



March 16, 2023

THIS LETTER SENT VIA EMAIL TO: [connie.moreno-peraza@maderacounty.com](mailto:connie.moreno-peraza@maderacounty.com)

Connie Moreno-Peraza, Behavioral Health Services Director  
Madera County Behavioral Health Services and Alcohol and Drug Programs  
209 E. 7<sup>th</sup> St.  
Madera, CA 93638

SUBJECT: ANNUAL COUNTY COMPLIANCE SECTION DMC FINDINGS REPORT

Dear Director Moreno-Peraza:

The Department of Health Care Services (DHCS) is responsible for monitoring compliance to requirements of the Drug Medi-Cal (DMC) Contract operated by Madera County.

The County Compliance Section (CCS) within the Audits and Investigations Division (A&I) of DHCS conducted a review of the County's compliance with contract requirements based on responses to the monitoring protocol, discussion with County staff, and supporting documentation provided by the County.

Enclosed are the results of Madera County's Fiscal Year (FY) 2022-23 DMC compliance review. The report identifies deficiencies, required corrective actions, advisory recommendations, and referrals for technical assistance.

Madera County is required to submit a Corrective Action Plan (CAP) addressing each compliance deficiency (CD) to the Medi-Cal Behavioral Health – Oversight and Monitoring Division (MCBH-OMD), County/Provider Operations and Monitoring Branch (CPOMB) Liaison by 5/16/2023. Please use the enclosed CAP form to submit the completed CAP and supporting documentation via the MOVEit Secure Managed File Transfer System. For instructions on how to submit to the correct MOVEit folder, email [MCBHOmdMonitoring@dhcs.ca.gov](mailto:MCBHOmdMonitoring@dhcs.ca.gov).

If you have any questions, please contact me at [becky.counter@dhcs.ca.gov](mailto:becky.counter@dhcs.ca.gov).

Sincerely,

Becky Counter, Analyst

Distribution:

To: Director Moreno-Peraza,

CC: Mateo Hernandez, Audits and Investigations, Contract and Enrollment Review  
Division Chief  
Catherine Hicks, Audits and Investigations, Behavioral Health Review Branch  
Chief  
Ayesha Smith, Audits and Investigations, County Compliance Section Chief  
Michael Bivians, Audits and Investigations, County Compliance Monitoring II  
Chief  
Cindy Berger, Audits and Investigations, Provider Compliance Section Chief  
Sergio Lopez, County/Provider Operations and Monitoring Section I Chief  
Tony Nguyen, County/Provider Operations and Monitoring Section II Chief  
[MCBHOMDMonitoring@dhcs.ca.gov](mailto:MCBHOMDMonitoring@dhcs.ca.gov), County/Provider Operations and  
Monitoring Branch  
Eva Weikel, Madera County Division Manager-Compliance/Quality

## COUNTY REVIEW INFORMATION

**County:**

Madera

**County Contact Name/Title:**

Eva Weikel/Division Manager-Compliance/Quality

**County Address:**

209 E. 7<sup>th</sup> St.  
Madera, CA 93638

**County Phone Number/Email:**

559-673-3508 ext. 1310  
Eva.weikel@maderacounty.com

**Date of Review:**

2/1/2023

**Lead CCS Analyst:**

Becky Counter

**Assisting CCS Analyst:**

N/A

**Report Prepared by:**

Becky Counter

**Report Approved by:**

Ayesha Smith

## REVIEW SCOPE

- I. Regulations:
  - a. California Code of Regulations, Title 22, section 51341.1, 51490.1 and 51516.1 – Drug Medi-Cal Substance Use Disorder Services
  - b. California Code of Regulations, Title 9, Division 4: Department of Alcohol and Drug Programs
  - c. Health and Safety Code, Division 10.5, Section 11750 – 11970: Alcohol and Drug Programs
  - d. Welfare and Institutions Code, Division 9, Part 3, Chapter 7, Sections 14000, et seq.; 14100.2, 14021, 14021.51-14021.53, 14021.6, and 14124.20-14124.25, 14184.402, 14059.5: Basic Health Care – Drug Medi-Cal Treatment Program
  
- II. Program Requirements:
  - a. Fiscal Year (FY) 2021-22 State-County Contract, herein referred to as State County Contract
  - b. Fiscal Year (FY) 2022-23 State-County Contract, herein referred to as State County Contract
  - c. Mental Health and Substance Use Disorders Services (MHSUDS) Information Notices
  - d. Behavioral Health Information Notices (BHIN)

## **ENTRANCE AND EXIT CONFERENCE SUMMARIES**

### **Entrance Conference:**

An Entrance Conference was conducted via WebEx on 2/1/2023. The following individuals were present:

- Representing DHCS:  
Becky Counter, County Compliance Monitoring II (CCM II) Analyst  
Angela Rankin, County Provider Operations & Monitoring Branch (CPOMB) Analyst
- Representing Madera County:  
Connie Moreno-Peraza, Director  
Andrea Martinez, Deputy Director of Operations  
Eva Weikel, Division Manager-Compliance/Quality  
Aaron Garcia, Fiscal Manager  
Miravel Navarro, Division Manager-SUD  
Maria Torres, Supervisor-SUD  
Darryl Smith, Compliance Coordinator  
Bibiana Barbosa, Principal Administrative Analyst  
Lisa Bernal, Administrative Analyst-SUD

During the Entrance Conference, the following topics were discussed:

- Introductions
- Overview of review process
- Madera County overview of services provided

**Exit Conference:**

An Exit Conference was conducted via WebEx on 2/1/2023. The following individuals were present:

- Representing DHCS:  
Becky Counter, CCM II Analyst  
Angela Rankin, CPOMB Analyst
- Representing Madera County:  
Connie Moreno-Peraza, Director  
Andrea Martinez, Deputy Director of Operations  
Eva Weikel, Division Manager-Compliance/Quality  
Aaron Garcia, Fiscal Manager  
Miravel Navarro, Division Manager-SUD  
Maria Torres, Supervisor-SUD  
Darryl Smith, Compliance Coordinator  
Bibiana Barbosa, Principal Administrative Analyst  
Lisa Bernal, Administrative Analyst-SUD

During the Exit Conference, the following topics were discussed:

- Submitting follow-up evidence
- Due date for evidence submission

## SUMMARY OF FY 2022-23 COMPLIANCE DEFICIENCIES (CD)

<u>Section</u>	<u>Number of CDs</u>
1.0 Administration	0
2.0 Program Integrity	0
3.0 Perinatal Practice Guidelines	1
4.0 Youth Services	1
5.0 Reporting Requirements	0

## **CORRECTIVE ACTION PLAN (CAP)**

Pursuant to the State-County Contract, Exhibit A, Attachment I A1, Part I, Section 4, B, 6 a-b each CD identified must be addressed via a CAP. The CAP is due within sixty (60) calendar days of the date of this monitoring report.

Please provide the following within the completed FY 2022-23 CAP:

- a) A statement of the CD.
- b) A list of action steps to be taken to correct the CD.
- c) A date of completion for each CD.
- d) The name of the person who will be responsible for corrections and ongoing compliance.

The CPOMB Liaison will monitor progress of the CAP completion.

### **Category 3: PERINATAL PRACTICE GUIDELINES**

A review of the County's Perinatal Practice Guidelines was conducted to ensure compliance with applicable regulations, and standards. The following DMC deficiency in regulations, standards, or protocol requirements was identified:

#### **COMPLIANCE DEFICIENCY:**

##### **CD 3.3:**

##### **DMC Contract, Exhibit A, Attachment I A1, Part II, I**

##### **I. Perinatal Practice Guidelines**

The Contractor will follow the guidelines in Document 1G, "Perinatal Practice Guidelines," in developing and implementing perinatal treatment and recovery programs funded under this Exhibit, until new Perinatal Practice Guidelines are established and adopted. No formal amendment of this Contract is required for new guidelines to be incorporated into this Contract.

##### **DMC Contract, Exhibit A, Attachment I A1, Part II, Q**

##### **Q. Subcontract Provisions**

The Contractor shall include the foregoing Part II general provisions in all of its subcontracts.

**Findings:** The County did not provide evidence demonstrating that all of the foregoing State County Contract Exhibit A, Attachment I, Part II general provisions are included in all executed subcontracts, including the Perinatal Practice Guidelines provision.

## Category 4: YOUTH SERVICES

A review of the County's Youth Services was conducted to ensure compliance with applicable regulations, and standards. The following DMC deficiency in regulations, standards, or protocol requirements was identified:

### COMPLIANCE DEFICIENCY:

#### **CD 4.3:**

##### DMC Contract, Exhibit A, Attachment I A1, Part II, J

##### J. Youth Treatment Guidelines

The Contractor will follow the guidelines in Document 1V, "Youth Treatment Guidelines," in developing and implementing youth treatment programs funded under this Exhibit, until new Youth Treatment Guidelines are established and adopted. No formal amendment of this Contract is required for new guidelines to be incorporated into this Contract.

##### DMC Contract, Exhibit A, Attachment I A1, Part II, Q

##### Q. Subcontract Provisions

The Contractor shall include the foregoing Part II general provisions in all of its subcontracts.

**Findings:** The County did not provide evidence demonstrating that all of the foregoing State County Contract Exhibit A, Attachment I, Part II general provisions are included in all executed subcontracts, including the Youth Treatment Guidelines (Adolescent Best Practices Guidelines) provision.

## **TECHNICAL ASSISTANCE**

Madera County did not request Technical Assistance for FY 22-23.