



State of California—Health and Human Services Agency
Department of Health Care Services



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**CALIFORNIA DEPARTMENT OF HEALTH CARE SERVICES
 HEIGHTENED SCRUTINY EVALUATION SETTING SUMMARY SHEET**

Home and Community-Based Services

SITE OVERVIEW

Heightened Scrutiny Identification Number	278
Provider Name	River Fountains of Lodi
Setting Name	River Fountains of Lodi
Setting Type	
Waiver(s) Served	
Setting Address	
Site Assessment Completion Date	09/9/2019
Heightened Scrutiny Summary Sheet Completion Date	06/01/2022
Expected Date of Compliance	11/01/2022

REASON(S) FOR HEIGHTENED SCRUTINY (HS)

- Setting is located in a building that is also a publicly or privately-operated facility that provides inpatient institutional treatment
- Setting is in a building located on the grounds of, or immediately adjacent to, a public institution

- Setting has the effect of isolating individuals receiving Medicaid Home and Community Based Services (HCBS) from the broader community of individuals not receiving Medicaid HCBS
 - Due to the design or model of service provision in the setting, individuals have limited, if any, opportunities for interaction in and with the broader community, including individuals not receiving Medicaid-funded HCBS
 - Setting restricts individual choice to receive services or to engage in activities outside of the setting
 - Setting is located separate and apart from the broader community without facilitating individual opportunity to access the broader community and participate in community services, consistent with an individual's person-centered plan



SETTING SUMMARY: COMPLIANCE OVERVIEW AND REMEDIATION STRATEGY

Setting is located in a building that is also a publicly or privately-operated facility that provides inpatient institutional treatment

Summary of Evidence	
Summary of Remediation Strategy	

Remediation Strategy Approved? Yes No

Remediation Start Date: _____

Remediation End Date: _____



Setting is in a building located on the grounds of, or immediately adjacent to, a public institution

Summary of Evidence	
Summary of Remediation Strategy	

Remediation Strategy Approved? Yes No

Remediation Start Date: _____

Remediation End Date: _____



Due to the design or model of service provision in the setting, individuals have limited, if any, opportunities for interaction in and with the broader community, including individuals not receiving Medicaid-funded HCBS

Summary of Evidence	<p>Individuals living at River Fountains of Lodi have limited opportunities for interaction in and with the broader community. River Fountains of Lodi does not plan community activities as part of its plan of service. Individuals do not participate in community activities with or without their housemates/roommates. River Fountains of Lodi does not regularly share information about community activities and resources with individuals. There are practices in place that impact individuals' access to the community including expecting individuals to check with a staff member if they intend to stay out late, requiring individuals to sign out of their home, having chimes or alarms on doors, exterior doors are locked in the evenings, and individuals cannot enter without assistance because individuals do not have keys to these doors. There are security cameras.</p>
Summary of Remediation Strategy	<p>In response to the summary of evidence, River Fountains of Lodi submitted a plan to increase opportunities for community engagement. The staff have collected information about community resources, transportation, programs, and activities to share with individuals during meetings. River Fountains of Lodi intends to create an Individual Service Plan (ISP) with the individual and their team that describes the individual's wants, needs, preferences, goals, and likes/dislikes. The staff will use the ISP to ensure the community resources, transportation, programs, and activities they share with the individual match the person's interests.</p> <p>The ISP will guide planning for community activities. The staff will use the ISP and individuals' input to offer a variety of community activities each month. River Fountains of Lodi will support individuals to participate in community activities. Individuals who are independent are encouraged to engage in community activities. Family members or staff will go with individuals who want or need assistance to participate in community activities. The staff will assist the individual with</p>



	<p>transportation. Individuals will have the opportunity to participate in community activities with or without their housemates/roommates. Individuals are free to come and go as they want unless the person-centered team has identified a need that is documented in the ISP. Any restrictions will be reviewed at least annually.</p> <p>River Fountains of Lodi addressed the practices that impacted individual's access to the community. River Foundations of Lodi intends to support individuals to come and go as they choose. If there is an assessed need that limits an individual's opportunity for community engagement, the person-centered team will ensure that limitation/restriction is based on an assessed need, documented in the ISP, and reviewed annually. Individuals are no longer required to sign in and out when they leave their home. The staff ask individuals to let them know if they intend to be out late, as a courtesy. At admission, individuals will be given a key to the front door unless there is an assessed need documented in their ISP. River Foundations of Lodi said that the chimes or alarms on doors are for security. The chimes notify staff that the door has been opened but it is not used to restrict or monitor individuals without an assessed need. The policy about chimes will be added to the admission agreement and reviewed annually. In addition, the administrator will create a video surveillance policy to disclose the scope of video monitoring to include placement of cameras, storage and disposal of video, and access to video. This will be reviewed at admission and annually.</p>
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Remediation Strategy Approved? Yes No

Remediation Start Date: 5/25/2022

Remediation End Date: 11/01/22



Setting restricts individual choice to receive services or to engage in activities outside of the setting

Summary of Evidence	River Fountains of Lodi has practices in place that impact individuals' access to the community including expecting individuals to check with a staff member if they intend to stay out late, requiring individuals to sign out of their home, having chimes or alarms on doors, exterior doors are locked in the evenings, and individuals cannot enter without assistance because individuals do not have keys to these doors. There are security cameras.
Summary of Remediation Strategy	River Fountains of Lodi addressed the practices that impacted individual's access to the community. They developed a process to ensure that all restrictions were based on an individualized assessed need, documented in the ISP, and reviewed at least annually. River Foundations of Lodi intends to support individuals to come and go as they choose. If there is an assessed need that limits an individual's opportunity for community engagement, the person-centered team will ensure that limitation/restriction is based on an assessed need, documented in the ISP, and reviewed annually. Individuals are no longer required to sign in and out when they leave their home. The staff ask individuals to let them know if they intend to be out late, as a courtesy. At admission, individuals will be given a key to the front door unless there is an assessed need documented in their ISP. River Foundations of Lodi said that the chimes or alarms on doors are for security. The chimes notify staff that the door has been opened but it is not used to restrict or monitor individuals without an assessed need. The policy about chimes will be added to the admission agreement and reviewed annually. In addition, the administrator will create a video surveillance policy to disclose the scope of video monitoring to include placement of cameras, storage and disposal of video, and access to video. This will be reviewed at admission and annually.

Remediation Strategy Approved? Yes No

Remediation Start Date: 05/25/2022

Remediation End Date: 11/01/2022



Setting is located separate and apart from the broader community without facilitating individual opportunity to access the broader community and participate in community services, consistent with an individual's person-centered plan

Summary of Evidence	
Summary of Remediation Strategy	

Remediation Strategy Approved? Yes No

Remediation Start Date: _____

Remediation End Date: _____