



Assisted Living Waiver Program

Required Incident Reporting

Residential Care Facilities for the Elderly (RCFEs) and Home Health Agency (HHA) providers in the Assisted Living Waiver Program (ALW) are required by the Department of Health Care Services (DHCS) to notify the ALW when any of the following occur to **ALW residents**:

WHAT to REPORT	WHEN to REPORT	WHERE to REPORT	What to Report
<p>Title 22 87211</p> <p>A written report shall be submitted to the licensing agency within 24 hours of the occurrence</p> <ul style="list-style-type: none"> • Death of any resident from any cause regardless of where the death occurred • Any serious injury as determined by the attending physician ; • Use of an Automated External Defibrillator; • Any incident which threatens the welfare, safety or health of any resident, such as physical or psychological abuse of a resident by staff or other residents, or unexplained absence of any resident*. • Any unexplained absence of a resident. • Occurrences, such as <u>epidemic outbreaks, poisonings, catastrophes or major accidents</u> which threaten the welfare, safety or health of residents, personnel or visitors. • Fires or explosions which occur in or on the premises. • When sanctions are imposed by CCLD or L & C • Any incident which threatens the welfare, safety or health of personnel or visitors. 	<p style="text-align: center;">Within 24 hours of the incident.</p>	<p style="text-align: center;">✓ Resident's CCA (CCA will report to ALW immediately)</p>	<p>Resident's:</p> <ul style="list-style-type: none"> • Name • Age • Gender • Date of Admission <p>Date of Incident Details of Incident Physician's Name Findings Treatment Disposition of case</p> <p>(Note: must be legible)</p>
<p>Absence from facility greater than 24 hours</p>	<p style="text-align: center;">CCA ONLY</p>	<p style="text-align: center;">DO NOT SEND TO ALWP – FOR BILLING PURPOSES ONLY</p>	

*Definition of incident(s), which may threaten the health, safety or welfare of the beneficiary to include, but is not limited to: Health: Refusing medications or treatments; non-compliance with treatments; refusing medical appointments. Safety/Welfare: Unsafe smoking; excessive use of alcohol; disruptive behavior; elopement or wandering excessively; resistive to care striking out; aggressive or abusive to staff or others; unsafe or unsanitary hoarding; and/or other behavior issues.