



State of California—Health and Human Services Agency
Department of Health Care Services



EDMUND G. BROWN JR.
GOVERNOR

DATE: June 19, 2017

MHSUDS INFORMATION NOTICE NO.: 17-027

TO: COUNTY BEHAVIORAL HEALTH DIRECTORS
COUNTY DRUG & ALCOHOL ADMINISTRATORS
COUNTY BEHAVIORAL HEALTH DIRECTORS ASSOCIATION OF CALIFORNIA
CALIFORNIA COUNCIL OF COMMUNITY BEHAVIORAL HEALTH AGENCIES
COALITION OF ALCOHOL AND DRUG ASSOCIATIONS
CALIFORNIA ASSOCIATION OF ALCOHOL & DRUG PROGRAM EXECUTIVES, INC.
CALIFORNIA ALLIANCE OF CHILD AND FAMILY SERVICES
CALIFORNIA OPIOID MAINTENANCE PROVIDERS

SUBJECT: ENROLLING, ORDERING, REFERRING AND PRESCRIBING PROVIDERS

REFERENCE: Title 42, Code of Federal Regulations, Section 455.410(b)
Title 42, Code of Federal Regulations, Section 455.440
Welfare and Institutions Code, Section 14043.1(b) and (o)

EXPIRES: Retain until superseded

The purpose for this Information Notice is to provide mental health plans (MHPs) with the information needed to ensure physicians or other professionals who order, refer, or prescribe services enroll in the Medi-Cal program, and further ensure Medi-Cal beneficiaries receive the prescriptions or laboratory tests they require. The Department of Health Care Services (Department) is preparing to implement an edit in the Fiscal Intermediary's claim adjudication system that will validate the National Provider Identifier (NPI) number of the physician or other professional who ordered, referred, or prescribed a service against the Department's list of enrolled providers. If the physician or other professional who ordered, referred, or prescribed the service is not enrolled in Medi-Cal or Medicare with their Type 1 (Individual) NPI as a billing, rendering, or

ordering, referring, or prescribing (ORP) provider, the claim adjudication system will deny the claim.

Physicians and other professionals within the provider networks of MHPs currently prescribe medications and order laboratory tests for Medi-Cal beneficiaries who meet medical necessity criteria for specialty mental health services. The pharmacies that fill those prescriptions and the laboratories that perform those tests submit claims to the Department's Fiscal Intermediary that must contain the Individual NPI of the provider who prescribed the medication or ordered the laboratory tests.

The Department will deny these claims if the physicians and other professionals within MHP provider networks who prescribe medication and order labs are not enrolled as a billing, rendering, or ORP provider. Pharmacies and laboratories will have the capability to verify the enrollment of the NPI listed as the ORP provider prior to filling an order, referral, or prescription, to avoid claim denials, which may result in a denial of service to the Medi-Cal beneficiary.

Background

Title 42, Code of Federal Regulations (CFR), Section 455.410(b), requires the Department to enroll physicians and other professionals in the Medi-Cal program who order items or services for Medi-Cal beneficiaries, prescribe services for Medi-Cal beneficiaries, or refer individuals to other providers of Medi-Cal services. Title 42, CFR, Section 455.440. requires the Department to ensure that claims submitted for services that are ordered, prescribed or are the result of a referral contain the NPI number of the ORP physician or other professional. The edit the Department is implementing in the Fiscal Intermediary's claim adjudication system will ensure compliance with these requirements.

Enrollment Process

Physicians and other professionals within MHP provider networks who prescribe medication and/or order laboratory tests must be enrolled with the Department's Provider Enrollment Division (PED). Physicians and other professionals who are currently enrolled with PED as a rendering or billing provider with an Individual NPI number do not need to enroll again as an ORP provider. In addition, physicians and other professionals who are enrolled with Medi-Cal managed care plans do not need to enroll again as an ORP provider. Physicians and other professionals who are not enrolled as a rendering or billing provider with an Individual NPI should enroll as an ORP provider by submitting a Medi-Cal ORP provider application through the [Provider](#)

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[Application and Validation for Enrollment \(PAVE\) portal](#) or by submitting form [DHCS 6219](#) to the following address:

Department of Health Care Services
Provider Enrollment Division
MS 4704
P.O. Box 997412
Sacramento, CA 95899-7412

The Department will begin enforcing this edit in the third quarter of calendar year 2017 for pharmacy claims. Physicians and other professionals within MHP networks who are not currently enrolled in Medi-Cal through PED should submit their ORP provider application by June 30, 2017, to ensure the Department has sufficient time to process the application before the edit is enforced. Please contact the Provider Enrollment Message Center at (916) 323-1945 or e-mail PEDCORR@dhcs.ca.gov with any questions regarding the enrollment process. For more information on ORP, visit the Medi-Cal website at www.medi-cal.ca.gov.

Sincerely,

Original signed by:

Karen Baylor, Ph.D., LMFT, Deputy Director
Mental Health & Substance Use Disorder Services