Department of Health Care Services Medi-Cal Behavioral Health Policy Division

DHCS
CALIFORNIA DEPARTMENT OF
HEALTH CARE SERVICES

Assisted Outpatient Treatment (AOT)

Outcome Evaluation Webinar

DHCS
CALIFORNIA DEPARTMENT OF
HEALTH CARE SERVICES

Agenda

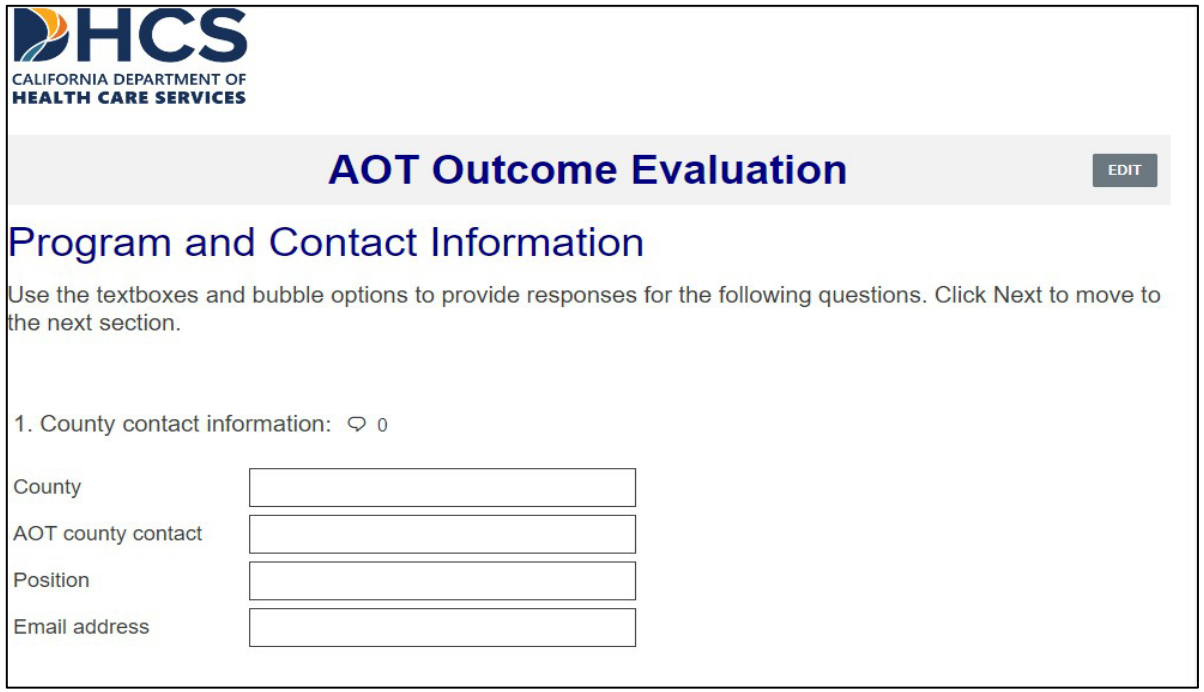
- Purpose
- Outcome Evaluation
- Data Collection Tools
- Data Dictionary
- Tools and Resources
- Training and Technical Assistance
- Questions

AOT Annual Reporting

- Purpose
  - In accordance with the Welfare & Institutions Code (W&I) 5348(d), the Department of Health Care Services (DHCS) is required to annually collect programmatic data outcomes for the required elements from participating AOT counties.
  - DHCS annually reviews the data collection methodology and any limitations for the Laura's Law Legislative Report in order to enhance and further standardize the data collection process.

Outcome Evaluation Overview

- Standardized questions
- Survey Monkey distribution and submission



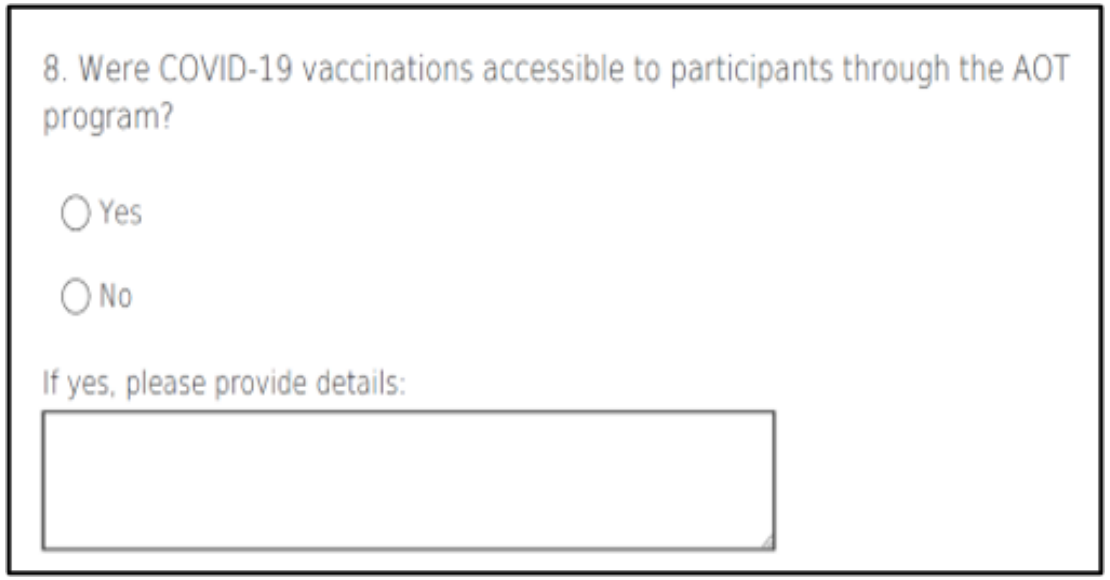
Outcome Evaluation Overview

- Distribution
  - Formal Notification of invitation
- Respondents
  - All implemented counties
  - Single respondent per county
- Timeline
  - Collection period SFY **July 1 - June 30**
  - Submitted annually by **October 1st**
- Guidance
  - Tools and Resources

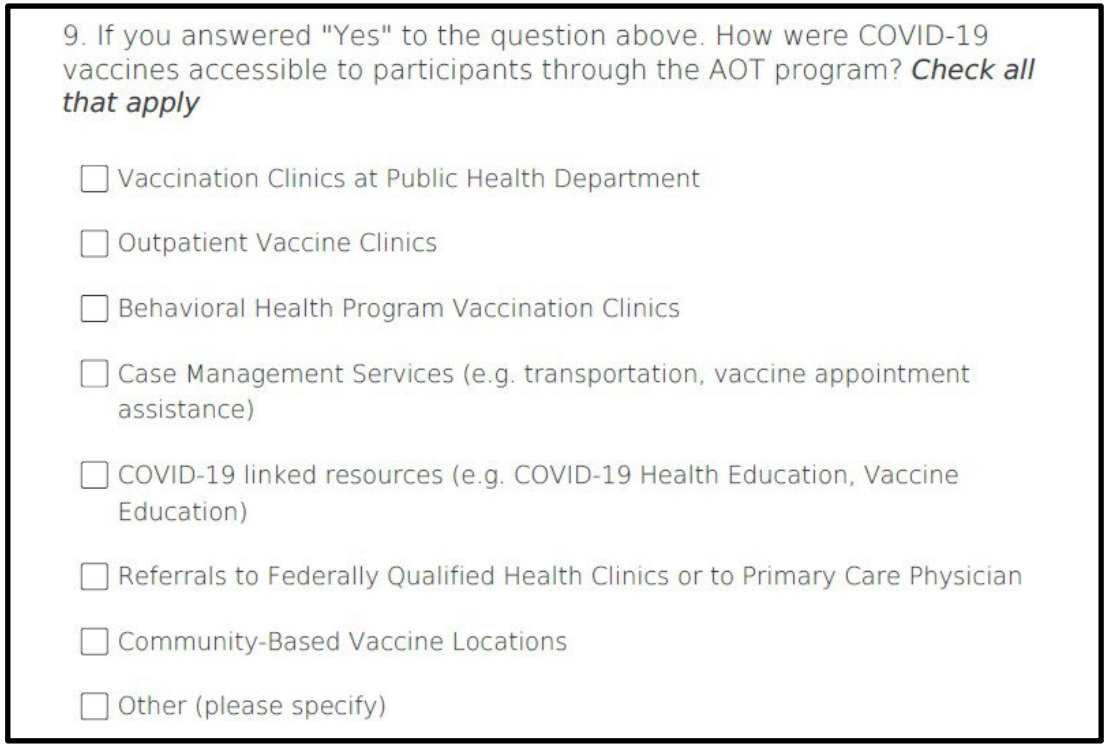
Outcome Evaluation Design

- Informed by previous years' data collection

Current Evaluation

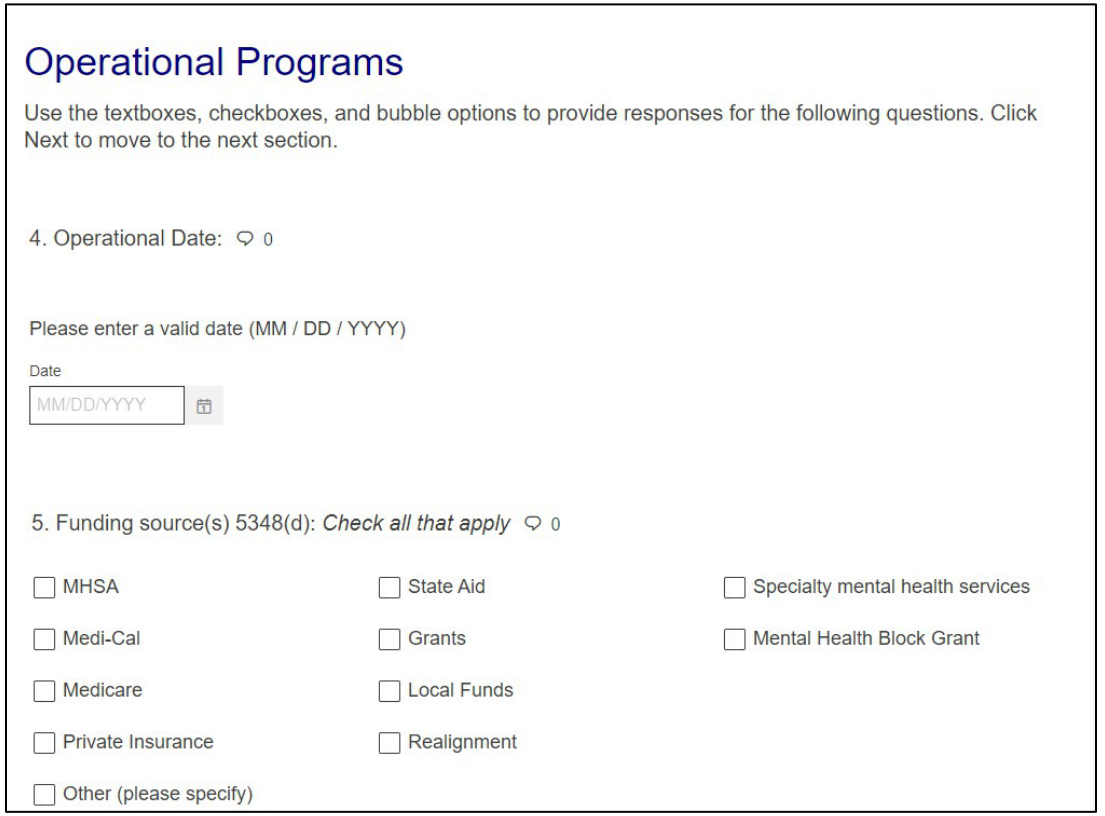


New AOT Evaluation



Outcome Evaluation Design

- Navigation
  - Respondents can save and return until submission date
  - Responses are saved page by page
  - Instructions provided throughout
  - Branching questions determine the information requested



Operational Programs

Use the textboxes, checkboxes, and bubble options to provide responses for the following questions. Click Next to move to the next section.

4. Operational Date: 0

Please enter a valid date (MM / DD / YYYY)

Date

MM/DD/YYYY

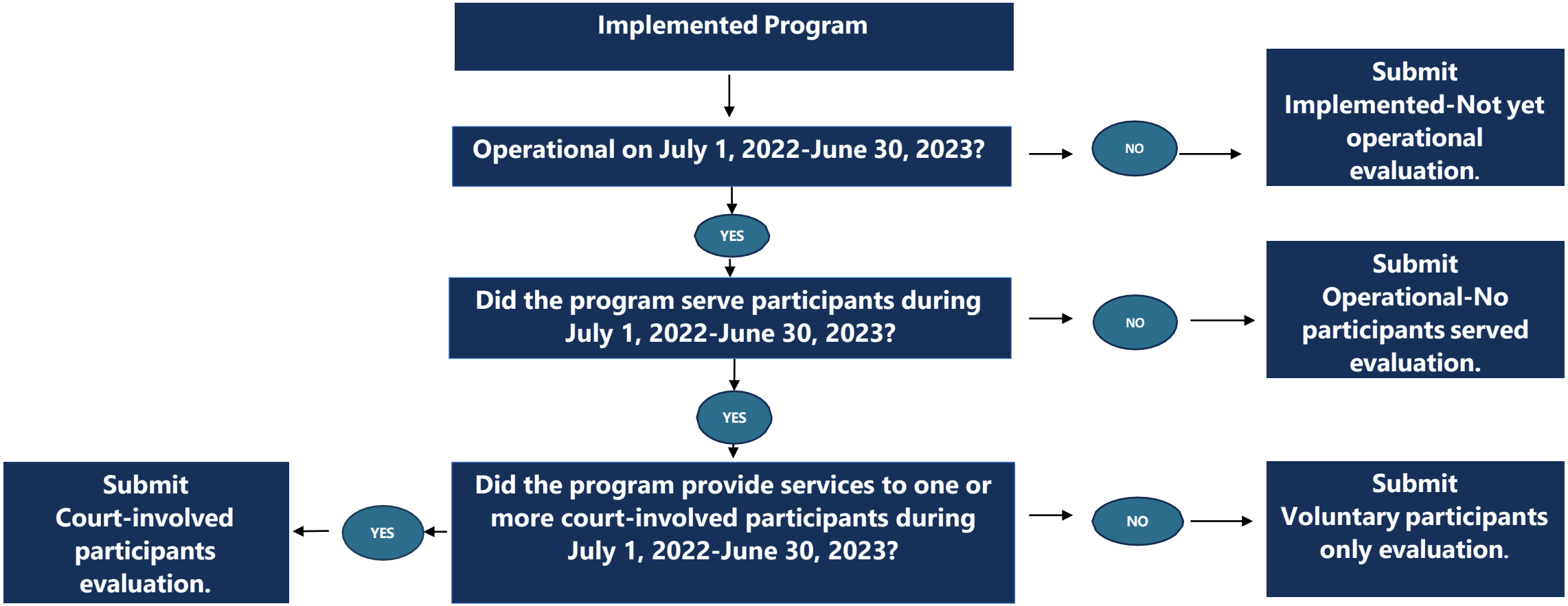
5. Funding source(s) 5348(d): *Check all that apply* 0

- [ ] MHSA
- [ ] State Aid
- [ ] Specialty mental health services
- [ ] Medi-Cal
- [ ] Grants
- [ ] Mental Health Block Grant
- [ ] Medicare
- [ ] Local Funds
- [ ] Private Insurance
- [ ] Realignment
- [ ] Other (please specify)

Data Collection Tools

- DHCS has provided Data Collection Tools to aid counties in preparing the requested information.
- Versions include:
  - DHCS AOT Data Collect Tool Implemented Not Yet Operational
  - DHCS AOT Data Collect Tool Operational No Participants Served
  - DHCS AOT Data Collect Tool Voluntary Participants Only
  - DHCS AOT Data Collect Tool Court-Involved Participants

Data Collection Tools



AOT Data Dictionary

- Corresponding question numbers listed alongside terms
- Identified data collection format
- Refined descriptions and examples

Assisted Outpatient Treatment (AOT) Data Dictionary					
Term	**Statute**	**Question**	**Description**	**Data Collection Format**	**Examples**
Type of Treatment	5348(d)(10)	12,13	All services included in court-mandated treatment plan and/or provided to an AOT participant for the duration of enrollment.	Select from treatment types listed	This may include, but is not limited to, case management, medication management, outreach support, housing support, life skills support, substance use disorder treatment, and rehabilitation, participant/ group counseling, etc.

Reporting Standards

- Privacy and confidentiality
  - Aggregate level data reporting
  - Privacy protocols in place by Survey Monkey
  - DHCS Data De-identification Guidelines (DDG)
- Multiple metrics and/or assessments may be utilized in fulfilling required outcomes
- Counties must adhere to the AOT Data Dictionary for completion of the Outcome Evaluation

Reporting Standards Cont'd

Reporting Terminology	Timeframe
Pre-enrollment	Not to exceed 12 months prior to entering program
During enrollment	The duration between court order and end of enrollment
Post-enrollment	As available
At the time of enrollment	Date of court order
At the end of initial petition period	6 months (180 days) from date of court order
At the time of discharge/end of enrollment	Date of "Completion" or "Termination" from AOT program

Tools and Resources

- Behavioral Health Information Notice 22-035
- AOT Data Dictionary
- Survey Monkey Technical Assistance
  - Visit the Help Center
- DHCS Data De-identification Guidelines (DDG)
- Data Collection Tool (PDF versions)

Reminders

- Carefully read instructions as you navigate through the Evaluation
- The reporting period is SFY **July 1 – June 30**
- Submit Outcome Evaluation by **October 1st** of each year
- Outcome Evaluations must be submitted through Survey Monkey
- One respondent- single submission per county

Training and Technical Assistance

- For assistance with AOT implementation and reporting requirements, please contact DHCSAOT@dhcs.ca.gov

- DHCS AOT webpage
  - https://www.dhcs.ca.gov/formsandpubs/Pages/Assisted-Outpatient-Treatment-Program.aspx

Questions?

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