

# Performance Outcomes System Reports

## Report run on August 3, 2016

### Background

Two reports will be created during each new reporting period. The reports that will be produced are as follows: statewide aggregate data and population-based county groups. These reports help meet the intent of the Legislature, as stated in Welfare and Institutions Code Section 14707.5, to develop a performance outcomes system for Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) mental health services that will improve outcomes at the individual, program, and system levels and inform fiscal decision-making related to the purchase of services. This reporting effort is part of the implementation of a performance outcomes system for Medi-Cal Specialty Mental Health Services (SMHS) for children and youth.

Since 2012 DHCS has worked with several groups of stakeholders to create a structure for reporting, to develop the Performance Measurement Paradigm, and to develop indicators and measures. The Performance Outcomes System will be used to evaluate the domains of access, engagement, service appropriateness to need, service effectiveness, linkages, cost effectiveness and satisfaction. Further information on the Performance Measures System implementation is available on the DHCS website. Documents posted include the relevant legislation, plans submitted to the Legislature, and handouts for meetings with the Stakeholder Advisory Committee back to the first meeting in 2012. To obtain this information go to: <http://www.dhcs.ca.gov/provgovpart/pos/Pages/default.aspx>

### Purpose and Overview

This statewide aggregate report provides updated information on the initial indicators that were developed for the Performance Outcomes System. DHCS plans to move to annual reporting

The first series of charts and tables focus on the demographics of Foster Care case children and youth under 21 who are receiving SMHS' based on approved claims for Medi-Cal eligible beneficiaries. Specifically, this includes demographics tables of this population by age, gender, and race/ethnicity. Two types of penetration information are provided. Both penetration rates tables are also broken out by demographic characteristics. Utilization of services data are shown in terms of dollars, as well as by service, in time increments. The snapshot table provides a point-in-time view of children/youth arriving, exiting, and continuing services over a two-year period. The time to step down table provides a view over the past four years of the time to step-down services following inpatient discharge.

Where possible, the reports provide trend information by displaying information for four Fiscal Years (FY). A FY is from July 1st to June 30th.

Utilization of services reports are shown in terms of dollars, as well as by service in time increments. The snapshot report provides a point-in-time view of children arriving, exiting, and continuing services over a two-year period. The final report provides a view over the past four years of the time to step-down services (i.e., time to next contact after an inpatient discharge). **Note:** *The time to step-down report has a change in methodology from the first report produced in February 2015. In the initial report only outpatient services provided at least one day after the inpatient discharge were included in the calculations. On subsequent reports any outpatient service that occurs on or after the inpatient discharge is included in the analysis.*

### Definitions

#### Population –

Beneficiaries with approved services adjudicated through the Short Doyle/Medi-Cal II claiming system that were:

- Age 20 or younger during the approved date of service on the claim; or
- Age 21 during the approved date of the service on the claim and a birth date on or after January 1st of the Fiscal Year.

#### Data Sources -

- Short-Doyle/Medi-Cal II (SD/MC II) claims with dates of service in FY 10/11 through FY 13/14.
- Medi-Cal Eligibility Data System (MEDS) data from the Management Information System/Decision Support System (MIS/DSS) FY 10/11 through FY 13/14.

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- Child Welfare Services/Case Management System (CWS/CMS) data for children in FY 11/12 through FY 14/15.

### Additional Information

The Measures Catalog is the companion document for these reports and provides the methodology and definitions for the measures. Each measure is defined and the numerator and denominator used to develop the metrics are provided with relevant notes and additional references. The Measures Catalog may be found at:

<http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

Note on Privacy:

The Health Insurance Portability and Accountability Act (HIPAA) and Code of Federal Regulations (CFR) 42 rules protect most individually identifiable health information in any form or medium, medium, whether electronic, on paper, or oral. DHCS has strict rules in place to protect the identification of individuals in public reports. A “Public Aggregate Reporting – DHCS Business Reports” process has been established to maintain confidentiality of client Personal Information. The Performance Outcomes System complies with Federal and State privacy laws. Thus, the POS must appropriately and accurately de-identify data for public reporting. Due to privacy concerns, some cells in this report may have been suppressed to comply with state and federal rules. When necessary, this data is represented as follows: 1) Data that is missing is indicated as "-" 2) Data that has been suppressed due to privacy concerns is indicated as "^".

### Report Interpretation

\*Population-based report findings may be interpreted alongside the POS statewide report findings.

\*The **penetration rates** reported here were calculated using a different methodology than that used by the External Quality Review Organization (EQRO). The differences in methodology make comparison between the POS penetration rates and the EQRO penetration rates not appropriate or useful. The POS methodology for calculating penetration rates was selected because it is easier to compute, more straightforward to interpret, and is in use by other states and counties. For the POS, the penetration rate is calculated by taking the total number of youth who received X number of SMHS (1 or 5 for POS) in a FY and dividing that by the total number of Medi-Cal eligible youth for that FY. This methodology results in lower penetration rates as compared to the EQRO rates, but it does so across the board so that all counties and the state will be similarly impacted.

\*The **snapshot** report provides a point-in-time look at children and youth's movement through the SMHS system. The report uses five general categories to classify if a youth is entering, exiting, continuing services, or a combination of these categories (e.g., arriving and exiting). As of now, this report only classifies youth and their service usage for FY 12/13 and FY13/14. Eventually the snapshot data will be used along with measures of service effectiveness to identify whether youth are improving as a result of receiving services from the time they first arrived in the system to when they exit the system. This methodology was adapted from the California Mental Health and Substance Use System Needs Assessment (2012). More information on the original methodology can be found here: <http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

\*The psychiatric emergency services/hospital data reported on in the **time to step-down services** report relies solely on claims data from Short Doyle/Medi-Cal II. In the future this report will incorporate other outpatient and inpatient Medi-Cal SMHS' billed through the Managed Care healthcare delivery systems. Currently, the number of days is capped at 365 days (to mitigate the impact of extreme statistical anomalies) when calculating the mean and max for time between discharge and step down service. This methodology will be updated in the next reporting cycle. “Additionally, county specific and population-based reports are based off of the county of the hospital the patient is discharged from and whom has been attributed the time to next service in days used in the calculations for this indicator.”

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**\*Data Source Methodology:** Demographic & Penetration and Snapshot - based on MEDS data; Utilization - based on Claims Submission data; Time to step-down - based on Inpatient Hospital data.

**\*Open Child Welfare:** Children/youth who are provided child welfare services either while living in their home, or while living out-of-home in a foster care setting. Excludes children: placed in California under the jurisdiction of another state (incoming interstate Compact on the Placement of Children (ICPC)) and who are placed with non-dependent guardians.

**\*Foster Care Placement:** Children/youth who are removed from their home by a child placement agency, including county child welfare services and probation departments and placed in a foster care setting. Excludes children: placed in California under the jurisdiction of another state (incoming interstate Compact on the Placement of Children (ICPC)) and who are placed with non-dependent legal guardians.

*Please contact [cmhpos@dhcs.ca.gov](mailto:cmhpos@dhcs.ca.gov) for any questions regarding this report.*

**Demographics Report: Unique Count of Current Foster Care Youth Receiving SMHS by Fiscal Year  
Statewide as of August 3, 2016**

SFY	Unique Count Receiving SMHS*	Year-Over-Year Percentage Change	Unique Count of Youth Foster Care in Medi-Cal	Year-Over-Year Percentage Change
FY 11-12	38,961		79,329	
FY 12-13	39,269	0.8%	81,245	2.4%
FY 13-14	41,005	4.4%	86,260	6.2%
FY 14-15	41,503	1.2%	88,445	2.5%
<b>Compound Annual Growth Rate SFY**</b>		<b>2.1%</b>		<b>3.7%</b>

*\*SMHS = Specialty Mental Health Services. See Measures Catalog for more detailed information.*

*\*\*SFY = State Fiscal Year which is July 1 through June 30.*

**Demographics Report: Unique Count of Current Foster Care Youth Receiving SMHS by Fiscal Year  
Statewide as of August 3, 2016**

Fiscal Year	Black Count	Black %	Hispanic Count	Hispanic %	White Count	White %	Other Count	Other %
FY 11-12	5,976	15.3%	11,860	30.4%	18,741	48.1%	2,384	6.1%
FY 12-13	5,980	15.2%	12,278	31.3%	18,387	46.8%	2,624	6.7%
FY 13-14	6,128	14.9%	13,040	31.8%	18,755	45.7%	3,082	7.5%
FY 14-15	6,182	14.9%	12,573	30.3%	19,380	46.7%	3,368	8.1%

**Demographics Report: Unique Count of Current Foster Care Youth Receiving SMHS by Fiscal Year  
Statewide as of August 3, 2016**

Fiscal Year	Children 0 5 Count	Children 0 5 %	Children 6 11 Count	Children 6 11 %	Children 12 17 Count	Children 12-17 %	Youth 18-20 Count	Youth 18-20 %
FY 11-12	9,438	24.2%	11,066	28.4%	16,830	43.2%	1,627	4.2%
FY 12-13	9,599	24.4%	11,397	29.0%	15,672	39.9%	2,601	6.6%
FY 13-14	10,277	25.1%	12,358	30.1%	15,338	37.4%	3,032	7.4%
FY 14-15	10,496	25.3%	12,928	31.1%	14,818	35.7%	3,261	7.9%

**Demographics Report: Unique Count of Current Foster Care Youth Receiving SMHS by Fiscal Year  
Statewide as of August 3, 2016**

<b>Fiscal Year</b>	<b>Female Count</b>	<b>Female %</b>	<b>Male Count</b>	<b>Male %</b>
FY 11-12	18,737	48.1%	20,224	51.9%
FY 12-13	18,786	47.8%	20,483	52.2%
FY 13-14	19,746	48.2%	21,259	51.8%
FY 14-15	19,989	48.2%	21,514	51.8%

**Penetration Rates\* Report: Current Foster Care Youth With At Least One SMHS Visit\*\*  
Statewide as of August 3, 2016**

	FY 11-12			FY 12-13			FY 13-14			FY 14-15		
	Foster Care Youth with 1 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 1 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 1 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 1 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate
<b>All</b>	<b>38,961</b>	<b>79,329</b>	<b>49.1%</b>	<b>39,269</b>	<b>81,245</b>	<b>48.3%</b>	<b>41,005</b>	<b>86,260</b>	<b>47.5%</b>	<b>41,503</b>	<b>88,445</b>	<b>46.9%</b>
Children 0-5	9,438	29,281	32.2%	9,599	30,050	31.9%	10,277	31,531	32.6%	10,496	31,892	32.9%
Children 6-11	11,066	18,526	59.7%	11,397	19,394	58.8%	12,358	21,139	58.5%	12,928	21,859	59.1%
Children 12-17	16,830	25,986	64.8%	15,672	24,750	63.3%	15,338	24,196	63.4%	14,818	23,418	63.3%
Youth 18-20	1,627	5,536	29.4%	2,601	7,051	36.9%	3,032	9,394	32.3%	3,261	11,276	28.9%
Black	5,976	13,040	45.8%	5,980	12,534	47.7%	6,128	12,809	47.8%	6,182	12,690	48.7%
Hispanic	11,860	28,836	41.1%	12,278	29,430	41.7%	13,040	30,790	42.4%	12,573	30,013	41.9%
White	18,741	28,519	65.7%	18,387	30,208	60.9%	18,755	33,164	56.6%	19,380	36,298	53.4%
Other	2,384	8,934	26.7%	2,624	9,073	28.9%	3,082	9,497	32.5%	3,368	9,444	35.7%
Female	18,737	37,832	49.5%	18,786	38,847	48.4%	19,746	41,339	47.8%	19,989	42,459	47.1%
Male	20,224	41,497	48.7%	20,483	42,398	48.3%	21,259	44,921	47.3%	21,514	45,986	46.8%

\*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

\*\*Foster Care Youth that have received at least one SMHS that was claimed through the Short-Doyle/ Medi-Cal claiming system on at least one (1) day in the Fiscal Year.

**Penetration Rates\* Report: Current Foster Care Youth With Five or More SMHS Visits\*\*  
Statewide as of August 3, 2016**

	FY 11-12			FY 12-13			FY 13-14			FY 14-15		
	Foster Care Youth with 5 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 5 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 5 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 5 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate
<b>All</b>	<b>30,879</b>	<b>79,329</b>	<b>38.9%</b>	<b>31,113</b>	<b>81,245</b>	<b>38.3%</b>	<b>32,048</b>	<b>86,260</b>	<b>37.2%</b>	<b>32,301</b>	<b>88,445</b>	<b>36.5%</b>
Children 0-5	6,404	29,281	21.9%	6,499	30,050	21.6%	6,746	31,531	21.4%	6,961	31,892	21.8%
Children 6-11	8,965	18,526	48.4%	9,214	19,394	47.5%	9,945	21,139	47.0%	10,329	21,859	47.3%
Children 12-17	14,170	25,986	54.5%	13,203	24,750	53.3%	12,798	24,196	52.9%	12,350	23,418	52.7%
Youth 18-20	1,340	5,536	24.2%	2,197	7,051	31.2%	2,559	9,394	27.2%	2,661	11,276	23.6%
Black	4,736	13,040	36.3%	4,826	12,534	38.5%	4,838	12,809	37.8%	4,840	12,690	38.1%
Hispanic	8,828	28,836	30.6%	9,170	29,430	31.2%	9,636	30,790	31.3%	9,185	30,013	30.6%
White	15,498	28,519	54.3%	15,068	30,208	49.9%	15,269	33,164	46.0%	15,663	36,298	43.2%
Other	1,817	8,934	20.3%	2,049	9,073	22.6%	2,305	9,497	24.3%	2,613	9,444	27.7%
Female	14,779	37,832	39.1%	14,824	38,847	38.2%	15,402	41,339	37.3%	15,485	42,459	36.5%
Male	16,100	41,497	38.8%	16,289	42,398	38.4%	16,646	44,921	37.1%	16,816	45,986	36.6%

\*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

\*\*Foster Care Youth that have received at least five SMHS that were claimed through the Short-Doyle/ Medi-Cal claiming system on at least five (5) or more different days in the Fiscal Year.

**Utilization Report\*: Approved Specialty Mental Health Services for Foster Care Youth  
Mean Expenditures and Mean Service Quantity per Unique Beneficiary by Fiscal Year\*\*  
Statewide as of August 3, 2016**

Fiscal Year	SDMC Total Approved	IHBS (Minutes)	ICC (Minutes)	Case Management/ Brokerage (Minutes)	Mental Health Services (Minutes)	Therapeutic Behavioral Services (Minutes)	Medication Support Services (Minutes)	Crisis Intervention (Minutes)	Crisis Stabilization (Hours)	Full Day Treatment Intensive (Hours)	Full Day Rehabilitation (Hours)	Hospital Inpatient (Days)	Hospital Inpatient Admin (Days)	Fee for Service Inpatient (Days)	Crisis Residential Treatment Services (Days)	Adult Residential Treatment Services (Days)	Psychiatric Health Facility (Days)
FY 11-12	\$ 8,516.22	0	0	589	2,459	5,433	441	420	17	570	387	8	12	12	15	183	37
FY 12-13	\$ 9,348.35	856	271	536	2,458	5,374	436	395	18	560	435	10	20	11	18	65	40
FY 13-14	\$ 9,147.76	2,012	1,303	475	2,175	5,131	425	401	19	547	471	10	18	11	11	99	36
FY 14-15	\$ 9,037.52	2,467	1,531	458	2,021	4,984	429	423	19	555	541	10	8	11	17	79	31
<b>MEAN</b>	<b>\$ 9,012.46</b>	<b>1,779</b>	<b>1,035</b>	<b>515</b>	<b>2,278</b>	<b>5,230</b>	<b>433</b>	<b>410</b>	<b>18</b>	<b>558</b>	<b>459</b>	<b>10</b>	<b>14</b>	<b>11</b>	<b>15</b>	<b>107</b>	<b>36</b>

Fiscal Year	SDMC Total Clients	IHBS Clients	ICC Clients	Case Management/ Brokerage Clients	Mental Health Services Clients	Therapeutic Behavioral Services Clients	Medication Support Services Clients	Crisis Intervention Clients	Crisis Stabilization Clients	Full Day Treatment Intensive Clients	Full Day Rehabilitation Clients	Hospital Inpatient Clients	Hospital Inpatient Admin Clients	Fee for Service Inpatient Clients	Crisis Residential Treatment Services Clients	Adult Residential Treatment Services Clients	Psychiatric Health Facility Clients
FY 11-12	38,928	0	0	17,853	37,471	2,509	11,351	2,735	937	1,052	1,094	290	22	1,290	^	^	110
FY 12-13	39,254	76	88	17,446	37,835	2,573	11,319	2,684	1,038	727	1,219	307	29	1,288	^	18	116
FY 13-14	40,982	3,188	4,373	17,935	39,459	2,501	11,318	2,777	1,245	640	1,035	299	24	1,366	17	16	112
FY 14-15	41,489	4,822	6,757	17,279	40,024	2,480	11,146	2,574	1,377	359	758	288	36	1,275	30	^	119

\*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

**Snapshot Report: Unique Count of Current Foster Care Youth Receiving SMHS  
Arriving, Exiting, and with Service Continuance by Fiscal Year  
Statewide as of August 3, 2016**

Category	Description (Please refer to the <a href="#">Measures Catalog</a> for more detailed descriptions on all Performance Outcomes System measures.)
Arrivals	Children/Youth that did not receive any SMHS within 3 months of their first date of service in the Fiscal Year.
Service Continuance	Children/Youth receiving continuous services with no breaks in service greater than 90 days for a period of at least 2 years ( $\geq 2$ YR) or a period of 1 to 2 years ( $< 2$ YR).
Exiting	Children/Youth that did not receive any SMHS within 3 months after their last date of service in the Fiscal Year.
Arriving & Exiting	A distinct category in which children/youth met both the criteria for <b>Arrivals</b> and <b>Exiting</b> above for the fiscal year.
Service Continuance & Exiting	A distinct category in which Children/Youth had at least 2 years of Service Continuance going into the Fiscal Year and then Exited within the same Fiscal Year.

Service Fiscal Year	Arrivals Count	Arrivals %	Service Continuance ( $\geq 2$ YR) Count	Service Continuance ( $\geq 2$ YR) %	Service Continuance ( $< 2$ YR) Count	Service Continuance ( $< 2$ YR) %	Exiting Count	Exiting %	Arriving & Exiting Count	Arriving & Exiting %	Service Continuance ( $\geq 2$ YR) & Exiting Count	Service Continuance ( $\geq 2$ YR) and Exiting %	Total Count	Total %
FY 12-13	3,581	23.1%	1,393	9.0%	1,388	8.9%	3,068	19.8%	5,500	35.4%	600	3.9%	39,269	100%
FY 13-14	4,055	24.7%	1,335	8.1%	1,389	8.5%	3,027	18.4%	5,992	36.5%	627	3.8%	41,005	100%
FY 14-15	4,208	24.1%	1,222	7.0%	1,437	8.2%	3,488	20.0%	6,425	36.8%	659	3.8%	41,503	100%

**Time to Step Down Report: Current Foster Care Youth Stepping Down in SMHS Services Post Inpatient Discharge  
Statewide as of August 3, 2016**

Service FY	Count of Inpatient Discharges with Step Down within 7 Days of Discharge	Percentage of Inpatient Discharges with Step Down within 7 Days of Discharge	Count of Inpatient Discharges with Step Down between 8 and 30 days of Discharge	Percentage of Inpatient Discharges with Step Down between 8 and 30 days of Discharge	Count of Beneficiaries with a Step Down > 30 Days from Discharge or No Step Down*	Percentage of Beneficiaries with a Step Down > 30 Days from Discharge or No Step Down*	Minimum Number of Days between Discharge and Step Down	Maximum Number of Days between Discharge and Step Down	Mean Time to Next Contact Post Inpatient Discharge (Days)	Median Time to Next Contact Post Inpatient Discharge (Days)
FY 11-12	1,673	75.7%	302	13.7%	234	10.6%	0	365	15.5	1
FY 12-13	1,734	79.5%	229	10.5%	218	10.0%	0	365	12.5	1
FY 13-14	1,846	81.6%	212	9.4%	203	9.0%	0	365	9.1	1
FY 14-15	1,694	76.7%	263	11.9%	253	11.4%	0	365	9.5	1

\* **No Step Down** is defined as no Medi-Cal eligible service was claimed through Short-Doyle/Medi-Cal after a claimed inpatient service was billed with a discharge date. This category may include data currently unavailable to DHCS, such as beneficiaries that were moved to a community-based program or beneficiaries that were incarcerated.