

Performance Outcomes System Foster Care Reports

Report run on August 3, 2016

Background

Two reports will be created during each new reporting period. The reports that will be produced are as follows: statewide aggregate data and population-based county groups. These reports help meet the intent of the Legislature, as stated in Welfare and Institutions Code Section 14707.5, to develop a performance outcomes system for Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) mental health services that will improve outcomes at the individual, program, and system levels and inform fiscal decision-making related to the purchase of services. This reporting effort is part of the implementation of a performance outcomes system for Medi-Cal Specialty Mental Health Services (SMHS) for children and youth.

Since 2012 DHCS has worked with several groups of stakeholders to create a structure for reporting, to develop the Performance Measurement Paradigm, and to develop indicators and measures. The Performance Outcomes System will be used to evaluate the domains of access, engagement, service appropriateness to need, service effectiveness, linkages, cost effectiveness and satisfaction. Further information on the Performance Measures System implementation is available on the DHCS website. Documents posted include the relevant legislation, plans submitted to the Legislature, and handouts for meetings with the Stakeholder Advisory Committee back to the first meeting in 2012. To obtain this information go to:

<http://www.dhcs.ca.gov/provgovpart/pos/Pages/default.aspx>

Purpose and Overview

This county aggregate report provides updated information on the initial indicators that were developed for the Performance Outcomes System. DHCS plans to move to annual reporting of this data for the Performance Outcomes System.

The first series of charts and tables focus on the demographics of Children and Youth in Foster Care under 21 who are receiving SMHS' based on approved claims for Medi-Cal eligible beneficiaries. Specifically, this includes demographics tables of this population by age, gender, and race/ethnicity. Two types of penetration information are provided. Both penetration rates tables are also broken out by demographic characteristics. Utilization of services data are shown in terms of dollars, as well as by service, in time increments. The snapshot table provides a point-in-time view of children/youth arriving, exiting, and continuing services over a two-year period. The time to step down table provides a view over the past four years of the time to step-down services following inpatient discharge.

Where possible, the reports provide trend information by displaying information for four Fiscal Years (FY). A FY is from July 1st to June 30th. For all of the measures and indicators included in this report, the denominator is the "Unique Count of Children and Youth receiving SMHS" shown on page 3 of the report and is broken out by state FY.

Utilization of services reports are shown in terms of dollars, as well as by service in time increments. The snapshot report provides a point-in-time view of children arriving, exiting, and continuing services over a two-year period. The final report provides a view over the past four years of the time to step-down services (i.e., time to next contact after an inpatient discharge). **Note:** *The time to step-down report has a change in methodology from the first report produced in February 2015. In the initial report only outpatient services provided at least one day after the inpatient discharge were included in the calculations. On subsequent reports any outpatient service that occurs on or after the inpatient discharge is included in the analysis.*

Definitions

Population: Foster Care beneficiaries with approved services adjudicated through the Short Doyle/Medi-Cal II claiming system that were:

- Age 20 or younger during the approved date of service on the claim; or
- Age 21 during the approved date of the service on the claim and a birth date on or after January 1st of the Fiscal Year.

Data Sources:

- Short-Doyle/Medi-Cal II (SD/MC II) claims with dates of service in FY 11/12 through FY 14/15.
- Medi-Cal Eligibility Data System (MEDS) data from the Management Information System/Decision Support System (MIS/DSS) FY 11/12 through FY 14/15.
- Child Welfare Services/Case Management System (CWS/CMS) data for children in FY 11/12 through FY 14/15.

Performance Outcomes System Foster Care Reports

Report run on August 3, 2016

Additional Information

The **Measures Catalog** is the companion document for these reports and provides the methodology and definitions for the measures. Each measure is defined and the numerator and denominator used to develop the metrics are provided with relevant notes and additional references. The Measures Catalog may be found at:

<http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

Note on Privacy:

The Health Insurance Portability and Accountability Act (HIPAA) and Code of Federal Regulations (CFR) 42 rules protect most individually identifiable health information in any form or medium, whether electronic, on paper, or oral. DHCS has strict rules in place to protect the identification of individuals in public reports. A "Public Aggregate Reporting – DHCS Business Reports" process has been established to maintain confidentiality of client Personal Information. The Performance Outcomes System complies with Federal and State privacy laws. Thus, the POS must appropriately and accurately de-identify data for public reporting. Due to privacy concerns, some cells in this report may have been suppressed to comply with state and federal rules.

When necessary, this data is represented as follows: 1) Data that is missing is indicated as "-" 2) Data that has been suppressed due to privacy concerns is indicated as "^".

Report Interpretation

*Population-based report findings may be interpreted alongside the POS statewide report findings.

*The **penetration rates** reported here were calculated using a different methodology than that used by the External Quality Review Organization (EQRO). The differences in methodology make comparison between the POS penetration rates and the EQRO penetration rates not appropriate or useful. The POS methodology for calculating penetration rates was selected because it is easier to compute, more straightforward to interpret, and is in use by other states and counties. For the POS, the penetration rate is calculated by taking the total number of youth who received X number of SMHS (1 or 5 for POS) in a FY and dividing that by the total number of Medi-Cal eligible youth for that FY. This methodology results in lower penetration rates as compared to the EQRO rates, but it does so across the board so that all counties and the state will be similarly impacted.

*The **snapshot** report provides a point-in-time look at children and youth's movement through the SMHS system. The report uses five general categories to classify if a youth is entering, exiting, continuing services, or a combination of these categories (e.g., arriving and exiting). As of now, this report only classifies youth and their service usage for the two most recent fiscal years. Eventually the snapshot data will be used along with measures of service effectiveness to identify whether youth are improving as a result of receiving services from the time they first arrived in the system to when they exit the system. This methodology was adapted from the California Mental Health and Substance Use System Needs Assessment (2012). More information on the original methodology can be found here:

<http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

*The psychiatric emergency services/hospital data reported on in the **time to step-down services** report includes data from Short Doyle/Medi-Cal II claims data and fee-for-service data. In the future this report will incorporate other outpatient and inpatient Medi-Cal SMHS' billed through the Managed Care healthcare delivery systems. Currently, the number of days is capped at 365 days (to mitigate the impact of extreme statistical anomalies) when calculating the mean and max for time between discharge and step down service. This methodology will be updated in the next reporting cycle. Additionally, county specific and population-based reports are based off of the county of the hospital the patient is discharged from and whom has been attributed the time to next service in days used in the calculations for this indicator.

***Data Source Methodology:** Demographic & Penetration and Snapshot - based on MEDS data; Utilization - based on Claims Submission data; Time to step-down - based on Inpatient Hospital data.

***Open Child Welfare:** Children/youth who are provided child welfare services either while living in their home, or while living out-of-home in a foster care setting. Excludes children: placed in California under the jurisdiction of another state (incoming interstate Compact on the Placement of Children (ICPC)) and who are placed with non-dependent legal guardians.

***Foster Care Placement:** Children/youth who are removed from their home by a child placement agency, including county child welfare services and probation departments and placed in a foster care setting. Excludes children: placed in California under the jurisdiction of another state (incoming interstate Compact on the Placement of Children (ICPC)) and who are placed with non-dependent legal guardians.

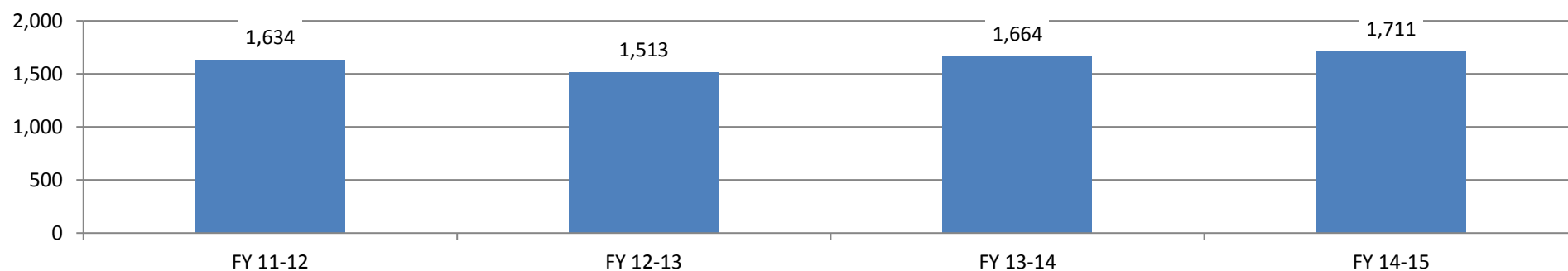
Please contact cmhpos@dhcs.ca.gov for any questions regarding this report.

Demographics Report: Unique Count of Current Children and Youth in Foster Care Receiving SMHS by Fiscal Year

Sacramento County as of August 3, 2016

SFY	Unique Count Receiving SMHS*	Year-Over-Year Percentage Change	Unique Count of Foster Care Youth in Medi-Cal	Year-Over-Year Percentage Change
FY 11-12	1,634		3,368	
FY 12-13	1,513	-7.4%	3,247	-3.6%
FY 13-14	1,664	10.0%	3,881	19.5%
FY 14-15	1,711	2.8%	3,981	2.6%
Compound Annual Growth Rate SFY**		1.5%		5.7%

Unique Count of Children and Youth Receiving SMHS



*SMHS = Specialty Mental Health Services. See Measures Catalog for more detailed information.

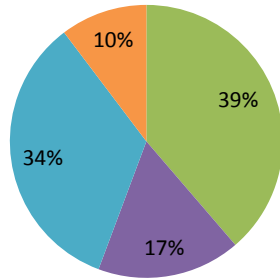
**SFY = State Fiscal Year which is July 1 through June 30.

Demographics Report: Unique Count of Current Children and Youth in Foster Care Receiving SMHS by Fiscal Year
 Sacramento County as of August 3, 2016

Fiscal Year	Black Count	Black %	Hispanic Count	Hispanic %	White Count	White %	Other Count	Other %
FY 11-12	633	38.7%	278	17.0%	555	34.0%	168	10.3%
FY 12-13	604	39.9%	237	15.7%	503	33.2%	169	11.2%
FY 13-14	604	36.3%	225	13.5%	583	35.0%	252	15.1%
FY 14-15	582	34.0%	236	13.8%	616	36.0%	277	16.2%

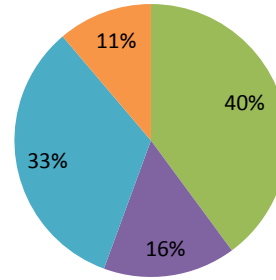
Fiscal Year 11-12 Race Distribution

Black Hispanic White Other



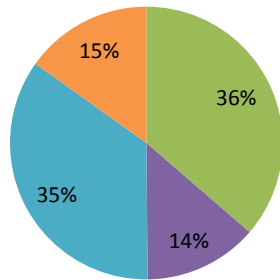
Fiscal Year 12-13 Race Distribution

Black Hispanic White Other



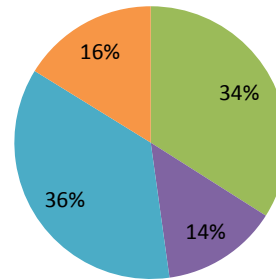
Fiscal Year 13-14 Race Distribution

Black Hispanic White Other



Fiscal Year 14-15 Race Distribution

Black Hispanic White Other

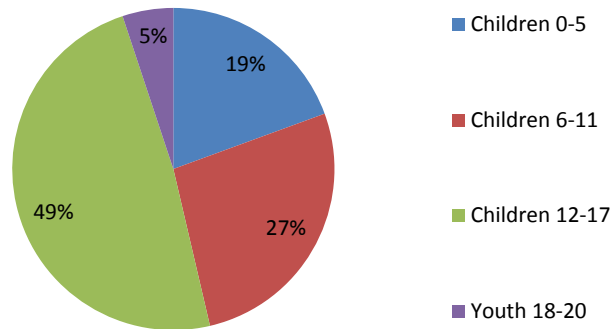


Please note: This report uses the Medi-Cal Eligibility Data System (MEDS) to obtain race/ethnicity data. The MEDS data is entered by County Welfare Departments and may differ from data maintained by County Mental Health Plans. For more information, please refer to the Measures Catalog

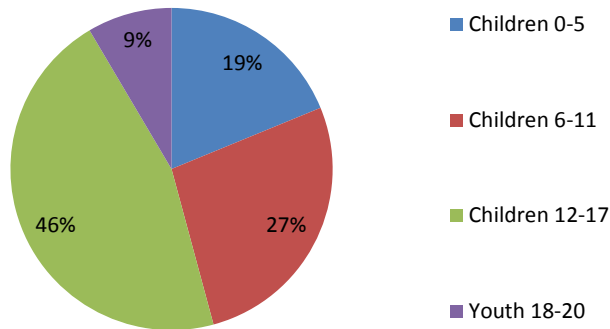
Demographics Report: Unique Count of Current Children and Youth in Foster Care Receiving SMHS by Fiscal Year
Sacramento County as of August 3, 2016

Fiscal Year	Children 0-5 Count	Children 0-5 %	Children 6-11 Count	Children 6-11 %	Children 12-17 Count	Children 12-17 %	Youth 18-20 Count	Youth 18-20 %
FY 11-12	317	19.4%	440	26.9%	793	48.5%	84	5.1%
FY 12-13	284	18.8%	408	27.0%	692	45.7%	129	8.5%
FY 13-14	318	19.1%	514	30.9%	669	40.2%	163	9.8%
FY 14-15	373	21.8%	516	30.2%	657	38.4%	165	9.6%

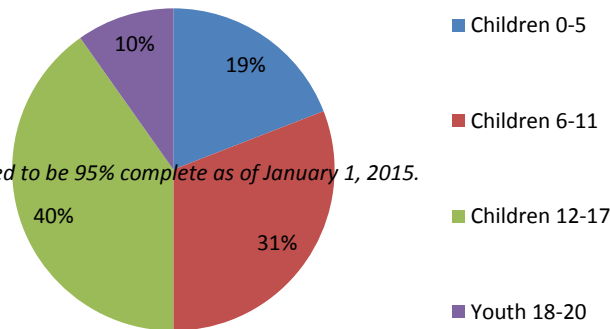
Fiscal Year 11-12 Age Group Distribution



Fiscal Year 12-13 Age Group Distribution

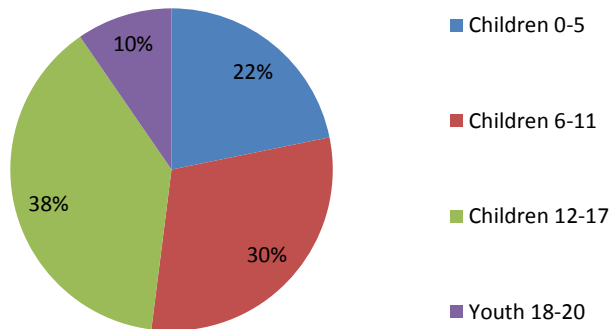


Fiscal Year 13-14 Age Group Distribution



*FY 13-14 claims are estimated to be 95% complete as of January 1, 2015.

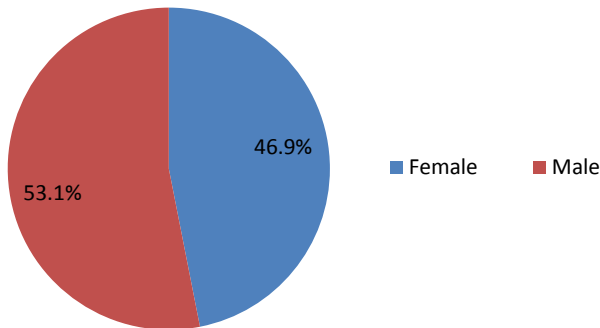
Fiscal Year 14-15 Age Group Distribution



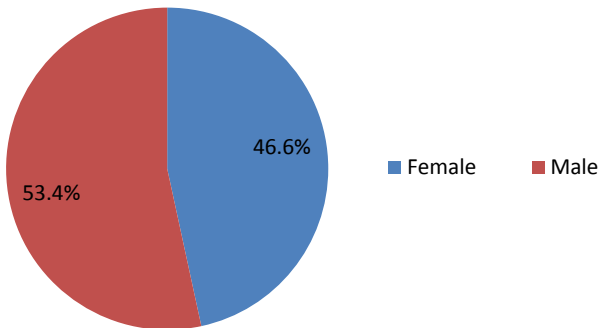
Demographics Report: Unique Count of Current Children and Youth in Foster Care Receiving SMHS by Fiscal Year
Sacramento County as of August 3, 2016

Fiscal Year	Female Count	Female %	Male Count	Male %
FY 11-12	766	46.9%	868	53.1%
FY 12-13	705	46.6%	808	53.4%
FY 13-14	772	46.4%	892	53.6%
FY 14-15	845	49.4%	866	50.6%

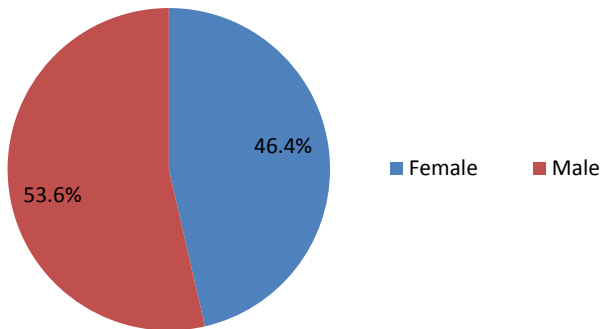
Fiscal Year 11-12 Gender Distribution



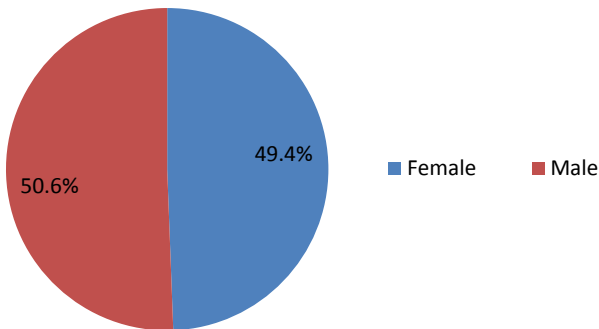
Fiscal Year 12-13 Gender Distribution



Fiscal Year 13-14 Gender Distribution



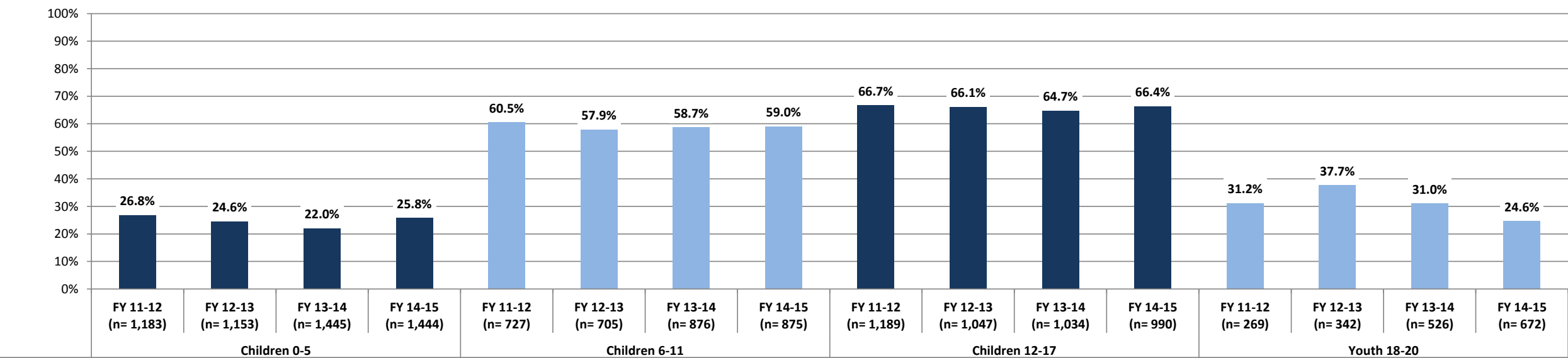
Fiscal Year 14-15 Gender Distribution



Penetration Rates* Report: Unique Count of Current Children and Youth in Foster Care with At Least One SMHS Visit**
Sacramento County as of August 3, 2016

	FY 11-12			FY 12-13			FY 13-14			FY 14-15		
	Foster Care Youth with 1 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 1 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 1 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 1 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate
All	1,634	3,368	48.5%	1,513	3,247	46.6%	1,664	3,881	42.9%	1,711	3,981	43.0%
Children 0-5	317	1,183	26.8%	284	1,153	24.6%	318	1,445	22.0%	373	1,444	25.8%
Children 6-11	440	727	60.5%	408	705	57.9%	514	876	58.7%	516	875	59.0%
Children 12-17	793	1,189	66.7%	692	1,047	66.1%	669	1,034	64.7%	657	990	66.4%
Youth 18-20	84	269	31.2%	129	342	37.7%	163	526	31.0%	165	672	24.6%
Black	633	1,154	54.9%	604	1,096	55.1%	604	1,284	47.0%	582	1,236	47.1%
Hispanic	278	493	56.4%	237	419	56.6%	225	463	48.6%	236	485	48.7%
White	555	1,038	53.5%	503	1,019	49.4%	583	1,201	48.5%	616	1,309	47.1%
Other	168	683	24.6%	169	713	23.7%	252	933	27.0%	277	951	29.1%
Female	766	1,576	48.6%	705	1,521	46.4%	772	1,830	42.2%	845	1,900	44.5%
Male	868	1,792	48.4%	808	1,726	46.8%	892	2,051	43.5%	866	2,081	41.6%

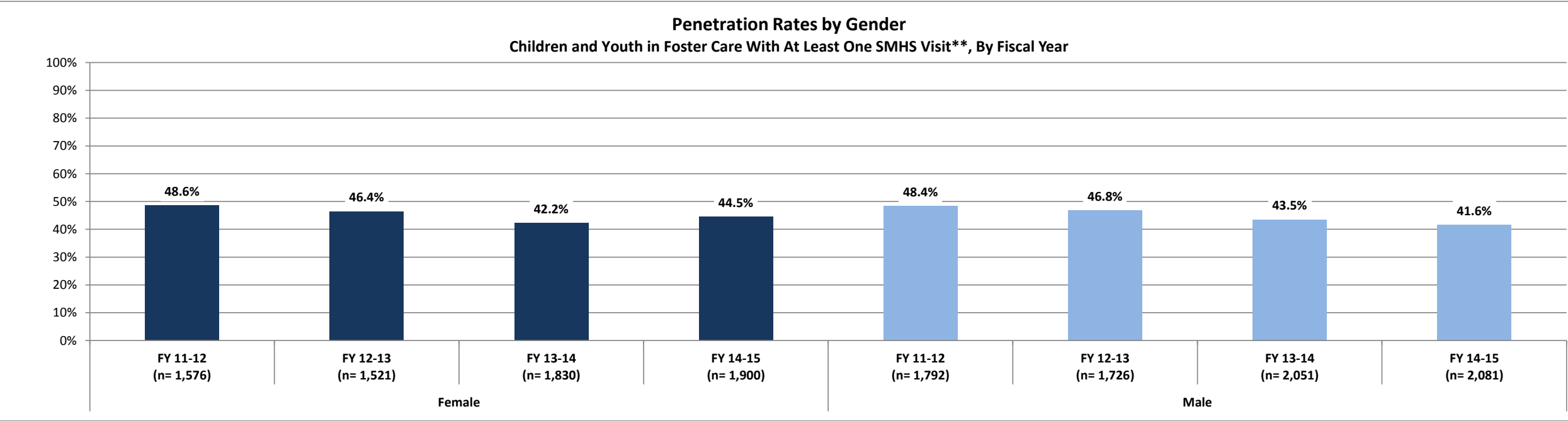
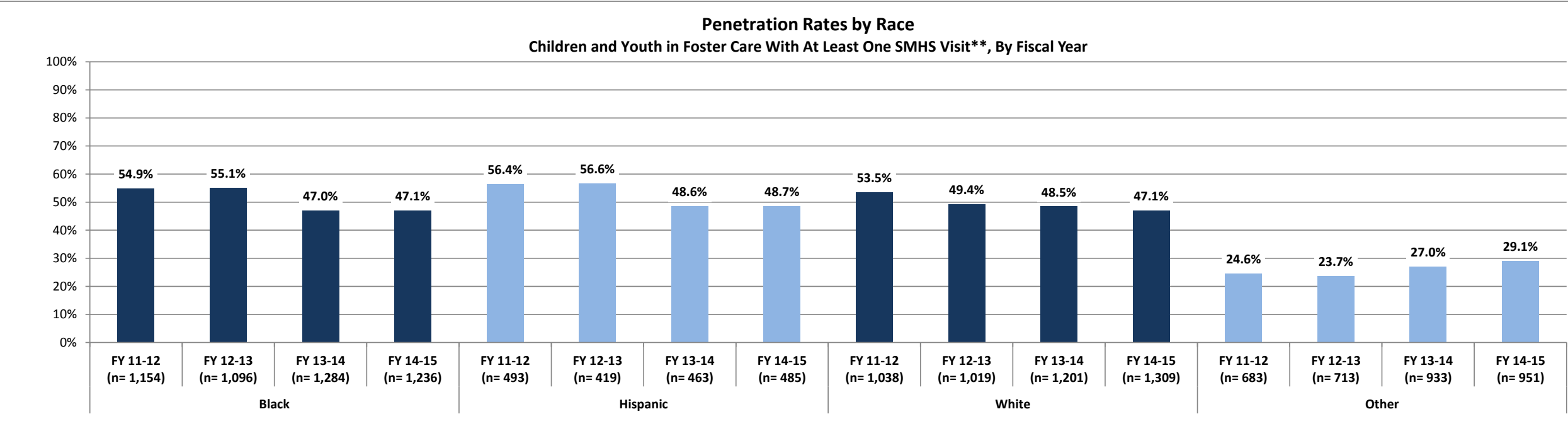
Penetration Rates by Age
Children and Youth in Foster Care With At Least One SMHS Visit**, By Fiscal Year



*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

**Children and Youth in Foster Care that have received at least one SMHS that was claimed through the Short-Doyle/ Medi-Cal claiming system on at least one (1) day in the Fiscal Year.

Penetration Rates* Report: Unique Count of Current Children and Youth in Foster Care with At Least One SMHS Visit**
Sacramento County as of August 3, 2016

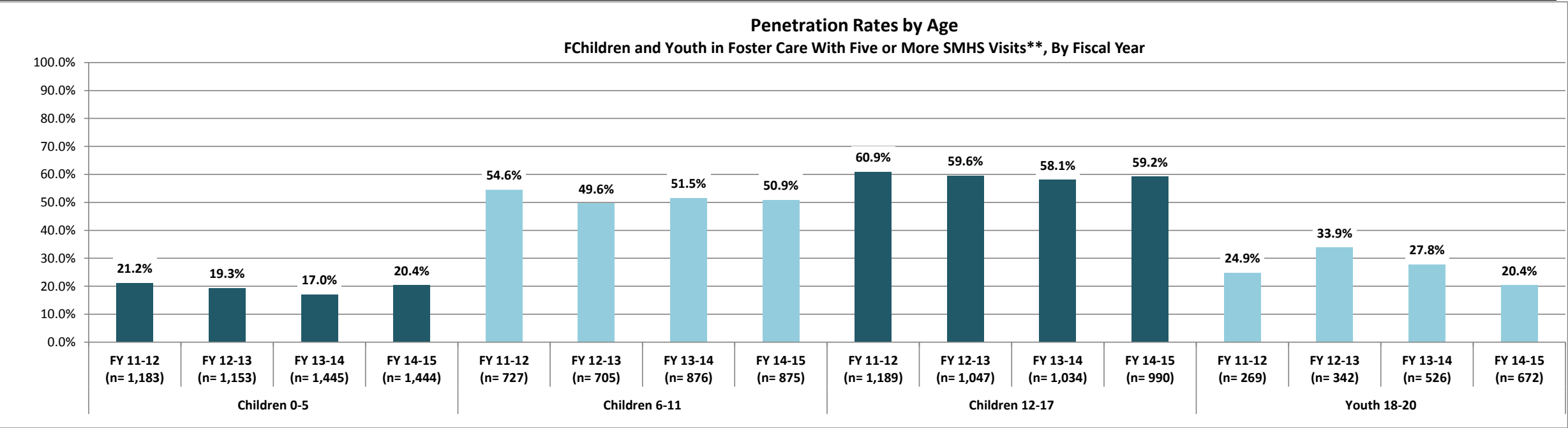


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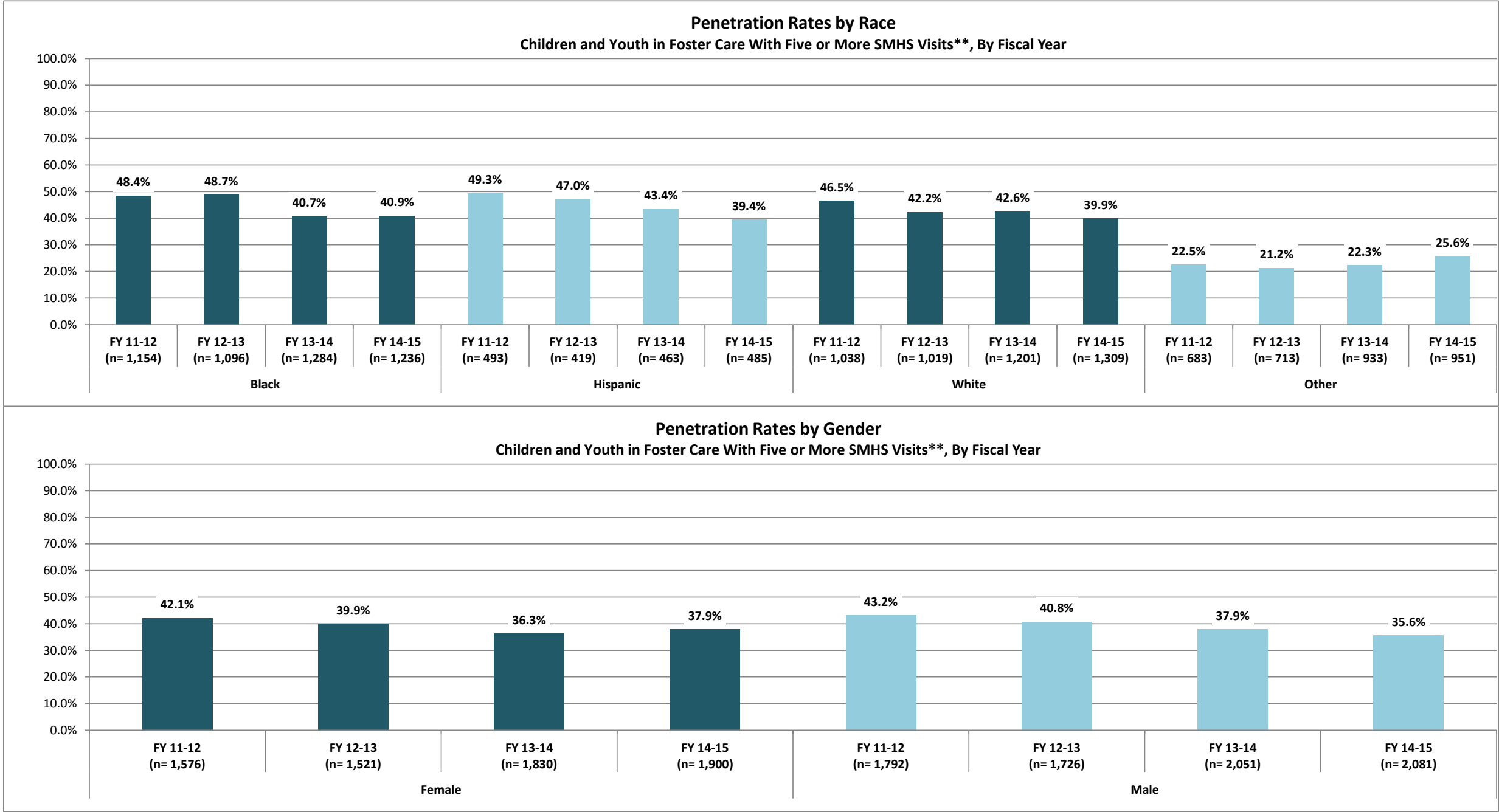
Penetration Rates* Report: Unique Count of Current Children and Youth in Foster Care with Five or More SMHS Visits**
Sacramento County as of August 3, 2016

	FY 11-12			FY 12-13			FY 13-14			FY 14-15		
	Foster Care Youth with 5 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 5 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 5 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate	Foster Care Youth with 5 or more SMHS Visits	Certified Eligible Foster Care Youth	Penetration Rate
All	1,439	3,368	42.7%	1,312	3,247	40.4%	1,443	3,881	37.2%	1,462	3,981	36.7%
Children 0-5	251	1,183	21.2%	222	1,153	19.3%	245	1,445	17.0%	294	1,444	20.4%
Children 6-11	397	727	54.6%	350	705	49.6%	451	876	51.5%	445	875	50.9%
Children 12-17	724	1,189	60.9%	624	1,047	59.6%	601	1,034	58.1%	586	990	59.2%
Youth 18-20	67	269	24.9%	116	342	33.9%	146	526	27.8%	137	672	20.4%
Black	559	1,154	48.4%	534	1,096	48.7%	522	1,284	40.7%	506	1,236	40.9%
Hispanic	243	493	49.3%	197	419	47.0%	201	463	43.4%	191	485	39.4%
White	483	1,038	46.5%	430	1,019	42.2%	512	1,201	42.6%	522	1,309	39.9%
Other	154	683	22.5%	151	713	21.2%	208	933	22.3%	243	951	25.6%
Female	664	1,576	42.1%	607	1,521	39.9%	665	1,830	36.3%	721	1,900	37.9%
Male	775	1,792	43.2%	705	1,726	40.8%	778	2,051	37.9%	741	2,081	35.6%



*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.
**Children and Youth in Foster Care that have received at least five SMHS that were claimed through the Short-Doyle/ Medi-Cal claiming system on at least five (5) or more different days in the Fiscal Year.

Penetration Rates* Report: Unique Count of Current Children and Youth in Foster Care with Five or More SMHS Visits**
Sacramento County as of August 3, 2016



*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.
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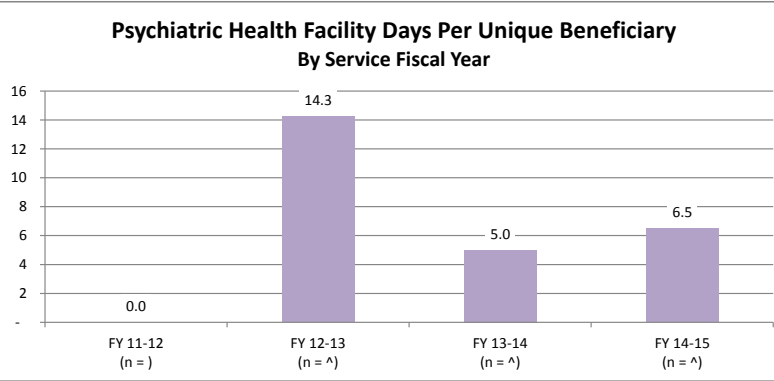
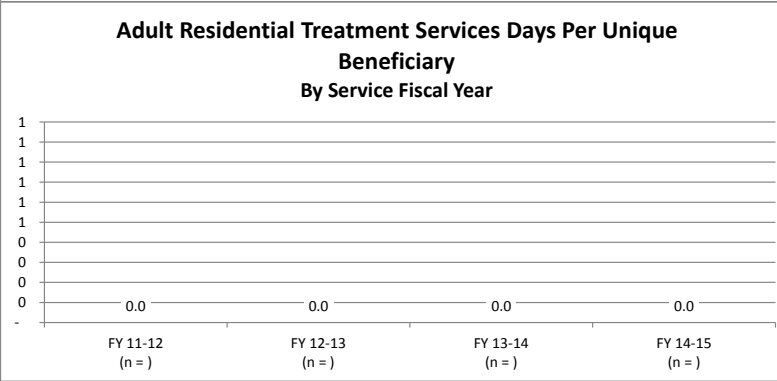
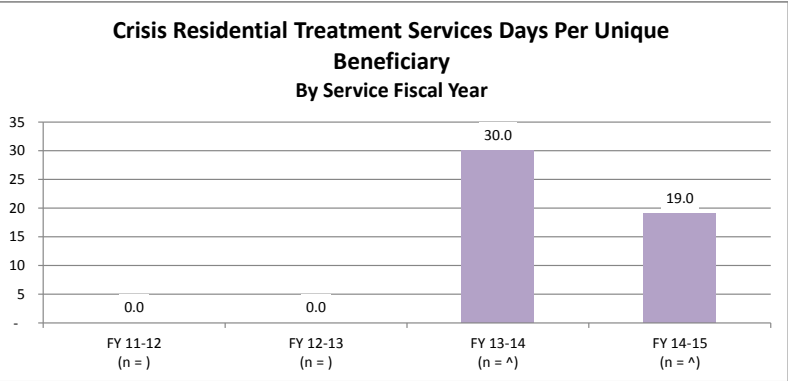
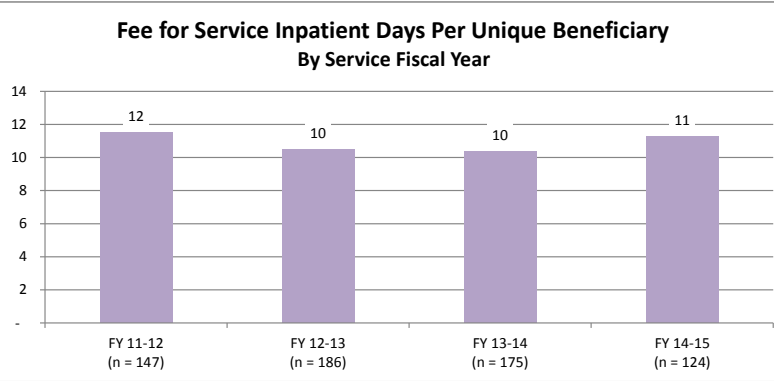
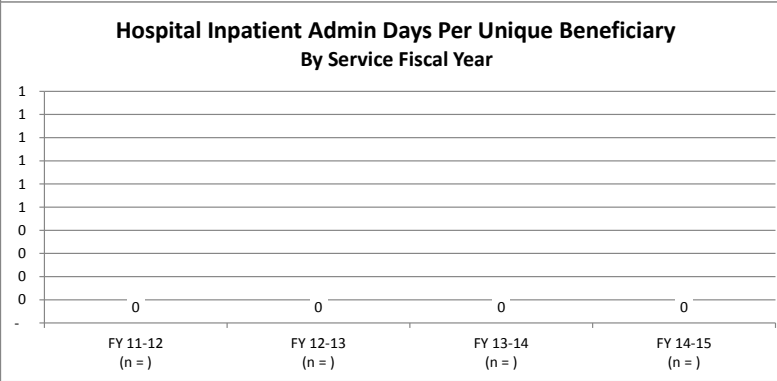
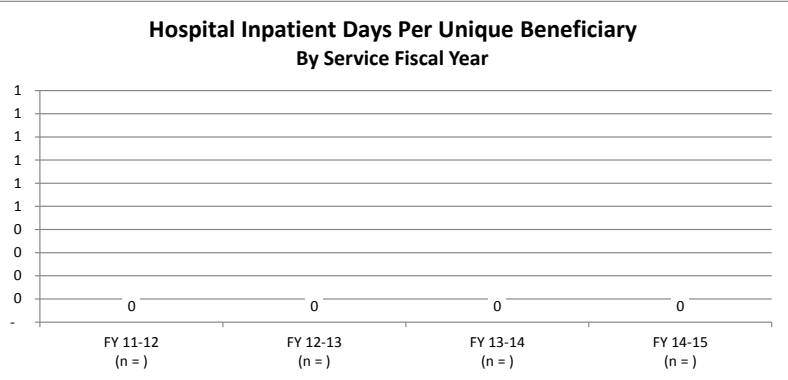
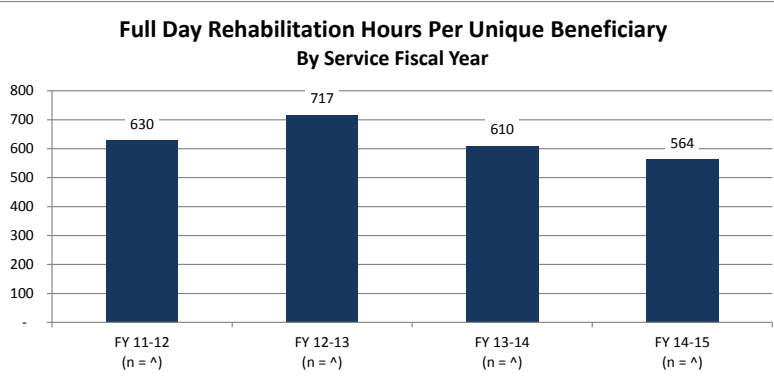
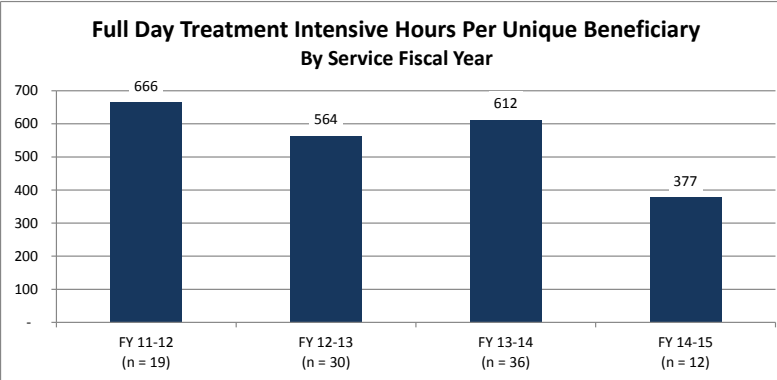
Utilization Report*: Approved Specialty Mental Health Services for Children and Youth in Foster Care
Mean Expenditures and Mean Service Quantity per Unique Beneficiary by Fiscal Year
Sacramento County as of August 3, 2016

Fiscal Year	SDMC Total Approved	IHBS (Minutes)	ICC (Minutes)	Case Management/ Brokerage (Minutes)	Mental Health Services (Minutes)	Therapeutic Behavioral Services (Minutes)	Medication Support Services (Minutes)	Crisis Intervention (Minutes)	Crisis Stabilization (Hours)	Full Day Treatment Intensive (Hours)	Full Day Rehabilitation (Hours)	Hospital Inpatient (Days)	Hospital Inpatient Admin (Days)	Fee for Service Inpatient (Days)	Crisis Residential Treatment Services (Days)	Adult Residential Treatment Services (Days)	Psychiatric Health Facility (Days)
FY 11-12	\$ 7,748.04	0	0	882	2,253	2,589	328	106	16	666	630	0	0	12	0	0	0
FY 12-13	\$ 7,727.91	0	0	866	2,250	2,897	374	105	14	564	717	0	0	10	0	0	14
FY 13-14	\$ 7,218.10	1,225	528	838	2,035	2,489	380	97	12	612	610	0	0	10	30	0	5
FY 14-15	\$ 6,771.08	1,759	1,140	736	1,947	2,811	377	71	14	377	564	0	0	11	19	0	7
MEAN	\$ 7,366.28	1,492	834	830	2,121	2,697	365	95	14	555	630	0	0	11	25	0	9



*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.
Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

Utilization Report*: Approved Specialty Mental Health Services for Children and Youth in Foster Care
Mean Expenditures and Mean Service Quantity per Unique Beneficiary by Fiscal Year
Sacramento County as of August 3, 2016



*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.
Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.
^ Data has been suppressed to protect patient privacy.

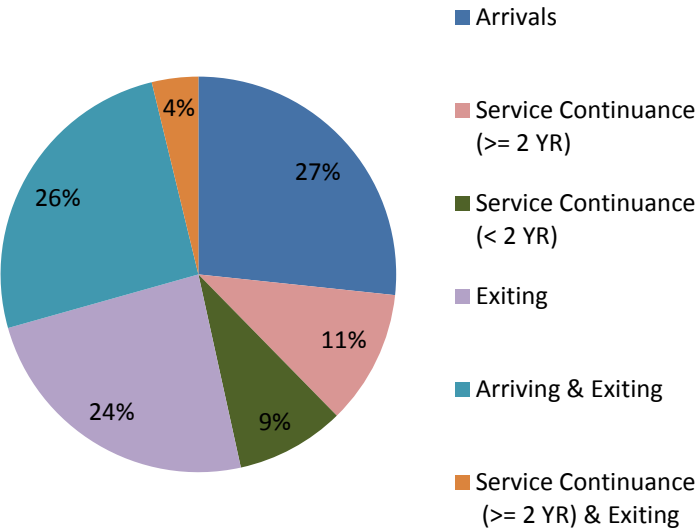
Snapshot Report: Unique Count of Current Children and Youth in Foster Care Receiving SMHS
Arriving, Exiting, and with Service Continuance by Fiscal Year

Sacramento County as of August 3, 2016

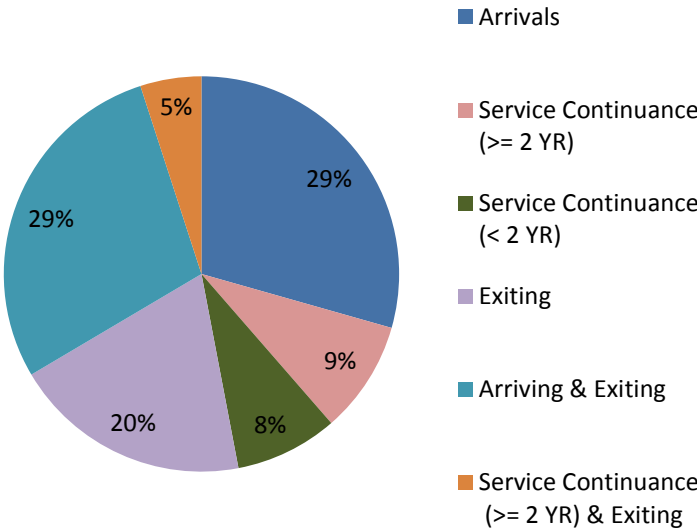
Category	Description (Please refer to the Measures Catalog for more detailed descriptions on all Performance Outcomes System measures.)
Arrivals	Children/Youth that did not receive any SMHS within 3 months of their first date of service in the Fiscal Year.
Service Continuance	Children/Youth receiving continuous services with no breaks in service greater than 90 days for a period of at least 2 years (≥ 2 YR) or a period of 1 to 2 years (< 2 YR).
Exiting	Children/Youth that did not receive any SMHS within 3 months after their last date of service in the Fiscal Year.
Arriving & Exiting	A distinct category in which children/youth met both the criteria for Arrivals and Exiting above for the fiscal year.
Service Continuance & Exiting	A distinct category in which Children/Youth had at least 2 years of Service Continuance going into the Fiscal Year and then Exited within the same Fiscal Year.

Service Fiscal Year	Arrivals Count	Arrivals %	Service Continuance (≥ 2 YR) Count	Service Continuance (≥ 2 YR) %	Service Continuance (< 2 YR) Count	Service Continuance (< 2 YR) %	Exiting Count	Exiting %	Arriving & Exiting Count	Arriving & Exiting %	Service Continuance (≥ 2 YR) & Exiting Count	Service Continuance (≥ 2 YR) and Exiting %	Total Count	Total %
FY 12-13	404	26.7%	166	11.0%	134	8.9%	365	24.1%	388	25.6%	57	3.8%	1,514	100%
FY 13-14	491	29.4%	153	9.2%	140	8.4%	326	19.5%	476	28.5%	83	5.0%	1,669	100%
FY 14-15	415	24.3%	145	8.5%	157	9.2%	409	23.9%	510	29.8%	75	4.4%	1,711	100%

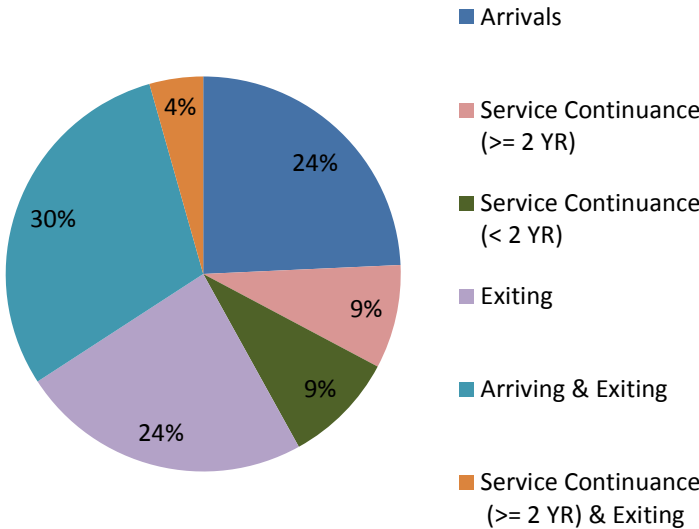
Fiscal Year 12-13 Arrivals, Service Continuance, & Exits Distribution



Fiscal Year 13-14 Arrivals, Service Continuance, & Exits Distribution



Fiscal Year 14-15 Arrivals, Service Continuance, & Exits Distribution

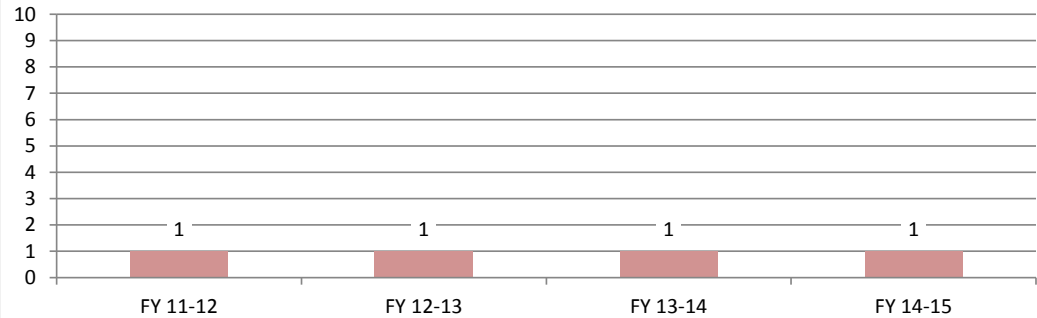


Time to Step Down Report: Current Children and Youth in Foster Care Stepping Down in SMHS Services Post Inpatient Discharge*

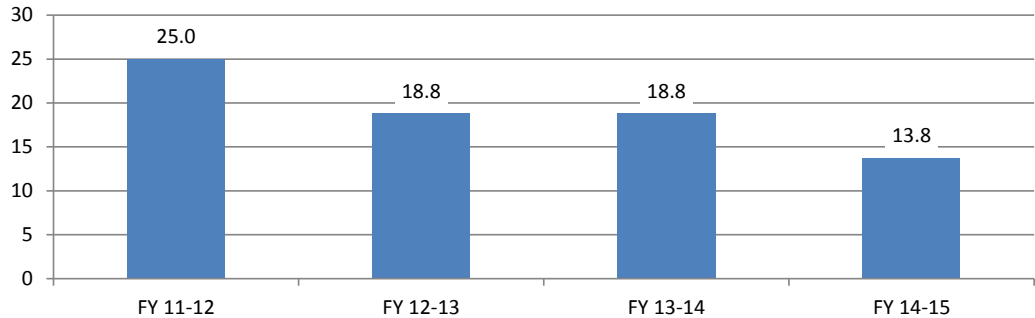
Sacramento County as of August 3, 2016

Service FY	Count of Inpatient Discharges with Step Down within 7 Days of Discharge	Percentage of Inpatient Discharges with Step Down within 7 Days of Discharge	Count of Inpatient Discharges with Step Down between 8 and 30 days of Discharge	Percentage of Inpatient Discharges with Step Down between 8 and 30 days of Discharge	Count of Beneficiaries with a Step Down > 30 Days from Discharge or No Step Down*	Percentage of Beneficiaries with a Step Down > 30 Days from Discharge or No Step Down*	Minimum Number of Days between Discharge and Step Down	Maximum Number of Days between Discharge and Step Down	Mean Time to Next Contact Post Inpatient Discharge (Days)	Median Time to Next Contact Post Inpatient Discharge (Days)
FY 11-12	144	70.9%	26	12.8%	33	16.3%	0	365	25.0	1
FY 12-13	199	77.4%	19	7.4%	39	15.2%	0	365	18.8	1
FY 13-14	163	74.1%	26	11.8%	31	14.1%	0	365	18.8	1
FY 14-15	103	69.1%	18	12.1%	28	18.8%	0	365	13.8	1

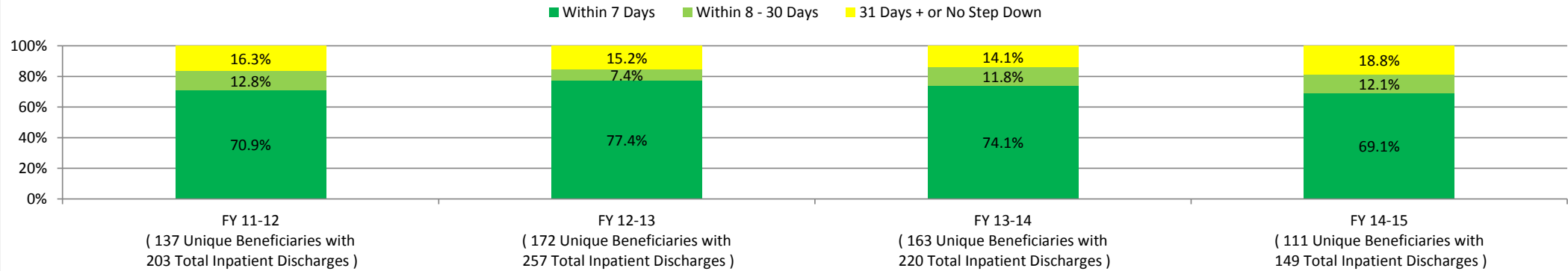
Median Time Between Inpatient Discharge and Step Down Service in Days



Mean Time Between Inpatient Discharge and Step Down Service in Days



Percentage of Discharges by Time Between Inpatient Discharge and Step Down Service



* **No Step Down** is defined as no Medi-Cal eligible service was claimed through Short-Doyle/Medi-Cal after a claimed inpatient service was billed with a discharge date. This category may include data currently unavailable to DHCS, such as beneficiaries that were moved to a community-based program or beneficiaries that were incarcerated.