

**Fiscal Year 2015-16
Governor's Budget (Preliminary) Allocation
Substance Abuse Prevention and Treatment Block Grant
Exchange Program**

This exhibit provides information on the history and evaluation of the Substance Abuse Prevention and Treatment (SAPT) Block Grant (BG) Exchange Program. It also explains the methodology used to calculate each county's share of SAPT Discretionary, Perinatal, and Adolescent and Youth Treatment funds to be exchanged in FY 2015-16. The attached spreadsheets display each county's FY 2015-16 base allocation amounts BEFORE the exchange, and the FY 2015-16 allocation amounts AFTER the exchange of SAPT funds.

Program History and Evaluation

1. The SAPT BG Pilot Exchange Program was implemented with the FY 2008-09 Budget Act Allocation. The program was subsequently renamed the SAPT BG Exchange Program.
2. The SAPT BG Exchange Program began with the FY 2004-05 Budget Act Allocation. It originally allowed counties with populations less than 40,000 to exchange HIV, Perinatal, and Adolescent and Youth Treatment categorical funding for Discretionary funding from larger counties. Beginning in FY 2007-08, HIV Set-Aside funds were no longer exchanged because those funds, from that point, were allocated based on a needs-based allocation methodology.

The SAPT BG Exchange Program was created because California's least populous counties lack sufficient populations to result in an ongoing demand for specialized treatment services for Perinatal and Adolescent/Youth. Exchanging Perinatal and Adolescent/Youth treatment funds for Discretionary funds allows the least populous counties to best respond to treatment needs and reduces the return of unspent SAPT funds to the federal government. Counties receiving additional Discretionary funds are expected to utilize these funds to treat substance use disorder clients, including Perinatal and Adolescent/Youth treatment clients, to the extent they have such clients. Counties providing perinatal services must meet the requirements set forth in the Perinatal Services Network Guidelines 2014 located on the Department of Health Care Services website at:

<http://www.dhcs.ca.gov/individuals/Documents/PSNG2014Final21214.pdf>

In providing Youth Treatment services, the Youth Treatment Guidelines are designed for counties to use in developing and implementing youth treatment programs. The guidelines are available on the website at:

http://www.dhcs.ca.gov/individuals/Documents/Youth_Treatment_Guidelines.pdf

3. In May 2008, the former Department of Alcohol and Drug Programs (ADP) evaluated the SAPT BG Exchange Program to determine if the least populous counties were more successful in spending SAPT BG categorical dollars than before the SAPT BG Exchange Program. ADP evaluated the program for two federal fiscal years (FFY)

preceding initiation of the SAPT BG Exchange Program (FFY 2003 and FFY 2004), and for the first two SAPT BG Exchange Program years (FFY 2005 and FFY 2006). SAPT HIV funds were not included in the SAPT BG Exchange Program evaluation. The only SAPT categorical funds included in the Exchange Program evaluation were Perinatal and Adolescent and Youth Treatment funds.

4. The evaluation found that the smallest counties (least populous) benefited from receiving additional SAPT Discretionary funding and these counties no longer reverted Perinatal and Adolescent and Youth Treatment funds as they had previously done. These small Minimum Base Allocation (MBA) counties had increased flexibility to meet clients' needs as a result of the SAPT BG Exchange Program and none of these counties reverted funds during the pilot exchange years.

SAPT BG Exchange Program Methodology

The following describes the steps for determining the share of SAPT Discretionary funds to be exchanged for SAPT Perinatal funds. The process is identical for calculating the exchange of SAPT Discretionary funds for SAPT Adolescent and Youth Treatment funds.

- STEP 1 For each county with a population less than 40,000, identify the amount of SAPT Perinatal funds that each would receive from the SAPT Perinatal allocation methodology. These are the funds those counties will exchange in the FY 2015-16 SAPT BG Exchange Program. This equals the amount of SAPT Discretionary funds the counties will receive in exchange.
- STEP 2 For each given county with a population greater than or equal to 40,000, calculate the ratio of that county's SAPT Perinatal funds to the total SAPT Perinatal funds (without including the amount of Perinatal funds for counties with a population less than 40,000).
- STEP 3 Multiply the ratio calculated in STEP 2 by the total amount of SAPT Perinatal funds to be exchanged in STEP 1. This will determine the amount of additional SAPT Perinatal funds that each county with a population greater than or equal to 40,000 will receive in exchange for its SAPT Discretionary funds.
- STEP 4 The amount of additional SAPT Perinatal funds calculated in STEP 3 equals the amount of SAPT Discretionary funds a county with a population less than 40,000 will receive in exchange for its SAPT Perinatal funds.
- STEP 5 Repeat the above steps for SAPT Adolescent and Youth Treatment funds.

Fiscal Year 2015-16
Governor's Budget (Preliminary) Allocation
SAPT Block Grant Exchange Program
Summary of Exchanges

County	SAPT Discretionary Exchange	SAPT Perinatal Exchange	SAPT Adolescent/Youth Treatment Exchange
A	B	C	D
ALAMEDA	(\$28,718)	\$14,528	\$14,190
ALPINE*	\$56,745	\$0	(\$56,745)
AMADOR*	\$14,015	(\$5,065)	(\$8,950)
BUTTE	(\$4,553)	\$3,842	\$711
CALAVERAS	(\$506)	\$52	\$454
COLUSA*	\$12,962	(\$5,051)	(\$7,911)
CONTRA COSTA	(\$28,079)	\$15,114	\$12,965
DEL NORTE*	\$13,583	(\$5,058)	(\$8,525)
EL DORADO	(\$1,027)	\$497	\$530
FRESNO	(\$15,040)	\$2,367	\$12,673
GLENN*	\$176,608	(\$118,143)	(\$58,465)
HUMBOLDT	(\$6,331)	\$520	\$5,811
IMPERIAL	(\$5,853)	\$0	\$5,853
INYO*	\$12,922	(\$5,048)	(\$7,874)
KERN	(\$4,429)	\$2,655	\$1,774
KINGS	(\$1,077)	\$536	\$541
LAKE	(\$422)	\$52	\$370
LASSEN*	\$13,982	(\$5,062)	(\$8,920)
LOS ANGELES	(\$92,957)	\$37,831	\$55,126
MADERA	(\$1,153)	\$645	\$508
MARIN	(\$1,671)	\$853	\$818
MARIPOSA*	\$12,786	(\$5,048)	(\$7,738)
MENDOCINO	(\$6,016)	\$222	\$5,794
MERCED	(\$1,521)	\$795	\$726
MODOC*	\$12,357	(\$5,040)	(\$7,317)
MONO*	\$12,435	(\$5,045)	(\$7,390)
MONTEREY	(\$2,145)	\$977	\$1,168
NAPA	(\$945)	\$414	\$531
NEVADA	(\$638)	\$185	\$453
ORANGE	(\$30,860)	\$9,940	\$20,920
PLACER	(\$2,042)	\$1,260	\$782
PLUMAS*	\$13,066	(\$5,049)	(\$8,017)
RIVERSIDE	(\$12,230)	\$8,437	\$3,793
SACRAMENTO	(\$17,710)	\$14,637	\$3,073
SAN BENITO	(\$408)	\$52	\$356
SAN BERNARDINO	(\$21,596)	\$6,857	\$14,739
SAN DIEGO	(\$37,713)	\$16,599	\$21,114
SAN FRANCISCO	(\$15,752)	\$3,088	\$12,664
SAN JOAQUIN	(\$3,290)	\$1,732	\$1,558
SAN LUIS OBISPO	(\$6,837)	\$739	\$6,098
SAN MATEO	(\$4,203)	\$2,263	\$1,940
SANTA BARBARA	(\$8,199)	\$1,718	\$6,481
SANTA CLARA	(\$20,284)	\$5,422	\$14,862
SANTA CRUZ	(\$6,878)	\$769	\$6,109
SHASTA	(\$4,458)	\$3,833	\$625
SIERRA*	\$61,908	(\$5,034)	(\$56,874)
SISKIYOU	(\$2,834)	\$2,716	\$118
SOLANO	(\$2,910)	\$1,743	\$1,167
SONOMA	(\$8,056)	\$1,491	\$6,565
STANISLAUS	(\$2,726)	\$1,460	\$1,266
SUTTER/YUBA	(\$1,307)	\$503	\$804
TEHAMA	(\$4,838)	\$2,684	\$2,154
TRINITY*	\$12,576	(\$5,044)	(\$7,532)
TULARE	(\$1,993)	\$899	\$1,094
TUOLUMNE	(\$415)	\$52	\$363
VENTURA	(\$3,909)	\$1,907	\$2,002
YOLO	(\$1,416)	\$801	\$615
STATEWIDE TOTAL	\$0	\$0	\$0

*13 Counties with population less than 40,000

**Fiscal Year 2015-16
Governor's Budget (Preliminary) Allocation
SAPT Block Grant Exchange Program
Discretionary**

County	FY 2015-16 (FFY 2016) Award Discretionary Base	Discretionary funds to be exchanged for Perinatal and Adolescent/Youth funds	Perinatal and Adolescent/Youth funds to be exchanged for Discretionary	FY 2015-16 Discretionary
A	B	C	D	E
				B+C+D
ALAMEDA	4,592,394	(\$28,718)	\$0	\$4,563,676
ALPINE*	221,050	\$0	\$56,745	\$277,795
AMADOR*	325,553	\$0	\$14,015	\$339,568
BUTTE	822,529	(\$4,553)	\$0	\$817,976
CALAVERAS	339,730	(\$506)	\$0	\$339,224
COLUSA*	308,493	\$0	\$12,962	\$321,455
CONTRA COSTA	3,009,462	(\$28,079)	\$0	\$2,981,383
DEL NORTE*	320,467	\$0	\$13,583	\$334,050
EL DORADO	681,418	(\$1,027)	\$0	\$680,391
FRESNO	2,745,406	(\$15,040)	\$0	\$2,730,366
GLENN*	282,138	\$0	\$176,608	\$458,746
HUMBOLDT	573,595	(\$6,331)	\$0	\$567,264
IMPERIAL	598,647	(\$5,853)	\$0	\$592,794
INYO*	306,908	\$0	\$12,922	\$319,830
KERN	2,669,068	(\$4,429)	\$0	\$2,664,639
KINGS	568,522	(\$1,077)	\$0	\$567,445
LAKE	379,422	(\$422)	\$0	\$379,000
LASSEN*	324,140	\$0	\$13,982	\$338,122
LOS ANGELES	38,101,161	(\$92,957)	\$0	\$38,008,204
MADERA	532,012	(\$1,153)	\$0	\$530,859
MARIN	1,262,341	(\$1,671)	\$0	\$1,260,670
MARIPOSA*	305,835	\$0	\$12,786	\$318,621
MENDOCINO	470,387	(\$6,016)	\$0	\$464,371
MERCED	919,105	(\$1,521)	\$0	\$917,584
MODOC*	293,485	\$0	\$12,357	\$305,842
MONO*	300,931	\$0	\$12,435	\$313,366
MONTEREY	1,681,022	(\$2,145)	\$0	\$1,678,877
NAPA	677,822	(\$945)	\$0	\$676,877
NEVADA	480,726	(\$638)	\$0	\$480,088
ORANGE	12,430,319	(\$30,860)	\$0	\$12,399,459
PLACER	979,203	(\$2,042)	\$0	\$977,161
PLUMAS*	313,321	\$0	\$13,066	\$326,387
RIVERSIDE	6,498,949	(\$12,230)	\$0	\$6,486,719
SACRAMENTO	3,393,303	(\$17,710)	\$0	\$3,375,593
SAN BENITO	363,969	(\$408)	\$0	\$363,561
SAN BERNARDINO	6,917,105	(\$21,596)	\$0	\$6,895,509
SAN DIEGO	10,942,314	(\$37,713)	\$0	\$10,904,601
SAN FRANCISCO	5,614,263	(\$15,752)	\$0	\$5,598,511
SAN JOAQUIN	2,158,044	(\$3,290)	\$0	\$2,154,754
SAN LUIS OBISPO	999,215	(\$6,837)	\$0	\$992,378
SAN MATEO	3,155,290	(\$4,203)	\$0	\$3,151,087
SANTA BARBARA	1,575,862	(\$8,199)	\$0	\$1,567,663
SANTA CLARA	7,599,904	(\$20,284)	\$0	\$7,579,620
SANTA CRUZ	1,147,657	(\$6,878)	\$0	\$1,140,779
SHASTA	665,723	(\$4,458)	\$0	\$661,265
SIERRA*	281,607	\$0	\$61,908	\$343,515
SISKIYOU	289,840	(\$2,834)	\$0	\$287,006
SOLANO	1,568,171	(\$2,910)	\$0	\$1,565,261
SONOMA	1,612,207	(\$8,056)	\$0	\$1,604,151
STANISLAUS	1,945,561	(\$2,726)	\$0	\$1,942,835
SUTTER/YUBA	814,450	(\$1,307)	\$0	\$813,143
TEHAMA	295,668	(\$4,838)	\$0	\$290,830
TRINITY*	302,320	\$0	\$12,576	\$314,896
TULARE	1,472,078	(\$1,993)	\$0	\$1,470,085
TUOLUMNE	363,560	(\$415)	\$0	\$363,145
VENTURA	3,286,273	(\$3,909)	\$0	\$3,282,364
YOLO	704,840	(\$1,416)	\$0	\$703,424
STATEWIDE TOTAL	\$141,784,785	(\$425,945)	\$425,945	\$141,784,785

*13 Counties with population less than 40,000

**Fiscal Year 2015-16
Governor's Budget (Preliminary) Allocation
SAPT Block Grant Exchange Program
Perinatal**

COUNTY	FY 2015-16 (FFY 2016) Award Perinatal BASE	Percent of County Perinatal Funds compared to Total Perinatal Funding	Perinatal funds to be exchanged for Discretionary Funds	Discretionary Funds to be exchanged for Perinatal Funds	FY 2015-16 Perinatal
A	B	C	D	E	F
		If population >= 40K then B / (B total less allocations of counties with population < 40K) otherwise 0	If population <40K use negative B otherwise use 0	C x 173,687	B + D + E
ALAMEDA	\$1,411,920	8.36%	\$0	\$14,528	\$1,426,448
ALPINE*	\$0	0.00%	\$0	\$0	\$0
AMADOR*	\$5,065	0.00%	(\$5,065)	\$0	\$0
BUTTE	\$373,413	2.21%	\$0	\$3,842	\$377,255
CALAVERAS	\$5,073	0.03%	\$0	\$52	\$5,125
COLUSA*	\$5,051	0.00%	(\$5,051)	\$0	\$0
CONTRA COSTA	\$1,468,947	8.70%	\$0	\$15,114	\$1,484,061
DEL NORTE*	\$5,058	0.00%	(\$5,058)	\$0	\$0
EL DORADO	\$48,278	0.29%	\$0	\$497	\$48,775
FRESNO	\$230,070	1.36%	\$0	\$2,367	\$232,437
GLENN*	\$118,143	0.00%	(\$118,143)	\$0	\$0
HUMBOLDT	\$50,519	0.30%	\$0	\$520	\$51,039
IMPERIAL	\$0	0.00%	\$0	\$0	\$0
INYO*	\$5,048	0.00%	(\$5,048)	\$0	\$0
KERN	\$257,994	1.53%	\$0	\$2,655	\$260,649
KINGS	\$52,088	0.31%	\$0	\$536	\$52,624
LAKE	\$5,091	0.03%	\$0	\$52	\$5,143
LASSEN*	\$5,062	0.00%	(\$5,062)	\$0	\$0
LOS ANGELES	\$3,676,363	21.78%	\$0	\$37,831	\$3,714,194
MADERA	\$62,667	0.37%	\$0	\$645	\$63,312
MARIN	\$82,931	0.49%	\$0	\$853	\$83,784
MARIPOSA*	\$5,048	0.00%	(\$5,048)	\$0	\$0
MENDOCINO	\$21,619	0.13%	\$0	\$222	\$21,841
MERCED	\$77,230	0.46%	\$0	\$795	\$78,025
MODOC*	\$5,040	0.00%	(\$5,040)	\$0	\$0
MONO*	\$5,045	0.00%	(\$5,045)	\$0	\$0
MONTEREY	\$94,936	0.56%	\$0	\$977	\$95,913
NAPA	\$40,228	0.24%	\$0	\$414	\$40,642
NEVADA	\$17,939	0.11%	\$0	\$185	\$18,124
ORANGE	\$966,096	5.72%	\$0	\$9,940	\$976,036
PLACER	\$122,454	0.73%	\$0	\$1,260	\$123,714
PLUMAS*	\$5,049	0.00%	(\$5,049)	\$0	\$0
RIVERSIDE	\$819,982	4.86%	\$0	\$8,437	\$828,419
SACRAMENTO	\$1,422,588	8.43%	\$0	\$14,637	\$1,437,225
SAN BENITO	\$5,084	0.03%	\$0	\$52	\$5,136
SAN BERNARDINO	\$666,439	3.95%	\$0	\$6,857	\$673,296
SAN DIEGO	\$1,613,220	9.56%	\$0	\$16,599	\$1,629,819
SAN FRANCISCO	\$300,102	1.78%	\$0	\$3,088	\$303,190
SAN JOAQUIN	\$168,375	1.00%	\$0	\$1,732	\$170,107
SAN LUIS OBISPO	\$71,830	0.43%	\$0	\$739	\$72,569
SAN MATEO	\$219,904	1.30%	\$0	\$2,263	\$222,167
SANTA BARBARA	\$167,000	0.99%	\$0	\$1,718	\$168,718
SANTA CLARA	\$526,983	3.12%	\$0	\$5,422	\$532,405
SANTA CRUZ	\$74,713	0.44%	\$0	\$769	\$75,482
SHASTA	\$372,563	2.21%	\$0	\$3,833	\$376,396
SIERRA*	\$5,034	0.00%	(\$5,034)	\$0	\$0
SISKIYOU	\$263,980	1.56%	\$0	\$2,716	\$266,696
SOLANO	\$169,359	1.00%	\$0	\$1,743	\$171,102
SONOMA	\$144,925	0.86%	\$0	\$1,491	\$146,416
STANISLAUS	\$141,933	0.84%	\$0	\$1,460	\$143,393
SUTTER/YUBA	\$48,897	0.29%	\$0	\$503	\$49,400
TEHAMA	\$260,873	1.55%	\$0	\$2,684	\$263,557
TRINITY*	\$5,044	0.00%	(\$5,044)	\$0	\$0
TULARE	\$87,420	0.52%	\$0	\$899	\$88,319
TUOLUMNE	\$5,082	0.03%	\$0	\$52	\$5,134
VENTURA	\$185,353	1.10%	\$0	\$1,907	\$187,260
YOLO	\$77,852	0.46%	\$0	\$801	\$78,653
STATEWIDE TOTAL	\$17,054,000	100.00%	(\$173,687)	\$173,687	\$17,054,000

*13 Counties with populations less than 40,000

**Fiscal Year 2015-16
Governor's Budget (Preliminary) Allocation
SAPT Block Grant Exchange Program
Adolescent and Youth Program**

County	FY 2015-16 (FFY 2016) Award Adolescent/Youth BASE	Percent of County Adolescent/Youth Funds compared to Total Adolescent/Youth Funds	Adolescent/Youth Funds to be exchanged for Discretionary Funds	Discretionary Funds to be exchanged for Adolescent/Youth Funds	FY 2015-16 Adolescent/Youth
A	B	C	D	E	F
		If population >= 40K then B / (B total less allocations of counties with population < 40K) otherwise 0	If population <40K use negative B otherwise use 0	C x 252,258	B + D + E
ALAMEDA	\$397,940	5.63%	\$0	\$14,190	\$412,130
ALPINE*	\$56,745	0.00%	(\$56,745)	\$0	\$0
AMADOR*	\$8,950	0.00%	(\$8,950)	\$0	\$0
BUTTE	\$19,946	0.28%	\$0	\$711	\$20,657
CALAVERAS	\$12,718	0.18%	\$0	\$454	\$13,172
COLUSA*	\$7,911	0.00%	(\$7,911)	\$0	\$0
CONTRA COSTA	\$363,586	5.14%	\$0	\$12,965	\$376,551
DEL NORTE*	\$8,525	0.00%	(\$8,525)	\$0	\$0
EL DORADO	\$14,869	0.21%	\$0	\$530	\$15,399
FRESNO	\$355,396	5.02%	\$0	\$12,673	\$368,069
GLENN*	\$58,465	0.00%	(\$58,465)	\$0	\$0
HUMBOLDT	\$162,974	2.30%	\$0	\$5,811	\$168,785
IMPERIAL	\$164,133	2.32%	\$0	\$5,853	\$169,986
INYO*	\$7,874	0.00%	(\$7,874)	\$0	\$0
KERN	\$49,753	0.70%	\$0	\$1,774	\$51,527
KINGS	\$15,176	0.21%	\$0	\$541	\$15,717
LAKE	\$10,364	0.15%	\$0	\$370	\$10,734
LASSEN*	\$8,920	0.00%	(\$8,920)	\$0	\$0
LOS ANGELES	\$1,545,955	21.85%	\$0	\$55,126	\$1,601,081
MADERA	\$14,252	0.20%	\$0	\$508	\$14,760
MARIN	\$22,940	0.32%	\$0	\$818	\$23,758
MARIPOSA*	\$7,738	0.00%	(\$7,738)	\$0	\$0
MENDOCINO	\$162,482	2.30%	\$0	\$5,794	\$168,276
MERCED	\$20,346	0.29%	\$0	\$726	\$21,072
MODOC*	\$7,317	0.00%	(\$7,317)	\$0	\$0
MONO*	\$7,390	0.00%	(\$7,390)	\$0	\$0
MONTEREY	\$32,743	0.46%	\$0	\$1,168	\$33,911
NAPA	\$14,901	0.21%	\$0	\$531	\$15,432
NEVADA	\$12,715	0.18%	\$0	\$453	\$13,168
ORANGE	\$586,670	8.29%	\$0	\$20,920	\$607,590
PLACER	\$21,937	0.31%	\$0	\$782	\$22,719
PLUMAS*	\$8,017	0.00%	(\$8,017)	\$0	\$0
RIVERSIDE	\$106,368	1.50%	\$0	\$3,793	\$110,161
SACRAMENTO	\$86,178	1.22%	\$0	\$3,073	\$89,251
SAN BENITO	\$9,973	0.14%	\$0	\$356	\$10,329
SAN BERNARDINO	\$413,340	5.84%	\$0	\$14,739	\$428,079
SAN DIEGO	\$592,114	8.37%	\$0	\$21,114	\$613,228
SAN FRANCISCO	\$355,160	5.02%	\$0	\$12,664	\$367,824
SAN JOAQUIN	\$43,706	0.62%	\$0	\$1,558	\$45,264
SAN LUIS OBISPO	\$171,025	2.42%	\$0	\$6,098	\$177,123
SAN MATEO	\$54,412	0.77%	\$0	\$1,940	\$56,352
SANTA BARBARA	\$181,754	2.57%	\$0	\$6,481	\$188,235
SANTA CLARA	\$416,792	5.89%	\$0	\$14,862	\$431,654
SANTA CRUZ	\$171,322	2.42%	\$0	\$6,109	\$177,431
SHASTA	\$17,521	0.25%	\$0	\$625	\$18,146
SIERRA*	\$56,874	0.00%	(\$56,874)	\$0	\$0
SISKIYOU	\$3,302	0.05%	\$0	\$118	\$3,420
SONOMA	\$184,106	2.60%	\$0	\$6,565	\$190,671
STANISLAUS	\$35,502	0.50%	\$0	\$1,266	\$36,768
SUTTER/YUBA	\$22,534	0.32%	\$0	\$804	\$23,338
TEHAMA	\$60,397	0.85%	\$0	\$2,154	\$62,551
TRINITY*	\$7,532	0.00%	(\$7,532)	\$0	\$0
TULARE	\$30,692	0.43%	\$0	\$1,094	\$31,786
TUOLUMNE	\$10,185	0.14%	\$0	\$363	\$10,548
VENTURA	\$56,148	0.79%	\$0	\$2,002	\$58,150
YOLO	\$17,253	0.24%	\$0	\$615	\$17,868
STATEWIDE TOTAL	\$7,326,561	100.00%	(\$252,258)	\$252,258	\$7,326,561

*13 Counties with population less than 40,000