

California Department of Health Care Services (DHCS), Newborn Hearing Screening Program (NHSP)

Technical Requirements Specification Electronic Data Transmission (EDT) Version 2.82



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# 1 Introduction

Electronic Data Transmission (EDT) is the interface that allows for the remote entry of data into the California iCMS Hearing Database Management System (DMS). Hospitals can use EDT to transmit demographic data, risk factor data, and hearing result data from screening devices. This document focuses on the technical requirements necessary for a hospital to configure EDT in order to transmit data from authorized hospitals to the California Newborn Hearing Screening Program (NHSP) DMS.

### 1.1. <u>Purpose</u>

This document describes the various processes (also referred to as tasks) and the interfaces to the NHSP DMS. This document is intended primarily for the developers and reviewers of the interfaces project.

### 1.2. <u>Scope</u>

The processes and interfaces defined in this document apply to the interface between the hospitals Health Information System (HIS) and the California NHSP DMS.

# 2. Definition of Terms

The following terms shall be used throughout this document.

### Table 1 - Acronym/Term Definitions

Acronym	Definition
ADT	Admission, Discharge and Transfer. An HL7 message type is used to broadcast patient demographic data, specifically data related to patient admission and registration.
DMS	The California iCMS Hearing Screening Database Management Service. This is the system that will capture data on newborn hearing screening from hospitals and allow the state to manage the data according to state program guidelines.
HIS	Hospital Information System. General term for patient data management applications running at the hospital.
HL7	Health Level Seven. An ANSI (American National Standards Institute) standard messaging protocol used for medical and health related data processing systems
Temporary Table (aka Holding file)	Temporary Table at the State that "holds" data sent via HL7. Interface software will process data as needed to process electronic submission.
iCMS	The name for the software that the state is employing for this project. It stands for (i)nternet CMS or Case Management System.
MRN	Medical Record Number. A method to identify patient and patient information within a health care organization. The number is unique only to specific organization that generated the number. It is not unique across hospital networks.
MSH	Message Header Segment. This segment is a mandatory HL7 segment that includes mandatory information for the data transmission.

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Acronym	Definition		
ORC	Common Order Segment. This segment is used to place an order for newborn hearing screening results to the DMS.		
ORU	Observational Report, Unsolicited. An HL7 message type used to broadcast a data report.		
PID	Patient Identification Segment. This segment is used to retrieve patient demographics sent by the HIS.		
RDE	Remote Data Entry – web application that allows hospitals and clinics to enter demographic data at the bedside and send to the DMS.		
SRV	Secure Remote Viewer. Web application that allows hospitals and clinics to view Mailer images from the system.		
Task	A sequence of operations that executes independently of other tasks. Each task runs in its own data and code space, and is scheduled to run by the operating system.		

# 3. Development Methodology & Environment

This software was designed as an event-driven, object oriented system. The software was developed under the Windows .NET environment. The software is web-based and will run on standard web browsers. The EDT interface supports three types of data transmissions: demographic data, results from a hearing screening device, and risk factors. These data types are defined later in the document. The DMS supports HL7 messaging for demographic data, risk factor data, and file uploads from screening devices

### 3.1. Desktop Requirements

The following is a listing of general product functionality that will be incorporated in all application modules.

### 3.1.1 Browser Support

Web Components will be fully compatible with IE6 and IE7, IE8 and Firefox v3.x, v4.x and v5.x. If the end-user launches the RDE form from a non-supported browser, messaging will appear explaining that the browser (and/or version) is not supported, and will list the recommended browser options.

3.1.2 Windows Support

System must run on Windows 2000, Windows 7, XP, or Vista operating systems.

### 3.2. Languages, Tools and Standards

The HL7 interface is compatible with version 2.6 of the HL7 standard. Uploads from hearing screening devices require the device to output either HiTrack or Algo5 XML formats.

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### 3.3. Development Tools & Languages

The HL7 interface is written with and tested using the following tools (these are for development purposes only, and are not required to reside on the target machine to interface with the deployed software):

- Microsoft Visual Studio
- Borland Delphi
- Interfaceware Iguana
- Interfaceware Chameleon
- Microsoft SQL Server
- C#, Ajax, ASP.Net, Active X and Javascript

### 3.4. Environment – Data Transport

For the HL7 interface the following is acceptable to transmit data:

- SSL connection between the HIS and DMS via Security Certificates
- Secure FTP interface between the HIS and DMS

Device uploads are done through a file upload screen in the DMS.

### 3.5. Hospital Network – Screening Device Uploads

In order to transmit data into the DMS from hearing screening device equipment, a hospital must ensure that the device files are in the appropriate format (HiTrack or Algo5 XML) and are able to be accessed by the workstation that will be uploading to the DMS website.

### 3.6. Hospital Network – HL7

It is expected that each hospital group that wants to send data to the state program will be responsible for configuring the HIS to send and receive data to the HL7 interface. There are several different approved versions of the HL7 messaging standard; this interface will be configured to process version 2.6 messages or earlier. The following messages will be processed by the state program HL7 interface:

### Table 2 - Message types supported by interface

Message Event	Meaning		Туре
ORU^R01	Unsolicited transm Observation Messag	an	Incoming to DMS

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ADT^A01	Admit a patient	Incoming to DMS
ADT^A08	Update Patient information	Incoming to DMS

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# 4. EDT Configuration Process

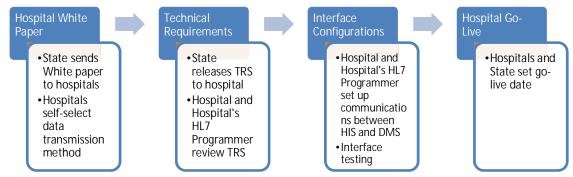


Figure 1 - EDT Configuration Process

The DMS will accept data electronically from hospitals. Some hard copy reports will be required for a period of time, and some ongoing QA reports will be necessary to verify all infants have been entered. The process has several pieces:

### 4.1. Frequently Asked Questions Document

Hospitals in the state have received a document that addressed several frequently asked questions regarding the upcoming implementation of the NHSP DMS. Based on individual hospital review, each hospital was asked to report their intention for data transmission.

### 4.2. <u>Technical Requirements Specifications</u>

All hospitals have received a previous version of this document (Technical Requirements Specification (TRS) V2.5). The current document (TRS 2.7) replaces all previous versions of the TRS and should be used to assist EDT hospitals in the interface configuration. Neometrics will work with hospital staff to review EDT protocols and set dates for transmission tests.

### 4.3. HL7 Interface Configuration

Neometrics will work with the hospitals to configure the HL7 interface. Hospitals send test messages that are validated against the specifications. The HL7 interface is tuned so that messages can be sent when the project goes live.

### 4.4. Beta Launch with Parallel Testing

Hospitals electing EDT configuration will work with Neometrics on sending a series of messages as defined in the TRS to run in parallel with the current process for submitting data for a period of four weeks.

### 4.5. Hospitals Go-Live

DHCS and Neometrics will work with hospitals to achieve their go live dates. Each hospital will be notified by the state of their specific go live date and all steps which will need to be taken in order to prepare for go-live as well as ongoing support procedures once they are live.

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# 5. Data Profiles for the State Program

Three types of data can be electronically submitted to the DMS: demographic, in-patient hearing screen results and risk factors for hearing loss. Submitting hospitals have options when providing data to the state program. These options are displayed in Figure 2:

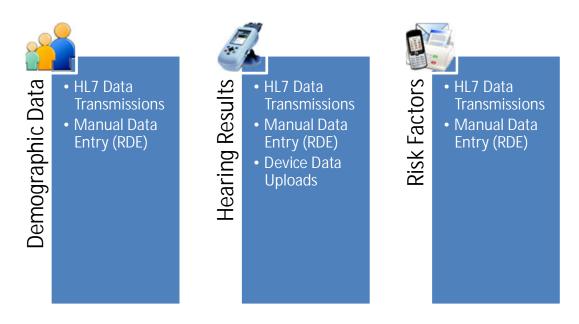


Figure 2 - Transmission Options

The following sections detail the options for each data type to help submitting hospitals determine the proper data submission methods.

# 5.1. Data Transmission Selection Overview



Is there a PC					apable of:		
with internet access in your nursery?	Export data?	Capture required demographic fields?	Sending admission data? (ADT)	Loading screening results from the device	Transmitting screening data (ORU)	Your Transmission mode	Corresponding Section in Document

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Is there a PC		our screening device?	Is the h	the hospital HIS capable of:			
with internet access in your nursery?	Export data?	Capture required demographic fields?	Sending admission data? (ADT)	Loading screening results from the device	Transmitting screening data (ORU)	Your Transmission mode	Corresponding Section in Document
Yes	No	No	No	No	No	Manual	<u>Manual Data</u> Entry Process
Yes	Yes	No	No	No	No	Semi Automatic (Upload)	<u>Semi-Automatic</u> <u>Process</u>
Yes	Yes	Yes	No	No	No	Semi Automatic (single, batch)	<u>Semi-Automatic</u> Process
Yes	No	No	Yes	No	No	Semi- Automatic (EDT)	<u>Semi-Automatic</u> <u>Process</u>
Yes	Yes	Yes	Yes	No	No	Semi- Automatic (EDT)	<u>Semi-Automatic</u> <u>Process</u>
Yes	Yes	Yes	Yes	Yes	Yes	Fully Automatic (batch,HL7)	Fully Automatic Process

### 5.2. Manual Data Entry Process

The manual process is designed for hospitals that do not have HIS systems, or are not yet set up to transmit data electronically. The DMS provides web-based modules that hospitals use to transmit data. Once the data is gathered, hospital staff uses the web-based modules to manually enter the demographic data for every baby born – as well as subsequent hearing screening result information into the state system. The flow is shown below:

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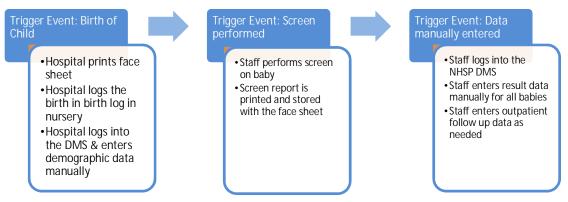


Figure 3 - Manual Data Entry Process

# **Transmission Mode: Manual**

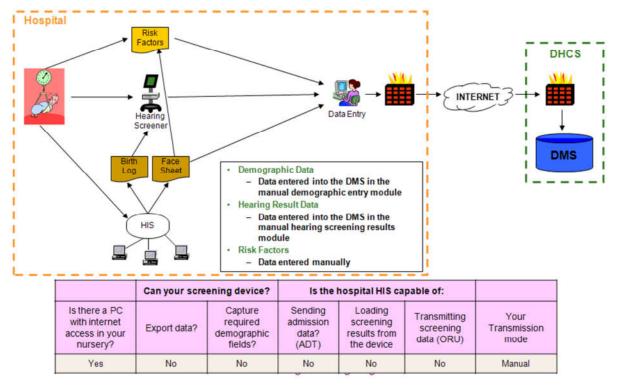


Figure 4 - Manual Data Entry Process

# 5.3. Semi-Automatic Process

The semi-automatic process is when the demographic data or inpatient screening results are sent to the DMS via an EDT interface. The inpatient screening results can be manually entered via DMS web-based modules or with an upload of files exported from hearing screening devices. After

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admitting the baby, the hospital transmits the demographics to the state. This data is stored in a temporary table at the state until the inpatient screen results are submitted. The flow is shown below:

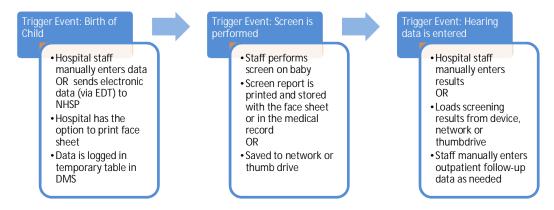
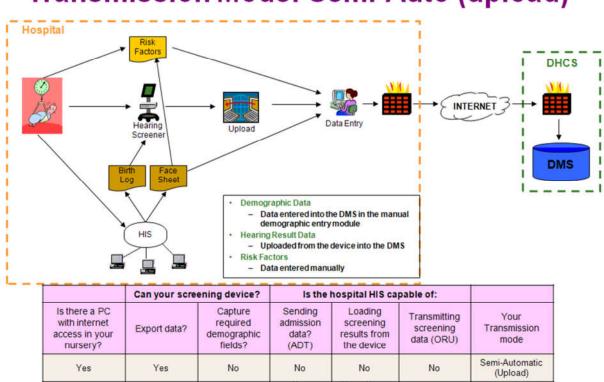


Figure 5 - Semi-Automatic Data Entry Process

There are several Semi-Automatic transmission options available: Upload, Single, Batch, and EDT.



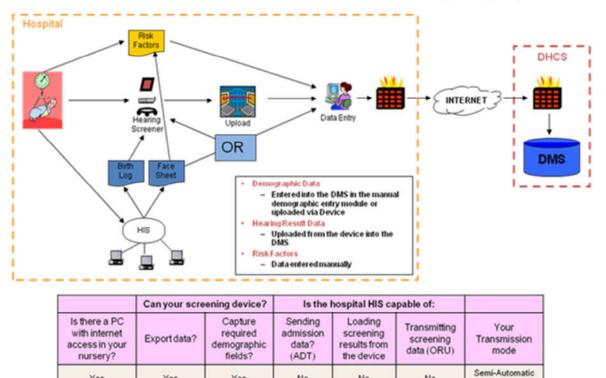
Transmission Mode: Semi-Auto (upload)

Figure 6 - Semi-Automatic Data Entry Process - Upload

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# Transmission Mode: Semi-Auto (single)



No

No

No

(single, batch)

Figure 7 - Semi-Automatic Data Entry Process - Single

Yes

Yes

Yes

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# Transmission Mode: Semi-Auto (batch)

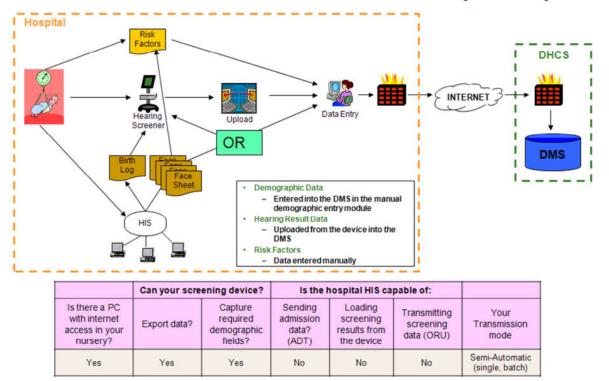
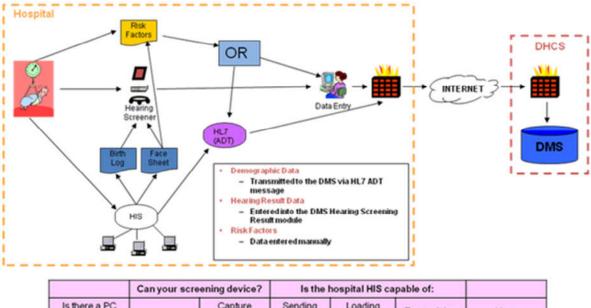


Figure 8 - Semi-Automatic Data Entry Process - Batch

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# Transmission Mode: Semi-Auto (EDT)

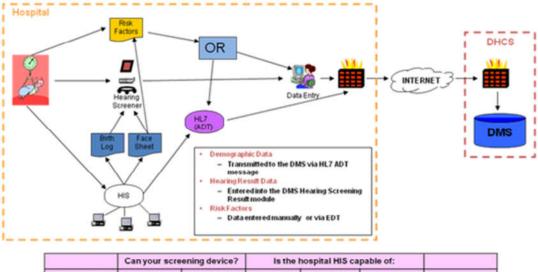


	Can your scre	ening device?	Is the I	hospital HIS ca	pable of:	
Is there a PC with internet access in your nursery?	Export data?	Capture required demographic fields?	Sending admission data? (ADT)	Loading screening results from the device	Transmitting screening data (ORU)	Your Transmission mode
Yes	No	No	Yes	No	No	Semi-Automatic (EDT)

Figure 9 - Semi-Automatic Data Entry Process – EDT

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# Transmission Mode: Semi-Auto (EDT)



	Can your scre	ening device?	Is the hospital HIS capable of:			
Is there a PC with internet access in your nursery?	Export data?	Capture required demographic fields?	Sending admission data? (ADT)	Loading screening results from the device	Transmitting screening data (ORU)	Your Transmission mode
Yes	No	No	Yes	No	No	Semi-Automatic (EDT)

Figure 10 - Semi-Automatic Data Entry Process – EDT

# 5.4. Fully Automatic Process

The data are received by the state program and placed in a temporary table, (baby is searchable via the search screen in the case management module on the website) pending receipt of the hearing screening result data. Hearing screening result data are transmitted via an updated electronic data transmission (ORU) or device upload. The flow is shown below:

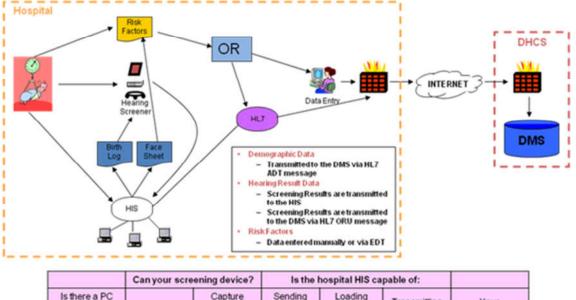


Figure 11 - Fully Automatic Data Entry Process

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# Transmission Mode: Fully Auto (HL7)



	Can your screening device?		is the hospital MIS capable of:			
Is there a PC with internet access in your nursery?	Export data?	Capture required demographic fields?	Sending admission data? (ADT)	Loading screening results from the device	Transmitting screening data (ORU)	Your Transmission mode
Yes	Yes	Yes	Yes	Yes	Yes	Fully Automatic (batch,HL7)

Figure 12 - Fully-Automatic Data Entry Process – HL7

# 6. Demographic Data Entry

The state program system requires demographic data to be submitted by submitting hospitals. Submitting hospitals have the option of sending data via

- 1. HL7 data transmissions
- 2. Using the Demographic Entry Module on the Website
- 3. Uploading data from a hearing screening device in the approved file format

Demographic data are gathered on the newborn and grouped by type of data. The four groups are:

- Patient Information
- Mother Information
- Legal Guardian Information

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### • Submitter Information

The chart below lists the minimum demographic fields required for all infants. Additional data elements are needed for infants who are transferred, refer on screening, or are missed. Later sections of the document define the HL7 grammar that is used to transmit data.

### Table 4 - Patient Information

Available Fields	Field Type	Required	Validation	Notes
NHSP Number	Text		Auto-Generated by System	
Medical Record Number	Text	Yes		
Last Name	Text	Yes		
First Name	Text	Yes		
AKA Last Name	Text			
AKA First Name	Text			
Date of Birth	Date	Yes	Valid Date, not after current date	
Gestational Age in Weeks	Number			
Multiple Birth Order	List Value			Not Multiple Birth (Default) First Multiple Second Multiple Third Multiple Fourth Multiple Sixth Multiple Seventh Multiple Eighth Multiple
Gender	List Value	Yes		Male Female Unknown
Ethnicity	List Value			Hispanic American Indian Asian Black Pacific Islander White Other

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Available Fields	Field Type	Required	Validation	Notes
				Unknown Two or more races Refused to answer
Acuity	List Value	Note: Required for patients that require follow- up		WBN (default), NICU
Unit	List Value	Note: Required for patients that require follow- up		NICU PICU Other
Non-Nursery Unit	Text			
Insurance Type	List Value			(Default to Blank) Medi-Cal Healthy Families HMO Private Not Insured Unknown

# Table 5 - Mother's Information

Available Fields	Field Type	Required	Validation	Notes
Mother's Last Name	Text		N/A	
Mother's First Name	Text			
Street Address	Text			
Zip code	Number		Validate against table	
City	Text		Populated with Zip code	
State	Text		Validate against table	Default to CA
County of Residence	Library Value		Validate against database	Auto populate based on Zip Code
Country of Residence	List			U.S. Mexico Other

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Available Fields	Field Type	Required	Validation	Notes
Home Phone	Text			
Cell Phone	Text			
Work Phone	Text			
Work Extension	Text			
Email	Text			
Primary Language	List			English(Default) Spanish Cambodian Chinese Farsi Hmong Korean Laotian Russian Vietnamese Other
Mother is Legal Guardian	Boolean			

# Table 6 – Legal Guardian

Available Fields	5	Field Type	Required	Validation	Notes
Legal Guardiar Name	n Last	Text		N/A	
Legal Guardiar Name	n First	Text			
Relationship		List			Mother (Default) Father, Aunt Uncle Grandparent Sibling Other Other Relative Adoptive Parent Foster parent Cousin Friend Social Worker

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Available Fields	Field Type	Required	Validation	Notes
				Interpreter Child Protective Services Residential Healthcare Facility
				None.
Street Address	Text			
Zip code	Number		Validate against table	
City	Text		Populated with Zip code	
State	Text		Validate against table	Default to CA
County of Residence	Library Value		Validate against database	Auto populate based on zip code
Country of Residence	Library Value			U.S. (Default)Mexico Other
Home Phone	Text			
Cell Phone	Text			
Work Phone	Text			
Work Extension	Text			
Email	Text			
Primary Language	List			English (Default) Spanish Cambodian Chinese Farsi Hmong Korean Laotian Russian Vietnamese Other

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# Table 7 - Submitter Information

Available Fields	Field Type	Required	Validation	Notes
Submitter ID	Library Value	Yes	Submitter Lookup capabilities	This field will populate for Hospital Users

# Table 8 - Birth Hospital Information

Available Fields	Field Type	Required	Validation	Notes
Birth Hospital ID	Library Value	Yes	Birth Hospital Lookup capabilities	
County of Birth	Library Value	Yes	Auto-populated	This field will populate based on Birth Hospital Selected

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# 7. Hearing Results Entry

# 7.1. Hearing Results Data Fields

The DMS requires data from the Inpatient Hearing Screen be entered in the system. These data may be entered in one of three ways:

- Manually
- Via upload of screener export file (Details provided in Section 13)
- Via HL7 transmission from HIS (Details provided in Section 10)

The following fields can be transmitted to the DMS;

Table 9 - Hearing Data Fields

Field Display	Field Type	Required	Validation	Notes
Medical Record No	Text	Yes		
Screen No	Text			Auto generated
Screen Type	List Value	Yes		'Inpatient' or 'Outpatient'
Date of Screening	Date	Yes	Greater than or equal to the date of birth	
Screening Provider	Library Value			
Result Right Ear	List Value	Yes		Missed Pass Refer Previously Passed No Show Rescheduled Cancel Refused > 6 months NMI Expired
Result Left Ear	List Value	Yes		Same as above
Screening Method Right Ear	List Value	Yes		ABR OAE N/A
Screening Method Left Ear	List Value	Yes		ABR OAE N/A
Screener	Text	Yes		
IP Malformation Right Ear	List Value			Atresia Microtia

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Field Display	Field Type	Required	Validation	Notes
				Both None
IP Malformation Left Ear	List Value			Atresia Microtia Both None
Date Screening Results Received	Date			Default to current date

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# 8. Risk Factor Entry

There are several risk factors for entry into the state program. The DMS supports manual entry and EDT submission of the following risk factors for hearing loss:

- Caregiver concern regarding hearing, speech, language, or developmental delay.
- Family history of permanent childhood hearing loss.
- Neonatal intensive care of more than 5 days or any of the following regardless of length of stay: ECMO, assisted ventilation, exposure to ototoxic medications (gentamicin and tobramycin) or loop diuretics (furosemide/Lasix), and hyperbilirubinemia that requires exchange transfusion.
- In utero infections, such as CMV, herpes, rubella, syphilis, and toxoplasmosis.
- Craniofacial anomalies, including those that involve the pinna, ear canal, ear tags, ear pits, and temporal bone anomalies.
- Physical findings, such as white forelock, that are associated with a syndrome known to include a sensorineural or permanent conductive hearing loss.
- Syndromes associated with hearing loss or progressive or late-onset hearing loss, such as neurofibromatosis, osteopetrosis, and Usher syndrome; other frequently identified syndromes including Waardenburg, Alport, Pendred, and Jervell and Lange-Nielson.
- Neurodegenerative disorders, such as Hunter syndrome, or sensory motor neuropathies, such as Friedreich ataxia and Charcot-Marie-Tooth syndrome.
- Culture-positive postnatal infections associated with sensorineural hearing loss, including confirmed bacterial and viral (especially herpes viruses and varicella) meningitis.

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# 9. How will the hospitals send their HL7 messages to the State?

For testing, Hospital will be connecting to the State's test server. This is where we will be testing and correcting the HL7 messages. We will work with hospitals on fine tuning their messages and the data transport.

We will be using SFTP with key authentication. SFTP, or secure FTP, is a program that uses SSH to transfer files. It requires a user to have a password. In our environment we are also requesting Public key authentication to make the process more secure.

Data transport should be tested after the message has been fine-tuned and approved by Neometrics as being valid. A valid message is defined as one that follows the mandatory fields set in the Technical Requirements Specification EDT Document (TRS). If you have all the required fields in your document and the data are correctly mapped as per the TRS, we can then continue on to testing the data transport. That is sending your HL7 message to the Test Environment.

Here are the steps:

- a. We need your hospital Public IP, the one your SFTP client will be connecting from. We will then add this IP to our firewall to allow your IP to access the State's Test Server.
- b. We will provide you with the IP and port to connect to:

IP: 209.34.67.7

Port: 2010

c. We will need a public key from each Hospital.. On your end you would generate a key pair, public/private keys. Once that is done, you will send us the Public Key. We will supply you with the User ID and Password for the SFTP server. Once you login via the User ID and Password, the server will authenticate against your key using the public key. In the Setup process, the first time that you are logging in, you will have to specify the location of that private key for your SFTP client.

If you successfully login, you should be able to view the folder and upload your file.

Please see the diagram below for more details:

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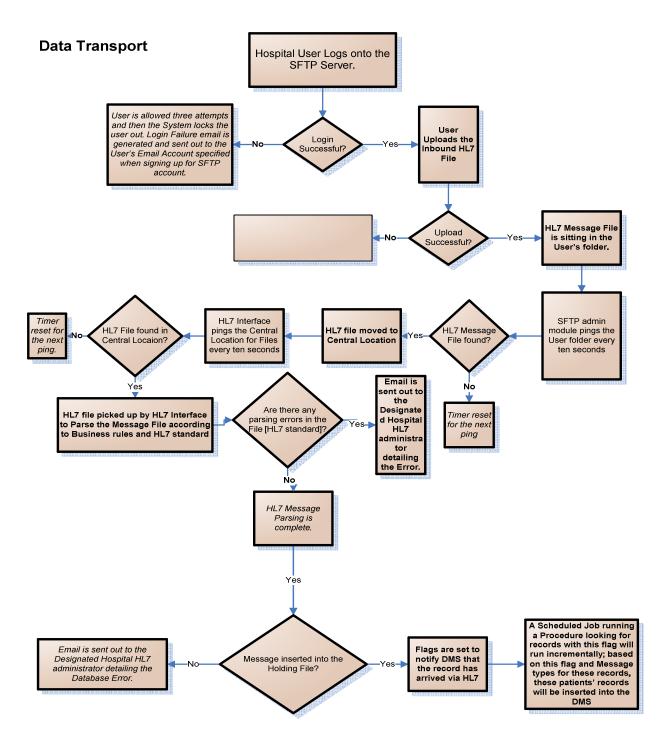


Figure 13 -- HL7 Data Transport System Architecture – Inbound Messaging

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# 10. DMS HL7 Interface

The HL7 Interface will be configured to receive messages from a static IP address to a Secure FTP service. The HIS must be configured to send HL7 messages to the DMS HL7 interface.

A high level diagram of the Interface process is shown in Figure 2. The Interface process extracts data from the HL7 ADT or ORU message segment. The extracted data is then placed in a temporary table for use by applications at the State.

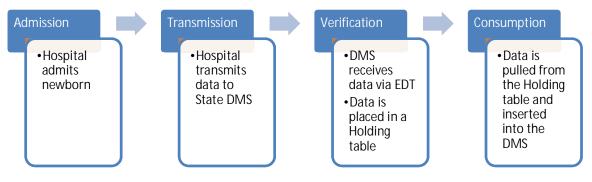


Figure 14 -- High level diagram of inbound messages to DMS

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# 10.1. <u>HL7 Message Grammar</u>

The HL7 interface accepts ORU and ADT messages using the following formats.

# 10.1. ORU Message Grammar

Segment	Description	Required?	Repeat?
MSH	Message Header	Yes	No
PID	Patient Identification	Yes	No
NK1	Next of Kin	No	Yes
PV1	Patient Visit	No	No
ORC	Order Details	No	No
OBR	Observation Request	Yes	Yes
ОВХ	Observation Result	Yes	Yes
ZCA	Custom Hearing Fields	No	No

# 10.2. ADT Message Grammar [A01, A08]

Segment	Description	Required?	Repeat?
MSH	Message Header	Yes	No
EVN	Event Code	No	No
PID	Patient Identification	Yes	No
NK1	Next of Kin	No	Yes
PV1	Patient Visit	No	No

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Segment	Description	Required?	Repeat?
ОВХ	Observation Result	No	Yes
ZCA	Custom Hearing Fields	No	No

# 10.2. Explanation of the Use of the OBX segment in the Newborn Hearing Screening HL7

### <u>Message</u>

- To be used for Data Elements that have no place in the HL7 standard Segments, like Gestational Age or Birth Weight
- Hearing Screening Results Data

# 10.2.1. OBX-3: List of Identifiers

OBX Identifiers are a list of Identifiers that can be sent via repeating OBX segments. They contain Demographic information for the Legal Guardian, the Baby and the Mother that is not covered in Standard HL7 Segments [i.e. Gestation Age, Acuity, etc]. It is information that you can choose to send via the NK1 or custom California Newborn Hearing Segment, ZCA. But if you don't want to put a new segment together, the ZCA, then you can choose to use repeating OBX segments.

OBX Identifier	Field Length	Valid Values	Description
INSURANCETYPE	1	<ol> <li>Medi-Cal</li> <li>Healthy Families</li> <li>HMO</li> <li>Private</li> <li>Not Insured</li> <li>Unknown</li> </ol>	Type of Insurance
ACUITY	1	1. WBN 2. NICU	Acuity of the Newborn
GESTAGE	2		Gestational Age in weeks
MOTHEREMAIL	50		Email Address of the Newborn's Mother
MOTHERCELL	10	i.e. 5559991111	Cell Phone for the Newborn's Mother

Table 10 - OBX Identifiers

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OBX Identifier	Field Length	Valid Values	Description
MOTHERLEGALGUAR DFLAG		1. YES 2. NO	Legal Guardian Indicator
LGEMAIL	50		Legal email address for legal guardian
LGCELL	10	i.e. 5559991111	Cell Phone Number for legal guardian
SCREEN_TYPE	5	00201 (Inpatient) 00202 (Outpatient)	Type of screen performed (Inpatient or Outpatient)
RESULT_RIGHT_EAR	1	<ul> <li>0. Missed</li> <li>1. Pass</li> <li>2. Refer</li> <li>3. No Show</li> <li>4. Rescheduled</li> <li>5. Cancel</li> <li>6. Refused</li> <li>7. &gt; 6 months</li> <li>8. NMI</li> <li>9. Expired</li> <li>A. Previously Passed</li> </ul>	Result for the right ear
RESULT_LEFT_EAR	1	<ul> <li>0. Missed</li> <li>1. Pass</li> <li>2. Refer</li> <li>3. No Show</li> <li>4. Rescheduled</li> <li>5. Cancel</li> <li>6. Refused</li> <li>7. &gt; 6 months</li> <li>8. NMI</li> <li>9. Expired</li> <li>A. Previously Passed</li> </ul>	Result for the left ear
METHOD_RIGHT	1	1. ABR	Right ear screening method

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OBX Identifier	Field Length	Valid Values	Description
		2. OAE	
		3. N/A	
METHOD_LEFT	1	1. ABR	Left ear screening method
		2. OAE	
		3. N/A	
MALFORM_RIGHT	1	1. Atresia	Inpatient Right Ear
		2. Microtia	Malformation
		3. Both	
		4. None	
MALFORM_LEFT	1	1. Atresia	Inpatient Left Ear
		2. Microtia	Malformation
		3. Both	
		4. None	
BABYUNIT	50		The nursery in the Hospital where baby is currently located
BABYNONNU	50		Non-Nursery Unit for the baby
RISKFACTOR01	1	1. YES	Caregiver concern regarding
		2. NO	hearing, speech, language, or developmental delay.
		3. NOT ENTERED	developmental delay.
RISKFACTOR02	1	1. YES	Family history of permanent
		2. NO	childhood hearing loss.
		3. NOT ENTERED	
RISKFACTOR03	1	1. YES	Neonatal intensive care of
		2. NO	more than 5 days or any of the following regardless of
		3. NOT ENTERED	length of stay: ECMO, assisted ventilation, exposure to ototoxic medications (Gentamicin and tobramycin) or loop diuretics (furosemide/Lasix), and

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OBX Identifier	Field Length	Valid Values	Description
			hyperbilirubinemia that requires exchange transfusion.
RISKFACTOR04	1	<ol> <li>YES</li> <li>NO</li> <li>NOT ENTERED</li> </ol>	In utero infections, such as CMV, herpes, rubella, syphilis, and toxoplasmosis.
RISKFACTOR05	1	<ol> <li>YES</li> <li>NO</li> <li>NOT ENTERED</li> </ol>	Craniofacial anomalies, including those that involve the pinna, ear canal, ear tags, ear pits, and temporal bone anomalies.
RISKFACTOR06	1	<ol> <li>YES</li> <li>NO</li> <li>NOT ENTERED</li> </ol>	Physical findings, such as white forelock, that are associated with a syndrome known to include a sensorineural or permanent conductive hearing loss.
RISKFACTOR07	1	<ol> <li>YES</li> <li>NO</li> <li>NOT ENTERED</li> </ol>	Syndromes associated with hearing loss or progressive or late-onset hearing loss, such as neurofibromatosis, osteopetrosis and Usher syndrome; other frequently identified syndromes include Waardenburg, Alport, Pendred, and Jervell and Lange-Nielson.
RISKFACTOR08	1	<ol> <li>YES</li> <li>NO</li> <li>NOT ENTERED</li> </ol>	Neurodegenerative disorders, such as Hunter syndrome, or sensory motor neuropathies, such as Friedreich ataxia and Charcot-Marie-Tooth syndrome.
RISKFACTOR09	1	<ol> <li>YES</li> <li>NO</li> <li>NOT ENTERED</li> </ol>	Culture-positive postnatal infections associated with sensorineural hearing loss, including confirmed bacterial and viral (especially herpes viruses and varicella)

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OBX Identifier	Field Length	Valid Values	Description
			meningitis.
BIRTHHOSPITALNPI	10		

## 10.3. <u>HL7 Message Examples</u>

10.3.1. Sample ADT Message using an OBX segment for Demographic Data

Here is an example of an ADT message that uses an OBX segment to send demographic information:

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# 10.3.2. Sample ORU message

MSH|^~\&|MEDITECH|IP0022|CAHEAR|CAHEAR|201004071153 08||ORU^R01|Q5555999910001|P|2.5 PID|1||23452345||NATUSBABY^GIRL|MAMA NATUSBABY|201003011400|2|NEOMETRICS^BABY|2|1001 MINERAL WELLS ST^^LOS ANGELES^CA^90210^1^^06039/06039/212-632-3912/212-333-6666|2|||1200543404^^^K0^FIN\_NBR||||1|||1|||| NK1|1|THOMAS^JACK|3|123 AVELYN LANE^^LOS ANGELES^CA^90210^US^^06039|212-555-1234|212-545-OBR 1 54111-0 Newborn Hearing Loss Panel|||20100419125701|||||||20100418111021||IP0006|||||||||F||||||||BOB|| OBX|1|ST|RESULT\_LEFT\_EAR|1|3||||||F| OBX|2|ST|RESULT\_RIGHT\_EAR|1|3||||||F| OBX|6|ST|MALFORM\_RIGHT|1|4||||||F| OBX|7|ST|MALFORM\_LEFT|1|4||||||F| OBR|2||54111-0<sup>Newborn</sup> Hearing Loss Panel|||20100418123201|||||||20100416111028||IP0006|||||||||F|||||||||STEV E||||| OBX|1|ST|RESULT\_LEFT\_EAR|2|1||||||F| OBX|2|ST|RESULT\_RIGHT\_EAR|2|1||||||F| OBX|3|ST|SCREEN\_TYPE|2|00202||||||F| OBX|4|ST|METHOD\_RIGHT|2|2|||||||F| OBX|5|ST|METHOD\_LEFT|2|2||||||F| OBX|6|ST|MALFORM\_RIGHT|2|4||||||F| OBX|7|ST|MALFORM\_LEFT|2|4||||||C| ZCA|1^1^NNU-NORTH6|32|MAMANATUSBABY@AOL.COM|212-777-8888|1|TESTER@AOL.COM|(516)-271-1475|2^2^2^2^2^2^2^2^2|IP0022|2|IP0022

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# 10.3.3. Sample ADT message

MSH|^~\&|MEDITECH|IP0006|CAHEAR|CAHEAR|201004071153 08||ADT^A01|Q5555999910001|P|2.5 EVN||201012011239||||| PID|1||480956^^^PHK||NATUSBABY^GIRL|MAMA NATUSBABY|201003011400|2|NEOMETRICS^BABY|2|1001 MINERAL WELLS ST^^LOS ANGELES^CA^90210^1^^06039/06039/212-632-3912/212-333-6666|2|||1200543404^^^K0^FIN\_NBR||||1|||0||||| NK1|1|THOMAS^JACK|3|123 AVELYN LANE^^LOS ANGELES^CA^90210^US^06039|212-555-1234|212-545-3214 ZCA|1^1^NNU-NORTH6|32|MAMANATUSBABY@AOL.COM|212-777-8888|1|TESTER@AOL.COM|(516)-271-1475|2^2^2^2^2^2^2^2^2/2|IP0006|2|IP0006

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# 10.4. <u>HL7 Message Mapping for Newborn Hearing Screening Message from Hospitals to</u> <u>DHCS</u>

#### 10.4.1. MSH – Message Header Mapping

Data from the message header are used to identify the sender of the message and the message type being processed as well as logging a Unique Messaging ID.

[Seg = Segment; Seq = Sequence/Position; Len = Allowable Character Length; OPT = Optionality (Is it required or not); DT = Data Type]

#### Table 11 - Message Header Mapping

HL7 Seg	HL7 Seq	HL7 Len	HL7 OPT	DHCS OPT	HL7 DT	DHCS DT	HL7 Element Name	DHCS Element Name	Example Value	Notes
MSH	1	1	R	R	ST	VARCHA R(255)	Field Separator		u u	Field Separator
MSH	2	4	R	R	ST	VARCHA R(255)	Encoding Characters		"^ ~ \ &"	Encoding Characters
MSH	3	227	0	0	HD	VARCHA R(255)	Sending Application	Sending Application	"EPIC"	
MSH	4	227	0	R	HD	VARCHA R(10)	Sending Facility	SUBMID	"IP0006"	This is an Internal CA DHCS Hospital Submitter ID# that has been assigned to every hospital in CA that will be submitting Hearing Screening Results and Baby Demographics. A translation table will be provided to groups. Individual hospitals will be provided their unique submitter id #.
MSH	5	227	0	0	HD		Receiving Application		"CAHEAR"	
MSH	6	227	0	0	HD		Receiving Facility		"CAHEAR"	
MSH	7	24	R	R	DTM	DATETI ME	Date/time of Message	Message Date Time	"20110203 091446"	YYYY[MM[DD[HH[mm[SS]]]]]
MSH	8	40	0	0	ST		Security			
MSH	9	15	R	R	MSG					
MSH	9.1	15	R	R	ID		Message Code		"ADT"	
MSH	9.2	15	R	R	ID	VARCHA R(255)	Trigger Event	Message Type	"A01"	

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MSH	10	199	R	R	ST	VARCHA R(255)	Message Control ID	Message ID	"12345678 9ABCD"	Needs to be a Unique Value each time sent by the Sending Facility
MSH	11	3	R	R	PT	VARCHA R(255)	Processing ID	Processing Mode	"T"	
MSH	12	60	R	R	VID	VARCHA R(255)	Version ID	Version_ID	"2.6"	

Sending Facility – This field has the identity of the sending facility, this matches the Submitting Hospital ID. The state program uses this value to associate data in the message to the submitter of the data. This will be a unique value assigned to each hospital. Please contact the HL7 Interface Administrator for your Hospital ID.

Date/Time of Message – This field is used to record the date and time a message is received.

Message Type – This field is used to determine the type of message that is being sent to the state program. As described in Table 2, the message types supported by the interface are: ADT^A01, ADT^A08 and ORU^R01.

Message Control ID – This field contains an identifier that uniquely identifies the message for the sending facility.

Processing ID – This field specifies the mode that this data is being applied. Valid values are:

Value	Description
Р	Production
D	Debugging
Т	Training

Version ID – This field contains the HL7 version ID used by the Submitting facility; which is used to match vocabulary for the incoming message. The value sent will be 2.x ("x" will vary based on the version used by the Submitter).

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### 10.4.2. <u>EVN – Event Type Segment Mapping</u>

As per the HL7 standard, "The EVN segment is used to communicate necessary trigger event information to receiving applications." The Segment is listed here because it is a required HL7 segment.

#### Table 13 - Event Type Mapping

HL7 Seg	HL7 Seq	HL7 Len	HL7 OPT	DHCS OPT	HL7 DT	DHCS DT	HL7 Element Name	DHCS Element Name	Example Value	Notes
EVN	1	3	0	0	ID	VARCH AR(50)	Event Type Code	EVENTTYPEC ODE	"A01"	
EVN	2	26	R	R	TS	DATETI ME	Recorded Date/Time	RECORDEDD ATETIME	"20110203 091446"	YYYY[MM[DD[HH[mm[SS]]]]].
EVN	3	26	0	0	TS	DATETI ME	Date/Time Planned Event	DATETIMEPL ANNEDEVENT	"20110203 091446"	YYYY[MM[DD[HH[mm[SS]]]]]
EVN	4	3	0	·			L			
EVN	5	250	0	0	XC N	VARCH AR(50)	Operator ID	OPERATORID	"DAN"	
EVN	6	26	0							
EVN	7	241	0							

Event Type Code – Same as MSH-9 Message Type.

Recorded Date/Time – This could be the system date/time this message was sent or triggered in the Hospital HIS.

Date/Time Planned Event – This field contains the date/time that the event is planned.

Operator ID - This field identifies the individual responsible for triggering the event.

10.4.3. PID – Patient Identification Mapping

This segment is used to Transmit Patient Demographics in the HL7 message.

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# Table 14 - Patient Identification Mapping

HL7 Seg	HL7 Seq	HL7 Len	HL7 OPT	DHCS OPT	HL7 DT	DHCS DT	HL7 Element Name	DHCS Element Name	Example Value	Notes
PID	1	4	0	0	SI	VARCHAR(2 55)	Set ID	·	"1"	
PID	2	20	0	0	СХ	VARCHAR(2 55)	Patient ID			
PID	3	250	R	R	сх		Patient Identifier List			
PID	3.1	250	R	R	ST	VARCHAR(1 7)	ID Number	MEDRECN O	"123456789A BCD"	
PID	4	20	0	0	ST		Alternate Patient ID			
PID	5	250	R	R	XPN		Patient Name			
PID	5.1	250	R	R	FN	VARCHAR(3 5)	Family Name	LNAME	"Smith"	
PID	5.2	250	R	R	ST	VARCHAR(2 0)	Given Name	FNAME	"Baby A"	
PID	6	250	0	0	XPN		Mother's Maiden Name			
PID	6.1	250	R	R	FN	VARCHAR(3 5)	Family Name	MLNAME	"Smith"	
PID	6.2	250	R	R	ST	VARCHAR(2 0)	Given Name	MFNAME	"MommyFirst"	
PID	7	24	0	R	DTM					
PID	7.1	24	0	R	DTM	DATETIME	Date /Time of Birth	BIRTHDT/BI RTHTM	"20110203091 446"	YYYY[MM[DD[ HH[mm[SS]]]]] : Value for Birth Date will be based on

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HL7 Seg	HL7 Seq	HL7 Len	HL7 OPT	DHCS OPT	HL7 DT	DHCS DT	HL7 Element Name	DHCS Element Name	Example Value	Notes
										the first 8 digits, 02/03/2011, the Birth Time will be based on [HH[mm]], 0914.
PID	8	1	0	R	IS	CHAR (1 Byte)	Administrat ive Sex	GENDER	"1"	Only Numerical Values will be accepted here. Refer to the User defined Tables for Values in the Document.
PID	9	250	0	0	XPN		Patient Alias			
PID	9.1	250	0	0	FN	VARCHAR(3 5)	Family Name	AKA Last Name	"SMITHY"	
PID	9.2	250	0	0	ST	VARCHAR(2 5)	Given Name	AKA First Name	"ALLEN"	
PID	10	705	0	0	CWE		Race			
PID	11	250	0	0	XAD		Patient Address			
PID	11.1	250	0	0	SAD	VARCHAR(5 )	Street Address	STREET	"20 MAIN STREET"	WE ARE CONCATENA TING THESE TWO FIELDS

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HL7 Seg	HL7 Seq	HL7 Len	HL7 OPT	DHCS OPT	HL7 DT	DHCS DT	HL7 Element Name	DHCS Element Name	Example Value	Notes
PID	11.2	250	0	0	ST		Other Designation	MSTREET	"APT#19A"	WHEN SAVING: SO IN THE DATABASE IT WOULD BE SAVED AS "20 MAIN STREET (APT#19A)"
PID	11.3	250	0	0	ST	VARCHAR(5 0)	City	MCITY	"LOS ANGELES"	
PID	11.4	250	0	0	ST	CHAR(2)	State or Province	MSTATE	"CA"	
PID	11.5	250	0	0	ST	VARCHAR(5 )	Zip or Postal Code	MZIPCODE	"90210"	
PID	11.6	250	0	0	ST	VARCHAR(5 0)	Country	MCOUNTR Y	"1"	
PID	11.9	250	0	0	IS	VARCHAR(5 )	County/Paris h Code	MCOUNTY	"06039"	Mother's Residence County
PID	12	4	0	0	IS	VARCHAR(5 )	County Code	BIRTHCOU NTY	"06039"	This is the Baby's Birth County, the county he was born in. This is the County that the Birth Hospital is in.
PID	13	250	0	Ο	XTN		Phone Number - Home			
PID	13.1	250	0	0	ST	VARCHAR(1 4)	Telephone Number AreaCode	MPHONEAR EA	"212"	

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HL7 Seg	HL7 Seq	HL7 Len	HL7 OPT	DHCS OPT	HL7 DT	DHCS DT	HL7 Element Name	DHCS Element Name	Example Value	Notes
PID	13.2	250	0	0	ST	VARCHAR(1 4)	Telephone Number	MPHONE	"555-1234"	
PID	14	250	0	0	XTN		Phone Number - Business			
PID	14.1	250	0	0	ST	VARCHAR(1 4)	Telephone Number AreaCode	MWORKAR EA	"212"	
PID	14.2	250	0	0	ST	VARCHAR(1 4)	Telephone Number	MWORK	"555-1234"	
PID	15	705	0	0	CE		Primary Language			
PID	15.1	705	0	0	ST	CHAR(1)	Identifier	MPRIMARY LANGUAGE	"2"	
PID	16	705	0	0	CE		Marital Status			These fields will be Ignored.
PID	17	705	0	0	CE		Religion			These fields will be Ignored.
PID	19	16	0	0	ST		SSN Number - Patient			These fields will be Ignored.
PID	18	250	0	0	СХ		Patient Account Number			These fields will be Ignored.

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HL7 Seg	HL7 Seq	HL7 Len	HL7 OPT	DHCS OPT	HL7 DT	DHCS DT	HL7 Element Name	DHCS Element Name	Example Value	Notes
PID	19	16	0	0	ST		SSN Number - Patient			These fields will be Ignored.
PID	20	25	0	0	DLN		Driver's License Number - Patient			These fields will be Ignored.
PID	21	250	0	0	СХ		Mother's Identifier			These fields will be Ignored.
PID	22	705	0	0	CE	CHAR(1)	Ethnic Group	ETHNICITY	"]"	
PID	23	250	0	0	ST	VARCHAR(3 0)	Birth Place	BIRTHHOS PITAL	"IP0006"	Instead of sending this value in ZCA segment, You can choose to send it here. Will only be R to send once. You can also send this value in an OBX segment using OBX Identifiers.
PID	24	1	0	0	ID		Multiple Birth Indicator			These fields will be Ignored.
PID	25	2	0	0	NM	CHAR(1)	Birth Order	TWIN	"1"	Only Numerical Values will be accepted here. Refer to the User defined Tables for Values in the Document.

Medical Record Number – This field contains the primary identifier used by the sending facility to identify a patient uniquely for a patient's lifetime.

First Name – This field contains the legal first name of the newborn.

Last Name – This field contains the legal last name of the newborn.

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Mother's First Name - This field contains the first name of the newborn's mother

Mother's Last Name - This field contains the last name of the newborn's mother

Birth Date/Birth Time – This field contains the birth date of the newborn and time of birth (time of birth is optional).

Gender – This field contains the newborn's gender. Valid values for this field are:

Table 15 - Gender

Value	Description
1	Male
2	Female
3	Unknown

AKA First Name – alternate first name for the newborn

AKA Last Name – alternate last name for the newborn

Street Address – This field contains the street address of the mother

City - This field contains the city of the mother

State - This field contains the state of the mother

Zip code – This field contains the zip code of the mother

Mother Country of Residence – Valid values are:

Table 16 - Country of Residence

Value	Description
1	US
2	Mexico
3	Other

Mother County of Residence – This is the county where the mother resides as per her address. FIPS (Federal Information Processing Standard) Codes are used for the County values. Refer to Table 17.

County of Birth – FIPS (Federal Information Processing Standard) Codes are used for the County values. Refer to Table 17.

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# Table 17 - County (FIPS) Codes

FIPS Value	County
06001	Alameda
06003	Alpine
06005	Amador
06007	Butte
06009	Calaveras
06011	Colusa
06013	Contra Costa
06015	Del Norte
06013	El Dorado
06019	Fresno
06021	Glenn
06023	Humboldt
06023	Imperial
06027	Inyo Kern
06029	
06031	Kings
06033	Lake
06035	Lassen
06037	Los Angeles
06039	Madera
06041	Marin
06043	Mariposa
06045	Mendocino
06047	Merced
06049	Modoc
06051	Mono
06053	Monterey
06055	Napa
06057	Nevada
06059	Orange
06061	Placer
06063	Plumas
06065	Riverside
06067	Sacramento
06069	San Benito
06071	San Bernardino
06073	San Diego
06075	San Francisco
06077	San Joaquin
06079	San Luis Obispo

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FIPS Value	County
06081	San Mateo
06083	Santa Barbara
06085	Santa Clara
06087	Santa Cruz
06089	Shasta
06091	Sierra
06093	Siskiyou
06095	Solano
06097	Sonoma
06099	Stanislaus
06101	Sutter
06103	Tehama
06105	Trinity
06107	Tulare
06109	Tuolumne
06111	Ventura
06113	Yolo
06115	Yuba
59599	OUT OF STATE
99999	OUT OF COUNTRY

Home Phone – This field contains the home phone number of the mother

Work Phone – This field contains the business phone number of the mother.

Primary Language – This field contains the primary language of the mother. Valid values for this field:

Table 16: Language

Value	Description
2	English
3	Spanish
4	Cambodian
5	Chinese
6	Farsi
7	Hmong
8	Korean
9	Laotian

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Value	Description
А	Russian
В	Vietnamese
Z	Other

Table 17: Ethnicity

# Ethnicity – This field contains the ethnicity of the newborn. Valid values for this field:

Value	Description
1	Hispanic
2	American Indian
3	Asian
4	Black
5	Pacific Islander
6	White
7	Other
8	Unknown
9	Two or more races
А	Refused to answer

Birth Place – The location of the baby's birth; i.e. "IP0006". Not looking for the actual name, but the DHCS hospital ID assigned to each one.

Birth Order – The field contains the Birth Order information for the newborn. Valid values for this field:

Table 18: Multiple Birth Order

Value	Description
0	Not a multiple
1	First Multiple
2	Second Multiple
3	Third Multiple
4	Fourth Multiple
5	Fifth Multiple
6	Sixth Multiple

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Value	Description
7	Seventh Multiple
8	Eighth Multiple

#### 10.4.4. NK1 – Next of Kin Mapping

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[Note: Required fields within are segment are only required if the segment is sent. If NK1 is sent, you must then send the required fields for that segment to be valid.]

The NK1 Segment holds the next of kin information for newborn. The Next of Kin segment can be used to transmit data pertaining to the legal guardian or the baby's parents. This is a required segment if the mother is not the legal guardian. The state program interface makes use of the Relationship field to determine if the data are related to the legal guardian, mother or father. The following data need to be transmitted to the state program:

#### Table 19: Next of Kin Mapping

HL7 Seg	HL7 Seq	HL 7 Len	HL7 OPT	DHCS OPT	HL7 DT	DHCS DT	HL7 Element Name	DHCS Element Name	Example Value	Notes
NK1	1	4	R	R	SI	CHAR(1 )	Set ID	NK1SETID	"1"	
NK1	2	250	0		XPN		Name			
NK1	2.1	250	0	R	FN	VARCH AR(50)	Family Name	LGLASTNAME	"SMITH"	
NK1	2.2	250	0	R	ST	VARCH AR(50)	Given Name	LGFNAME	"JACK"	
NK1	3	250	0		CE		Relations hip			
NK1	3.1	250	0	R	ST	VARCH AR(50)	Identifier	RELATIONSHIP	"3"	
NK1	4	250	0		XAD		Address			
NK1	4.1	250	0	R	SAD	VARCH AR(50)	Street Address	LGSTREET	"123 Main Street "	Will saved as "123 Main Street

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NK1	4.2	250	0	Ο	ST		Other Designati on		"Suite#200"	(Suite#200)
NK1	4.3	250	0	R	ST	VARCH AR(50)	City	LGCITY	"LOS ANGELES"	
NK1	4.4	250	0	R	ST	VARCH AR(50)	State or Province	LGSTATE	"CA"	
NK1	4.5	250	0	R	ST	VARCH AR(50)	Zip or Postal Code	LGZIP	"90210"	
NK1	4.6	250	0	0	ID	VARCH AR(50)	Country	LGCOUNTRY	"1"	
NK 1	4.7	25 0	0	0	ID		Addres s Type			
NK 1	4.8	25 0	0	0	ST		ST			
NK1	4.9	250	0	R	IS	VARCH AR(50)	IS	LGCOUNTY	"06039"	
NK1	5	250	0	0	XTN		Phone Number			
NK1	5.1	250	Ο	0	ST	VARCH AR(50)	Telephon e Number	TELEPHONENU MBER	"2126551234"	
NK1	5.2	250	0	0	ID	VARCH AR(50)	Telecom municati on Use Code	TELEPHONEUSE CODE	"PRN"	REFER TO HL7 TABLE 0201
NK1	5.3	250	0	0	ID	VARCH AR(50)	Telecom municati on Equipme nt Code	TELECOMEQUIP TYPE	"РН"	REFER TO HL7 TABLE 0202
NK1	5.4	250	0	0	ST	VARCH AR(50)	Email Address	EMAILADDRESS	MMOUSE@MI CKEYMOUSE CLUB.COM	
NK1	5.5	250	0	0	NM	FLOAT	Country Code	COUNTRYCODE	-	LEAVE BLANK IF USA
NK1	5.6	250	0	0	NM	FLOAT	Area/City Code	AREACITYCODE	<u>"212"</u>	
NK1	5.7	250	0	0	NM	FLOAT	Local Number	LOCALNUMBER	<u>"6551234"</u>	
NK1	5.8	250	0	0	NM	FLOAT	Extensio n	EXTENSION	-	You can send this information if you want to but we won't be pushing it into the DMS.
NK1	5.9	250	0	0	ST	VARCH AR(50)	Any Text	ANYTEXT		
NK1	5.10	250	0	0	ST	VARCH AR(50)	Extensio n Prefix	EXTENSIONPRE FIX		

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Ν	K1	5.11	250	0	0	ST	VARCH AR(50)	Speed Dial Code	SPEEDDIALCOD E	
N	K1	5.12	250	0	0	ST	VARCH AR(50)	Unformat ted Telephon e Number	UNIFORMATPHO NE	

NK1	6	250	0	0	XTN		Business Phone Number			
PID	6.1	250	0	0	ST	VARCHAR(14)	Business Phone Number AreaCode	BPHONEAREA	"212"	
PID	6.2	250	0	0	ST	VARCHAR(14)	Business Phone Number	BPHONE	"555- 1234"	
NK1	7	250	0	0	CE		Contact Role			
NK1	7	250	0	R	ST	CHAR(1)	Identifier	CONTACTROLE	"1"	Send a "1" if the demographic information in this segment was for a legal guardian. Send "0" otherwise.
NK1	20	250	0	0	CE		Primary Language			
NK1	20	250	0	0	ST	CHAR(1)	Identifier	PRIMARY_LANGUAGE	"2"	

Legal Guardian Last Name – This field contains the last name of the legal guardian

Legal Guardian First Name – This field contains the first name of the legal guardian

Next of Kin Relationship – This field is the indicator that identifies the relationship of the Next of Kin data being transmitted for the newborn. Valid values for this field:

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Table 20: Next of Kin Relationship

Value	Description
2	Mother
3	Father
4	Aunt
5	Uncle
6	Grandparent
7	Sibling
8	Other
9	Other Relative
А	Adoptive Parent
В	Foster Parent
С	Cousin
D	Friend
E	Social Worker
F	Interpreter
G	Child Protective Services
Н	Residential Healthcare Facility
I	None

Legal Guardian Street – This field contains the street address of the legal guardian

Legal Guardian City – This field contains the city of the legal guardian

Legal Guardian State – This field contains the state of the legal guardian

Legal Guardian Zip code – This field contains the zip code of the legal guardian.

Legal Guardian County – This field contains the county code of the legal guardian. FIPS (Federal Information Processing Standard) Codes are used for the County values. Refer to Table 17.

Legal Guardian Country – This field contains the country of the legal guardian. Refer to Table 16.

Legal Guardian Home Phone – This field contains the home phone number of the legal guardian.

Telecommunication Use Code – Please see the table below for valid values. The relevant values are in bold.

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# Table 21: Telecommunication use code

Value	Description
ASN	Answering Service Number
BPN	Beeper Number
EMR	Emergency Number
NET	Network (Email) Address
ORN	Other Residence Number
PRN	Primary Residence Number
VHN	Vacation Home Number
WPN	Work Number

Telecommunication Equipment Code – See the table below for valid values. The relevant values are in bold.

Table 22: Telecom	munication	equipment	type
	nuncation	equipment	ypc.

Value	Description
BP	Beeper
СР	Cellular Phone
FX	Fax
Internet	Internet address: use only if Telecommunication Use Code is NET
MD	Modem
PH	Telephone
TDD	Telecommunications Device for the Deaf
TTY	Teletypewriter
X.400	X.400 email address: use only if Telecommunication Use Code is NET

Email Address – Send the Legal Guardian email address here.

Area/City Code – Looking for only the area code here.

Local Number - i.e., "6514235"

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Legal Guardian Business Phone – This field contains the business phone for the legal guardian Legal Guardian Contact Role – Send a value of "1" if this is the legal guardian. If not, send "0". Legal Guardian Primary Language – This field contains the primary language for the legal guardian. Refer to Table 18.

# 10.4.5. PV1 – Patient Visit Segment Mapping

Table 23 – PV1 Segment Mapping

HL7 Seg	HL7 Seq	HL7 Len	HL7 OPT	DHCS OPT	HL7 DT	DHCS DT	HL7 Element Name	DHCS Element Name	Example Value	Notes
PV1	1	4	0	0	SI	varchar2 (50 Byte)	Set ID	SETID		
PV1	2	1	R	R	IS	varchar2 (50 Byte)	Patient Class	Patient Type	սլո	
PV1	3	80	0	0	PL		Assigned Patient Location	Nursery Unit		
PV1	3	80	0	0	IS	Varchar2(1 Byte)	Point of Care	Unit	"1"	

Patient Class – See the User Defined Table from the HL7 standard. For our purposes, send "I". [Note: this is a required segment for HL7. Use the PV1-3 field here or in the ZCA to submit Newborn Unit information. If not sending Newborn Unit information here, this segment is not required.]

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## User-defined Table 0004 - Patient Class

Value	Description	Comment	
E	Emergency		
1	Inpatient		
0	Outpatient		
P	Preadmit		
R	Recurring patient		
В	Obstetrics		
С	Commercial Account		
N	Not Applicable		
U	Unknown		

Assigned Patient Location – Nursery Unit for the baby.

Table 24 – Assigned Patient Location

Value	Description
1	NICU
2	PICU
3	Other

# 10.5. ORC – Common Order Segment Mapping

HL7 standard describes this segment as "used to transmit fields that are common to all orders."

Table 25 –	ORC se	ament	Mapping
		9	· P P - 3

HL7 Seg	HL7 Seq	HL7 Len	HL7 OPT	DHCS OPT	HL7 DT	DHCS DT	HL7 Element Name	DHCS Element Name	Example Value	Notes
ORC	1	2	R	R	ID	VARCHA R(255)	Order Control	ORDER_CO NTROL	"NW"	See HL7 defined table in the V 2.5 Standard for Values.
ORC	2	427	0	0	EI	VARCHA R(255)	Placer Order Number	PLACER_OR DER_NUMBE R	"ABCD1234 56789"	

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ORC	3	427	0	0	EI	VARCHA R(255)	Filler Order Number	FILLER_ORD ER_NUMBER	"ABCD1234 56789"	
ORC	4	22	0	0	EI	VARCHA R(255)	Placer Group Number	PLACER_GR OUP_NUMBE R	"ABCD1234 56789"	
ORC	5	2	0	0	ID	VARCHA R(255)	Order Status	ORDER_STA TUS	"IP"	

Order Control - This field will define the function of the order being placed. Valid values for this field:

Table 26: Order Control Options

Value	Description
NW	New order
СА	Cancel order
ХО	Order completed

Placer Order Number – This field contains the order number for the sending facility. This number will be sent back to the sending facility when the order is completed by the DHCS.

Filler Order Number – This number uniquely identifies the order for the receiving application.

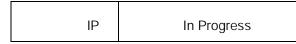
Placer Group # – This field allows an order placing application to group sets of orders together and subsequently identify them.

Order Status – This field contains the status of the order that was placed with DHCS. The default value is  $\ensuremath{\mathsf{IP}}$ 

Table 27: Order Status Options

Value	Description
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10.5.1. ZCA – Custom Segment – California State Newborn Hearing Screening Program

The following fields are requested by the Newborn Hearing Screening Program but are not yet captured by an existing HL7 Standard Segment. The HL7 Interface will be defining a custom 'Z' segment named ZCA.

This data can also be sent in an OBX segment. Please see section 10.2.1 in this document.

The ZCA Segment is defined as follows:

Table 28 – ZCA Custom Segment Mapping

HL7 Seg	HL7 Seq	HL7 Len	HL7 OPT	DHCS OPT	HL7 DT	DHCS DT	HL7 Element Name	DHCS Element Name	Example Value	Notes
ZCA	1	Custom	Custom	0	ST		Acuity			
ZCA	1.1	Custom	Custom	0	ST	CHAR( 1)	Acuity	NICU	"1"	
ZCA	1.2	Custom	Custom	0	ST	VARCH AR(50)	Unit	UNIT	"1"	
ZCA	1.3	Custom	Custom	0	ST	VARCH AR(50)	NNU	SPCONTACT	"NNU-6 NORTH"	
ZCA	2	Custom	Custom	0	ST	CHAR( 2)	Gestationa I Age	GESTATIONA L_AGE	"32"	
ZCA	3	Custom	Custom	0	ST	VARCH AR(50)	Mother Email	MEMAIL	ALEXISALLE N@AOL.COM	
ZCA	4	Custom	Custom	0	ST		Mother Cell Phone			

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HL7 Seg	HL7 Seq	HL7 Len	HL7 OPT	DHCS OPT	HL7 DT	DHCS DT	HL7 Element Name	DHCS Element Name	Example Value	Notes
ZCA	4.1	Custom	Custom	0	ST	VARCH AR(14)	Mother Cell Phone Area Code	MCELLAREA	"212"	
ZCA	4.2	Custom	Custom	0	ST	VARCH AR(14)	Mother Cell Phone	MCELL	"555-1234"	
ZCA	5	Custom	Custom	0	ST	CHAR( 1)	Mother Legal Guardian Flag	PGUARDIAN	"1"	
ZCA	6	Custom	Custom	Ο	ST	VARCH AR(50)	Legal Guardian Email	C_EMAIL	ALEXISALLE N@AOL.COM	
ZCA	7	Custom	Custom	0	ST		Legal Guardian Cell Phone			
ZCA	7.1	Custom	Custom	0	ST	VARCH AR(14)	Legal Guardian Cell Phone Area Code	CELLAREA	"212"	
ZCA	7.2	Custom	Custom	0	ST	VARCH AR(14)	Legal Guardian Cell Phone	CELL	"555-1234"	
ZCA	8	Custom	Custom	0	ST		Risk Factors			
ZCA	8.1	Custom	Custom	0	ST	CHAR( 1)	RF 01	RF_VALUE	"1"	Caregiver concern regarding hearing, speech, language, or developmental delay.
ZCA	8.2	Custom	Custom	0	ST	CHAR( 1)	RF 02	RF_VALUE	"1"	Family history of permanent childhood hearing loss.

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HL7 Seg	HL7 Seq	HL7 Len	HL7 OPT	DHCS OPT	HL7 DT	DHCS DT	HL7 Element Name	DHCS Element Name	Example Value	Notes
ZCA	8.3	Custom	Custom	Ο	ST	CHAR( 1)	RF 03	RF_VALUE	"1"	Neonatal intensive care of more than 5 days or any of the following regardless of length of stay: ECMO, assisted ventilation, exposure to ototoxic medications (Gentamicin and tobramycin) or loop diuretics (furosemide/Lasix), and hyperbilirubinemia that requires exchange transfusion.
ZCA	8.4	Custom	Custom	0	ST	CHAR( 1)	RF 04	RF_VALUE	"1"	In utero infections, such as CMV, herpes, rubella, syphilis, and toxoplasmosis.
ZCA	8.5	Custom	Custom	0	ST	CHAR( 1)	RF 05	RF_VALUE	"1"	Craniofacial anomalies, including those that involve the pinna, ear canal, ear tags, ear pits, and temporal bone anomalies.
ZCA	8.6	Custom	Custom	Ο	ST	CHAR( 1)	RF 06	RF_VALUE	"1"	Physical findings, such as white forelock, that are associated with a syndrome known to include a sensorineural or permanent conductive hearing loss.

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HL7 Seg	HL7 Seq	HL7 Len	HL7 OPT	DHCS OPT	HL7 DT	DHCS DT	HL7 Element Name	DHCS Element Name	Example Value	Notes
ZCA	8.7	Custom	Custom	0	ST	CHAR( 1)	RF 07	RF_VALUE	"1"	Syndromes associated with hearing loss or progressive or late-onset hearing loss, such as neurofibromatosis, osteopetrosis, and Usher syndrome; other frequently identified syndromes include Waardenburg, Alport, Pendred, and Jervell and Lange-Nielson.
ZCA	8.8	Custom	Custom	0	ST	CHAR( 1)	RF 08	RF_VALUE	"1"	Neurodegenerative disorders, such as Hunter syndrome, or sensory motor neuropathies, such as Friedreich ataxia and Charcot-Marie- Tooth syndrome.
ZCA	8.9	Custom	Custom	0	ST	CHAR( 1)	RF 09	RF_VALUE	"1"	Culture-positive postnatal infections associated with sensorineural hearing loss, including confirmed bacterial and viral (especially herpes viruses and varicella) meningitis.
ZCA	9	Custom	Custom	0	ST	VARCH AR(10)	Submitter Informatio n	SUBMID	"IP0006"	THIS SHOULD BE THE SAME VALUE AS THE ONE SENT IN MSH4.
ZCA	10	Custom	Custom	0	ST	CHAR( 1)	Insurance Type	INSURANCE_ TYPE	"2"	
ZCA	11	Custom	Custom	0	ST	VARCH AR(30)	Birth Hospital	BIRTHHOSPI TAL	"IP0006"	THIS SAME VALUE CAN BE SENT VIA AN OBX OR BETTER YET, IN PID 23

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Acuity – This field contains the acuity of the patient valid values are listed below:

Table 29 - Acuity

Value	Description
1	WBN
2	NICU

Unit - Baby's unit in the Hospital

Table 30 - Unit

Value	Description
1	NICU
2	PICU
3	Other

Non-Nursery Unit – If Other is selected in Unit, please send the exact location in this field.

Gestational Age – This field contains the number in weeks of the gestational period for this patient.

Mother's Email Address – This field contains the email address for the newborn's mother.

Mother's Cell Phone - This field contains the cell phone number for the newborn's mother

Mother's Legal Guardian Flag – This field contains an indicator that identifies the mother as the legal guardian. Valid Values are listed below:

Table 31 - Mother's Legal Guardian Flag

Value	Description
0	Mother is not the legal guardian
1	Mother is the legal guardian.

Legal Guardian Email Address - This field contains the email address of the legal guardian. Legal Guardian Cell Phone Number - This field contains the cell phone number of the legal guardian.

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#### Table 32 - Risk Factor

Value	Description
1	Yes
2	No
3	Not Entered

Risk Factor 01 - Caregiver concern regarding hearing, speech, language, or developmental delay.

Risk Factor 02 - Family history of permanent childhood hearing loss.

Risk Factor 03 - Neonatal intensive care of more than 5 days or any of the following regardless of length of stay: ECMO, assisted ventilation, exposure to ototoxic medications (Gentamicin and tobramycin) or loop diuretics (furosemide/Lasix), and hyperbilirubinemia that requires exchange transfusion.

Risk Factor 04 - In utero infections, such as CMV, herpes, rubella, syphilis, and toxoplasmosis.

Risk Factor 05 - Craniofacial anomalies, including those that involve the pinna, ear canal, ear tags, ear pits, and temporal bone anomalies.

Risk Factor 06 - Physical findings, such as white forelock, that are associated with a syndrome known to include a sensorineural or permanent conductive hearing loss.

Risk Factor 07 - Syndromes associated with hearing loss or progressive or late-onset hearing loss, such as neurofibromatosis, osteopetrosis, and Usher syndrome; other frequently identified syndromes include Waardenburg, Alport, Pendred, and Jervell and Lange-Nielson.

Risk Factor 08 - Neurodegenerative disorders, such as Hunter syndrome, or sensory motor neuropathies, such as Friedreich ataxia and Charcot-Marie-Tooth syndrome.

Risk Factor 09 - Culture-positive postnatal infections associated with sensorineural hearing loss, including confirmed bacterial and viral (especially herpes viruses and varicella) meningitis.

Submitter ID # – Submitting Hospital ID# -- an internal number in the DHCS number that will be provided to the hospitals.

Insurance Type – Patient Insurance type. Below is a table with valid values that should be sent.

Table 33 - Insurance Type

Value	Description
1	Medi-Cal

Project:	California iCMS Hearing Project	Version:	2.82
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2	Healthy Families
3	НМО
4	Private
5	Not Insured
6	Unknown

Birth Hospital ID # – Birth Hospital ID number –Will be provided to the Hospitals. In rare cases the Birth Hospital will not be the same as Submitting Hospital, and the Birth Hospital ID will be different from the submitter ID. A list of all CA hospitals with their ID # will be provided to each hospital.

10.5.2. OBR – Observation Request segment to be Sent with ORU Result Messages

Used to send data about the observation details pertaining to the screening results that are being sent as part of this message. This is a required segment when sending results.

HL7 Seg	HL7 Seq	HL7 Len	HL7 OPT	DHCS OPT	HL7 DT	DHCS DT	HL7 Element Name	DHCS Element Name	Example Value	Notes
OBR	1	4	0	0	SI	VARCHAR( 255)	Set ID	SETID		
OBR	2	427	0	0	EI	VARCHAR( 255)	Placer Order Number	PLACEROR DERNUMBE R		
OBR	3	427	R	R	EI	VARCHAR( 255)	Filler Order Number	FILLER_OR DER_NUMB ER		
OBR	4	705	R	R	CW E		Universal Service Identifier			
OBR	4.1	705	R	R	ST	VARCHAR( 255)	Identifier	Universal_Se rvice_Idenitifi er	54111-0	
OBR	4.2	705	R	R	ST	VARCHAR( 255)	Text	Procedure_N ame	Newborn Hearing Loss Panel	
OBR	5	2	0	0	ID	VARCHAR( 255)	Priority			
OBR	6	24	0	0	DT M	VARCHAR( 255)	Requested Date/Time			

Table 34 - OBR Mapping

Project: California	a iCMS Hearing Project	Version	2.82
Document: Technica	al Requirements Specification	Date:	07/18/2011
Electroni	c Data Transmission (EDT)		

OBR	7	24	R	R	DT M		Observatio n Date/Time			
OBR	7.1	24	0	R	DT M	DATETIME	Time	SCREENDA TE	"20110203091446"	
OB R	14	26	0	R	TS		Specimen Received Date/Time			
OB R	14.1	26	0	R	DTM	DATETIME	Time	RCVD_DAT E	"20110203091446"	
OB R	16	250	0	R	XCN		Ordering Provider			
OB R	16.1	250	0	R	ST	VARCHAR (50)	ID Number	SCRN_PRO VIDERID	"IP0006"	
OB R	25	1	0	R	ID	VARCHAR (50)	Result Status	RESULT_ST ATUS	"F"	
OB R	34	200	0	R	NDL		Technician	SCREENER	"JamieS"	

Placer Order Number – Optional order number. Included for future compatibility.

Filler Order Number – This number uniquely identifies the order for the receiving application.

Universal Service Identifier - This field contains the ID generated by the Hospital to identify the requested observation test from the hospital.

Observation Date and Time – This field contains the date and time the hearing screen results are collected (YYYYMMDDHHMMSS).

Received Date and Time – Date/Time when the message is sent by the Hospital

Results Status - Mandatory status for the result. 'F' is the standard value.

Technician - Hospital entersfree text that identifies the screener that performed the screen in this field. The data should be unique so that the hospital can always identify the screener at a later date.

Project: (	California iCMS Hearing Project	Version:	2.82
	Technical Requirements Specification Electronic Data Transmission (EDT)	Date:	07/18/2011

#### 10.5.3. OBX – Result Segments

Results are sent via repeating OBX segments. The main difference when sending demographics via this segment and when sending hearing screen results is the OBX4 field. For demographics data you don't need to use it. But it is essential that you use it when sending results. You will need to send the same value in the OBX4 field that you have in the associated OBR1 field. The number in the red below indicates the screening order, for example, "1" would be the initial screen, "2" the repeat screen, and so on. Here's an example to illustrate it.

```
      OBR
      1
      54111-0^Newborn Hearing Loss

      Panel
      1
      20100419125701
      1
      20100418111021
      00001
      1
      1
      1
      1
      1
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      1
```

In the above example, that result set is associated with the information contained in the OBR. The result set tells us what the results were for the Baby's hearing screen and the OBR gives us observation details on who the screener and the provider were and what date this screening was done and on which date was it sent to the State. See the table below for more detail. You should return to the OBX Identifiers list for valid values for OBX3 and OBX5 field.

HL7 Seg	HL7 Seq	HL7 Len	HL7 OPT	DHC S OPT	HL7 DT	DHCS DT	HL7 Element Name	DHCS Element Name	Example Value	Notes
OBX	1	4	0	0	SI	CHAR(1)	Set ID OBX			
OBX	2	2	0	0	ID	VARCHA R(50)	Value Type			
OBX	3	250	R	R	CE	VARCHA R(50)	Observation Identifier	VARIES	VARIES	See list of OBX Identifiers for details, Also the section on OBX segment
ОВХ	4	20	0	0	ST	VARCHA R(50)	Observation Sub-ID	VARIES	VARIES	

## Table 35 - OBX Mapping

Project:	California iCMS Hearing Project	Version	: 2.82
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	Electronic Data Transmission (EDT)		

HL7 Seg	HL7 Seq	HL7 Len	HL7 OPT	DHC S OPT	HL7 DT	DHCS DT	HL7 Element Name	DHCS Element Name	Example Value	Notes
OBX	5	9999 9	0	0	VAR IES	VARCHA R(50)	Observation Value	VARIES	VARIES	
OBX	11	1	R	R	ID	CHAR(1)	Observation Result Status	RESULT _STATU S	"F"	

Set ID -- The sequential number for the OBX segment

Value Type – The Data type for the variable.

Observation Identifier – Please see the OBX identifiers table in section 10.2.1listed earlier in this document for valid values.

Observation Value – Please follow the values listed earlier in section 10.2.1 in this document for the identifier used. There is strict checking on the values and wrong values will cause errors in parsing the message.

Observation result status – Mandatory status for the result. 'F' is the standard value.

#### 10.6. Update Patients

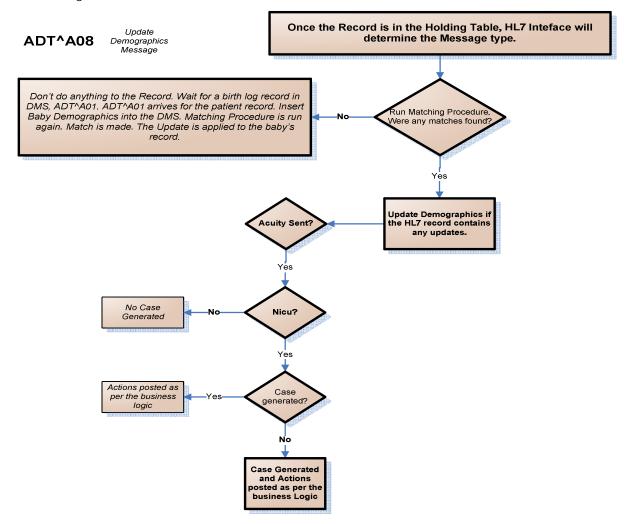
For Update Patients, the message type needs to be ADT^A08 message type, Update Patient Information. A check would be performed in the DMS system to make sure this Patient record doesn't exist already based on the following fields:

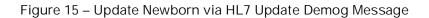
- 1. Baby Last Name
- 2. Baby Alias
- 3. Baby Birth Date
- 4. Baby Gender
- 5. Baby First Name
- 6. Medical Record Number
- 7. Birth Hospital
- 8. Submitter ID # of the Submitting Facility [i.e. IP0006]

If no birth log record is found for this baby, we will not insert this record. We will wait for the Hospital of Birth to submit a birth log for this baby [System will wait for the ADT^A01 record]. Once that birth log record is in the DMS, the check, which will be made periodically, will pick the A08 record as an update, and then this Update Patient Record will update the birth log record.

Project: California iCMS Hearing Project	Version: 2.82
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Please see Figure 15 below for more details.





Project: C	California iCMS Hearing Project	Version:	2.82
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In order for A08 messages to be processed, a previous A01 from the same hospital for that patient record will be required.

#### 10.7. <u>Mandatory Inbound Fields</u>

The following fields are mandatory. If they are not received, the state program interface will send an Email informing the Sending Facility HL7 Interface Administrator [designated by the Sending Facility].

Data will not be saved in the temporary table for processing in these cases.

- MSH:1 Field Separator
- MSH:2 Encoding Characters
- MSH:4 Sending Facility (DHCS Unique ID# that will be distributed to all Hospitals)
- MSH:7 Date/Time of Message
- MSH:9 Message Type
- MSH:10 Message Control ID
- MSH: 11 Processing ID
- MSH: 12 Version ID
- PID:3 Medical Record Number
- PID: 5 Patient last Name
- PID: 7 Baby Birth Date
- PID: 8 Gender
- PID: 5.2 Baby First Name
- PID: 23 Birth Hospital ID

#### 10.8. <u>Matching Conditions and Affiliation Rules [Applies to all Message Types]</u>

There are two types of Matching that is done. One is done via the Remote Data Entry module on the DHCS website, for manual hospitals. The other matching is automated for Device Uploads and HL7 electronic data.

In the Electronic Data Upload module used by hospitals for Device Uploads on the DHCS website, once the file is uploaded with patient data, it is automatically checked for duplicates and exceptions. Duplicates refers to records that already exist in the DHCS DMS or records that have matches in the system. Exceptions are patient records that failed field validation rules like data type.

Once matching is performed, the user uploading the file is taken to a page with three grids.

Grid 1: New Records. No matches found. Or if a match was found, it was missing results data for that baby, which is being supplied via the file being uploaded now. Only applies

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to File Uploads. For all new HL7 records, they will automatically be inserted into the DMS.

Grid 2: Duplicate records; meaning all infants sent via device upload or HL7 message where a matching demographic record is present in the DMS (see Note<sup>1</sup> below). Matches found are based on these criteria:

1. Primary match of Submitter ID and Medical Record Number, or

2. A secondary match is performed off of Baby Last Name or AKA, Baby DOB, Baby Gender, Baby First Name, Birth Hospital, or

3. A tertiary match is performed off of Baby Last Name or AKA, Baby DOB, and Baby Gender

Grid 3: Exceptions. Missing required fields, or User doesn't have access to these records because of rights.

Note <sup>1</sup>: HL7 records will be processed as follows:

- A01 with demographics only and no previous record in the DMS will automatically posted to the system.
- A01 with demographics and 1<sup>st</sup> set of results and no previous record in the DMS will automatically posted to the system.
- A01 with demographics and 1<sup>st</sup> set of results and existing record in the DMS will be posted to Grid 2.
- A08 or ORU without a previous A01 will automatically be posted to Grid 2 (regardless of whether it's the 1<sup>st</sup> or 2<sup>nd</sup> screen).
- A08 or ORU (where a previous A01 was sent) with demographics and 1<sup>ST</sup> set of results will automatically posted to the system.
- A08 or ORU (where a previous A01 was sent) with demographics and 2<sup>nd</sup> set of results from a screening that was performed on the same day will be posted to Grid 2.

HL7 records with bad patient data will not appear in Grid 3. They will be parsed via an HL7 parser engine that will send out error email messages based on the errors encountered in the file to HL7 administrators at the hospital that submitted them. These administrators need to be assigned by the hospital and should inform the DHCS vendor and supply the vendor with the email address at the hospital where the error emails will be sent.

At this time, all duplicates on these grids will need to be manually resolved by Hospital individuals familiar with the cases at their hospitals. This is a step before a case is generated for a baby with abnormal hearing results and it is important that the duplicates be resolved by an informed individual in the hospital Nursery or Newborn hearing screening program. The matching criteria has been set by the State and the Hearing Coordination Centers (HCCs). They want to make sure that no duplicate cases are generated for the same baby.

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#### 10.9. DHCS HL7 emails to Hospital Administrators

These are error emails that would be sent to the HL7 Hospital Administrator. These are only examples.

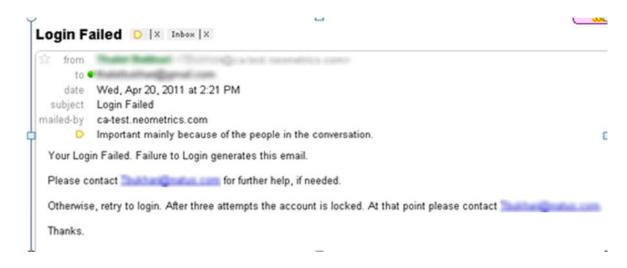
First are the SFTP emails followed by "error emails" sent for HL7 field validation rules. For example, if you send a value for an HL7 field that doesn't match the user defined values table for that field, an error email will be generated and sent out. The message will not be processed. It will have to be resent or a new message for the same patient data needs to be sent.

#### 10.9.1. SFTP

1. Login Failed

User failed to login because of an invalid password or public key or both.

Example:



2. Upload Successful

File Upload was successful to the State's SFTP server. This doesn't imply that the files or batch of files uploaded was successfully parsed and entered into the State's Hearing Screening DMS.

Example:

Project: California iCMS Hearing Project	Version: 2.82
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Electronic Data Transmission (EDT)	

TESTADTA01.txt Upload was successful D  X Internal X	(
2 fon Team Balled	hide det
to  dute Wed, Apr 20, 2011 at 1:50 PM subject TESTADTAD1.txt Upload was successful  P Important mainly because of the people in the conversation.	c
Your File Upload was successful to the DHCS Newborn Hearing Screening Project Test site.	
This email only indicates that the Upload was successful. The next step will be the parsing of the file and any errors generated at that point will be emailed to yo Thanks.	ur hospital"s administrator.

10.9.2. HL7 Interface

**Field Validations** 

All fields with tables in the TRS are being validated for their values. Any fields that we have assigned values to are being validated.

Examples for each one of them is as follows.

1. PID 3: Medical Record Number

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

PID3: Medical Record Number missing. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

-----

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110421-10767

Project: California iCMS Hearing Project	Version: 2.82
Document: Technical Requirements Specification	Date: 07/18/2011
Electronic Data Transmission (EDT)	

2. PID 5: Baby Last Name

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

PID 5:Missing Baby Last Name. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110421-21839

3. PID 5: Baby First Name

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

PID 5:Missing Baby First Name. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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Project:	California iCMS Hearing Project	Version:	2.82
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To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110421-21839

4. PID 7: Baby Birth Date

Example A [Value sent was: 2010010109]

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

PID 7:Incorrectly formatted Birth Date. Correct Format(YYYYMMDD)OR (YYYYMMDDmmss). Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

-----

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110421-33807

Example B [Value sent was 01012010]

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

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D:\CAHL7\CAINBOUND.vmd - Python output:

Project:	California iCMS Hearing Project	Version	2.82
Document:	Technical Requirements Specification	Date:	07/18/2011
	Electronic Data Transmission (EDT)		

\*\*Interface Event\*\*

PID 7:Invalid Birth Date. Correct Format(YYYYMMDD)OR (YYYYMMDDmmss). Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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To view this log entry in Iguana's logs, go to: <u>http://CA-TEST:6543/log\_browse?refid=20110421-56132</u>

5. PID 8: Gender

### Example A

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

PID 8: Missing Value for Gender. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110421-67226

Example B

Iguana Email Notification

Project:	California iCMS Hearing Project	Version:	2.82
Document:	Technical Requirements Specification Electronic Data Transmission (EDT)	Date:	07/18/2011

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

PID 8: Gender not found. Value isn't valid. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110421-78299

6. PID 11: Mother County

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

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D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

PID 11:Mother County not found. Value isn't valid. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110421-89457

Project:	California iCMS Hearing Project	Version	: 2.82
Document	: Technical Requirements Specification	Date:	07/18/2011
	Electronic Data Transmission (EDT)		

7. PID 12: Birth County

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

PID 12:Birth County not found. Value isn't valid. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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To view this log entry in Iguana's logs, go to: <u>http://CA-TEST:6543/log\_browse?refid=20110421-89457</u>

8. PID 15: Mother Primary Language

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

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D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

PID15: Mother Primary language not found. Value isn't valid. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer

Project: California iCMS Hearing Project	Version: 2.82
Document: Technical Requirements Specification	Date: 07/18/2011
Electronic Data Transmission (EDT)	

Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110421-100584

9. PID 22: Ethnicity

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

PID 22: Ethnicity not found. Value isn't valid. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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To view this log entry in Iguana's logs, go to: <u>http://CA-TEST:6543/log\_browse?refid=20110421-111706</u>

10. PID 23: Birth Hospital

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

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D:\CAHL7\CAINBOUND.vmd - Python output:

Project:	California iCMS Hearing Project	Version:	2.82
Document:	Technical Requirements Specification	Date:	07/18/2011
	Electronic Data Transmission (EDT)		

\*\*Interface Event\*\*

PID 23: Birth Hospital ID is Invalid. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110421-122901

11. PID 25: Birth Order

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\_\_\_\_\_

\*\*Interface Event\*\*

PID 25: Birth Order not found. Value isn't valid. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

-----

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110421-134003

12. NK1 3: Relationship

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Project: Califo	ornia iCMS Hearing Project	Version:	2.82
	nical Requirements Specification ronic Data Transmission (EDT)	Date:	07/18/2011

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\_\_\_\_\_

\*\*Interface Event\*\*

NK1 3: Next of Kin Relationship not found. Value isn't valid. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110421-145249

13. NK1 4: Next of Kin County [Validating County as part of the Address Sent]

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

NK1 4: Next of Kin County not found. Value isn't valid. Value isn't valid. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

\_\_\_\_\_

To view this log entry in Iguana's logs, go to: <u>http://CA-TEST:6543/log\_browse?refid=20110421-156436</u>

14. PV1 3: Unit

Iguana Email Notification

Project: California iCMS Hearing Project	Version: 2.82
Document: Technical Requirements Specification Electronic Data Transmission (EDT)	Date: 07/18/2011

Source: CAINBOUND Log Type: Info

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

PV1 1.3:Unit not found. Value isn't valid. Sent by Submitter 'IP0041', Message Control ID(MSH 10): Q5555999910001. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

-----

To view this log entry in Iguana's logs, go to: <u>http://CA-TEST:6543/log\_browse?refid=20110421-174815</u>

15. OBR 14: Date Received

Example A [i.e. Birthdate is 01012011. Value sent in this field is 01012010] Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBR 14:Invalid Date Received. Cannot be less than Birth Date. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

\_\_\_\_\_

To view this log entry in Iguana's logs, go to:

Project:	California iCMS Hearing Project	Version	2.82
Document:	Technical Requirements Specification	Date:	07/18/2011
	Electronic Data Transmission (EDT)		

### http://CA-TEST:6543/log\_browse?refid=20110422-270035

Example B

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

\_\_\_\_\_

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBR 14:Invalid Date Received. Correct Format(YYYYMMDD)OR (YYYYMMDDmmss). Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

\_\_\_\_\_

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-278469

Example C

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBR 14:Invalid Date Received. Cannot be greater than today's date. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

Project:	California iCMS Hearing Project	Version	: 2.82
Document	Technical Requirements Specification Electronic Data Transmission (EDT)	Date:	07/18/2011

-----

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-286872

Example D

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

\_\_\_\_\_

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBR 14: Required Field Missing. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

\_\_\_\_\_

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-295202

16. OBR 16: Ordering Provider

Example A

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\_\_\_\_\_

\*\*Interface Event\*\*

Project: California iCMS Hearing Project	Version: 2.82
Document: Technical Requirements Specification Electronic Data Transmission (EDT)	Date: 07/18/2011

OBR 16: Required Field Missing. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

-----

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-303502

Example B [Value sent 'IP002'. Correct Value was 'IP0021']

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBR16: Ordering Provider not found. Value isn't valid. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

-----

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-311816

17. OBR 25: Result Status

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

Project: California iCMS Hearing Project	Version: 2.82
Document: Technical Requirements Specification Electronic Data Transmission (EDT)	Date: 07/18/2011

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBR 25: Required Field Missing. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

\_\_\_\_\_

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-320136

18. OBR 4: Universal Service Identifier/Procedure Code

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBR 4: Required Field Missing. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

\_\_\_\_\_

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-336162

19. OBR 7: Screening Date

Example A

Project: California iCMS Hearing Project	Version: 2.82
Document: Technical Requirements Specification Electronic Data Transmission (EDT)	Date: 07/18/2011

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBR 7:Invalid Screening Date. Cannot be less than Birth Date. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

\_\_\_\_\_

To view this log entry in Iguana's logs, go to: <u>http://CA-TEST:6543/log\_browse?refid=20110422-344518</u>

Example B

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

#### \*\*Interface Event\*\*

OBR 7:Invalid Screening Date. Correct Format(YYYYMMDD)OR (YYYYMMDDmmss). Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

-----

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-352947

Project:	California iCMS Hearing Project	Version:	2.82
Document:	Technical Requirements Specification	Date:	07/18/2011
	Electronic Data Transmission (EDT)		

Example C

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

------D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBR 7:Invalid Screening Date. Cannot be greater than today's date. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

\_\_\_\_\_

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-361345

Example D

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

\_\_\_\_\_

D:\CAHL7\CAINBOUND.vmd - Python output:

#### \*\*Interface Event\*\*

OBR 7: Required Field Missing. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

-----

Confidential

Project: California iCMS Hearing Project	Version: 2.82
Document: Technical Requirements Specification Electronic Data Transmission (EDT)	Date: 07/18/2011

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-369668

20. OBR 34: Screener Name

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBR 34: Screener Name. Required Field Missing. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

\_\_\_\_\_

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-653920

21. OBX 1: Set ID

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBX 1: No value provided. If segment is sent, this is a required field. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics

Project: California iCMS Hearing Project	Version: 2.82
Document: Technical Requirements Specification	Date: 07/18/2011
Electronic Data Transmission (EDT)	

Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

\_\_\_\_\_

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-378056

22. OBX 3: OBX Identifiers

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBX3: Missing or Invalid OBX Identifier used. Please check the OBX\_Identifiers list in TRS for correct values. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email CHL7@natus.com. Please disregard the url address to view log entry at the bottom of this email. Thanks.

\_\_\_\_\_

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-386552

23. OBX 4: Field Used to Match Results to the Screen

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

Project: California iCMS Hearing Project	Version: 2.82
Document: Technical Requirements Specification Electronic Data Transmission (EDT)	Date: 07/18/2011

\*\*Interface Event\*\*

OBX 4: No value sent. Required for ORU message types. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

\_\_\_\_\_

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-395011

24. OBX 5: Screening Result and other values

Example A

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBX 5: No value sent. Sent by Submitter 'IPO442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-403400

<u>Example B</u> Iguana Email Notification

Source: CAINBOUND

Project: C	California iCMS Hearing Project	Version:	2.82
	Technical Requirements Specification Electronic Data Transmission (EDT)	Date:	07/18/2011

Log Type: Info

Logged message:

\_\_\_\_\_

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBX 5: Invalid Entry for result left ear. For Screening result sequence 1, Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

-----

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-411779

Example C Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBX 5: Invalid Entry for result right ear. For Screening result sequence 1, Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

-----

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-420212

Example D

Project:	California iCMS Hearing Project	Version	: 2.82
Document:	Technical Requirements Specification	Date:	07/18/2011
	Electronic Data Transmission (EDT)		

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBX 5: Invalid Entry for screen type. For Screening result sequence 1, Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

\_\_\_\_\_

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-428639

Example E Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBX 5: Invalid Entry for right ear method. For Screening result sequence 1, Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

-----

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-437068

Project:	California iCMS Hearing Project	Version	: 2.82
Document	Technical Requirements Specification	Date:	07/18/2011
	Electronic Data Transmission (EDT)		

Example F Iquana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBX 5: Invalid Entry for left ear method. For Screening result sequence 1, Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

-----

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-445501

Example G

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBX 5: Invalid Entry for right ear malformation value. For Screening result sequence 1, Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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Confidential

Project:	California iCMS Hearing Project	Version	: 2.82
Document:	Technical Requirements Specification	Date:	07/18/2011
	Electronic Data Transmission (EDT)		

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-453947

Example H Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBX 5: Invalid Entry for right ear malformation value. For Screening result sequence 1, Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-472580

25. OBX 11: Result Status

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

OBX 11: No value sent. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email

Project:	California iCMS Hearing Project	Version	: 2.82
Document:	Technical Requirements Specification	Date:	07/18/2011
	Electronic Data Transmission (EDT)		

<u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

\_\_\_\_\_

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-665194

26. ZCA 1: Acuity and Unit

<u>Acuity</u>

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

ZCA 1: Acuity not found. Value isn't valid. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-497030

<u>Unit</u>

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

Project: California iCMS Hearing Project	Version: 2.82
Document: Technical Requirements Specification Electronic Data Transmission (EDT)	Date: 07/18/2011

\*\*Interface Event\*\*

ZCA 1.2:Unit not found. Value isn't valid. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-505451

27. ZCA 2: Gestational Age

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

ZCA 2: Gestational Age invalid. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-529380

28. ZCA 3: Mother Email

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Project:	California iCMS Hearing Project	Version	: 2.82
Document	Technical Requirements Specification	Date:	07/18/2011
	Electronic Data Transmission (EDT)		

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

ZCA 3:Invalid Entry for Mother Email. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

\_\_\_\_\_

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-553521

29. ZCA 4: Mother Cell Phone

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

-----

\*\*Interface Event\*\*

ZCA 4: Invalid Entry for Mother Cell Phone. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

-----

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-561894

Project: California iCMS Hearing Project	Version: 2.82
Document: Technical Requirements Specification	Date: 07/18/2011
Electronic Data Transmission (EDT)	

30. ZCA 5: Legal Guardian Flag

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

ZCA 5: Legal Guardian Flag is invalid. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

-----

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-570294

31. ZCA 6: Legal Guardian Email

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

ZCA 6: Invalid Entry for Legal Guardian Email. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

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Confidential

Project: California iCMS H	learing Project	Versior	n: 2.82
Document: Technical Require Electronic Data T	ements Specification ransmission (EDT)	Date:	07/18/2011

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-676475

32. ZCA 7: Legal Guardian Cell Phone

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

ZCA 7:Invalid Entry for Legal Guardian Cell Phone. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

-----

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-587088

33. ZCA 8: Risk Factors [We will be validating on each one of the nine fields. Below is an example of one of them]

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

ZCA 8.5: Invalid entry for Risk Factors. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or

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email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

\_\_\_\_\_

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-595536

34. ZCA 9: Submitting Hospital ID

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

-----

D:\CAHL7\CAINBOUND.vmd - Python output:

\*\*Interface Event\*\*

ZCA 9: Submitting Hospital ID not found. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

-----

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-630612

35. ZCA 10: Insurance Type

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

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\*\*Interface Event\*\*

ZCA 10: Insurance Type not found. Value isn't valid. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

\_\_\_\_\_

To view this log entry in Iguana's logs, go to: <u>http://CA-TEST:6543/log\_browse?refid=20110422-613888</u>

36. ZCA 11: Birth Hospital ID

Iguana Email Notification

Source: CAINBOUND Log Type: Info

Logged message:

D:\CAHL7\CAINBOUND.vmd - Python output:

\_\_\_\_\_

\*\*Interface Event\*\*

ZCA 11: Birth Hospital ID not found. Sent by Submitter 'IP0442', Message Control ID(MSH 10): Q5555999910303. Please Correct the Value and Resend Message. If need assistance, please contact Neometrics Customer Support at 1-800-645-3616 or email <u>CHL7@natus.com</u>. Please disregard the url address to view log entry at the bottom of this email. Thanks.

-----

To view this log entry in Iguana's logs, go to: http://CA-TEST:6543/log\_browse?refid=20110422-622259

# 11. System Message Logging

The HL7 Interface will log all data received in database tables. All data received will be stored in SQL Server tables. All messages will be stored with a Unique Messaging ID (UMI) that can be used by HIS and staff to access a record of data received and transmitted via the HL7 interface.

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The error log files are used to store messages related to unexpected error conditions encountered during execution of the ADT and ORU processes. Hospital, HCC, and State administrators will have access to these log files for periodic review with HIS or internal staff.

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## 12. HL7 Concepts and Definitions

Messages – a message is the atomic unit of data transferred between systems. It is comprised of a group of segments in a defined sequence. Each message has a message type that defines its purpose. For example the ORU Message type is used to transmit portions of a patient's Patient Administration (ORU) data from one system to another. A three character code contained within each message identifies its type.

The real-world event that initiates an exchange of messages is called a trigger event. These codes represent values such as a live birth or an initial screen is performed. There is a one-to-many relationship between message types and trigger event codes. The same trigger event code may not be associated with more than one message type.

Segments – a segment is a logical grouping of data fields. Segments of a message may be required or optional. They may occur only once in a message or they may be allowed to repeat. Each segment is given a name. For example, the ORU message may contain the following segments: Message Header (MSH), and Patient ID (PID). Each segment is identified by a unique three-character code known as the Segment ID.

Fields – a field is a string of characters. HL7 does not discriminate how systems actually store data within an application. When fields are transmitted, they are sent as character strings. Except where noted, HL7 data fields may take on the null value. Sending the null value, which is transmitted as two double quote marks (""), is different from omitting an optional data field. The difference appears when the contents of a message will be used to update a record in a database rather than create a new one. If no value is sent, (i.e., it is omitted) the old value should remain unchanged. If the null value is sent, the old value should be changed to null.

Position (sequence within the segment) – This is the ordinal position of the data field within the segment. This number is used to refer to the data field in the text comments that follow the segment definition table. In the segment attribute tables this information is in a column labelled SEQ.

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## 13. Screening Device Data File Upload

### 13.1. Hospital Requirements

Most hearing screening devices can export screening results. The DMS interface currently supports the HiTrack or Algo5 XML formats. The Hospital IT staff is required to provide support to hospital staff to ensure that the file is available for import. The following tasks may be required to be performed in order for hospitals to upload data export files from hearing screening devices:

- Network Support for screening devices Screening devices may need to be added to the hospital network so that exported files can be uploaded to the DMS
- Support for thumb drives ("sneaker net") Devices that cannot be networked may use other media to copy data files from the device to the hospital network.
- Browser access to the hospital network or external media DMS system runs in a web browser. Data upload requires that the upload module must have access to the exported data file, either on the hospital network or external media.

Once the exported data file is located in a location that can be accessed by the system, the DMS will upload the file securely to the state servers. Once the file is uploaded hospital users use the DMS to associate the device data to the newborn demographics that already exist in the system. A basic flow diagram follows:

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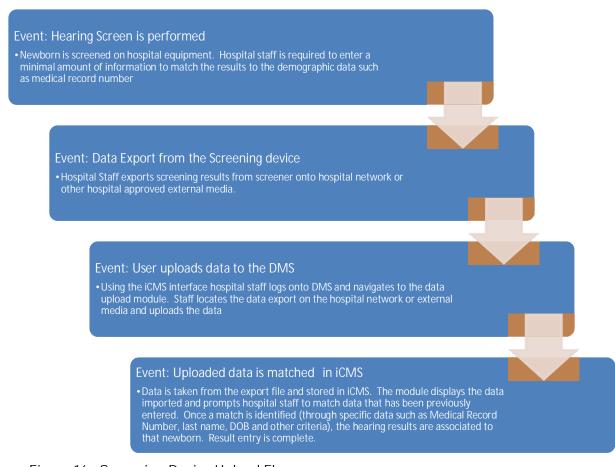


Figure 16 - Screening Device Upload Flow

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# 14. HiTrack File Format Information

The following section describes the HiTrack File Format. You can visit <u>http://www.hitrack.org</u> for additional details. The following document can be found on that website and has been used to create much of the information presented here: http://www.hitrack.org/Content/Data\_Linking\_with\_HITRACK.pdf

14.1. <u>HiTrack Flat-File Format</u>

DMS supports flat file data exports from Newborn Hearing Screening devices. The flat file export is defined in the following way:

Table 36 - HiTrack Fields	

Field Type	Format Required	
Text Fields	Enclosed in quotes	
Date Fields	Use the yyyymmdd format. Where: yyyy = four-digit year mm = two-digit month dd = two digit day	
Yes/No Fields	Use Y or N	
Field Separator	Comma (,), Commas must be used even if a field has no data.	
Record separator	Carriage return/linefeed	
End of file marker	1A hex or CHR(26)	

## 14.2. <u>HiTrack Flat File Name</u>

Hospital staff must decide on a naming convention for the flat files that are generated. The creation and validity of these files are the responsibility for each hospital IT staff. The DMS does not have any rules regarding the name of the file. Once a file is uploaded to the DMS, it is deleted from the DMS servers.

## 14.3. <u>HiTrack Flat File Data Structure</u>

The HiTrack flat file format maintains a specific file format that should be known to each hearing screening device. The DMS uses the data imported to match the hearing results to the demographics data (if previously entered). In order to successfully merge automatically, some demographic data fields are required to be entered into the screening device and uploaded to the DMS. Those fields are listed below:

- Medical Record Number
- Baby's Last Name
- Baby's First Name
- Baby's Gender
- Baby's Date of Birth

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These fields pertain to demographic information only. There are other fields which are mandatory if results exist in the file. These are indicated with a YES in the required column in the table below. The HiTrack file format is detailed in the table below. Fields required for successful import into the DMS have a YES in the required column

Field Name	Туре	Required	Length	Description
CMID	Character	Yes	15	Child's Medical Record Number
CLAST	Character	Yes	20	Child's last name
CFIRST	Character	Yes	15	Child's first name
CSEX	Character	Yes	1	Child's gender (M male; F=female)
CDOB	Date	Yes	8	Child's date of birth (yyyymmdd)
СТОВ	Character		5	Child's time of birth (must be on 24-hour clock, hh:mm)
MULTI_CODE	Character		1	Child's multiple birth code (S=single birth; multiple, A, B, C etc.)
RACE	Character		2	Child's race
COHORT	Character		2	Not used
STAGE	Character	Yes	1	Inpatient or outpatient status (I=Inpatient; O=Outpatient).
HOSP_CODE	Character	Yes	3	hospital code. This is defaulted from the user logged into the DMS
SCRN_SITE	Character		3	Screening-site code
TYPE	Character		1	Nursery type
PE_ID	Character		4	Pediatrician's ID
INS_CODE	Character		2	Insurance code
BW_LOCAL	Number		7	Not used
BW_TYPE	Character		1	Not Used
BW_GRAMS	Number		5	Child's birth weight in grams.
SCRN_TYPE	Character	Yes	1	Type of screening results (O = TEOAE; D=DPOAE; G = Generic OAE; A = A-ABR.
IRO	Character	Yes	1	Right ear OAE result (see Screening Outcomes in Table 40)
IDRO	Date	Yes	8	Right ear OAE test date (yyyymmdd)

Table 37 - HiTrack File Format Fields

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Field Name	Туре	Required	Length	Description
IRODTA	Character	Yes	12	Screening data test number for right OAE
INITSCRNRO	Character	Yes	3	Right ear OAE screener ID
INAUDOAE_R	Character		3	Right ear OAE scoring audiologist ID
ILO	Character	Yes	1	Left ear OAE result (see Screening Outcomes in Table 40)
IDLO	Date	Yes	8	Left ear OAE test date (yyyymmdd)
ILODTA	Character	Yes	12	Screening data test number for left OAE
INITSCRNLO	Character	Yes	3	Left ear OAE screener ID
INAUDOAE_L	Character		3	Left ear OAE scoring audiologist ID
IRA	Number	Yes	1	Right ear ABR result (see Screening Outcomes in Table 40
IDRA	Date	Yes	8	Right ear ABR test date (yyyymmdd)
IRORUA	Character	Yes	12	Screening data test number for right ABR
INITSCRNRA	Character	Yes	3	Right ear ABR screener ID
ILA	Character	Yes	1	Left ear ABR result (see Screening Outcomes in Table 40
IDLA	Date	Yes	8	Left ear ABR test date (yyyymmdd)
ILORUA	Character	Yes	3	Screening data test number for left ABR
INITSCRNLA	Character	Yes	6	Left ear ABR screener ID

## 14.4. Standard Field Values

The Hi-Track export file has several suggested field values that are included in the HiTrack specification. The following field values represent the values accepted by the DMS. Hospital staff should contact their device manufacturers to ensure that the device output complies with this requirement.

Table 38 - Screening Outcomes

Value	Description
1	Pass – pass criteria met

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Value	Description
2	Refer – pass criteria not met; referral for further screening or follow-up
Ν	Not Required – no attempt made to re-screen an ear that passed previously.
М	Missed – no screening attempt made on either ear before discharge. (Inpatient screening only)
R	Refused – parents refused screening.
Т	Transferred – infant transferred to another facility prior to screening.
D	Deceased – infant expired.

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## 15. Algo5 XML Format Information

The DMS accepts data in Algo 5 XML format. As with the HiTrack file, the hospital is required to provide support to staff to create this file and place in a location that the DMS can access it.

15.1. <u>Sample XML File</u>

The following is an example of an XML file

Algo5>

<Culture>en-US</Culture>

- <PatientTestRecord>
- <Patient>
- <DemographicData>

<ld>defdc9fd-2526-4ee3-8fb2-8b91c52263ac</ld>

<MedicalRecordNumber>002247</MedicalRecordNumber>

<FirstName>nbgirl2</FirstName>

<LastName>test</LastName>

<MiddleInitial />

<AlsoKnownAs />

<Birthdate>12/10/2010 12:00:00 AM</Birthdate>

<BirthLocation />

<Gender>2</Gender>

<Address1 />

```
<Address2 />
```

<City />

```
<State />
```

<PostalCode />

```
<Country />
```

<Nationality />

<Height />

<Weight />

<Telephone />

<MobilePhone />

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<CurrentPediatrician />

<MothersFirstName />

<MothersLastName />

<CaregiverFirstName />

<CaregiverLastName />

<Comment />

<Other />

< DischargeDate />

<ArchiveDate />

<ExportDate />

```
<CreationDate />
```

<LastModified>1/4/2011 4:36:39 PM</LastModified>

<UserText1 />

```
<UserText2 />
```

<UserText3 />

<UserText4 />

<UserText5 />

<UserDate1 />

```
<UserDate2 />
```

<UserDate3 />

<UserDate4 />

<UserDate5 />

```
<MothersBirthdate />
```

</DemographicData>

```
<RiskFactors />
```

</Patient>

```
<u>-</u> <Test>
```

```
- <TestResult>
```

<ld>b4b54ef7-2708-4798-b678-2f4e7cf737a3</ld>

<PatientId>defdc9fd-2526-4ee3-8fb2-8b91c52263ac</PatientId>

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```
<TestType>1</TestType>
```

```
<LE_Result>1</LE_Result>
```

<RE\_Result>3</RE\_Result>

```
<TestDateTime>1/4/2011 4:39:28 PM</TestDateTime>
```

```
<Duration>972.3</Duration>
```

<Pediatrician />

<Medication />

<Physician />

```
<Examiner>sylvia</Examiner>
```

<Reason />

```
<ReferredBy />
```

```
<TestFacility />
```

```
<Comment />
```

```
<Other />
```

```
<Conclusion />
```

```
<Recommendation />
```

```
<Interpretation />
```

```
<TestInfo />
```

```
<Location>vmc</Location>
```

```
<LocationType>O</LocationType>
```

```
<ArchiveDate />
```

```
<ExportDate />
```

<UserAccountId>6e0d0911-2707-4f63-95de-12d985c5b006</UserAccountId>

```
<UserText1 />
```

```
<UserText2 />
```

```
<UserText3 />
```

```
<UserText4 />
```

```
<UserText5 />
```

```
<UserDate1 />
```

```
<UserDate2 />
```

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```
<UserDate3 />
```

<UserDate4 />

<UserDate5 />

</TestResult>

- <Plugin type="ABR">

<ResultId>c89daa71-c306-4e56-9db2-a0ed189167e0</ResultId>

<<u>Method</u>>LeftRightSimultaneous</<u>Method</u>>

- <Application>Amplitude35dBSPL</Application>
- <Duration>972.299987792969</Duration>
- <StartTime>1/4/2011 4:39:28 PM</StartTime>
- <MyogenicNoise>O</MyogenicNoise>
- <AmbientNoise>O</AmbientNoise>
- <ImpedanceVertexCommon>2</ImpedanceVertexCommon>
- <ImpedanceNapeCommon>2</ImpedanceNapeCommon>
- <PreAmplifier>
  - <ld>8857f4af-214a-4015-a27c-d4b5c5e3ddfa</ld>
  - <SerialNumber>p500217</SerialNumber>
  - <Version>Ver1.0</Version>

```
<Type>O</Type>
```

- <CompatibleType>O</CompatibleType>
- <ManufacturingDate>6/11/2008 2:08:08 PM</ManufacturingDate>
  - </PreAmplifier>
- <Probe>
  - <ld>38da06f3-860d-4575-ae0f-9f6d26a5c44d</ld>
  - <SerialNumber>A501479</SerialNumber>
  - <Version>VER1.1</Version>
  - <Type>O</Type>
  - <CompatibleType>O</CompatibleType>
  - <CalibrationDate>12/19/2009 7:44:30 AM</CalibrationDate>
  - <NextCalibrationDate>8/30/2011 4:03:58 PM</NextCalibrationDate>

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<CalibrationData>31449-32796-1790-5661-2266-7167-40-7100000-6100000-35-4160599-3574600-0-0-0-0-0-0-0-0-0</CalibrationData>

</Probe>

- <SystemInfo>
  - <ld>15da608b-dfda-426e-8c95-49a4a1acb93f</ld>
  - <FWVersion>0.0.2.D</FWVersion>
  - <HWVersion>Ver1.0</HWVersion>
  - <SWVersion>1.1.12.2</SWVersion>
  - <HwSerialNumber>D500024</HwSerialNumber>
  - <HwType>O</HwType>
  - <CompatibleHwType>O</CompatibleHwType>
  - <ManufacturingDate>3/28/2008 3:27:13 PM</ManufacturingDate>
    - </SystemInfo>
- <LeftEarAABRDetails>
  - <DetailsId>9545fea3-fee1-4144-9e5a-daad4d3075b7/DetailsId>
  - <AABRResultId>c89daa71-c306-4e56-9db2-a0ed189167e0</AABRResultId>
  - <TestedEar>Left</TestedEar>
  - <Result>Incomplete</Result>
  - <FullResult>Halted</FullResult>
  - <Sweeps>7489</Sweeps>
  - <RejectedSweeps>0</RejectedSweeps>
  - <LikehoodRatio>38</LikehoodRatio>
  - <TemplateShift>0</TemplateShift>
  - <LikehoodRatioNumberHistory>0</LikehoodRatioNumberHistory>
    - </LeftEarAABRDetails>
- <RightEarAABRDetails>
  - <DetailsId>2d43c72a-0962-4495-bdd6-3462402f1ecb/DetailsId>
  - <AABRResultId>c89daa71-c306-4e56-9db2-a0ed189167e0</AABRResultId>
  - <TestedEar>Right</TestedEar>
  - <Result>Pass</Result>
  - <FullResult>Pass</FullResult>

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<Sweeps>5013</Sweeps>

<RejectedSweeps>0</RejectedSweeps>

<LikehoodRatio>189</LikehoodRatio>

<TemplateShift>0</TemplateShift>

<LikehoodRatioNumberHistory>0</LikehoodRatioNumberHistory>

</RightEarAABRDetails>

<TestResultId>b4b54ef7-2708-4798-b678-2f4e7cf737a3</TestResultId>

<TotalTestTime>O</TotalTestTime>

<ScreeningInterrupts>3</ScreeningInterrupts>

</Plugin>

</Test>

</PatientTestRecord>

</Algo5>

## 16. Data Matching for Uploaded Data

Data that are uploaded must be matched to existing demographic data record, or they will be treated as a new record in the DMS system.

#### 16.1. <u>Successful Data Match</u>

If they are able to be linked to an existing record, the hearing results are attached to the record based on the data imported. This is the preferred outcome, saving the user keystrokes in data entry. The DMS uses the following information when attempting to match data that has been uploaded to existing data records.

- 1. Primary match of Submitter ID and Medical Record Number,
- 2. A secondary match is performed on Baby Last Name or AKA, Baby DOB, Baby Gender, Baby First Name, and Birth Hospital,
- 3. A tertiary match is performed on Baby Last Name or AKA, Baby DOB, and Baby Gender

Any records that cannot be matched to an existing record using the above criteria will be considered unmatched.

#### 16.2. <u>Unmatched Data Process</u>

Data that is unmatched are displayed to the user for manual intervention.

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