

**MENTAL HEALTH SERVICES ACT (MHSA) PLAN OF CORRECTION (POC)**

1.	County/City:	Santa Barbara County
2.	POC Submitted for:	MHSA Performance Review
3.	Date of Audit/Performance Review	June 27-29, 2023
4.	Name of Preparer:	
5.	Preparer Contact Email:	
6.	Preparer Contact Telephone:	

	A	B	C	D
#	Finding #	Finding	Recommendation	Action Taken to Correct Finding (Identify Timeline / Evidence of Correction)
7.	#1	Santa Barbara County did not include a narrative analysis of the mental health needs of unserved, underserved/inappropriately served, and fully served county residents who qualify for MHSA services in the adopted Fiscal Year (FY) 2020-23 Three-Year Plan (Plan). (California Code of Regulations (Cal. Code Regs.), title 9, section 3650(a)(1)(A)).	The County must include a narrative analysis of the mental health needs of unserved, underserved/inappropriately served, and fully served county residents who qualify for MHSA services in each subsequent adopted Plan thereafter.	The MHSA Manager will include a narrative analysis of the mental health needs of unserved, underserved/inappropriately served, and fully served county residents who qualify for MHSA services in the Annual Update FY 24-25, by the date of the plan's adoption, June 30 <sup>th</sup> , 2024, as well as in each subsequent adopted plan thereafter.  The MHSA Manager and Policy and Procedures Manager will amend existing MHSA policies and procedures to ensure that the requirement to include a narrative

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				<p>analysis of the mental health needs of unserved, underserved/inappropriately served, and fully served county residents who qualify for MHSA services be included in every subsequent adopted MHSA Plan.</p> <p>The MHSA Manager will submit approved policies and procedures to DHCS on or before July 30<sup>th</sup> 2024.</p>
8.	#1a	<p>Santa Barbara County did not identify the number of children/transition- aged youth/adult/and older adults by gender, race/ethnicity, and primary language in the adopted FY 2020-23 Plan. (Cal. Code Regs., tit. 9, § 3650(a)(1)(A)).</p>	<p>The County must identify the number of children, transition-aged youth, adult, and older adult, by gender, race/ethnicity, and primary language in each subsequent adopted Plan thereafter.</p>	<p>The MHSA Manager will identify the number of children, transition-aged youth, adult, and older adult, by gender, race/ethnicity, and primary language in each subsequent adopted plan.</p> <p>These descriptions were already implemented in the FY 2023-2026 Santa Barbara County MHSA Three-Year Plan, adopted on June 30<sup>th</sup>, 2023, and can be found in Section B: Description and Characteristics of County, (page 11 through 22). A table for Santa Barbara</p>

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				<p>County Demographics can be found on page 13.</p> <p>These descriptions will be included in the FY 24-25 Annual Update to be submitted on June 30<sup>th</sup> 2024 as well as in each subsequent adopted Plan thereafter.</p> <p>The MHSA Manager and Policy and Procedures Manager will amend existing MHSA policies and procedures to ensure that the requirement to identify the number of children, transition-aged youth, adult, and older adult, by gender, race/ethnicity, and primary language be included in every subsequent adopted MHSA Plan.</p> <p>The MHSA Manager will submit approved policies and procedures to DHCS on or before July 30<sup>th</sup> 2024.</p>

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9.	#2	<p>Santa Barbara County did not provide an estimate of the number of clients, in each age group, to be served in the Full Service Partnership (FSP) category for each fiscal year of the adopted FY 2020-23 Plan. The county included the number of FSP clients served in each age group for FY 2018-19. However, the Plan did not include the number of FSP clients to be served for FY 2020-21, FY 2021-22, and FY 2022-23. (Cal. Code Regs., tit. 9, § 3650(a)(3)).</p>	<p>The County must provide an estimate of the number of clients, in each age group, to be served in the FSP service category for each fiscal year of the Plan, in each subsequent adopted Plan thereafter.</p>	<p>The MHSA Manager and Research and Evaluation Department will collaborate to provide the estimate number of clients, in each age group, to be served in the FSP service category for each fiscal year of the Plan.</p> <p>This will be implemented in the Annual Update FY 24-25, by the date of the plan’s adoption, June 30<sup>th</sup>, 2024, as well as in each subsequent adopted plan thereafter.</p> <p>The MHSA Manager and Policy and Procedures Manager will amend existing MHSA policies and procedures to ensure that the requirement to provide an estimate of the number of clients, in each age group, to be served in the FSP service category for each fiscal year of the Plan be included in every subsequent adopted MHSA Plan.</p>

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				The MHSA Manager will submit approved policies and procedures to DHCS on or before July 30 <sup>th</sup> 2024.
10	#3	Santa Barbara County did not indicate the number of children, transition- aged youths, adults, and older adults to be served in the adopted FY 2020-23 Plan and FY 2022-23 Annual Update (Update). The county provided cost per person for each Community Services and Support (CSS), Prevention, and Early Intervention (PEI), and Innovation (INN) programs in the adopted Plan and Update. The Plan/Update needs to include both the	The County must indicate the number of children, transition-aged youths, adults, and older adults to be served and the cost per person in each subsequent adopted Plan and Update thereafter.	<p>The MHSA Manager will indicate the number of children, transition-aged youths, adults, and older adults to be served and the cost per person. This will be included in the FY 24-25 Annual Update to be submitted on June 30<sup>th</sup> 2024 as well as in each subsequent adopted Plan thereafter.</p> <p>The MHSA Manager and Policy and Procedures Manager will amend existing MHSA policies and procedures to ensure that the requirement to indicate the number of children, transition-aged youths, adults, and older adults to be served and the cost be included in every subsequent adopted MHSA Plan.</p>

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		number of children, transition-aged youth, adults, and older adults to be served and the cost per person. (Welfare and Institution Code (W&I Code) section 5847(e)).		The MHSA Manager will submit approved policies and procedures to DHCS on or before July 30 <sup>th</sup> 2024.
11	#4	Finding #4: Santa Barbara County did not include a description of each PEI program in the PEI component of the FY 2020-23 Plan and FY 2022-23 Update: <ul style="list-style-type: none"> <li>• Early Intervention Program</li> <li>• Outreach for Increasing Recognition of Early Signs of Mental Illness Program</li> <li>• Prevention Program</li> <li>• Stigma and Discrimination Reduction Program</li> <li>• Access and Linkage to Treatment Program</li> </ul>	The County must include a description of each PEI programs in the PEI component: Early Intervention program, Outreach for Increasing, Recognition of Early Signs of Mental Illness program, Prevention program, Stigma and Discrimination Reduction program and Access to Linkage to Treatment program in each subsequent adopted Plan and Update thereafter.	The MHSA Manager and the Prevention and Early Intervention Health Care Program Coordinator will collaborate in order to ensure a description of each PEI component is included in each subsequent adopted Plan and Update thereafter.  These descriptions were already implemented in the FY 2023-2026 Santa Barbara County MHSA Three-Year Plan, adopted on June 30 <sup>th</sup> , 2023. They are included in the PEI Narratives in Section D (page 87 through page 115) and in the PEI Narratives in Section E (page 183 through 219.) of the Plan.

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		<ul style="list-style-type: none"> <li>• Suicide Prevention Program (optional)                      (W&amp;I Code section 5840; Cal. Code Regs., tit. 9, §§ 3705(a-b), 3755)</li> </ul>		<p>These descriptions will be included in the FY 24-25 Annual Update to be submitted on June 30<sup>th</sup> 2024 as well as in each subsequent adopted Plan thereafter.</p> <p>The MHSA Manager and Policy and Procedures Manager will amend existing MHSA policies and procedures to ensure that the requirement to include a description of each PEI programs in the PEI component: Early Intervention program, Outreach for Increasing, Recognition of Early Signs of Mental Illness program, Prevention program, Stigma and Discrimination Reduction program and Access to Linkage to Treatment program be included in every subsequent adopted MHSA Plan.</p> <p>The MHSA Manager will submit approved policies and procedures to DHCS on or before July 30<sup>th</sup> 2024.</p>

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12	#5	Santa Barbara County did not specify the methods and activities to be used to change attitudes, knowledge, and/or behavior regarding being diagnosed with mental illness, having mental illness and/or seeking mental health services for each Stigma and Discrimination Reduction program in the adopted FY 2020-23 Plan and FY 2022-23 Update. (Cal. Code Regs., tit. 9, § 3755(f)(3)).	The County must specify the methods and activities to be used to change attitudes, knowledge, and/or behavior regarding being diagnosed with mental illness, having mental illness and/or seeking mental health services for each Stigma and Discrimination Reduction program in each subsequent adopted Plan and Update thereafter.	<p>The MHSA Manager and the Prevention and Early Intervention Health Care Program Coordinator will collaborate in order to ensure these methods and activities to be used to change attitudes, knowledge, and/or behavior regarding being diagnosed with mental illness, having mental illness and/or seeking mental health services are included for each Stigma and Discrimination Reduction program.</p> <p>This will be included in the Annual Update FY 24-25 to be submitted on June 30<sup>th</sup>, 2024, as well as in each subsequent adopted plan thereafter.</p>

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				<p>The MHSA Manager and Policy and Procedures Manager will amend existing MHSA policies and procedures to ensure that the requirement to specify the methods and activities to be used to change attitudes, knowledge, and/or every behavior regarding being diagnosed with mental illness, having mental illness and/or seeking mental health services for each Stigma and Discrimination Reduction program be included in every subsequent adopted MHSA Plan.</p> <p>The MHSA Manager will submit approved policies and procedures to DHCS on or before July 30<sup>th</sup> 2024.</p>
13	#6	Santa Barbara County did not explain how individuals, and, as applicable, their parents, caregivers, or other	The County must explain how individuals, and, as applicable,	The MHSA Manager and the Research and Evaluation Department will collaborate in order to explain how individuals, and, as applicable,

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		<p>family members, will be linked to county mental health services, a primary care provider, or other mental health treatment for each Access and Linkage to Treatment program in the adopted FY 2020-23 Plan and FY 2022-23 Update; and how the program will follow up with the referral to support engagement in treatment. (Cal. Code Regs., tit. 9, §§ 3755(h)(4), 3755(h)(5)).</p>	<p>their parents, caregivers, or other family members, will be linked to county mental health services, a primary care provider, or other mental health treatment; and how the program will follow up with the referral to support engagement in treatment for each Access and Linkage to Treatment program for each subsequent adopted Plan and Update thereafter.</p>	<p>their parents, caregivers, or other family members, will be linked to county mental health services, a primary care provider, or other mental health treatment; and how the program will follow up with the referral to support engagement in treatment for each Access and Linkage to Treatment program.</p> <p>The MHSA Manager will work with all Access and Linkages to Treatment Program Leads to describe how clients are referred to services, and explain the details of the process. The MHSA Manager will also work with Access and Linkages to Treatment Program Leads to describe the follow-up process after referral for all these programs.</p> <p>This process will be included in the Access and Linkages to Treatment program narratives in the Annual Update FY 24-25</p>

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				<p>to be submitted on June 30<sup>th</sup>, 2024, as well as in each subsequent adopted plan thereafter.</p> <p>The MHSA Manager and Policy and Procedures Manager will amend existing MHSA policies and procedures to ensure that the requirement to explain how individuals, and, as applicable, their parents, caregivers, or other family members, will be linked to county mental health services, a primary care provider, or other mental health treatment; and how the program will follow up with the referral to support engagement in treatment for each Access and Linkage to Treatment program be included in every subsequent adopted MHSA Plan.</p> <p>The MHSA Manager will submit approved policies and procedures to DHCS on or before July 30<sup>th</sup> 2024.</p>

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14	#7	<p>Santa Barbara County did not include any substantive written recommendations for revisions received during the 30-day comment period, and did not summarize, analyze the recommendations, and include a description of any changes made to the adopted FY 2020-23 Plan. (W&amp;I Code section 5848(b); Cal. Code Regs., tit. 9, § 3315(a)(3-4)).</p>	<p>DHCS recommends the county include any substantive written recommendations for revisions received during the 30-day comment period, summarize, analyze the recommendations, and include a description of any changes made for each subsequent adopted Plan and Update thereafter. If no substantive changes were made, indicate zero changes made.</p>	<p>The MHSA Manager will include substantive written recommendations for revisions received during the 30-day comment period, summarize, analyze the recommendations, and include a description of any changes made for each subsequent adopted Plan and Update thereafter. If no substantive changes were made, the MHSA Manager will indicate zero changes made.</p> <p>These substantive written recommendations for revisions were already implemented in the FY 23-26 Three-Year Plan, adopted June 30<sup>th</sup>, 2023. They can be found in the Appendices, on page 121-125, in Section 8: "Documentation that demonstrates</p>

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				<p>stakeholders provided input during the 30-Day Public Comment Period.”</p> <p>This will be included in the Annual Update FY 24-25 to be submitted on June 30<sup>th</sup>, 2024, as well as in each subsequent adopted plan thereafter.</p> <p>The MHSA Manager and Policy and Procedures Manager will amend existing MHSA policies and procedures to ensure that the requirement to include any substantive written recommendations for revisions received during the 30-day comment period, summarize, analyze the recommendations, and include a description of any changes made for each subsequent adopted Plan and Update thereafter. And, if no substantive changes were made, indicate that zero changes were made, be included in every subsequent adopted MHSA Plan.</p>

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				<p>The MHSA Manager will submit approved policies and procedures to DHCS on or before July 30<sup>th</sup> 2024.</p>
15	#8	<p>Santa Barbara County included a Workforce, Education, and Training (WET) budget summary for FY 2018-19, FY 2019-20, and FY 2020-21 in the adopted FY 2020-23 Plan. However, the budget summary is for the incorrect fiscal years and should have included FY 2020-21, FY 2021-22, and FY 2022-23. (Cal. Code Regs., tit. 9, § 3820(e)).</p>	<p>DHCS recommends the County include a WET budget summary for each FY of the Plan, which shall include the total budgeted for each funding category for each subsequent adopted Plan and Update thereafter. For example, for the FY 2023-26 Plan, the budget summary will include the following fiscal years: FY 2023-24, FY 2024-25, and FY 2025-26.</p>	<p>The MHSA Manager will work with the Fiscal/Budgeting teams, as well as the Workforce, Education, and Training Manager to include a WET budget summary for each FY of the Plan. The MHSA Manager will include a WET budget summary for each FY of the Plan, including subsequent fiscal years of the three-year Plan.</p> <p>This will be included in the Annual Update FY 24-25 to be submitted on June 30<sup>th</sup>, 2024, as well as in each subsequent adopted plan thereafter.</p>

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				<p>The MHSA Manager and Policy and Procedures Manager will amend existing MHSA policies and procedures to ensure that the requirement to include a WET budget summary for each FY of the Plan, which shall include the total budgeted for each funding category for each subsequent adopted Plan and Update thereafter, be included in every subsequent adopted MHSA Plan.</p> <p>The MHSA Manager will submit approved policies and procedures to DHCS on or before July 30<sup>th</sup> 2024.</p>

## **MENTAL HEALTH SERVICES ACT (MHSA) PLAN OF CORRECTION (POC)**

Instructions: Complete the MHSA Plan of Correction (POC) to address Findings from the Fiscal Audit Report or Performance Review Report.

Row 1: Enter County/City name.

Row 2: Select from the drop down menu if this POC is submitted in response to a Fiscal Audit or a Performance Review.

Row 3: Enter the date that the Fiscal Audit or Performance Review was conducted.

Row 4: Enter the name of the person who prepared the Plan of Correction or is responsible for responding to inquiries about the Plan of Correction.

Row 5: Enter the contact email address of the person who prepared the Plan of Correction or is responsible for responding to inquiries about the Plan of Correction.

Row 6: Enter the contact telephone number of the person who prepared the Plan of Correction or is responsible for responding to inquiries about the Plan of Correction.

Rows 7-28, Column A: Enter the number of the specific Finding from the Fiscal Audit Report or Performance Review Report.

Rows 7-28, Column B: Enter the specific Finding from the Fiscal Audit Report or Performance Review Report.

Rows 7-28, Column C: Enter the specific recommendation from the Fiscal Audit Report or Performance Review Report.

Rows 7-28, Column D: Enter the description of the actions taken to correct the Finding. Must include 1) timeline for implementation and/or completion of actions; 2) proposed (or actual) evidence of correction to be submitted to DHCS.

This completed form must be submitted to [MHSA@dhcs.ca.gov](mailto:MHSA@dhcs.ca.gov).