario

1819 Award \$1,000,000

	Month	Payment	Amount	
State Fiscal Qtr. 1	Jul			Paid up front
	Aug			
	Sept			
State Fiscal Qtr. 2	Oct	1st Qtr Payment	\$250,000	
	Nov			
	Dec			
<hr/>				
State Fiscal Qtr. 3	Jan			Paid in arrears
	Feb			
	Mar			
State Fiscal Qtr. 4	Apr			
	May			
	Jun	2nd Qtr and 3rd Qtr Payment	\$250,000 for 2nd qtr up front + QFFMR for 3rd qtr	

Payments issued to counties in compliance with IN 18-009, cost reporting, and performance requirements.



Payment Expectations

Payment Timing

- QFFMR Invoices will be processed every 2 weeks, but it may be a month or more before payments are sent out – if funds are available

Up to 2 Weeks	1 Week	1 Week
Time for Processing	Internal Approvals	Accounting Processing



Contact Information

Please use the following e-mail address for questions and submissions of reporting:

SABGreporting@dhcs.ca.gov



Questions

Comments