

Attachment VII: Statewide Transition Plan Milestones and Timeline	Timeline											
	2016			2017				2018				2019
	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1
<b>STATEWIDE TRANSITION PLAN (STP)</b>												
Respond to questions about California’s STP posed in CMS’ letter and conference calls	x	x										
Present revised STP for public comment; analyze and respond to public comments as appropriate		x										
Formally submit revised STP, with analysis of public comments, to CMS			x									
<b>POLICY CHANGES</b>												
Conduct system assessment of state law and regulations to identify areas that are silent on, or in conflict with, CMS’ HCBS settings regulations	x											
Develop and circulate to providers necessary policies, procedures, program directives to conform to the federal regulations		x	x	x								
Obtain the legislative authority and funding needed to implement identified changes/clarifications					x	x	x					
<b>OUTREACH AND TRAINING</b>												
Develop and post STP information on websites; expand and revise as program rolls out	x		x		x			x			x	
Develop training plans and materials specific to members/families, providers, and the public	x	x	x									
Schedule and hold periodic training sessions for members/families, providers, and the public		x	x	x								
<b>DATA COLLECTION AND ANALYSIS</b>												
Identify the type and sources for data for sampling, on-site assessment selection, validation, remediation, heightened scrutiny, and monitoring	x	x	x									
Compile and analyze data; prepare reports for state management and CMS			x			x		x		x		x
<b>CARE MANAGEMENT ENTITY SELF-SURVEYS</b>												
Develop care management entity self-survey template		x	x									
Distribute self-surveys with instructions				x								
Receive, compile and analyze survey results					x							
Identify and implement needed program modifications to comply with the federal regulations						x	x					
<b>PROVIDER SELF-SURVEYS</b>												
Distribute provider self-surveys with instructions		x	x	x	x	x	x	x	x			
Conduct webinars about the self-survey process for providers and other interested parties		x	x	x	x							
Implement survey return process, including follow up			x	x	x							

Attachment VII: Statewide Transition Plan Milestones and Timeline	Timeline											
	2016			2017				2018				2019
	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1
Analyze self-surveys and categorize providers by compliance level			x	x	x	x						
Identify providers needing on-site assessments based on self-survey responses			x	x	x	x	x	x	x			
<b>MEMBER SURVEYS</b>												
Participate in multi-departmental and stakeholder survey development process	x	x	x									
Distribute surveys; conduct interviews				x	x	x	x	x	x			
Analyze responses and match with provider self-assessments and on-site surveys					x	x	x	x	x	x		
<b>ON-SITE ASSESSMENTS</b>												
Develop on-site assessment template		x	x									
Select and train members of on-site assessment teams		x	x	x								
Conduct webinars about the on-site assessment process for providers and other interested parties			x	x								
Conduct on-site assessments; categorize assessed providers by compliance level				x	x	x	x	x	x	x		
<b>HEIGHTENED SCRUTINY</b>												
Develop comprehensive list of providers eligible for heightened scrutiny from departmental information, provider self-surveys and on-site assessments			x	x	x	x	x					
Post public notices identifying each provider eligible for heightened scrutiny, detailing the Department's analysis, and asking for public input; analyze public comments					x	x	x	x	x			
Submit heightened scrutiny documentation to CMS for concurrence							x	x	x	x	x	
<b>REMEDATION ACTIVITIES</b>												
Identify providers not fully compliant with the HCB Settings requirements, by type and number of deficiencies				x	x	x	x	x	x	x	x	
Request written corrective action plans and schedules for all identified deficiencies				x	x	x	x	x	x	x	x	
Monitor implementation of corrective action plans; conduct follow up on-sites as needed					x	x	x	x	x	x	x	x
<b>ONGOING COMPLIANCE MONITORING</b>												
Integrate critical elements of the on-site assessment and the consumer survey processes into regularly scheduled provider monitoring visits and consumer complaint investigations				x	x	x	x	x	x	x	x	x