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8  
 9 IN THE UNITED STATES DISTRICT COURT  
 10 FOR THE CENTRAL DISTRICT OF CALIFORNIA  
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 12

13 **EMILY Q., et al.,**

14 Plaintiffs,

15 v.

16 **DIANA BONTA, et al.,**

17 Defendant.  
 18

CV 98-4181 AHM (AJWx)

**CDMH'S MARCH 2010 COUNTY  
 MENTAL HEALTH PLAN  
 PROGRESS REPORT**

[No Hearing Required]

Courtroom: 14  
 Judge: The Honorable A. Howard  
 Matz

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 22 The California Department of Mental Health (CDMH) submits the attached  
 23 March 2010 County Mental Health Plan Progress Report.  
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Dated: March 25, 2010

Respectfully submitted,  
EDMUND G. BROWN JR.  
Attorney General of California  
PAUL REYNAGA  
Supervising Deputy Attorney General

/s/

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**Therapeutic Behavioral Services  
County Mental Health Plan  
2009 Progress Report  
(March 2010)**

Purpose: The goal of the Therapeutic Behavioral Services (TBS) Accountability Structure is to identify and develop a statewide practice and performance improvement structure. This structure includes the evaluation of claims data, a local TBS meeting process, and the development and submission of 2009 County Mental Health Plan (MHP) summary reports received. This accountability structure is designed to help the State and MHPs effectively ensure that TBS is accessible, effective, and sustained for the Emily Q class members as outlined in the Court-approved TBS Plan.

This report will be used by DMH and the Emily Q Settlement Team to identify successes and address challenges experienced by MHPs during their implementation of the Nine Point Plan. DMH has also proposed a summary of next steps which are attached at the end of this report. The scoring criteria for this progress report are also included.

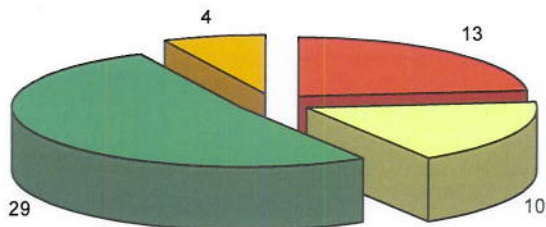
Special Note regarding Red scores: During the 2009 implementation efforts, DMH identified MHPs that had not communicated their progress toward the criteria set forth for success in the Nine Point Plan. DMH made specific outreach efforts to MHP directors and TBS coordinators on October 3, October 22, and between December 6-9, 2009. Statewide, 29 counties are on track and making strong progress on implementation; 4 counties are making strong progress on implementation, however, TBS utilization remains at 0%; 10 counties need improvement on implementation; and 13 counties may need further DMH technical assistance to achieve successful implementation.

For more information, please visit the State DMH TBS website at [http://www.dmh.ca.gov/Services\\_and\\_Programs/Children\\_and\\_Youth/EPSTDT.asp](http://www.dmh.ca.gov/Services_and_Programs/Children_and_Youth/EPSTDT.asp) or contact [TBS@dmh.ca.gov](mailto:TBS@dmh.ca.gov) or Sean Tracy, Assistant Deputy Director, Community Services Division at (916) 651-1281.

**Nine Point Plan Implementation Scores**

|   |
|---|
| 0-7, red: MHP may need further DMH technical assistance to achieve successful implementation of the Nine Point Plan |
| 8-12, yellow: Implementation of Nine Point Plan needs improvement   |
| MHP on track and making strong progress on implementation, however TBS utilization remains at 0%                    |
| 13-20, green: On track and making strong progress on implementation of the Plan                                     |

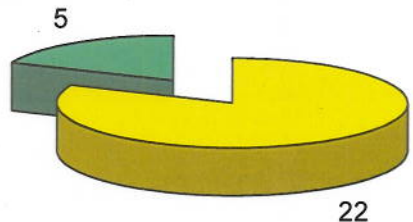
**2009 Implementation Summary**  
56 MHPs Total (All MHPs)



**Level II Benchmark Criteria**

|                                    |
|------------------------------------|
| Less than 4% TBS utilization       |
| 4% TBS utilization rate or greater |

**2009 Benchmark Summary**  
27 MHPs Total (Level II MHPs)





Therapeutic Behavioral Services  
County Mental Health Plan  
Progress Report Scoring Criteria

| Category   | Criteria (Each category has a possible total of 5 points)   |
|--|---|
| <a href="#">Completion of DMH Info Notice 09-10 Form</a> | <p><b>1 point:</b> MHP indicates they have read and evaluated the Nine Point Plan.</p> <p><b>1 point:</b> MHP provides a management level point of contact for TBS efforts.</p> <p><b>1 point:</b> MHP lists date(s) for stakeholder meeting(s).</p> <p><b>1 point:</b> MHP lists date(s) for decision-maker meeting(s).</p> <p><b>1 point:</b> MHP submitted form prior to October 2009.</p> <p>(Note: DMH Info Notice 09-10 called for completion by August 2009)</p>   |
| <b>Stakeholder Meeting</b>                               | <p><b>1 point:</b> MHP submitted list of attendees.</p> <p><b>3 points:</b> Attendees included representatives of the following 8 groups (per Nine Point Plan):</p> <ul style="list-style-type: none"> <li>- Public agency staff and others providing mental health or related services.</li> <li>- Contract mental health agency staff including all TBS providers.</li> <li>- Education providers.</li> <li>- Parents and youth.</li> <li>- Group home providers and foster parents, or child welfare representatives.</li> <li>- Officers of the Court involved in juvenile matters.</li> <li>- Attorneys practicing in delinquency and dependency court.</li> <li>- Members of the faith community and other volunteer organizations.</li> </ul> <p><b>1 point:</b> The appropriate number of meetings (Level I vs. Level II requirements) were held in 2009.</p> |
| <b>Decision-Maker Meeting</b>                            | <p><b>1 point:</b> MHP submitted list of attendees.</p> <p><b>2 points:</b> Attendees included representatives of the following 6 groups (per Nine Point Plan):</p> <ul style="list-style-type: none"> <li>- Child Welfare Services Director.</li> <li>- Chief Probation Officer or Deputy Chief of Juvenile Probation.</li> <li>- Presiding Judge of the Juvenile Court.</li> <li>- County Office of Education Special Education Director.</li> <li>- Parent/Child Advocate Representatives.</li> <li>- Local TBS Provider Representatives.</li> </ul> <p><b>1 point:</b> The majority of attendees were from the director/executive level as indicated in the list above.</p> <p><b>1 point:</b> The appropriate number of meetings (Level I vs. Level II requirements) were held in 2009.</p>  |

|  |   |
|--|---|
| <p><u>Quality of Local Meeting Reports</u></p>   | <p>Note: To receive points in this category, the criteria must be met in all reports submitted (stakeholder and decision-maker).</p> <p><b>1 point:</b> Thoughtfully answered question 1, Are the children and youth in the county who are Emily Q class members and who would benefit from TBS, getting TBS?</p> <p><b>1 point:</b> Thoughtfully answered question 2, Are the children and youth who get TBS experiencing the intended benefits?</p> <p><b>1 point:</b> Thoughtfully answered question 3, What alternatives to TBS are being provided in the county?</p> <p><b>1 point:</b> Thoughtfully answered question 4, What can be done to improve the use of TBS and/or alternative behavioral support services in the county?</p> <p><b>1 point:</b> Supporting/additional helpful materials were provided voluntarily.</p> |
| <p><b>Separate Benchmark Criteria</b></p>        |   |
| <p><u>4% Benchmark</u></p>                       | <p><b>Green:</b> MHP meets the 4% benchmark criteria as outlined in the Court-ordered Exit Strategy; or</p> <p><b>Gold:</b> MHP does not meet 4% benchmark.</p> <p><u>Note: To find out how many additional children will need TBS in order to meet your 4% benchmark, please refer to your data dashboard by clicking on "4% benchmark" to the left.</u></p> <p><i>*Source: 4% benchmark progress for Calendar Year 08 as noted in the Track 1 data dashboards.</i></p>  |
| <p><b>Suggestions for Improvement</b></p>        |   |
| <p>Suggestions to improve TBS implementation</p> | <p><u>This component is not scored. Recommendations for improvement are based on requirements of the Nine Point Plan. To read the Nine Point Plan, please visit the DMH TBS website at <a href="http://www.dmh.ca.gov/Services_and_Programs/Children_and_Youth/docs/EPSDT.do">http://www.dmh.ca.gov/Services and Programs/Children and Youth/docs/EPSDT do</a></u></p> <p><u>This segment also includes examples of noteworthy efforts each county is undertaking to ensure the successful implementation of the Nine Point Plan.</u></p>   |

| MHP                     | Completion of DMH Info Notice 09-10 Form | Stakeholder Meeting | Decision-Maker Meeting | Quality of Local Meeting Reports | Total Score | 4% Benchmark** |
|-------------------------|--|---------------------|------------------------|----------------------------------|-------------|----------------|
| <b>10 Level II MHPs</b> |  |                     |                        |                                  |             |                |

|  |   |   |   |   |    |       |
|--|---|---|---|---|----|-------|
| <b>Alameda*</b>  | 5 | 2 | 3 | 5 | 15 | 1.73% |
| <p>To improve TBS Nine Point Plan implementation, include representatives from more groups (see attached scoring criteria). Also, continue to work to increase TBS utilization as measured by the 4% benchmark. Successes: Alameda appears to have successfully built upon earlier TBS meeting discussions to ensure local conversations become more productive and focused, and coordination of care appears to be increasing as a result. Alameda discussed improving discharge planning by incorporating TBS outreach; and they are interested in improving outreach to partner agencies by communicating the value of TBS with their specific populations.</p> |   |   |   |   |    |       |

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|--|---|---|---|---|----|-------|
| <b>Butte*</b>  | 5 | 2 | 5 | 5 | 17 | 1.41% |
| <p>To improve TBS Nine Point Plan implementation, include representatives from more groups (see attached scoring criteria). Also, continue to work to increase TBS utilization as measured by the 4% benchmark. Successes: Promising areas of collaboration have been identified between mental health and probation, including use of an assessment tracking tool and creating a matrix of mental health services available to a specific population.</p> |   |   |   |   |    |       |

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|---|---|---|---|---|----|-------|
| <b>Kern*</b>  | 5 | 5 | 3 | 4 | 17 | 0.38% |
| <p>To improve TBS Nine Point Plan implementation, include representatives from more groups (see attached scoring criteria). Also, continue to work to increase TBS utilization as measured by the 4% benchmark. Successes: Kern has identified many opportunities for action to be taken to improve access and utilization of TBS, including possible flag notification in claiming system software. Also, Kern plans to ensure that hospitals are distributing the TBS brochure to parents of eligible children. Kern also ensures that TBS data is incorporated into local conversations.</p> |   |   |   |   |    |       |

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| <b>Los Angeles*</b>   | 4 | 3 | 3 | 2 | 12 | 1.76% |
| <p>To improve TBS Nine Point Plan implementation, submit a stakeholder meeting report. The decision-maker meeting report included mental health staff, but should include other service agencies as well. Increase TBS utilization as measured by the 4% benchmark. Successes: Los Angeles appears to be successfully dispelling past misconceptions regarding TBS during their stakeholder meetings and quarterly TBS provider meetings.</p> |   |   |   |   |    |       |

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|--|---|---|---|---|---|-------|
| <b>Monterey*</b>   | 0 | 3 | 3 | 3 | 9 | 0.91% |
| <p>Monterey held stakeholder and decision-maker meetings in 2009 as required in the Nine Point Plan. (Note: CDMH received a report from Monterey in January 2010 and hosted two conference calls to discuss MHP plans. One call involved the Monterey MHP Director, management/staff responsible for TBS, the Special Master and DMH management and staff. The second call was focused on training needs for Monterey and included CDMH contractors CiMH and APS Healthcare. Monterey County has committed to going forward in 2010 as prescribed in the Nine Point Plan).</p> |   |   |   |   |   |       |

\*MHP must meet Level II requirements per the Nine Point Plan (4 meetings per year). The remaining Level II MHPs are required to hold 2 meetings per year.

\*\*Only Level II MHPs are held to the 4% benchmark criteria. Data based on approved CY08 claims.

| MHP | Completion of DMH Info Notice 09-10 Form | Stakeholder Meeting | Decision-Maker Meeting | Quality of Local Meeting Reports | Total Score | 4% Benchmark** |
|-----|--|---------------------|------------------------|----------------------------------|-------------|----------------|
|-----|--|---------------------|------------------------|----------------------------------|-------------|----------------|

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|------------------------|---|---|---|---|----|-------|
| <b>San Bernardino*</b> | 5 | 2 | 3 | 5 | 15 | 0.95% |
|------------------------|---|---|---|---|----|-------|

To improve TBS Nine Point Plan implementation, include representatives from more groups (see attached scoring criteria) and increase TBS capacity. Successes: San Bernardino is ensuring that the data dashboard is incorporated into the local TBS meetings. San Bernardino identified many opportunities for collaboration between Behavioral Health and Child and Family Services, for example, improving the referral process, implementing TBS screening with the Forensic Adolescent Services Team, and addressing issues related to children being placed out-of-county. They are also revising their TBS manual, and possibly creating a TBS referral help sheet for social workers.

|                   |   |   |   |   |    |       |
|-------------------|---|---|---|---|----|-------|
| <b>San Diego*</b> | 5 | 5 | 3 | 5 | 18 | 1.44% |
|-------------------|---|---|---|---|----|-------|

To improve TBS Nine Point Plan implementation, include representatives from more groups (see attached scoring criteria) and increase TBS utilization as measured by the 4% benchmark. Successes: San Diego has a successful model for including parents and family members. They have convened multiple focus groups to obtain parent/family member input regarding TBS. They have identified key recommendations for engaging youth/family in TBS programs. For instance, put emphasis on youth - TBS coach match and using technology to provide outreach. San Diego TBS staff have conducted 45 presentations reaching over 600 consumers, stakeholders, and agency partners. San Diego has also thought creatively about ways to increase referrals such as incentives to referring parties, ice cream socials, and distributing TBS brochures in foster homes.

|                     |   |   |   |   |   |       |
|---------------------|---|---|---|---|---|-------|
| <b>San Joaquin*</b> | 5 | 0 | 0 | 0 | 5 | 1.17% |
|---------------------|---|---|---|---|---|-------|

To improve TBS Nine Point Plan implementation, submit local TBS meeting reports and increase TBS utilization as measured by the 4% benchmark.

(Note: CDMH hosted a call with the San Joaquin MHP TBS & Childrens Services Manager in January 2010 to discuss MHP plans, updates and outreach efforts. San Joaquin County committed to submitting their 2009 reports and going forward in 2010 as prescribed in the Nine Point Plan, however their reports have not been received by DMH).

|                |   |   |   |   |    |       |
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| <b>Sonoma*</b> | 5 | 2 | 2 | 4 | 13 | 1.14% |
|----------------|---|---|---|---|----|-------|

To improve TBS Nine Point Plan implementation, include representatives from more groups (see attached scoring criteria) and increase TBS utilization as measured by the 4% benchmark and include higher level representatives in decision-maker meetings. Successes: Sonoma has identified opportunities to increase coordination with Education. In addition, they have integrated lessons learned from their EPSDT performance improvement project regarding the use of TBS as a strategy for reducing repeat psychiatric hospitalizations. The Sonoma MHP Director and County Counsel became formal members of the Emily Q Settlement Team in January 2010 and represent the California Mental Health Directors Association.

\*MHP must meet Level II requirements per the Nine Point Plan (4 meetings per year). The remaining Level II MHPs are required to hold 2 meetings per year.

\*\*Only Level II MHPs are held to the 4% benchmark criteria. Data based on approved CY08 claims.

| MHP | Completion of DMH Info Notice 09-10 Form | Stakeholder Meeting | Decision-Maker Meeting | Quality of Local Meeting Reports | Total Score | 4% Benchmark** |
|-----|--|---------------------|------------------------|----------------------------------|-------------|----------------|
|-----|--|---------------------|------------------------|----------------------------------|-------------|----------------|

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|---------|---|---|---|---|----|-------|
| Tulare* | 5 | 2 | 2 | 4 | 13 | 0.29% |
|---------|---|---|---|---|----|-------|

To improve TBS Nine Point Plan implementation, continue to work toward increasing TBS utilization as measured by the 4% benchmark. Successes: Tulare has thoroughly identified barriers to eligible children receiving TBS. Solutions that they are exploring include training parents/caregivers, improving coordination of care, implementing a TBS assessment tool, and advocating that TBS be provided to children sooner rather than as a last resort. Tulare is also discussing administrative issues such as funding, staffing, and updating forms, policies, and procedures.

\*MHP must meet Level II requirements per the Nine Point Plan (4 meetings per year). The remaining Level II MHPs are required to hold 2 meetings per year.

\*\*Only Level II MHPs are held to the 4% benchmark criteria. Data based on approved CY08 claims.



## **Remaining Level II MHPs**

| MHP                            | Completion of DMH Info Notice 09-10 Form | Stakeholder Meeting | Decision-Maker Meeting | Quality of Local Meeting Reports | Total Score | 4% Benchmark** |
|--------------------------------|--|---------------------|------------------------|----------------------------------|-------------|----------------|
| <b>Remaining Level II MHPs</b> |  |                     |                        |                                  |             |                |

**Note: The following 17 medium and large MHPs are only responsible for meeting Level I requirements, however, they are still required to meet the 4% benchmark.**

| Contra Costa  | 5 | 2 | 2 | 5 | 14 | 5.44% |
|---|---|---|---|---|----|-------|
| To improve TBS Nine Point Plan implementation, submit decision-maker meeting report and attendance when completed. Successes: Contra Costa has incorporated additional county data to inform stakeholders of TBS access, utilization, and outcomes. Contra Costa also offers monthly TBS trainings. Stakeholders identified areas to improve TBS including making the referral system more user-friendly for parents and increasing school involvement. |   |   |   |   |    |       |

| Fresno   | 5 | 2 | 5 | 4 | 16 | 1.47% |
|--|---|---|---|---|----|-------|
| To improve TBS Nine Point Plan implementation, include representatives from more groups at stakeholder meeting; and make attendee organizations on sign-in sheet more readable. Successes: Fresno has examined the referral process, looking for areas for improvement. Coordination of care discussions included exploring partner agencies' referral rates, and increasing outreach to these agencies. Also discussed the need for outreach to staff working in rural areas of the county. |   |   |   |   |    |       |

| Marin   | 5 | 2 | 2 | 5 | 14 | 2.08% |
|---|---|---|---|---|----|-------|
| To improve TBS Nine Point Plan implementation, include representatives from more groups in local TBS stakeholder and decision-maker meetings (see attached scoring criteria); and increase TBS utilization as measured by the 4% benchmark. Explore possible barriers to access beyond eligibility requirements. Successes: Increased communication with Juvenile Justice and Education, who feel that TBS is under-utilized in the populations they serve. |   |   |   |   |    |       |

| Merced  | 5 | 1 | 1 | 0 | 7 | 0.31% |
|---|---|---|---|---|---|-------|
| To improve TBS Nine Point Plan implementation, submit stakeholder and decision-maker meeting report (template located on DMH TBS website) along with lists of attendees; address the Four Questions in local meeting reports; and increase TBS utilization as measured by the 4% benchmark. Successes: Merced indicated that outcomes of their stakeholder and decision-maker meetings include assigning onsite clinicians to Child Welfare to assist with screening and assessment, establish a weekly providers committee aimed at increasing access to Specialty Mental Health Services for high-risk youth, and collect and monitor data on referrals. Merced is also utilizing technology to improve access and utilization by placing TBS forms on the Child Welfare server and instituting automatic TBS referrals for youth placed in crisis stabilization units and psychiatric hospitals. |   |   |   |   |   |       |

| Orange  | 5 | 1 | 1 | 4 | 11 | 2.47% |
|---|---|---|---|---|----|-------|
| To improve TBS Nine Point Plan implementation, submit stakeholder meeting report; submit lists of attendees; and increase TBS utilization as measured by the 4% benchmark. Successes: Orange addressed issues such as TBS access for children placed out of county, improvements in transitions for children leaving juvenile hall, increasing outreach to beneficiaries, and demonstrated efforts for improving cultural competence initiatives. |   |   |   |   |    |       |

\*MHP must meet Level II requirements per the Nine Point Plan (4 meetings per year). The remaining Level II MHPs are required to hold 2 meetings per year.

\*\*Only Level II MHPs are held to the 4% benchmark criteria. Data based on approved CY08 claims.

| MHP   | Completion of DMH Info Notice 09-10 Form | Stakeholder Meeting | Decision-Maker Meeting | Quality of Local Meeting Reports | Total Score | 4% Benchmark** |
|---|--|---------------------|------------------------|----------------------------------|-------------|----------------|
| <b>Placer/Sierra</b>  | 5  | 1                   | 5                      | 4                                | 15          | 0.78%          |
| To improve TBS Nine Point Plan implementation, submit list of attendees for stakeholder meeting (see lists of required representatives in attached scoring criteria); and increase TBS utilization as measured by the 4% benchmark. Successes: Placer/Sierra has identified a need to increase outreach to parents and family members; planned efforts to increase outreach include informing parents of TBS services at more points throughout the system of care. The county is also exploring bringing TBS information to the Placer SMART Policy Board, a coordination of care forum.   |  |                     |                        |                                  |             |                |
| <b>Riverside</b>  | 5  | 2                   | 3                      | 1                                | 11          | 2.13%          |
| To improve TBS Nine Point Plan implementation, address the four questions in local TBS meeting reports (report template can be found on the DMH TBS website); include representatives from more groups (see attached scoring criteria); and increase TBS utilization as measured by the 4% benchmark. Successes: Riverside included a thorough presentation covering Emily Q. background, current TBS information, and relevant county data.  |  |                     |                        |                                  |             |                |
| <b>Sacramento</b>   | 5  | 2                   | 3                      | 4                                | 14          | 2.63%          |
| To improve TBS Nine Point Plan implementation, submit local TBS meeting reports addressing all four questions (separate report for stakeholder and decision-maker meetings; template available on DMH TBS website); and increase TBS utilization as measured by the 4% benchmark. Successes: Sacramento has incorporated TBS state and county data in the local TBS conversations; county outcome data indicates that over 75% of children met their TBS treatment goals and completed expected outcomes. Sacramento prepared a presentation including a profile of county TBS youth, placement changes, and reasons for discharge. |  |                     |                        |                                  |             |                |
| <b>San Francisco</b>  | 5  | 3                   | 0                      | 3                                | 11          | 2.64%          |
| To improve TBS Nine Point Plan implementation, increase TBS utilization as measured by the 4% benchmark. The Decision-Maker meeting was postponed until 2010, thus lowering San Francisco's score.  |  |                     |                        |                                  |             |                |
| <b>San Luis Obispo</b>  | 5  | 2                   | 5                      | 4                                | 16          | 5.50%          |
| To improve TBS Nine Point Plan implementation, include more representation in the stakeholder meeting (see attached scoring criteria). Successes: San Luis Obispo utilized TBS data to inform their discussions. Although TBS access and utilization is recognized by participants as high, they still identified areas for improvement. Solutions included training probation officers, targeting the 18-21 year-old eligibles, increase engagement of family partners in treatment planning, streamlining paperwork, and increasing outreach to school personnel and physicians.  |  |                     |                        |                                  |             |                |

\*MHP must meet Level II requirements per the Nine Point Plan (4 meetings per year). The remaining Level II MHPs are required to hold 2 meetings per year.

\*\*Only Level II MHPs are held to the 4% benchmark criteria. Data based on approved CY08 claims.

| MHP | Completion of DMH Info Notice 09-10 Form | Stakeholder Meeting | Decision-Maker Meeting | Quality of Local Meeting Reports | Total Score | 4% Benchmark** |
|-----|--|---------------------|------------------------|----------------------------------|-------------|----------------|
|-----|--|---------------------|------------------------|----------------------------------|-------------|----------------|

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|------------------|---|---|---|---|----|-------|
| <b>San Mateo</b> | 5 | 3 | 3 | 4 | 15 | 3.28% |
|------------------|---|---|---|---|----|-------|

To improve TBS Nine Point Plan implementation, increase TBS utilization as measured by the 4% benchmark. Successes: San Mateo discussed the TBS data dashboard. They discussed increasing outreach to transition age youth, Health Plan of San Mateo, and schools. San Mateo mental health can increase outreach to probation through the Probation Department Resource Review Board meetings. They are exploring ways to identify eligible youth sooner to reduce the number of hospitalizations.

|                      |   |   |   |   |    |       |
|----------------------|---|---|---|---|----|-------|
| <b>Santa Barbara</b> | 5 | 2 | 2 | 4 | 13 | 5.71% |
|----------------------|---|---|---|---|----|-------|

To improve TBS Nine Point Plan implementation, submit list of attendees for stakeholder meeting and include more representatives at the decision-maker meeting (see lists of required representatives in attached scoring criteria). Successes: clinics are beginning to allow referring sources other than mental health, such as probation and child welfare. Parents appreciate the cultural sensitivity, Santa Barbara continues to use the cultural sensitivity training program offered by the TBS Provider group. Continuing efforts to increase outreach to parents/families/clients. Eligibility criteria and the referral process were clarified for partner agencies.

|                    |   |   |   |   |    |       |
|--------------------|---|---|---|---|----|-------|
| <b>Santa Clara</b> | 5 | 2 | 1 | 5 | 13 | 5.32% |
|--------------------|---|---|---|---|----|-------|

To improve TBS Nine Point Plan implementation, submit decision-maker meeting report when completed. Successes: Santa Clara has identified potential areas for improvement, including increased outreach to schools, increased cultural competency, need for systematic/uniform method for screening TBS eligible youth, and a need to improve communication with probation, legal advocates, judges and school psychologists. Santa Clara used the TBS data dashboard to inform the discussion, and recognized a need for the county to track standardized data to measure client success.

|                   |   |   |   |   |    |       |
|-------------------|---|---|---|---|----|-------|
| <b>Santa Cruz</b> | 5 | 2 | 2 | 4 | 13 | 0.42% |
|-------------------|---|---|---|---|----|-------|

To improve TBS Nine Point Plan implementation, submit all lists of attendees (see attached scoring criteria); expand discussions around the four questions to identify potential areas for improvement; and increase TBS utilization as measured by the 4% benchmark. Successes: decision-makers identified potential solutions such as discussing TBS in probation twice-weekly placement meetings and increasing outreach to special education.

|               |   |   |   |   |    |       |
|---------------|---|---|---|---|----|-------|
| <b>Solano</b> | 5 | 5 | 1 | 5 | 16 | 1.53% |
|---------------|---|---|---|---|----|-------|

To improve TBS Nine Point Plan implementation, submit decision-maker meeting report when completed; and increase TBS utilization as measured by the 4% benchmark. Successes: Solano also submitted outreach fliers and a consumer-friendly presentation to stakeholders. They also used county TBS data to inform the discussion. They identified solutions to improve access and utilization of TBS, including working with Child Welfare and Probation to see TBS as a means of preventing higher/multiple placements, increase outreach to Public Health, provide training to mental health staff and providers, and ensure distribution of updated TBS materials.

\*MHP must meet Level II requirements per the Nine Point Plan (4 meetings per year). The remaining Level II MHPs are required to hold 2 meetings per year.

\*\*Only Level II MHPs are held to the 4% benchmark criteria. Data based on approved CY08 claims.

| MHP | Completion of DMH Info Notice 09-10 Form | Stakeholder Meeting | Decision-Maker Meeting | Quality of Local Meeting Reports | Total Score | 4% Benchmark** |
|-----|--|---------------------|------------------------|----------------------------------|-------------|----------------|
|-----|--|---------------------|------------------------|----------------------------------|-------------|----------------|

|                   |   |   |   |   |    |       |
|-------------------|---|---|---|---|----|-------|
| <b>Stanislaus</b> | 5 | 1 | 2 | 4 | 12 | 1.60% |
|-------------------|---|---|---|---|----|-------|

To improve TBS Nine Point Plan implementation, submit stakeholder meeting report and increase TBS utilization as measured by the 4% benchmark. Successes: Stanislaus has identified probationary minors as a target population that is most underserved by the TBS program. Staff have engaged in multiple training and outreach sessions with probation, and they have since seen increases in TBS referrals.

|                |   |   |   |   |    |       |
|----------------|---|---|---|---|----|-------|
| <b>Ventura</b> | 5 | 2 | 1 | 4 | 12 | 7.48% |
|----------------|---|---|---|---|----|-------|

To improve TBS Nine Point Plan implementation, submit additional meeting report (there should be separate reports addressing the four questions for the stakeholder and decision-maker meetings). Successes: Ventura incorporated the TBS data dashboard into their discussion. They also identified areas for improving access and utilization of TBS, including increased outreach to community based organizations, holding mandatory TBS trainings for providers and clinicians serving children who are currently receiving TBS, and implementing an outcome measure.

\*MHP must meet Level II requirements per the Nine Point Plan (4 meetings per year). The remaining Level II MHPs are required to hold 2 meetings per year.

\*\*Only Level II MHPs are held to the 4% benchmark criteria. Data based on approved CY08 claims.

**Level I MHPs**

| MHP  | Completion of DMH Info Notice 09-10 Form | Stakeholder Meeting | Decision Maker Meeting | Quality of Local Meeting Reports | Total Score | 4% Benchmark** |
|--|--|---------------------|------------------------|----------------------------------|-------------|----------------|
| <b>Level I MHPs</b>  |  |                     |                        |                                  |             |                |
| <b>Alpine</b>  | 5  | 2                   | 2                      | 4                                | 13          | 0%             |
| To improve TBS Nine Point Plan implementation, submit separate reports for stakeholder and decision-maker meetings; and include representatives from more groups (see attached scoring criteria). Successes: Although Alpine has not utilized TBS services in the past, they are making progress toward improving access and utilization of TBS. Strategies include disseminating revised TBS brochures, providing TBS training at staff meetings, discuss TBS issues at multi-agency meetings, and meet periodically with the Hung-A-Lel-Ti Native American Community to inform them about TBS. |  |                     |                        |                                  |             |                |
| <b>Amador</b>  | 5  | 2                   | 2                      | 4                                | 13          | 0%             |
| To improve TBS Nine Point Plan implementation include representatives from more groups in local meetings (see attached scoring criteria). Successes: Amador has successfully identified barriers to TBS including lack of knowledge about what TBS can do, lack of clarity among other agencies regarding eligibility criteria, and culture/language issues. Amador has decided to increase outreach and examine their approach for delivering TBS (in-house TBS provider vs. contracted service).   |  |                     |                        |                                  |             |                |
| <b>Calaveras</b>   | 5  | 2                   | 2                      | 4                                | 13          | 2.15%          |
| To improve TBS Nine Point Plan implementation, include representatives from more groups in local meetings (see attached scoring criteria). Successes: Calaveras has identified potential solutions such as increasing TBS awareness, discussing TBS delivery in isolated areas, increased training for CPS workers, and increasing referrals earlier in the child's life.  |  |                     |                        |                                  |             |                |
| <b>Colusa</b>  | 0  | 0                   | 0                      | 0                                | 0           | 0%             |
| To improve TBS Nine Point Plan implementation, submit Info Notice 09-10 Form and local TBS meeting reports.  |  |                     |                        |                                  |             |                |
| <b>Del Norte</b>   | 5  | 1                   | 1                      | 0                                | 7           | 0.49%          |
| To improve TBS Nine Point Plan implementation, submit local TBS meeting reports.   |  |                     |                        |                                  |             |                |
| <b>El Dorado</b>   | 5  | 1                   | 1                      | 0                                | 7           | 0.36%          |
| To improve TBS Nine Point Plan implementation, submit local TBS meeting reports.   |  |                     |                        |                                  |             |                |

\*\*Only Level II MHPs are held to the 4% benchmark criteria. Data based on approved CY08 claims.

| MHP | Completion of DMH Info Notice 09-10 Form | Stakeholder Meeting | Decision Maker Meeting | Quality of Local Meeting Reports | Total Score | 4% Benchmark** |
|-----|--|---------------------|------------------------|----------------------------------|-------------|----------------|
|-----|--|---------------------|------------------------|----------------------------------|-------------|----------------|

|       |   |   |   |   |    |       |
|-------|---|---|---|---|----|-------|
| Glenn | 5 | 2 | 2 | 4 | 13 | 2.68% |
|-------|---|---|---|---|----|-------|

To improve TBS Nine Point Plan implementation, submit separate reports for stakeholder and decision-maker meetings; and include representatives from more groups (see attached scoring criteria). In regards to question #1, explore whether there are any children in the Emily Q class who are already enrolled in mental health services, who are not receiving TBS (see DMH Information Notice 08-38 for class criteria). Successes: Glenn plans to distribute updated TBS brochures to psychiatric inpatient hospitals and other Medi-Cal access points, provide TBS training at staff meetings, put TBS on the agenda for other monthly meetings, and increase outreach to Glenn's family organization.

|          |   |   |   |   |    |       |
|----------|---|---|---|---|----|-------|
| Humboldt | 5 | 2 | 3 | 4 | 14 | 1.25% |
|----------|---|---|---|---|----|-------|

To improve TBS Nine Point Plan implementation include representatives from more groups in local meetings (see attached scoring criteria). Successes: Humboldt has successfully identified challenges including caregivers' reluctance to participate based on the "at risk for higher placement" verbiage, and the system's difficulty reaching transition age youth for TBS. Potential solutions include standardizing forms, increasing outreach and addressing TBS misinformation, increasing training and support for providers, and exploring tracking outcomes data.

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| Imperial | 5 | 2 | 2 | 4 | 13 | 0.06% |
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To improve TBS Nine Point Plan implementation include representatives from more groups in local meetings (see attached scoring criteria). Successes: Imperial incorporated county data into their local TBS discussions. Potential methods for increasing access and utilization include increasing outreach, clarifying eligibility criteria, and increasing coordination with key agencies. Probation and Social Services serve youth that could benefit from TBS, but they are looking for services outside of ICBHS due to the lengthy authorization process; lack of accurate TBS information and confusion around eligibility were also identified as barriers. Imperial identified solutions such as increasing outreach and collaboration, as well as discussing the TBS admission process.

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|------|---|---|---|---|----|-------|
| Inyo | 5 | 3 | 2 | 4 | 14 | 0.75% |
|------|---|---|---|---|----|-------|

Inyo MHP implemented the TBS Nine Point Plan by submitting separate stakeholder and decision-maker meeting reports, which included more representatives from advocacy groups. Regarding question #1, Inyo explored whether more children could benefit from TBS, since the educational setting has been very successful with TBS. Successes: Inyo plans to update their TBS brochures and distribute, in English and Spanish, to key community locations. Inyo will provide TBS training at staff meetings and they are developing wraparound services in the county.



| MHP | Completion of DMH Info Notice 09-10 Form | Stakeholder Meeting | Decision Maker Meeting | Quality of Local Meeting Reports | Total Score | 4% Benchmark** |
|-----|--|---------------------|------------------------|----------------------------------|-------------|----------------|
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|---|---|---|---|---|----|-------|
| <b>Kings</b>  | 5 | 2 | 1 | 4 | 12 | 0.70% |
| To improve TBS Nine Point Plan implementation, submit stakeholder meeting report and include representatives from more groups (see attached scoring criteria). Successes: regarding question #1, decision-makers noted that there were eligible children not receiving TBS, and Kings is exploring opportunities to identify and address possible barriers to increase access. Kings recognizes that increased outreach can help improve access to TBS. |   |   |   |   |    |       |

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| <b>Lake</b>  | 5 | 3 | 0 | 2 | 10 | 1.04% |
| To improve TBS Nine Point Plan implementation, improve the quality of local meetings and increase utilization of TBS. Lake did not hold a decision-maker meeting in 2009, thus lowering their score. |   |   |   |   |    |       |

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|--|---|---|---|---|----|----|
| <b>Lassen</b>  | 5 | 4 | 4 | 2 | 15 | 0% |
| To improve TBS Nine Point Plan implementation, increase utilization of TBS. TBS issues to address for the county include awareness of the service, benefits to families and training to staff and other service agencies in providing the service. |   |   |   |   |    |    |

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| <b>Madera</b>  | 3 | 0 | 0 | 0 | 3 | 0.29% |
| To improve TBS Nine Point Plan implementation, submit local TBS meeting reports. |   |   |   |   |   |       |

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| <b>Mariposa</b>   | 0 | 0 | 0 | 0 | 0 | 0% |
| To improve TBS Nine Point Plan implementation, submit Info Notice 09-10 Form and local TBS meeting reports. |   |   |   |   |   |    |

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|---|---|---|---|---|----|-------|
| <b>Mendocino</b>  | 5 | 2 | 3 | 4 | 14 | 2.23% |
| To improve TBS Nine Point Plan implementation, include representatives from more groups and submit responses to the four questions from the decision-maker meeting. Successes: Mendocino identified barriers such as service delivery in rural areas, availability of qualified staff, and culture/language issues. Interagency Case Management Team is reviewing all children and youth at risk of placement in RCL 12 and above. Agency integration efforts have resulted in improved access to TBS in the six months prior. Cross-agency meetings are held on a weekly basis to improve coordination and continuity of care. |   |   |   |   |    |       |

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| <b>Modoc</b>   | 5 | 1 | 1 | 0 | 7 | 0% |
| To improve TBS Nine Point Plan implementation, submit local TBS meeting reports. |   |   |   |   |   |    |

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|---|---|---|---|---|---|----|
| <b>Mono</b>   | 5 | 0 | 2 | 0 | 7 | 0% |
| To improve TBS Nine Point Plan implementation, submit stakeholder meeting report and address the four questions in local meeting reports (template located on DMH TBS website). |   |   |   |   |   |    |

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| MHP | Completion of DMH Info Notice 09-10 Form | Stakeholder Meeting | Decision Maker Meeting | Quality of Local Meeting Reports | Total Score | 4% Benchmark** |
|-----|--|---------------------|------------------------|----------------------------------|-------------|----------------|
|-----|--|---------------------|------------------------|----------------------------------|-------------|----------------|

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|--|---|---|---|---|----|-------|
| <b>Napa</b>  | 5 | 2 | 5 | 4 | 16 | 3.13% |
| <p>To improve TBS Nine Point Plan implementation, include representatives from more groups (see attached scoring criteria). Successes: Napa identified a need for increased outreach to inform stakeholders about TBS, as well as a need for increased training and cultural competency. There is also a need to identify mental health issues in the probation population more quickly. Specifically, Napa is exploring increasing TBS awareness at childcare centers and preschools, ways to identify children "at risk of hospitalization," and examining the lengthy 30-day assessment and plan development process. Increasing outreach to Child Welfare regarding successful outcomes, and what TBS does, would help dispel the myth that <i>it's often too late for TBS</i>. The DA representative offered to advocate among probation staff, and the Police Department suggested outreach to the School-Law Meeting.</p> |   |   |   |   |    |       |

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|--|---|---|---|---|----|-------|
| <b>Nevada</b>  | 5 | 1 | 5 | 4 | 15 | 0.55% |
| <p>To improve TBS Nine Point Plan implementation, submit stakeholder meeting report. Successes: question #1, decision-makers noted that there were eligible children not receiving TBS, and Nevada will need to explore opportunities to identify and address possible barriers to increase access. Nevada is working with wraparound providers; they are authorized to deliver TBS in their contracts, so the MHP and these providers are looking to strengthen TBS without an increase in contract amount. In future meetings, examine additional options for improving delivery of TBS.</p> |   |   |   |   |    |       |

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|--|---|---|---|---|---|----|
| <b>Plumas</b>  | 5 | 1 | 1 | 0 | 7 | 0% |
| <p>To improve TBS Nine Point Plan implementation, submit lists of attendees and address the four questions in local TBS meeting reports. It is important to note (4-8-09 meeting) that probation youth may be eligible for TBS (please see DMH Information Notice 08-38 for eligibility criteria). Also, TBS has changed since its initial implementation, so many brochures need to be updated (4-28-09 meeting). Successes: Plumas provided an overview of TBS in two educational presentations in 2009.</p> |   |   |   |   |   |    |

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| <b>San Benito</b>  | 5 | 2 | 2 | 4 | 13 | 1.38% |
| <p>To improve TBS Nine Point Plan implementation, record and submit separate reports to reflect the different conversations in stakeholder and decision-maker meetings; and include participant organizations on list of attendees. Regarding question #1, identify whether there are any Medi-Cal eligible children not currently receiving mental health services who need TBS and other EPSDT mental services (see data dashboard on DMH TBS website for ideas). Successes: San Benito plans to update and disseminate TBS brochures to key community locations. They will also provide TBS training at staff meetings.</p> |   |   |   |   |    |       |

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| MHP   | Completion of DMH Info Notice 09-10 Form | Stakeholder Meeting | Decision Maker Meeting | Quality of Local Meeting Reports | Total Score | 4% Benchmark** |
|---|--|---------------------|------------------------|----------------------------------|-------------|----------------|
| <b>Shasta</b>   | 5  | 3                   | 2                      | 3                                | 13          | 1.03%          |
| To improve TBS Nine Point Plan implementation, increase TBS utilization and education to mental health providers, families and other service providers in Shasta county.  |  |                     |                        |                                  |             |                |
| <b>Siskiyou</b>   | 0  | 0                   | 0                      | 0                                | 0           | 1.79%          |
| To improve TBS Nine Point Plan implementation, submit Info Notice 09-10 Form and local TBS meeting reports.   |  |                     |                        |                                  |             |                |
| <b>Sutter/Yuba</b>  | 5  | 2                   | 3                      | 2                                | 12          | 0.36%          |
| To improve TBS Nine Point Plan implementation, Sutter/Yuba will need to improve TBS capacity and referrals in both counties. Additionally, representatives from more groups should be included at the local TBS meetings. Also, the counties should outreach to children and families that may be included in the Emily Q class.  |  |                     |                        |                                  |             |                |
| <b>Tehama</b>   | 5  | 1                   | 1                      | 0                                | 7           | 0.17%          |
| To improve TBS Nine Point Plan implementation, submit local TBS meeting reports.  |  |                     |                        |                                  |             |                |
| <b>Trinity</b>  | 5  | 2                   | 3                      | 5                                | 15          | 0%             |
| To improve TBS Nine Point Plan implementation, include representatives from more groups at local TBS meetings (see attached scoring criteria). Successes: Trinity is working to be ready to offer TBS services if they find a need in their community. They are exploring contracting with a provider agency.   |  |                     |                        |                                  |             |                |
| <b>Tuolumne</b>   | 5  | 1                   | 1                      | 0                                | 7           | 0%             |
| To improve TBS Nine Point Plan implementation, submit local TBS meeting reports.  |  |                     |                        |                                  |             |                |
| <b>Yolo</b>   | 5  | 2                   | 3                      | 4                                | 14          | 2.48%          |
| To improve TBS Nine Point Plan implementation, include representatives from more groups at local TBS meetings (see attached scoring criteria). Successes: Yolo used county data to inform their local TBS discussions. Partners discussed increasing TBS referrals for youth at risk of out-of-home placement. Yolo provided additional solutions such as increasing outreach (including clarification of class eligibility) to probation officers and social workers, and to youth and parents after a psychiatric hospital discharge. Yolo county mental health is encouraging partners to make referrals and let the MHP determine eligibility, in order to avoid missing referrals based on misinformation. |  |                     |                        |                                  |             |                |

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Next Steps:  
Therapeutic Behavioral Services  
County Mental Health Plan Progress Report  
March 2010

During the first year of this system reform initiative, guided by a comprehensive and court authorized Emily Q TBS Nine-Point Plan, the national and state economies have been devastated by historically low tax revenues and severe budget cuts to public health & human services systems. County Mental Health Plans (MHPs) are directly impacted and new initiatives and system changes are challenged by the serious reduction in resources.

This economic and budgetary reality creates opportunities for state and local systems to evaluate different innovative and more efficient ways to provide critical public mental health services. The Therapeutic Behavioral Services Emily Q Nine-Point Plan was designed to support a multi-disciplinary and leadership discussion across the system to determine how we – the public sector – can better serve children who need very intensive and expensive mental health services.

This first-year TBS MHP program report will be utilized by DMH and the Emily Q Settlement Team – with the support of the TBS Accountability Communication and Training (TACT) Team – in the following ways:

- Communicate and collaborate with MHPs about 2009 Nine-Point Plan implementation efforts, with a specific focus on support for improvement to lower performing Level II MHPs;
- Monitor the progress report monthly to identify accomplishments and challenges toward successful implementation of the Nine-Point Plan by December 2010 and beyond;
- Continue data and tracking services for the 10 Level II MHPs and consider opportunities to maximize and broaden these services to other MHPs requesting support and demonstrated participation;
- Create a small county strategy to increase TBS access and utilization;
- Begin certification with the Court Special Master for MHPs who have met and exceeded Nine-Point Plan requirements that demonstrate a long-term commitment to TBS delivery that supports increased access and utilization;
- Initiate two specific strategy models for youth leadership and performance improvement of TBS services; and cultural competency evaluation and strategy;
- Produce a Coordination of Care Best Practices training manual in April 2010;

- Continue monthly meetings with the Emily Q Settlement Team and TACT teams; and
- Produce for the TBS DMH website a "Monitor Toward Success" gauge. This gauge will announce and record the name, number and performance of MHPs which are certified by the Court Special Master.

DMH, MHPs, Emily Q Settlement Team and TACT are collaboratively working to increase access and utilization to TBS and meet the Court's expectation that 18 of the 27 medium/large MHPs will be on a trajectory by 2012 to meet and exceed a 4% TBS benchmark.

**DECLARATION OF SERVICE BY U.S. MAIL**

Case Name: **Emily Q., et al. v. Diana Bonta, et al.**

Case No.: **CV 98-4181 AHM (AJWx)**

I declare:

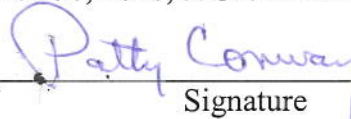
I am employed in the Office of the Attorney General, which is the office of a member of the California State Bar, at which member's direction this service is made. I am 18 years of age or older and not a party to this matter. I am familiar with the business practice at the Office of the Attorney General for collection and processing of correspondence for mailing with the United States Postal Service. In accordance with that practice, correspondence placed in the internal mail collection system at the Office of the Attorney General is deposited with the United States Postal Service that same day in the ordinary course of business.

On March 30, 2010, I served the attached **CHMH'S MARCH 2010 COUNTY MENTAL HEALTH PLAN PROGRESS REPORT** by placing a true copy thereof enclosed in a sealed envelope with postage thereon fully prepaid, in the internal mail collection system at the Office of the Attorney General at 1300 I Street, Suite 125, P.O. Box 944255, Sacramento, CA 94244-2550, addressed as follows:

Allison Wheeler  
Mental Health Advocacy Services  
3255 Wilshire Boulevard, Suite 902  
Los Angeles, CA 90010

I declare under penalty of perjury under the laws of the State of California the foregoing is true and correct and that this declaration was executed on March 30, 2010, at Sacramento, California.

\_\_\_\_\_  
Patty Conway  
Declarant

\_\_\_\_\_  
  
Signature