



October 2, 2024

THIS LETTER SENT VIA EMAIL TO: millerje@mendocinocounty.org

Ms. Jenine Miller, Behavioral Health Director
Mendocino County Health and Human Services Agency
1120 South Dora Street
Ukiah, CA 95482

SUBJECT: ANNUAL COUNTY COMPLIANCE SECTION DMC-ODS FINDINGS REPORT

Dear Director Miller:

The Department of Health Care Services (DHCS) is responsible for monitoring compliance to requirements of the Drug Medi-Cal Organized Delivery System (DMC-ODS) Intergovernmental Agreement operated by Mendocino County.

The County Compliance Section (CCS) within DHCS' Audits and Investigations (A&I) conducted a review of Mendocino County's compliance with Federal and State regulations, program requirements and contractual obligations based on supporting documentation and interviews with County staff.

This letter serves as notification that Mendocino County has sufficiently demonstrated compliance with Federal and State regulations, program requirements and contractual obligations during FY 2023-24. During the compliance review, no deficiencies were identified, and no further action is required at this time.

If you have any questions, please contact me at michael.bivians@dhcs.ca.gov.

Sincerely,

Michael Bivians | Unit Chief

Distribution:

To: Director Miller,

Cc: Mateo Hernandez, Chief
Contract and Enrollment Review Division - Audits and Investigations

Catherine Hicks, Chief
Behavioral Health Review Branch
Contract and Enrollment Review Division - Audits and Investigations

Ayesha Smith, Chief
County Compliance Section
Contract and Enrollment Review Division - Audits and Investigations

Michael Bivians, Chief
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Sergio Lopez, Chief
County/Provider Operations and Monitoring Section 1
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MCBHOMDMonitoring@dhcs.ca.gov, County/Provider Operations and
Monitoring Branch

Jill Ales, Substance Use Program Services Manager
Mendocino County Health and Human Services Agency

COUNTY REVIEW INFORMATION

County:

Mendocino

County Contact Name/Title:

Jill Ales, Substance Use Program Services Manager

County Address:

1120 South Dora Street
Ukiah, CA 95482

County Phone Number/Email:

(707) 472-2618
alesj@mendocinocounty.gov

Date of DMC-ODS Implementation:

7/1/2020

Dates of Review:

5/23/2024 and 5/30/2024

Lead CCS Analyst:

Michael Bivians

Assisting CCS Analyst:

N/A

Report Prepared by:

Michael Bivians

Report Approved by:

Ayesha Smith

REVIEW SCOPE

- I. Regulations:
 - a. California Code of Regulations, Title 22, section 51341.1, 51490.1 and 51516.1 – Drug Medi-Cal Substance Use Disorder Services
 - b. California Code of Regulations, Title 9, Division 4: Department of Alcohol and Drug Programs
 - c. Health and Safety Code, Division 10.5, Section 11750 – 11970: Alcohol and Drug Programs
 - d. Welfare and Institutions Code, Division 9, Part 3, Chapter 7, Sections 14000, et seq.; 14100.2, 14021, 14021.51-14021.53, 14021.6, and 14124.20-14124.25, 14184.402, 14059.5: Basic Health Care – Drug Medi-Cal Treatment Program

- II. Program Requirements:
 - a. Fiscal Year (FY) 2022-23 DMC-ODS Intergovernmental Agreement (IA)
 - b. DHCS' *Perinatal Practice Guidelines FY 2018-19*
 - c. DHCS' *Minimum Quality Drug Treatment Standards (Document 2F(a))*
 - d. National Culturally and Linguistically Appropriate Services (CLAS)
 - e. Mental Health and Substance Use Disorders Services (MHSUDS) Information Notices
 - f. Behavioral Health Information Notices (BHIN)

ENTRANCE AND EXIT CONFERENCE SUMMARIES

Entrance Conference:

An Entrance Conference was conducted via Teams on 5/23/2024. The following individuals were present:

- Representing DHCS:
Michael Bivians, County Compliance Monitoring 2 (CCM2) Unit Chief
Ayesha Smith, County Compliance Section (CCS) Chief
Susan Volmer, CCM2 Associate Governmental Program Analyst (AGPA)
Emanuel Hernandez, CCM2 AGPA
Jade Liu, CCM2 Health Program Specialist I (HPSI)
Jonette La Rue, CCM2 HPSI
John Wiesner, CCM2 HPSI
Elisa Diaz, Health Program Auditor IV (HPA IV)
Alvin Wu, HPA III
Nicole Youn, HPA IV
- Representing Mendocino County:
Jill Ales, Substance Use Program Services Manager
Navin Bhandari, Senior Program Manager
- Representing other Regional Model counties:
Ruth Leonard, Solano County Quality Assurance Supervisor
Rob George, Solano County Senior Substance Use Disorder (SUD) Supervisor
Judeth Greco-Gregory, Solano County Mental Health Clinical Supervisor
Sarah Collard, Siskiyou County Behavioral Health Division Director
Toby Reusze, Siskiyou County AOD Administrator
Deanna Bay, Humboldt County SUD Administrator
Michelle Thomas, Humboldt County SUD Services Analyst
- Representing Partnership HealthPlan of California (PHC):
Nicole Escobar, Senior Behavioral Health Manager
Wendy Millis, Wellness and Recovery Program, Program Manager
Stephanie Wilson, Wellness and Recovery Program, Program Manager
Carina Monroy, Administrative Assistant II
Josette McKrola, Behavioral Health Quality and Compliance Specialist

During the Entrance Conference, the following topics were discussed:

- DHCS staff introductions.
- County staff introductions.
- PHC staff introductions.
- Overview of review and audit process.
- Overview of DMC-ODS services provided through PHC's administration.

Exit Conference:

An Exit Conference was conducted via Teams on 5/30/2024. The following individuals were present:

- Representing DHCS:
Michael Bivians, CCM2 Unit Chief
Jeremiah Randle, County/Provider Operations and Monitoring Branch (CPOMB) Liaison
Cristina Whitlock, CPOMB Liaison

- Representing Mendocino County:
Jill Ales, Substance Use Program Services Manager
Navin Bhandari, Senior Program Manager
Robin Harris, Staff Services Admin

- Representing PHC:
Nicole Escobar, Senior Behavioral Health Manager
Stephanie Wilson, Wellness and Recovery Program, Program Manager

During the Exit Conference, the following topics were discussed:

- Submitting follow-up evidence
- Due date for evidence submission
- Post review process.

SUMMARY OF FY 2023-24 COMPLIANCE DEFICIENCIES (CD)

<u>Category</u>	<u>Number of CDs</u>
1.0 Availability of DMC-ODS Services	0
2.0 Care Coordination	0
3.0 Quality Assurance and Performance Improvement	0
4.0 Access and Information Requirements	0
5.0 Coverage and Authorization of Services	0
6.0 Beneficiary Rights and Protections	0
7.0 Program Integrity	0

TECHNICAL ASSISTANCE

Mendocino County did not request technical assistance during the review.