



September 30, 2025

THIS LETTER SENT VIA EMAIL TO: stacysphar@co.modoc.ca.us

Stacy Sphar, RN, Behavioral Health Director
Modoc County Behavioral Health
441 N. Main St.
Alturas, CA 96101

SUBJECT: ANNUAL DRUG MEDI-CAL ORGANIZED DELIVERY SYSTEM FINDINGS REPORT

Dear Director Sphar:

The Department of Health Care Services (DHCS) is responsible for determining compliance to the requirements of the Drug Medi-Cal Organized Delivery System (DMC-ODS) Intergovernmental Agreement operated by Modoc County.

The Substance Use Disorder Review Section (SUDRS) within DHCS' Audits and Investigations' Contract and Enrollment Review Division (CERD) conducted a review of the County's compliance with Federal and State laws, Medi-Cal regulations, program requirements and the State's DMC-ODS contract. The review included an inspection of the County's policies for providing services, procedures to implement these policies, and the process to determine whether these policies were effective. Documents were reviewed and interviews were conducted with County staff. Enclosed are the results of Modoc County's Fiscal Year (FY) 2024-25 DMC-ODS compliance review. The report identifies compliance review findings and referrals for technical assistance.

Modoc County is required to submit a Corrective Action Plan (CAP) addressing each review finding noted to DHCS' Behavioral Health – Oversight and Monitoring Division (BH-OMD), County Compliance and Monitoring Section (CCMS). For questions regarding the CAP process and submitting documentation, email your questions to BHOMDMonitoring@dhcs.ca.gov. If you have any questions regarding the review process, please contact me at john.wiesner@dhcs.ca.gov.

Sincerely,

John Wiesner | Health Program Specialist I

Distribution:

To: Director Sphar,

CC: Brian Vu, Division Chief
Contract and Enrollment Review Division - Audits and Investigations

Catherine Hicks, Chief
Behavioral Health Review Branch
Contract and Enrollment Review Division - Audits and Investigations

Ayesha Smith, Chief
Specialty Mental Health Review Section
Contract and Enrollment Review Division - Audits and Investigations

Michael Bivians, Chief
Substance Use Disorder Review Section
Contract and Enrollment Review Division - Audits and Investigations

Christopher Purcell, Chief
Substance Use Disorder Review Unit 1
Contract and Enrollment Review Division - Audits and Investigations

Jonette La Rue, Chief
Substance Use Disorder Review Unit 2
Contract and Enrollment Review Division - Audits and Investigations

Sergio Lopez, Chief
County/Provider Compliance and Monitoring Section
Behavioral Health – Oversight and Monitoring Division

Tony Nguyen, Chief
County Liaison and Operations Section
Behavioral Health – Oversight and Monitoring Division

BHOMDMonitoring@dhcs.ca.gov, Behavioral Health County Support and
Operations Branch

Dolores Navarro Turner, LCSW, Behavioral Health Branch Director
Modoc County Health Services

COUNTY REVIEW INFORMATION

County:

Modoc

County Contact Name/Title:

Dolores Navarro Turner, LCSW
Behavioral Health Branch Director

County Address:

North Main Street, Alturas, CA 96101

County Phone Number/Email:

(530) 233-6312
doloresnavarro-turner@co.modoc.ca.us

Date of DMC-ODS Implementation:

7/1/2020

Date of Review:

5/28/2025

Lead SUDRS Reviewer:

John Wiesner

Assisting SUDRS Reviewer:

N/A

Report Prepared by:

John Wiesner

Report Approved by:

Michael Bivians

REVIEW SCOPE

- I. Regulations:
 - a. California Code of Regulations, Title 22, section 51341.1, 51490.1 and 51516.1 – Drug Medi-Cal Substance Use Disorder Services
 - b. California Code of Regulations, Title 9, Division 4: Department of Alcohol and Drug Programs
 - c. Health and Safety Code, Division 10.5, Section 11750 – 11970: Alcohol and Drug Programs
 - d. Welfare and Institutions Code, Division 9, Part 3, Chapter 7, Sections 14000, et seq.; 14100.2, 14021, 14021.51-14021.53, 14021.6, and 14124.20-14124.25, 14184.402, 14059.5: Basic Health Care – Drug Medi-Cal Treatment Program
- II. Program Requirements:
 - a. Fiscal Year (FY) 2023-24 DMC-ODS Intergovernmental Agreement (IA)
 - b. State of California *Adolescent Best Practices Guidelines October 2020*
 - c. DHCS' *Perinatal Practice Guidelines FY 2018-19*
 - d. DHCS' *Minimum Quality Drug Treatment Standards (Document 2F(a))*
 - e. National Culturally and Linguistically Appropriate Services (CLAS)
 - f. Mental Health and Substance Use Disorders Services (MHSUDS) Information Notices
 - g. Behavioral Health Information Notices (BHIN)

ENTRANCE AND EXIT CONFERENCE SUMMARIES

Entrance Conference:

An Entrance Conference was conducted via Teams on 5/28/2025. The following individuals were present:

- Representing DHCS:
Michael Bivians, Chief, SUDRS
Leilani Kwon, Chief, CCMS Unit 2
Cristina Whitlock, Analyst, County Liaison and Operations Support Unit 1
Jonette La Rue, Chief, SUDRS Unit 2
John Wiesner, Health Program Specialist (HPS) I, SUDRS Unit 2
Jade Liu, HPS I, SUDRS Unit 2
Emanuel Hernandez, Analyst, SUDRS Unit 2
Susan Volmer, Analyst, SUDRS Unit 2
- Representing Modoc County:
Dolores Turner, LCSW, Behavioral Health Branch Director
Nicole Escobar, Senior Behavioral Health Manager,
Partnership HealthPlan of California
Stephanie Wilson, Wellness and Recovery Program Manager,
Partnership HealthPlan of California

During the Entrance Conference, the following topics were discussed:

- Introductions
- DHCS overview of review process
- County overview of services provided

Exit Conference:

An Exit Conference was conducted via Teams on 5/28/2025. The following individuals were present:

- Representing DHCS:
Michael Bivians, Chief, SUDRS
Leilani Kwon, Chief, CCMS Unit 2
Cristina Whitlock, Analyst, County Liaison and Operations Support Unit 1
Jonette La Rue, Chief, SUDRS Unit 2
John Wiesner, HPS I, SUDRS Unit 2
Jade Liu, HPS I, SUDRS Unit 2
Emanuel Hernandez, Analyst, SUDRS Unit 2
Susan Volmer, Analyst, SUDRS Unit 2
- Representing Modoc County:
Dolores Turner, LCSW, Behavioral Health Branch Director
Nicole Escobar, Senior Behavioral Health Manager,
Partnership HealthPlan of California
Stephanie Wilson, Wellness and Recovery Program Manager,
Partnership HealthPlan of California

During the Exit Conference, the following topics were discussed:

- Submitting follow-up evidence
- Due date for evidence submission

SUMMARY OF FY 2024-25 COMPLIANCE FINDINGS

<u>Category</u>	<u>Number of Findings</u>
1.0 Availability of DMC-ODS Services	1
2.0 Care Coordination	0
3.0 Quality Assurance and Performance Improvement	0
4.0 Access and Information Requirements	1
5.0 Coverage and Authorization of Services	0
6.0 Beneficiary Rights and Protections	0
7.0 Program Integrity	0

CORRECTIVE ACTION PLAN (CAP)

Pursuant to the Intergovernmental Agreement, Exhibit A, Attachment I, Part III, Section QQ each CD identified must be addressed via a CAP.

Your CCMS liaison manages the progress of CAP completion.

For questions regarding the CAP form and instructions on how to complete the FY 2024-25 CAP, please email BHOMDMonitoring@dhcs.ca.gov.

Category 1: AVAILABILITY OF DMC-ODS SERVICES

A review of the County's records, service providers, referrals, services, contracts, and general provisions was conducted to ensure compliance with applicable federal and state laws, Medi-Cal regulations, program requirements and the State's DMC-ODS contract. The following finding was identified:

COMPLIANCE FINDING:

1.4.22:

Exhibit A Attachment I, Section III Program Specifications, NN, 3, ii, c

- c. The Contractor shall ensure that all personnel who provide WM services or who monitor or supervise the provision of such service shall meet additional training requirements set forth in BHIN 21-001 and its accompanying exhibits.

Finding: The Plan did not ensure and document that personnel who provide withdrawal management services or who monitor or supervise the provision of such services met the training requirements detailed in BHIN 21-001.

Category 4: ACCESS AND INFORMATION REQUIREMENTS

A review of the County's Access and Information Requirements was conducted to ensure compliance with applicable federal and state laws, Medi-Cal regulations, program requirements and the State's DMC-ODS contract. The following finding was identified:

COMPLIANCE FINDING:

4.4.1: BHIN 23-018

Provider Requirements

Providers that offer telehealth services to Medi-Cal beneficiaries must meet all applicable Medi-Cal licensure and program enrollment requirements. If the provider is not located in California, they must be licensed in California, enrolled as a Medi-Cal rendering provider, and affiliated with a Medi-Cal enrolled provider group in California or a border community, as outlined in DHCS' Telehealth Policy Paper and the Medi-Cal Provider Manual.

As a general rule, DHCS requires that every provider offering covered services to a beneficiary via telehealth must also meet the requirements of Business and Professions Code Section 2290.5(a)(3), or otherwise be designated by DHCS as able to render Medi-Cal services via telehealth. All providers that are listed in the California Medicaid State Plan as qualified providers of SMHS, DMC, or DMC-ODS services are designated by DHCS as able to render covered services, within their scopes of practice, via telehealth.

Effective no sooner than January 1, 2024, all providers furnishing applicable covered services via synchronous audio-only interaction must also offer those same services via synchronous video interaction to preserve beneficiary choice.

Also, effective no sooner than January 1, 2024, to preserve a beneficiary's right to access covered services in person, a provider furnishing services through telehealth must do one of the following:

1. Offer those same services via in-person, face-to-face contact; or
2. Arrange for a referral to, and a facilitation of, in-person care that does not require a beneficiary to independently contact a different provider to arrange for that care.

Beneficiary Consent

Prior to initial delivery of covered services via telehealth, providers are required to obtain verbal or written consent for the use of telehealth as an acceptable mode of delivering services, and must explain the following to beneficiaries:

- The beneficiary has a right to access covered services in person.

- Use of telehealth is voluntary and consent for the use of telehealth can be withdrawn at any time without affecting the beneficiary's ability to access Medi-Cal covered services in the future.
- Non-medical transportation benefits are available for in-person visits.
- Any potential limitations or risks related to receiving covered services through telehealth as compared to an in-person visit, if applicable.

Providers must also document the beneficiary's verbal or written consent to receive covered services via telehealth prior to the initial delivery of the services. The beneficiary's consent must be documented in their medical record and made available to DHCS upon request. A provider may utilize a general consent agreement to meet this documentation requirement if that general consent agreement: 1) specifically mentions the use of telehealth delivery of covered services; 2) includes the information described above; 3) is completed prior to initial delivery of services; and 4) is included in the beneficiary record.

Requirements for Establishing New Patient Relationships

As a general rule, State law prohibits the use of asynchronous store and forward, synchronous audio-only interaction, or remote patient monitoring when providers establish new patient relationships with Medi-Cal beneficiaries. For the SMHS, DMC, and DMC-ODS delivery systems, DHCS defines the establishment of new patient relationships as follows:

- For SMHS, the establishment of care for a new patient refers to the mental health assessment done by a licensed clinician.
- For substance use treatment in DMC and DMC-ODS, the establishment of care for a new patient refers to the American Society of Addiction Medicine Criteria assessment.

However, SMHS, DMC, and DMC-ODS providers may establish a relationship with new patients via synchronous audio-only interaction in the following instances:

- When the visit is related to sensitive services as defined in subsection (n) of Section 56.06 of the Civil Code. This includes all covered SMHS, DMC, and DMC-ODS services.
- When the patient requests that the provider utilizes synchronous audio-only interactions or attests they do not have access to video.
- When the visit is designated by DHCS to meet another exception developed in consultation with stakeholders.

SMHS, DMC, and DMC-ODS providers shall comply with all applicable federal and state laws, regulations, bulletins/information notices, and guidance when establishing a new patient relationship via telehealth.

Drug Medi-Cal Organized Delivery System:

- The initial clinical assessment and establishment of a new patient relationship, including any determination of diagnosis, medical necessity, and/or level of care may be delivered through synchronous video interaction.

- The initial clinical assessment and establishment of a new patient relationship, including any determination of diagnosis, medical necessity, and/or level of care shall only be delivered through synchronous audio-only interaction in the situations identified above in this BHIN.
- Licensed providers and non-licensed staff may deliver services through telehealth, as long as the service is within their scope of practice.
- Covered DMC-ODS services may be delivered through telehealth when those services meet the standard of care. The group size limit still applies for group counseling provided via telehealth.
- Certain services, such as residential services, require a clearly established site for services and in-person contact with a beneficiary in order to be claimed. However, California's State Plan does not require that all components of these services be provided in-person. (For example, services can be provided via telehealth for a patient quarantined in their room in a residential facility due to illness.)

(BHIN 23-018)

Finding: The Plan did not ensure all providers obtained member telehealth consents that include all required elements in accordance with BHIN 23-018.

TECHNICAL ASSISTANCE

Modoc County did not request training or technical assistance during this review.