



October 2, 2024

THIS LETTER SENT VIA EMAIL TO: EBotzler-Rodgers@co.humboldt.ca.us

Ms. Emi Botzler-Rodgers, MFT, Behavioral Health Director
Humboldt County Department of Health and Human Services
720 Wood Street
Eureka, CA 95501

SUBJECT: ANNUAL COUNTY COMPLIANCE SECTION DMC-ODS FINDINGS REPORT

Dear Director Botzler-Rodgers:

The Department of Health Care Services (DHCS) is responsible for monitoring compliance to requirements of the Drug Medi-Cal Organized Delivery System (DMC-ODS) Intergovernmental Agreement operated by Humboldt County.

The County Compliance Section (CCS) within DHCS' Audits and Investigations (A&I) conducted a review of Humboldt County's compliance with Federal and State regulations, program requirements and contractual obligations based on supporting documentation and interviews with County staff.

This letter serves as notification that Humboldt County has sufficiently demonstrated compliance with all reviewed Federal and State regulations, program requirements and contractual obligations during FY 2023-24. During the compliance review, no deficiencies were identified, and no further action is required at this time.

If you have any questions, please contact me at michael.bivians@dhcs.ca.gov.

Sincerely,

Michael Bivians | Unit Chief

Distribution:

To: Director Botzler-Rodgers,

Cc: Mateo Hernandez, Chief
Contract and Enrollment Review Division - Audits and Investigations

Catherine Hicks, Chief
Behavioral Health Review Branch
Contract and Enrollment Review Division - Audits and Investigations

Ayesha Smith, Chief
County Compliance Section
Contract and Enrollment Review Division - Audits and Investigations

Michael Bivians, Chief
County Compliance Monitoring 2
Contract and Enrollment Review Division - Audits and Investigations

Sergio Lopez, Chief
County/Provider Operations and Monitoring Section 1
Medi-Cal Behavioral Health – Oversight and Monitoring Division

Tony Nguyen, Chief
County/Provider Operations and Monitoring Section 2
Medi-Cal Behavioral Health – Oversight and Monitoring Division

MCBHOMDMonitoring@dhcs.ca.gov, County/Provider Operations and
Monitoring Branch

Deanna Bay, Substance Use Disorder Administrator
Humboldt County Department of Health and Human Services

COUNTY REVIEW INFORMATION

County:

Humboldt

County Contact Name/Title:

Deanna Bay, Substance Use Disorder (SUD) Administrator

County Address:

720 Wood Street
Eureka, CA 95501

County Phone Number/Email:

(707) 572-9102
DBay@co.humboldt.ca.us

Date of DMC-ODS Implementation:

7/1/2020

Date of Review:

5/23/2024-5/31/2024

Lead CCS Analyst:

Michael Bivians

Assisting CCS Analyst:

N/A

Report Prepared by:

Michael Bivians

Report Approved by:

Ayesha Smith

REVIEW SCOPE

- I. Regulations:
 - a. California Code of Regulations, Title 22, section 51341.1, 51490.1 and 51516.1 – Drug Medi-Cal Substance Use Disorder Services
 - b. California Code of Regulations, Title 9, Division 4: Department of Alcohol and Drug Programs
 - c. Health and Safety Code, Division 10.5, Section 11750 – 11970: Alcohol and Drug Programs
 - d. Welfare and Institutions Code, Division 9, Part 3, Chapter 7, Sections 14000, et seq.; 14100.2, 14021, 14021.51-14021.53, 14021.6, and 14124.20-14124.25, 14184.402, 14059.5: Basic Health Care – Drug Medi-Cal Treatment Program

- II. Program Requirements:
 - a. Fiscal Year (FY) 2022-23 DMC-ODS Intergovernmental Agreement (IA)
 - b. DHCS' *Perinatal Practice Guidelines FY 2018-19*
 - c. DHCS' *Minimum Quality Drug Treatment Standards (Document 2F(a))*
 - d. National Culturally and Linguistically Appropriate Services (CLAS)
 - e. Mental Health and Substance Use Disorders Services (MHSUDS) Information Notices
 - f. Behavioral Health Information Notices (BHIN)

ENTRANCE AND EXIT CONFERENCE SUMMARIES

Entrance Conference:

An Entrance Conference was conducted via Teams on 5/23/2024. The following individuals were present:

- Representing DHCS:
 - Michael Bivians, County Compliance Monitoring 2 (CCM2) Unit Chief
 - Ayesha Smith, County Compliance Section (CCS) Chief
 - Susan Volmer, CCM2 Associate Governmental Program Analyst (AGPA)
 - Emanuel Hernandez, CCM2 AGPA
 - Jade Liu, CCM2 Health Program Specialist I (HPSI)
 - Jonette La Rue, CCM2 HPSI
 - John Wiesner, CCM2 HPSI
 - Elisa Diaz, Health Program Auditor IV (HPA IV)
 - Alvin Wu, Health Program Auditor III (HPA III)
 - Nicole Youn, HPA IV
- Representing Humboldt County:
 - Deanna Bay, SUD Administrator
 - Michelle Thomas, SUD Services Analyst
 - Sarah Collard, Siskiyou County Behavioral Health Division Director
 - Toby Ruesze, Siskiyou County Alcohol and Other Drug (AOD) Administrator
 - Ruth Leonard, Solano County Quality Assurance Supervisor
 - Rob George, Solano County Senior SUD Supervisor
 - Judeth Greco-Gregory, Solano County Mental Health Clinical Supervisor
 - Jill Ales, Mendocino County Substance Use Program Services Manager
 - Navin Bhandari, Mendocino County Senior Program Manager
- Representing Partnership HealthPlan of California:
 - Nicole Escobar, Senior Behavioral Health Manager
 - Wendy Millis, Wellness and Recovery Program, Program Manager
 - Stephanie Wilson, Wellness and Recovery Program, Program Manager
 - Carina Monroy, Administrative Assistant II
 - Josette McKrola, Behavioral Health Quality and Compliance Specialist

During the Entrance Conference, the following topics were discussed:

- DHCS staff introductions.

- County staff introductions.
- PHC staff introductions.
- Overview of review and audit process.
- Overview of DMC-ODS services provided through PHC's administration.

Exit Conference:

An Exit Conference was conducted via Teams on 5/31/2024. The following individuals were present:

- Representing DHCS:
Michael Bivians, CCM2 Unit Chief
Leilani Kwon, CPOMB Unit Chief
Cristina Whitlock CPOMB Liaison

- Representing Humboldt County:
Emi Botzler-Rodgers, Behavioral Health Director
Deanna Bay, SUD Administrator
Michelle Thomas, SUD Services Analyst
Stacy Reyes, Staff Services Analyst II
Paul Bugnacki, Deputy Director Emergency Services and Quality Improvement
Kayleigh Emry, Quality Management Coordinator, Behavioral Health Compliance Officer
Trevis Green, Deputy Director of Finance
Amy Cone, Compliance and Quality Assurance Administrator, Privacy Officer
Scott Irvin, Medical Records Manager
Nancy Stark, Legislative and Policy Manager
Amanda Yang, Behavioral Health Clinician II

- Representing Partnership HealthPlan of California:
Wendy Millis, Wellness and Recovery Program, Program Manager
Stephanie Wilson, Wellness and Recovery Program, Program Manager

During the Exit Conference, the following topics were discussed:

- Submitting follow-up evidence.
- Due date for evidence submission.
- Post review process.

SUMMARY OF FY 2023-24 COMPLIANCE DEFICIENCIES (CD)

<u>Category</u>	<u>Number of CDs</u>
1.0 Availability of DMC-ODS Services	0
2.0 Care Coordination	0
3.0 Quality Assurance and Performance Improvement	0
4.0 Access and Information Requirements	0
5.0 Coverage and Authorization of Services	0
6.0 Beneficiary Rights and Protections	0
7.0 Program Integrity	0

TECHNICAL ASSISTANCE

Humboldt County did not request technical assistance during this review.