



October 2, 2024

THIS LETTER SENT VIA EMAIL TO: [marodriguez@co.shasta.ca.us](mailto:marodriguez@co.shasta.ca.us)

Mr. Miguel Rodriguez, LCSW, Director  
Shasta County Behavioral Health & Social Services Branch  
2640 Breslauer Way  
Redding, CA 96001

SUBJECT: ANNUAL COUNTY COMPLIANCE SECTION DMC-ODS FINDINGS REPORT

Dear Director Rodriguez:

The Department of Health Care Services (DHCS) is responsible for monitoring compliance to requirements of the Drug Medi-Cal Organized Delivery System (DMC-ODS) Intergovernmental Agreement operated by Shasta County.

The County Compliance Section (CCS) within DHCS' Audits and Investigations (A&I) conducted a review of Shasta County's compliance with Federal and State regulations, program requirements and contractual obligations based on supporting documentation and interviews with County staff.

This letter serves as notification that Shasta County has sufficiently demonstrated compliance with all reviewed Federal and State regulations, program requirements and contractual obligations during FY 2023-24. During the compliance review, no deficiencies were identified, and no further action is required at this time.

If you have any questions, please contact me at [michael.bivians@dhcs.ca.gov](mailto:michael.bivians@dhcs.ca.gov).

Sincerely,

Michael Bivians | Unit Chief

Distribution:

To: Director Rodriguez,

Cc: Mateo Hernandez, Chief  
Contract and Enrollment Review Division - Audits and Investigations

Catherine Hicks, Chief  
Behavioral Health Review Branch  
Contract and Enrollment Review Division - Audits and Investigations

Ayesha Smith, Chief  
County Compliance Section  
Contract and Enrollment Review Division - Audits and Investigations

Michael Bivians, Chief  
County Compliance Monitoring 2  
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[MCBHOMDMonitoring@dhcs.ca.gov](mailto:MCBHOMDMonitoring@dhcs.ca.gov), County/Provider Operations and  
Monitoring Branch

Bailey Cogger, Interim Deputy Branch Director  
Shasta County Behavioral Health & Social Services Branch

## COUNTY REVIEW INFORMATION

**County:**

Shasta

**County Contact Name/Title:**

Bailey Cogger, Interim Deputy Branch Director

**County Address:**

2640 Breslauer Way  
Redding, CA 96001

**County Phone Number/Email:**

(530) 229-8310  
bcogger@co.shasta.ca.us

**Date of DMC-ODS Implementation:**

7/1/2020

**Date of Review:**

5/23/2024 to 5/29/2024

**Lead CCS Analyst:**

Michael Bivians

**Assisting CCS Analyst:**

N/A

**Report Prepared by:**

Michael Bivians

**Report Approved by:**

Ayesha Smith

## REVIEW SCOPE

- I. Regulations:
  - a. California Code of Regulations, Title 22, section 51341.1, 51490.1 and 51516.1 – Drug Medi-Cal Substance Use Disorder Services
  - b. California Code of Regulations, Title 9, Division 4: Department of Alcohol and Drug Programs
  - c. Health and Safety Code, Division 10.5, Section 11750 – 11970: Alcohol and Drug Programs
  - d. Welfare and Institutions Code, Division 9, Part 3, Chapter 7, Sections 14000, et seq.; 14100.2, 14021, 14021.51-14021.53, 14021.6, and 14124.20-14124.25, 14184.402, 14059.5: Basic Health Care – Drug Medi-Cal Treatment Program
  
- II. Program Requirements:
  - a. Fiscal Year (FY) 2022-23 DMC-ODS Intergovernmental Agreement (IA)
  - b. DHCS' *Perinatal Practice Guidelines FY 2018-19*
  - c. DHCS' *Minimum Quality Drug Treatment Standards (Document 2F(a))*
  - d. National Culturally and Linguistically Appropriate Services (CLAS)
  - e. Mental Health and Substance Use Disorders Services (MHSUDS) Information Notices
  - f. Behavioral Health Information Notices (BHIN)

## **ENTRANCE AND EXIT CONFERENCE SUMMARIES**

### **Entrance Conference:**

An Entrance Conference was conducted via Teams on 5/23/2024. The following individuals were present:

- Representing DHCS:  
Michael Bivians, County Compliance Monitoring 2 (CCM2) Unit Chief  
Ayesha Smith, County Compliance Section (CCS) Chief  
Susan Volmer, CCM2 Associate Governmental Program Analyst (AGPA)  
Emanuel Hernandez, CCM2 AGPA  
Jade Liu, CCM2 Health Program Specialist I (HPSI)  
Jonette La Rue, CCM2 HPSI  
John Wiesner, CCM2 HPSI  
Elisa Diaz, Health Program Auditor IV (HPA IV)  
Alvin Wu, Health Program Auditor III (HPA III)  
Nicole Youn, HPA IV
- Representing Shasta County:  
Sarah Collard, Siskiyou County Behavioral Health Division Director  
Toby Ruesze, Siskiyou County Alcohol and Other Drug (AOD) Administrator  
Ruth Leonard, Solano County Quality Assurance Supervisor  
Rob George, Solano County Senior Substance Use Disorder (SUD) Supervisor  
Judeth Greco-Gregory, Solano County Mental Health Clinical Supervisor  
Jill Ales, Mendocino County Substance Use Program Services Manager  
Navin Bhandari, Mendocino County Senior Program Manager  
Deanna Bay, Humboldt County SUD Administrator  
Michelle Thomas, Humboldt County SUD Services Analyst
- Representing Partnership HealthPlan of California:  
Nicole Escobar, Senior Behavioral Health Manager  
Wendy Millis, Wellness and Recovery Program, Program Manager  
Stephanie Wilson, Wellness and Recovery Program, Program Manager  
Carina Monroy, Administrative Assistant II  
Josette McKrola, Behavioral Health Quality and Compliance Specialist

During the Entrance Conference, the following topics were discussed:

- DHCS staff introductions.

- County staff introductions.
- PHC staff introductions.
- Overview of review and audit process.
- Overview of DMC-ODS services provided through PHC's administration.

**Exit Conference:**

An Exit Conference was conducted via Teams on 5/29/2024. The following individuals were present:

- Representing DHCS:  
Michael Bivians, CCM2 Unit Chief  
Jeremiah Randle, CPOMB Liaison
- Representing Shasta County:  
Miguel Rodriguez, Director  
Bailey Cogger, Interim Deputy Branch Director
- Representing Partnership HealthPlan of California:  
Nicole Escobar, Senior Behavioral Health Manager  
Wendy Millis, Wellness and Recovery Program, Program Manager  
Stephanie Wilson, Wellness and Recovery Program, Program Manager

During the Exit Conference, the following topics were discussed:

- Submitting follow-up evidence.
- Due date for evidence submission.
- Post review process.

## SUMMARY OF FY 2023-24 COMPLIANCE DEFICIENCIES (CD)

<u>Category</u>	<u>Number of CDs</u>
1.0 Availability of DMC-ODS Services	0
2.0 Care Coordination	0
3.0 Quality Assurance and Performance Improvement	0
4.0 Access and Information Requirements	0
5.0 Coverage and Authorization of Services	0
6.0 Beneficiary Rights and Protections	0
7.0 Program Integrity	0

## **TECHNICAL ASSISTANCE**

Shasta County did not request technical assistance during this review.