

## PATH Justice-Involved Capacity Building Round 1 Application Template

### Round 1 Application Instructions

In order to receive funding, applicants must complete and sign this application form. Please complete the form and return it to [CalAIMJusticePreReleaseApps@dhcs.ca.gov](mailto:CalAIMJusticePreReleaseApps@dhcs.ca.gov), with the subject 'PATH JI Round 1 Application' no later than July 31, 2022. Eligible applicants are encouraged to submit the completed application prior to July 31, 2022, as DHCS will be reviewing and approving applications on a rolling basis. The ability of a correctional agency (or another county agency applying on behalf of a correctional agency) to receive Round 1 funding is not contingent upon the date of a timely submission. In order for this form to be considered complete, applicants must respond to all questions and the application must be signed by an authorized representative from the entity applying for funding. The authorized representative will be the primary point of contact for this initiative.

Applications will be reviewed by DHCS for completeness and accuracy and to ensure that intended uses of funding are permissible. DHCS will review and approve applications on a rolling basis within 30 days of receipt and will notify applicants of awards via email. If DHCS identifies issues or questions related to applications, it may reach out to applicants via email to provide additional information. If applications are rejected, DHCS will respond in writing, listing the specific reasons for denial. In such cases, applicants will have 30 days to address issues flagged by DHCS and resubmit applications for approval.

Additional information regarding eligibility criteria for this initiative, permissible uses of funding, and the maximum amount of funding that entities may apply for may be found in Appendix A: PATH Justice-Involved Capacity Building Round 1 Guidance. Please note the guidance in Appendix A is current as of April 27, 2022 and supersedes any previously released guidance pertaining to this initiative.

### Applicant Information

The purpose of this section is to collect general information about the applicant. Please complete all information requested in the table below.

Type of Applicant*	
Name of Applicant Entity	
Authorized Representative Name (First and Last)	
Authorized Representative Title	
Authorized Representative Telephone	
Authorized Representative Email Address	
Applicant Mailing Address	
Applicant County	
Number of Correctional Institutions within Jurisdiction**	

\*Delegates completing this application on behalf of a correctional agency must include a letter of support from the correctional agency.

\*\*If your entity is a delegate completing this application on behalf of a Sheriff's Office or Probation Office, please enter the number of correctional institutions within the jurisdiction of the Office for whom you are applying.

### Funding Request

The purpose of this section is to collect information about the amount of funding you are requesting as well as information about how requested funding will be used. This application will serve as your organization's invoice for this initiative. Your organization will be eligible to receive the requested invoice amount upon review and approval of this application by DHCS.

Enter the amount of funding requested in this application in the box below. See the 'Funding Methodology' section in Appendix A for more information.

Total Funding Request	
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Please provide a high-level description of how the requested funding will be used. See the 'Permissible Uses of Funding' section in Appendix A for more information.

### Summary of Correctional Institutions Within Jurisdiction

The purpose of this section is to collect information regarding the correctional institutions within your jurisdiction. Please complete the table in Appendix B to provide necessary information regarding the correctional institutions within your jurisdiction. If you are a delegate completing this application on behalf of a Sheriff's Office or Probation Office, please enter information pertaining to the correctional institutions within the jurisdiction of the Office for whom you are applying.

### Current Processes and Capabilities

The purpose of this section is to collect information regarding current processes and capabilities to support Medi-Cal screening, enrollment, and suspension at correctional institutions within your jurisdiction. Where applicable, please include processes that are coordinated with your local County Department of Social Services and specify the entity(ies) with whom you are coordinating/collaborating. Please note, the questions below inquire about processes and needs pertaining to all correctional facilities within your jurisdiction. Do not complete a separate application or develop separate answers for each facility within this application. Please differentiate processes and needs among facilities within a single answer, as appropriate.

Describe current processes used to suspend Medi-Cal enrollment when Medi-Cal beneficiaries enter correctional institutions within your jurisdiction (suggested word limit: 200 words):

Describe current processes used to screen individuals for Medi-Cal eligibility prior to release from correctional institutions within your jurisdiction (suggested word limit: 200 words):

Describe current processes used to remove the suspension status and enroll eligible individuals in Medi-Cal prior to release from correctional institutions within your jurisdiction (suggested word limit: 200 words):

Briefly describe any known major limitations or gaps in current Medi-Cal eligibility screening, enrollment, and suspension processes used by correctional institutions within your jurisdiction (suggested word limit: 100 words):

Provide a high-level description of the key workflows, IT systems, and staff used by correctional institutions within your jurisdiction to transmit and receive data from the local County Department of Social Services (suggested word limit: 300 words):

Provide a high-level description of the key workflows, IT systems, and staff used by correctional institutions within your jurisdiction to transmit and receive data from the local County Behavioral Health Agency and local Medi-Cal Managed Care Plans (suggested word limit: 300 words):

Briefly describe any significant limitations or gaps in processes, staffing, and/or technology systems which may impact the ability for correctional institutions within your jurisdiction to transmit or receive data from County Departments of Social Services, County Behavioral Health Agencies, or Medi-Cal Managed Care Plans (suggested word limit: 100 words):

### Collaborative Planning and Stakeholder Engagement

The purpose of this section is to collect information regarding plans for collaborative planning and stakeholder engagement with County Departments of Social Services, Medi-Cal Managed Care Plans, and County Behavioral Health Agencies.

Describe how you will collaborate with your local County Department of Social Services to promote their understanding and/or design processes, protocols, and IT modifications necessary to support implementation or modification of pre-release Medi-Cal eligibility screening, enrollment, and suspension processes. Your description should include a planned meeting cadence for correctional institution (or delegated entity) leadership and staff to meet with County Department of Social Services leadership and staff; as well as a high-level summary of any ongoing collaborative planning activities between the two agencies related to Medi-Cal eligibility screening, enrollment, and suspension processes. Please attach a letter of support from your local County Department of Social Services that documents its understanding and support for this proposed plan (CDCR should include a letter of support from the County Welfare Director's Association [CWDA] and should describe how they will collaborate with CWDA) (suggested word limit: 300 words).

Successful implementation of pre-release enrollment and suspension processes will require coordination and planning with local County Behavioral Health Agencies as well as local Medi-Cal Managed Care Plans. Processes should be designed to alert these entities when individuals' Medi-Cal enrollment status changes (e.g., an individual's Medi-Cal enrollment is suspended or un-suspended) in order to promote coordination with Drug Medi-Cal counties, Drug Medi-Cal Organized Delivery System counties, county Mental Health Plans, and local providers.

Provide a high-level description of how you will engage with your local County Behavioral Health Agency and local Medi-Cal Managed Care Plans to ensure understanding and alignment with new Medi-Cal screening, enrollment, and suspension processes. Your description should include a planned meeting cadence, a brief summary of your current working relationship, as well as contact information for at least one representative from the County Behavioral Health Agency and one representative from each local Medi-Cal Managed Care Plan (suggested word limit: 200 words):

### Attestation and Certification

As an authorized representative of the entity applying for funding, the entity attests and agrees to the following conditions:

- The funding received through this program will not duplicate or supplant funds received through other programs or initiatives, or other federal, state, or local funding sources.
- Funds from this initiative may only be spent on permissible uses of funds as documented in program guidance and applications.
- The entity will respond to general inquiries from DHCS pertaining to this initiative within one business day of receipt, and provide requested information within five business days, unless an alternate timeline is approved or determined necessary by DHCS. Entities that fail to meaningfully engage with DHCS in response to these inquiries may be:
  - Subject to audit, and if necessary, recoupment by DHCS to ensure adequate documentation, application, and reporting of permissible expenditures; and/or
  - Precluded from receiving additional PATH funding.
- The entity is required to alert DHCS if circumstances prevent them from carrying out activities described in program applications. In such cases, entities may be required to return unused funds to DHCS contingent upon the circumstances.

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**Signature of Correctional Agency (or Delegate) Authorized Representative**

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**Date**

## Appendix A: PATH Justice-Involved Capacity Building Round 1 Guidance

**Please note: This guidance is current as of 4/27/2022 and this guidance supersedes any previously released guidance pertaining to Round 1 of the PATH Justice-Involved Capacity Building Program.**

### Introduction

California statute (AB-133 Health; Chapter 143) mandates all counties implement pre-release Medi-Cal enrollment processes by January 1, 2023. Establishing pre-release Medi-Cal enrollment processes is part of the State's vision to enhance the Medi-Cal healthcare delivery system for justice-involved populations. Implementation of pre-release enrollment and suspension processes will help ensure Medi-Cal coverage upon re-entry into the community in order to facilitate access to needed Medi-Cal covered services. These processes are also foundational to the provision of Medi-Cal services in the 90 days prior to release as requested by the Department of Health Care Services (DHCS) through its CalAIM 1115 Demonstration request.<sup>1</sup>

California has received targeted expenditure authority through "Providing Access and Transforming Health" (PATH) as part of its CalAIM section 1115 demonstration. PATH is a \$1.44 billion initiative made up of two programs: one PATH program will support implementation of Enhanced Care Management (ECM) and Community Support services,<sup>2</sup> while the other will support implementation of statewide justice-involved initiatives.<sup>3</sup> California's approved 1115 waiver [Special Terms and Conditions](#) provide additional details regarding both CalAIM as well as PATH initiatives.<sup>4</sup>

The DHCS CalAIM Section 1115 demonstration waiver initially requested a total of \$561 million in expenditure authority for PATH funding to support implementation of pre-release enrollment and suspension processes *as well as* pre-release and re-entry services. However, the final approved waiver only includes \$151 million in expenditure authority to support implementation of pre-release enrollment and suspension processes. DHCS is currently negotiating approval for \$410 million in additional expenditure authority that will support implementation of pre-release and re-entry planning services.

The PATH Justice-Involved Capacity Building Program will provide funding to support implementation of pre-release Medi-Cal application and suspension processes. This program will provide funding to support collaborative planning as well as IT system modifications necessary to implement pre-release Medi-Cal application and suspension processes. This program will provide \$151 million in funding to correctional agencies, correctional institutions, and County Departments of Social Services that will be made available in two rounds:

1. Round 1 is a **planning grant** funding opportunity that will provide small planning grants to correctional agencies (or an entity applying on behalf of a correctional agency) to support collaborative planning with County Departments of Social Services and other enrollment

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<sup>1</sup> On December 29, 2021, DHCS received approval from CMS for a portion of the 1115 demonstration request. Negotiations between the State and CMS on the request to provide targeted services in the 90 days prior to release are ongoing. Approved waiver is available at: <https://www.dhcs.ca.gov/provgovpart/Documents/CalAIM-1115-Waiver-Approval-Letter-STCs-12-29-21.pdf>

<sup>2</sup> <https://www.dhcs.ca.gov/enhancedcaremanagementandinlieuofservices>

<sup>3</sup> CalAIM 1115 Waiver Approved Special Terms and Conditions: <https://www.dhcs.ca.gov/provgovpart/Documents/CalAIM-1115-Approval-Letter-and-STCs.pdf>.

<sup>4</sup> Ibid



implementation partners to identify processes, protocols, and IT modifications that are necessary to support implementation of pre-release enrollment and suspension processes.

2. Round 2 is an **implementation grant** funding opportunity that will provide larger application-based grants to support entities as they implement the processes, protocols, and IT system modifications that were identified during the Round 1 planning phase. While entities do not need to participate in Round 1 in order to apply for funding in Round 2, the Round 1 planning grant funds provide an opportunity to support the development of a comprehensive application for Round 2 funding.

**This document solely pertains the Round 1 of the PATH Justice-Involved Capacity Building Program.**

## PATH Justice-Involved Capacity Building Program Round 1: Pre-Release Eligibility and Enrollment Planning Support

### Overview

Round 1 of the PATH Justice-Involved capacity building program will provide planning grants to correctional agencies and correctional institutions to support them as they work to identify and scope out the processes, protocols, and IT system modifications that are necessary to implement or modify pre-release Medi-Cal enrollment and suspension processes. When correctional agencies successfully complete planning activities, it is expected that they will have a detailed understanding of the specific steps that both their agency as well as local County Departments of Social Services will need to undertake to successfully implement pre-release Medi-Cal enrollment and suspension processes, or to modify processes to incorporate CalAIM best practices for Medi-Cal enrollment and suspension.

Round 1 is intended to support planning for pre-release enrollment and suspension processes. Round 1 is not intended to support implementation of pre-release enrollment and suspension processes. Round 1 funding must not be used for investments in infrastructure, capacity, or IT systems that are needed to effectuate pre-release enrollment and suspension processes. Funding to support these types of investments in infrastructure and capacity will be provided in Round 2 and/or future rounds of the PATH Justice-Involved Capacity Building program.

### Eligibility

The following entities are eligible to apply for funding through this initiative: County Sheriff's Offices to support county jails, County Probation Offices to support youth correctional facilities, and the California Department of Corrections and Rehabilitation (CDCR) to support state prisons. The Sheriff's Office and Probation Office within a county may apply for funding jointly or independently.

In some counties, the Department of Public Health or another county agency actively manages correctional health services and is responsible for coordinating Medi-Cal screening, enrollment, and suspension for individuals in correctional institutions. In these cases, the county agency that is responsible for coordinating Medi-Cal screening, enrollment, and suspension for individuals in correctional institutions may apply for funding and manage awarded funds in lieu of the county Sheriff's Office or Probation Office if appropriate. For example, a County Department of Public Health that manages the Medi-Cal screening, enrollment and suspension processes for adult jails in the county may complete the application and receive awarded funds on behalf of the county Sheriff's Office. If an alternative county agency completes this application on a Sheriff's Office or Probation Office's behalf, then that county agency will be required to include a letter of support from the local Sheriff's Office or Probation Office as part of their application. A single delegate may complete this application and receive awarded funds on behalf of both the local Sheriff's Office and the local Probation Office if appropriate.

## Application Process

Correctional agencies will be eligible to apply for funding through this initiative on a rolling basis starting in Quarter 2 (Q2) 2022 until July 31<sup>st</sup> 2022. The ability of a correctional agency (or another county agency applying on behalf of a correctional agency) to receive Round 1 funding is not contingent on when they submit their application during this period. In order to receive this funding, the correctional agency will be required to complete a standardized application form developed by DHCS. This application form will collect the following information:

- Funding request including a brief justification for requested funds;
- Summary of correctional institutions within jurisdiction, including number of facilities and average daily population by facility;
- Description of current pre-release Medi-Cal screening, enrollment and suspension processes;
- Summary of IT system capabilities including booking/management systems and Electronic Health Record (EHR) platforms;
- Intended uses of planning funding;
- Description of how correctional agency will engage with local County Departments of Social Services to identify funding needs;
- Description of how correctional agency will engage with local Medi-Cal Managed Care Plans (MCPs) and County Behavioral Health Agencies to ensure alignment with new pre-release enrollment and suspension processes;
- Signed letter of support from local County Department of Social Services (CDCR will be expected to obtain a letter of support from the County Welfare Directors Association [CWDA]);
- Attestation that funding will not duplicate or supplant other federal, state, or local funding sources.

Completed applications may be submitted via email to [CalAIMJusticePreReleaseApps@dhcs.ca.gov](mailto:CalAIMJusticePreReleaseApps@dhcs.ca.gov), with the subject 'PATH JI Round 1 Application'. Applications will be reviewed by DHCS for completeness and accuracy and to ensure that intended uses of funding are permissible. DHCS will review and approve applications within 30 days of receipt and will notify applicants of awards via email. If DHCS identifies issues or questions related to applications, they may reach out to applicants via email to provide additional information. If applications are not approved or are pending additional information, then DHCS will respond in writing and will describe specific reasons for denial of the application or the specific information required to continue reviewing the application. In these cases, applicants will have 30 days to address issues flagged by DHCS and resubmit applications for approval.

## Permissible Uses of Funding

This funding is intended to support planning between correctional agencies, correctional institutions, and County Behavioral Health Agencies, county health departments, and County Departments of Social Services, or any other entities that the correctional agencies and County Departments of Social Services deem critical to the process, to identify processes, protocols, and IT modifications necessary to support pre-release enrollment and suspension processes. Sheriff's Offices and Probation Offices will be expected to conduct collaborative planning with County Behavioral Health Agencies and County Departments of Social Services to understand needs among both the correctional agency and their partners. Likewise, CDCR will be expected to conduct collaborative planning with CWDA to identify opportunities to improve or modify existing pre-release enrollment and suspension processes to align with best practices. This collaboration is intended to ensure that CWDA and the County Department of Social Services it represents, are aligned with and afforded an opportunity to provide input on modifications to pre-release enrollment and suspension processes contemplated by CDCR. Correctional agencies will also be expected to engage with local Medi-Cal Managed Care Plans and County Behavioral Health Agencies to ensure that these stakeholders are aware of, aligned with, and afforded an opportunity to provide input on new Medi-Cal enrollment and

suspension processes being planned by correctional agencies. Funding recipients may pass through funding to individual correctional institutions, County Departments of Social Services, vendors, and other entities as needed to support planning and engagement activities.

Specific permissible funding uses include but are not limited to the following:

- Facilitating meetings and collaborative planning sessions between correctional institutions and County Departments of Social Services;
- Hiring vendors or consultants to help identify operational gaps that need to be addressed in order to implement pre-release enrollment and suspension processes, including but not limited to IT system modifications;
- Support for initial costs related to recruiting, hiring, and onboarding staff who will have a direct role in planning for implementation of pre-release enrollment and suspension processes; and
- Support for staff time devoted to planning, meeting facilitation, and development of applications for Funding Round 2.

This funding is intended to support planning for and not direct implementation of, pre-release enrollment and suspension processes. Funds from this round must not be used for investments in infrastructure, capacity, or IT systems that are needed to effectuate pre-release enrollment and suspension processes. Funding to support these types of investments in infrastructure and capacity will be provided in Round 2 and/or future rounds of the PATH Justice-Involved Capacity Building program. If entities are unsure of whether their planned activities would qualify as permissible uses of funding under this initiative, they are encouraged to check with DHCS prior to submitting their application by emailing [CalAIMJusticePreReleaseApps@dhcs.ca.gov](mailto:CalAIMJusticePreReleaseApps@dhcs.ca.gov), with the subject 'PATH JI Round 1'.

### Funding Methodology

The maximum amount of funding that applicants are eligible to request will depend on the type of applicant and the number of correctional institutions within their jurisdiction. Sheriff's Offices and Probation Offices may request up to \$50,000 for the first correctional facility in their jurisdiction, and an additional \$25,000 for every additional correctional facility, up to a maximum of \$400,000. For example, a Sheriff's Office with one jail in its jurisdiction may request up to \$50,000; a Sheriff's Office with two jails may request up to \$75,000; a Sheriff's Office with three jails may request up to \$100,000 and so on, up to a maximum of \$400,000. CDCR may request up to \$10,000 per correctional facility. The amount of funding available is specific to each applicant entity and not the jurisdiction as a whole. For example, the Sheriff's Office in County A may request up to \$400,000 depending on the number of jails in their jurisdiction, and the Probation Office in County A may also request up to \$400,000 depending on the number of youth correctional facilities in their jurisdiction. Funding will be dispersed to awarded entities within 60 days of application approval.

Appendix B: Summary of Correctional Institutions:

Please compile a table with information regarding the correctional institutions within your jurisdiction. Please explain if you are not able to provide the information requested in the template below. If you need additional space then you may attach a supplemental document to this application with the necessary information.

Name of Correctional Institution	Address	Average Daily Population (ADP)*	Time Period When ADP was Assessed

\*Please enter the average daily population (ADP) for the most recent period for which data are available.