



CCBHC Demonstration: Planning Process Overview & Stakeholder Engagement

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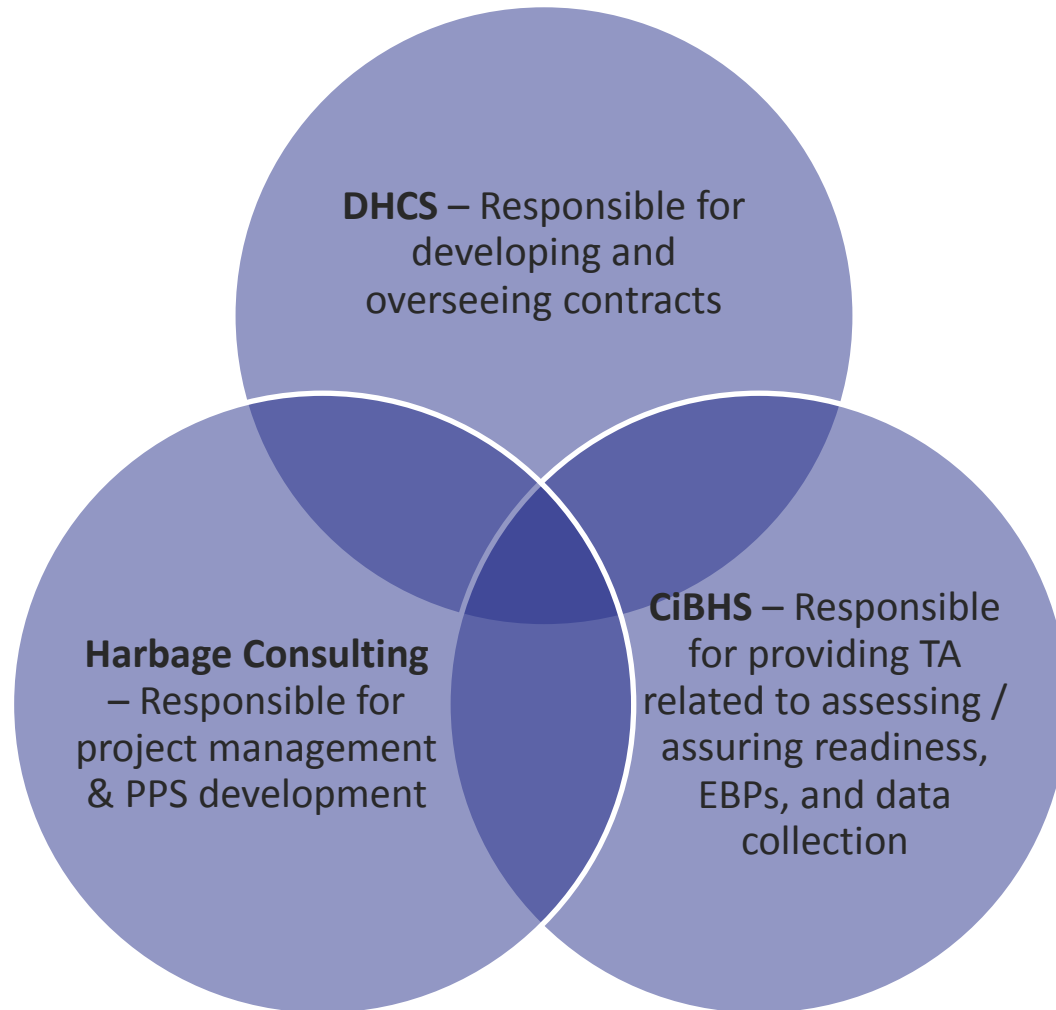


Presentation Overview

- CCBHC Planning Team Roles & Responsibilities
- Background & Landscape
- CCBHC Planning Grant Process
- Stakeholder Engagement
- Next Steps
- Q&A



Roles & Responsibilities





Background & Landscape



Background & Landscape

- **Federal Authority.** An optional state pilot opportunity authorized under the Protecting Access to Medicare Act (PAMA – 2014)
- **Scope.** 2 year / 8 state demonstration
- **Goal.** Creates criteria for CCBHCs as entities designed to serve individuals with SMI / SED and SUD. This includes 24/7 crisis response and peer support services.
- **Target Population.** Populations to be served are adults with SMI, children with SED, and those with long term and serious SUDs.
- **Quality Focus.** Emphasis on evidence-based practices and performance measurement / quality improvement
- **Reimbursement Model.** Tests alternative reimbursement model (prospective payment system - PPS) and provides enhanced federal matching funds



Background & Landscape

- **CCBHC Opportunity.** CCBHCs represent an opportunity for states to improve the behavioral health of their citizens by:
 - Providing community-based BH services
 - Advancing integration of BH and physical health care
 - Assimilating and utilizing evidence-based practices on a more consistent basis
 - Promoting improved access to high quality care



Background & Landscape

- **CCBHC Services.** CCBHCs are required to provide:
 - Crisis mental health services, including 24-mobile crisis teams, emergency crisis intervention services, and crisis stabilization;
 - Screening, assessment, and diagnosis, including risk management;
 - Patient-centered treatment planning;
 - Outpatient mental health and substance use services;
 - Primary care screening and monitoring;
 - Targeted case management;
 - Psychiatric rehabilitation services;
 - Peer support and counselor services and family supports;
 - Services for members of the armed forces and veterans; and,
 - Connections with other providers and systems.



Background & Landscape

- **Application.** States must develop an application to participate in the 2-year demonstration (planning grants were awarded in October 2015)
 - Applications due October 31, 2016
- **Timeline.** Demonstration timeline: January 2017 – December 2018
- **Federal Funding.** Demonstration states to be eligible for federal matching funds equivalent to the standard Children’s Health Insurance Program (CHIP) rate for CCBHC services to Medicaid beneficiaries (or the rate available to newly eligible beneficiaries)



Background & Landscape

- **Planning Grants.** In 2015, the Substance Abuse and Mental Health Services Administration (SAMHSA), in conjunction with the Centers for Medicare & Medicaid Services (CMS), and the Assistant Secretary of Planning and Evaluation (ASPE), awarded \$22.9 million in planning grants.
- **California Awarded Reduced Grant in 2015.** California is one of twenty-four (24) states to receive a planning grant (less than half of requested amount).
- **Additional Planning Funds.** On April 11, 2016, Governor Brown signed Assembly Bill 847 which provides DHCS with the additional funding to begin this process.



Background & Landscape

CCBHC Planning & Implementation Phases.

Phase I – Planning Phase	Phase II – Implementation Phase
<p>States awarded planning grants to complete the following:</p> <ol style="list-style-type: none">1. Certify at least two CCBHCs (one rural, one urban)2. Establish a PPS for Medicaid reimbursable BH services3. Prepare a planning grant application to participate in a two-year CCBHC demonstration program	<p>Up to 8 states that submitted a planning grant application will be selected to participate.</p> <p>Involves the implementation of CCBHCs over a two-year period.</p> <p>Enhanced federal matching funds will be available to CCBHCs for two-years.</p>



CCBHC Planning Grant Process



Required Activities for Planning Grant Process

1. **Stakeholder Engagement.** Engage stakeholders and solicit input
2. **Site Certification.** Certify (at least two) community behavioral health clinics, including the establishment of compliant procedures and infrastructure
3. **Rate-Setting.** Establish a PPS for BH services furnished by a CCBHC
4. **Capacity.** Establish the capacity to provide BH services that meet CCBHC criteria
5. **Data.** Develop/enhance data collection and reporting capacity
6. **Evaluation.** Prepare for participation in the demonstration evaluation
7. **Demonstration Application.** Submit an application to participate in the demonstration program



1. Stakeholder Engagement

- **Structure.** Develop a stakeholder engagement outlet, or use an existing committee, council, or process composed of relevant state agencies, providers, service recipients, and other key stakeholders to guide and provide input throughout the grant period
- **Outreach.** Conduct outreach, recruitment, and engagement of the population of focus including adults with serious mental illness and children with serious emotional disturbances and their families, and those with long term and serious substance use disorders, as well as others with mental illness and substance use disorders in the solicitation of input
- **Coordination with Other Systems.** Coordinate activities with other local, state, and federal agencies and tribes to ensure that services are accessible and available



2. Certification

- **Application Process.** Create and finalize application processes and review procedures for county demonstration sites to be certified as CCBHCs.
- **Certify Sites.** Certify at least (2) county demonstration sites that represent diverse geographic areas, including rural and underserved areas.
- **Technical Assistance.** Assist county demonstration sites in meeting certification standards by facilitating access to training and technical assistance on relevant topics.
- **Cultural Competence.** Assist county demonstration sites with improving the cultural diversity and competence of their workforces.
- **Workforce Recruitment & Training.** Recruit and train the workforce necessary to provide high quality services through county demonstration sites.
- **Client & Family Engagement.** Verify that county demonstration sites have meaningful input by clients, persons in recovery, and family members.



2. Certification Cont.

- **Rigorous Standards.** CCBHC certification standards are rigorous and comprehensive.
- **Readiness Assessment.** The National Council for Behavioral Health has created a CCBHC Certification Readiness Assessment.
- **Certification Expectation.** By October 31, 2016 county demonstration sites must meet or demonstrate readiness to comply with all program requirements, and be certified as a CCBHC.



3. Rate-Setting

- **Implement PPS Methodology.** Implement either a Certified Clinic (CC) or Alternative CC PPS rate-setting methodology for payment made via fee-for-service or through managed care systems.
- **Identify / Analyze Data.** Determine the clinic-specific PPS rate by identifying all allowable costs and visit data necessary to support the delivery of CCBHC services covered by the state specified in statute.
- **Develop Rates.** Develop actuarially sound rates for payments made through managed care systems.
- **Cost Reporting.** Prepare to collect CCBHC cost reports with supporting data, as specified in the PPS guidance, no later than 9 months after the end of each demonstration year.
- **Billing Procedures.** Design and implement billing procedures to support the collection of data necessary to help determine PPS and evaluate the overall demonstration.



4. Establish Capacity to Provide CCBHC Services

- **Six (6) CCBHC Program Requirements:**
 1. Staffing
 2. Availability & Accessibility of Services
 3. Care Coordination
 4. Scope of Services
 5. Quality & Other Reporting
 6. Organizational Authority, Governance, and Accreditation
- **Deadline.** By October 31, 2016 county demonstration sites must meet or demonstrate readiness to comply with all program requirements, and be certified as a CCBHC.



5. Develop / Enhance Data Collection & Reporting Capacity

- **Data Collection / Reporting Capacity.** Develop / enhance data collection and reporting capacity and provide information in support of meeting PPS requirements, quality reporting requirements, and demonstration evaluation reporting requirements.
- **Data Collection Systems.** Design / modify and implement data collection systems—including registries or electronic health record functionality that report on access, quality, and scope of services using various types of data, including, CCBHC administrative data and personnel records, claims, encounter data, patient records, and patient experience of care data.



5. Develop / Enhance Data Collection & Reporting Capacity Cont.

- **Cost Reporting System.** Design / modify and implement data collection systems that report on the costs and reimbursement of providing behavioral health services.
- **Federally-Compliant Cost Report Format.** Use a cost report format that is developed to conform to CMS guidance, collect cost reports from CCBHCs.
- **Continuous Quality Improvement.** Assist CCBHCs with preparing to use data to inform and support continuous quality improvement processes within CCBHCs, including fidelity to evidence-based practices, and person-centered, and recovery-oriented care during the demonstration



6. Prepare for Participation in the Demonstration Evaluation

- **National Evaluation.** Grantees must participate in the national evaluation lead by HHS. Participation activities include:
 - **Work with the National Evaluation Team.** Collaborate with the national evaluation planning team and provide input on the evaluation design, data sources, and performance measures.
 - **Develop Comparison Group.** Work with HHS and the evaluation planning team to construct a comparison group for an assessment of access, quality, and scope of services available to Medicaid enrollees served by CCBHCs vs. those not served by CCBHCs.
 - **Report Outcomes.** Prepare requests for an Institutional Review Board's approval to collect and report on process and outcome data (as necessary)



7. Submit Application

- **Application Requirements.** The demonstration application is due to SAMHSA on October 31, 2016, and must include:
 - **Target Population.** Description of the target Medicaid population to be served by CCBHCs
 - **Participating Pilot Sites.** A list of participating counties / CCBHCs
 - **Verification of Certification.** Verification that the state has certified a participating entity as a CCBHC
 - **Scope of Services.** Description of the scope of BH services available under the state Medicaid program that will be paid for under the PPS tested in the demonstration
 - **Payment Structure.** Verification that the state has agreed to pay for services funded under the demonstration program at the PPS established rate



Stakeholder Engagement



CCBHC Advisory Group

- **Advisory Group.** A CCBHC Advisory Group will be established and coordinated through DHCS.
- **Diverse Perspectives.** Membership will be comprised of stakeholders representing diverse constituencies and perspectives (e.g. clients and family members, counties, providers, other system partners).
- **Critical Role.** The CCBHC Advisory Group will meet on a regular basis to inform and receive updates on the planning process.



Stakeholder Engagement Opportunities

- **Planning Updates.** Updates on the planning process will be provided through the DHCS Behavioral Health Forum.
- **CCBHC Comments Email.** Stakeholders can send questions and comments in regard to the planning process to info@calccbhcdemo.org
- **Public Comment on Demonstration Application.** DHCS will solicit public comment on the draft application before submission.



Next Steps



California's Planning Grant Timeline

Activity	Timeframe
Identify potential CCBHC counties via an "Expression of Interest" process	June 2016
Establish a CCBHC Advisory Group	June 2016
Provide targeted TA to potential CCBHC counties to ensure readiness and compliance with CCBHC certification criteria	June – September 2016
Prepare PPS rates	July – September 2016
Ensure data readiness and reporting capacity	July – September 2016
Solicit stakeholder feedback on the CCBHC application	October 2016
Submit CCBHC application	October 31, 2016



Next Steps for Stakeholders

- If you would like to be considered CCBHC Advisory Group participation, please email us at info@calccbhdemo.org
- Providers interested in participating in the CCBHC demonstration should contact their local MHP



Questions?



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